

AOC
FORM
002-01

**Architect-Engineer
and Related Services
Questionnaire for
Specific Project**

Purpose:

This form is a supplement to the “Architect-Engineer and Related Services Questionnaire” (AOC Form 001-01). Its purpose is to provide additional information regarding the qualifications of interested firms to undertake a specific Administrative Office of the Courts (AOC) A-E project. Firms, or branch offices of firms, submitting this form should enclose a current and accurate copy of the AOC Form 001-01.

The procurement official responsible for each proposed project may request submission of the AOC 002-01 “Architect-Engineer and Related Services Questionnaire for Specific Project” and shall evaluate such submissions, as well as related information contained on the AOC Form 002-01 and any other performance data. This form should only be filed by an architect-engineer or related services firm when requested to do so by the AOC or by a public announcement. Responses should be as complete and accurate as possible, contain data relative to the specific project for which you wish to be considered, and should be provided, by the required due date, to the office specified in the request or public announcement. This form will be used only for the specified project. Do not refer to this submittal in response to other requests or public announcements.

Definitions:

“**Principals**” are those individuals in a firm who possess legal responsibility for its management. They may be owners, partners, corporate officers, associates, administrators, etc.

“**Discipline**,” as used in this questionnaire, refers to the primary technological capability of individuals in the responding firm. Possession of an academic degree, professional registration, certification, or extensive experience in a particular field of practice normally reflects an individual’s primary technical discipline.

“**Joint Venture**” is a collaborative undertaking by two or more firms or individuals for which the participants are both jointly and individually responsible.

“**Key Persons, Specialists, and Individual Consultants**,” as used in this questionnaire, refer to individuals who will have major project responsibility or will provide **unusual or unique** capabilities for the project under consideration.

Instructions for Filing (Numbers below correspond to numbers contained in form):

1. Give name and location of the project for which this form is being submitted.
2. Provide appropriate data from the California Contracts Registry identifying the particular project for which this form is being filed.
 - 2a. Give the date of the California Contracts Registry in which the project announcement appeared, or indicate “not applicable” (N/A) if the source of the announcement is other than the California Contracts Registry.
3. Show name and address of the individual or firm (or joint venture) which is submitting this form for the project.
 - 3a. List the name, title, and telephone number of that principal who will serve as the point of contact. Such an individual must be empowered to speak for the firm on policy and contractual matters and should be familiar with the programs and procedures of the AOC.
 - 3b. Give the address of the specific office which will have responsibility for performing the announced work.
4. Insert the number of consultant personnel by discipline proposed for subject project on line (A). Insert the number of in-house personnel by discipline proposed for subject project on line (B). While some personnel may be qualified in several disciplines, each person should be counted only once in accord with his or her primary function. Include clerical personnel as “administrative.” Write in any additional disciplines—sociologists, biologists, etc. – and number of people in each, in blank spaces.
5. Answer only if this form is being submitted by a joint venture of two or more collaborating firms. Show the names and addresses of all individuals or organizations expected to be included as part of the joint venture and describe their particular areas of anticipated responsibility (i.e. technical disciplines, administration, financial, sociological, environmental, etc.). Note whether this particular joint venture has worked together on other projects. Include an AOC Form 001-01 for each firm participating in the joint venture with this submittal.

