



Appendix 11 Features List: CMS Integration Requirements

Priority	Requirement Name	Requirement Text	Response	Comment
Mandatory	Sheriff Booking and Case Initiation	Provide support for existing or develop new, automated exchange of data from the Sheriff Dept. to the Court to initiate a Criminal case in the CMS system. Exchange must include response processing to indicate either acceptance or rejection of the filing.		
Mandatory	Sheriff Warrants	Provide for a series of automated exchanges of data between the Court CMS and the Sheriff Warrant System to cover the entire warrant business process: 1. Issue a Warrant 2. Recall a Warrant 3. Warrant Proof of Service 4. Warrant Re-Issue 5. Issue Civil Warrants (Ex: Unlawful Detainer)		
Mandatory	Sheriff Transport Roster	Provide for an automated exchange of data from the Court CMS to the Sheriff Dept. that identifies the inmates the Sheriff needs to transport to Court for a specific date.		
Preferred	Sheriff Bail Notification	Provide for an automated exchange of data from the Sheriff Dept. to notify the Court CMS when cash bail or bail bond notifications are received.		
Desireable	Sheriff Jail Time Calculation	Provide for an automated exchange of data from the Sheriff Dept. to notify the Court CMS when weekend only inmates (Weekender) have served time so the court can accurately calculate jail time remaining.		
Mandatory	LEA Citation Case Initiation	Provide support for the existing INI801 Citation Case Initiation automated exchange in order to electronically accept data from CHP, which is hosted on AOC ISB at CCTC. Local Law Enforcement Agencies could also use this exchange or else a seperate Citation Initiation data exchange will be required of the vendor to support local Law Enforcement Agencies.		
Mandatory	Traffic School Processing	Provide for a series of automated exchanges of data between the Court and traffic school vendors. Processing to include: 1. Request for Enrollment in Traffic school 2. Request for Extension of Traffic School Completion 3. Traffic School Completion Notification.		
Mandatory	Probation Officer Assignment notification	Provide for an automated exchange of data from the Probation Dept. to notify the Court CMS when a probation officer is assigned to a case.		
Preferred	Probation Terms & Conditions	Provide for an automated exchange of data between the Probation Dept. and the Court CMS. Probation Dept. will use to submit probation recommendations to the court and the court will use to provide terms and conditions to Probation Dept.		



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Mandatory	Probation Juvenile Delinquency Case Initiation	Provide for an automated exchange of data from the Probation Dept. to the Court to initiate a Juvenile Delinquency case in the CMS system. Exchange must include response processing to indicate either acceptance or rejection of the filing.		
Preferred	Probation Pre-Sentence Invesigation and Sentence Order Reports	Provide for an automated exchange of data from the Court to Probation Dept. to request a pre-sentence investigation report. The exchange should also allow for the Court to send sentence report orders on FMI cases to probation also.		
Mandatory	Probation Violation