Architect-Engineer and Related Services Questionnaire for Specific Project

6. If respondent is not a joint venture, but intends to use outside (as opposed to in-house or permanently and formally affiliated) consultants or associates, he should provide names and addresses of all such individuals or firms, as well as their particular areas of technical/professional expertise, as it relates to this project. Existence of previous working relationships should be noted. If more than eight outside consultants or associates are anticipated, attach an additional sheet containing requested information.
7. Regardless of whether respondent is a joint venture or an independent firm, provide brief resumes of key personnel expected to participate on this project. Care should be taken to limit resumes to only those personnel and specialists who will have major project responsibilities. Each resume must include: (a) name of each key person and specialist and his or her title, (b) the project assignment or role which that person will be expected to fulfill in connection with this project, (c) the name of the firm or organization, if any, with whom that individual is presently associated, (d) years of relevant experience with present firms and other firms, (e) the highest academic degree achieved and the discipline covered (if more than one highest degree, such as two Ph.D.'s, list both), the year received and the particular technical/professional discipline which that individual will bring to the project, (f) if registered as an architect, engineer, surveyor, etc. show only the field of registration and the year that such registration was first acquired. If registered in several states, do not list states, and (g) a synopsis of experience, training, or other qualities which reflect individual's potential contribution to this project. Include such data as: familiarity with Administrative Offices of the Courts procedures, similar type of work performed in the past, management abilities, familiarity with the geographic area, relevant foreign language capabilities, etc. Please limit synopsis of experience to directly relevant information.
8. List up to ten projects which demonstrate the firm's or joint venture's competence to perform work similar to that likely to be required on this project. The more recent such projects, the better. Prime consideration will be given to projects which illustrate respondent's capability for performing work similar to that being sought. Required information must include: (a) name and location of project, (b) brief description of type and extent of services provided for each project (submissions by joint ventures should indicate which member of the joint venture was the prime on that particular project and what rule it played), (c) name and address of the owner of that project (if Government agency, indicate responsible office), and name and phone number of individual to contact for reference (preferably the project manager), (d) completion date (actual when available, otherwise estimated), (e) total construction cost of completed project (or where no construction was involved, the approximate cost of your work) and that portion of the cost of the project for which the named firm was/is responsible.
9. Through narrative discussion, show reason why the firm or joint venture submitting this questionnaire believes it is especially qualified to undertake the project. Information provided should include, but not be limited to, such data as: specialized equipment available for this work, any awards or recognition received by a firm or individuals for similar work, required security clearances, special approaches or concepts developed by the firm relevant to this project, etc. Respondents may say anything they wish in support of their qualifications.
10. Completed forms should be signed by the chief executive officer of the joint venture (thereby attesting to the concurrence and commitment of all members of the joint venture), or by the architect-engineer principal responsible for the conduct of the work in the event it is awarded to the organization submitting this form. Joint ventures selected for subsequent discussions regarding this project must make available a statement of participation signed by a principal of each member of the joint venture. **ALL INFORMATION CONTAINED IN THE FORM SHOULD BE CURRENT AND FACTUAL.**

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1. Project Name/Location for which Firm is Filing:

2a. California Contracts Register Announcement Date, if any:

3. Firm (or Joint Venture) Name & Address:

3a. Name, Title & Telephone Number of Principal to Contact:

3b. Address of office to perform work, if different from Item 3:

4. Personnel by Discipline: (List each person only once, by primary function.) Enter proposed consultant personnel to be utilized on this project on line (A) and In-house personnel on line (B).

- | | | | |
|---------------------------------------|------------------------------------|--|-------------------------------|
| (A)___ (B)___ Administrative | (A)___ (B)___ Electrical Engineers | (A)___ (B)___ Oceanographers | (A)___ (B)___ _____ |
| (A)___ (B)___ Architects | (A)___ (B)___ Estimators | (A)___ (B)___ Planners: Urban/Regional | (A)___ (B)___ _____ |
| (A)___ (B)___ Chemical Engineers | (A)___ (B)___ Geologists | (A)___ (B)___ Sanitary Engineers | (A)___ (B)___ _____ |
| (A)___ (B)___ Civil Engineers | (A)___ (B)___ Hydrologists | (A)___ (B)___ Soils Engineers | (A)___ (B)___ _____ |
| (A)___ (B)___ Construction Inspectors | (A)___ (B)___ Interior Designers | (A)___ (B)___ Specification Writers | (A)___ (B)___ _____ |
| (A)___ (B)___ Draftsmen | (A)___ (B)___ Landscape Architects | (A)___ (B)___ Structural Engineers | (A)___ (B)___ _____ |
| (A)___ (B)___ Ecologists | (A)___ (B)___ Mechanical Engineers | (A)___ (B)___ Surveyors | (A)___ (B)___ _____ |
| (A)___ (B)___ Economists | (A)___ (B)___ Mining Engineers | (A)___ (B)___ Transportation Engineers | (A)___ (B)___ Total Personnel |

5. If submittal is by JOINT-VENTURE list participating firms and outline specific areas of responsibility (including administrative, technical and financial) for each firm: (Attach AOC Form 001-01 for each.

5a. Has this Joint-Venture previously worked together? Yes No

6. If respondent is not a joint-venture, list outside key Consultants/Associates anticipated for this project (Attach AOC Form 001-01 for Consultants/Associates listed,

Name & Address	Specialty	Worked with Prime before (Yes or No)
1)		
2)		
3)		
4)		
5)		
6)		
7)		

8)		
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7. Brief resume of key persons, specialists, and individual consultants anticipated for this project:	
a. Name & Title:	a. Name & Title:
b. Project Assignment:	b. Project Assignment:
c. Name of Firm with which associated:	c. Name of Firm with which associated:
d. Years experience: With This Firm..... With Other Firms.....	d. Years experience: With This Firm..... With Other Firms.....
e. Education: Degree(s) / Year / Specialization	e. Education: Degree(s) / Year / Specialization
f. Active Registration: Year First Registered / Discipline	f. Active Registration: Year First Registered / Discipline
g. Other Experience and Qualifications relevant to the proposed project:	g. Other Experience and Qualifications relevant to the proposed project:

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7. Brief resume of key persons, specialists, and individual consultants anticipated for this project:	
a. Name & Title:	a. Name & Title:
b. Project Assignment:	b. Project Assignment:
c. Name of Firm with which associated:	c. Name of Firm with which associated:
d. Years experience: With This Firm..... With Other Firms.....	d. Years experience: With This Firm..... With Other Firms.....
e. Education: Degree(s) / Year / Specialization	e. Education: Degree(s) / Year / Specialization
f. Active Registration: Year First Registered / Discipline	f. Active Registration: Year First Registered / Discipline

g. Other Experience and Qualifications relevant to the proposed project:	g. Other Experience and Qualifications relevant to the proposed project:

7. Brief resume of key persons, specialists, and individual consultants anticipated for this project:	
a. Name & Title:	a. Name & Title:
b. Project Assignment:	b. Project Assignment:
c. Name of Firm with which associated:	c. Name of Firm with which associated:
d. Years experience: With This Firm..... With Other Firms.....	d. Years experience: With This Firm..... With Other Firms.....
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g. Other Experience and Qualifications relevant to the proposed project:	g. Other Experience and Qualifications relevant to the proposed project:

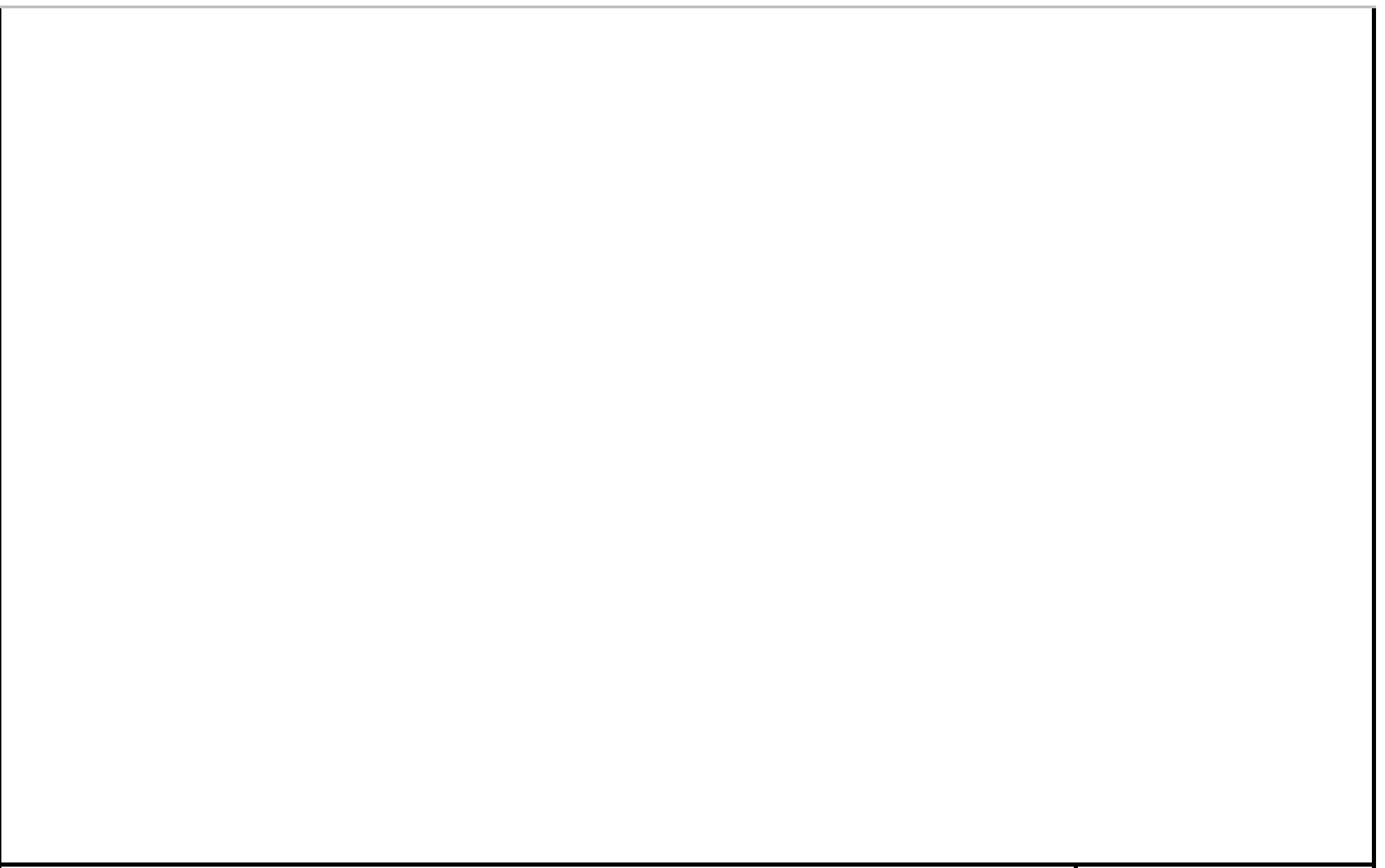
7. Brief resume of key persons, specialists, and individual consultants anticipated for this project:	
a. Name & Title:	a. Name & Title:
b. Project Assignment:	b. Project Assignment:
c. Name of Firm with which associated:	c. Name of Firm with which associated:
d. Years experience: With This Firm..... With Other Firms.....	d. Years experience: With This Firm..... With Other Firms.....
e. Education: Degree(s) / Year / Specialization	e. Education: Degree(s) / Year / Specialization

f. Active Registration: Year First Registered / Discipline	f. Active Registration: Year First Registered / Discipline
g. Other Experience and Qualifications relevant to the proposed project:	g. Other Experience and Qualifications relevant to the proposed project:

8. Work by firms or joint-venture members which best illustrates current qualifications relevant to this project (list not more than 10 projects).					
a. Project Name & Location	b. Nature of Firm's Responsibility	c. Project Owner's Name & Address and Project Manager's Name & Phone Number	d. Completion Date (actual or estimated)	e. Estimated Cost (In Thousands)	
				Entire Project	Work For Which Firm Was/Is Responsible
(1)					
(2)					
(3)					

(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

9. Use this space to provide any additional information or description of resources (including any computer design capabilities) supporting your firm's qualifications for the proposed project.



10. The foregoing is a statement of facts.

Date:

Signature: _____ Typed Name and Title: _____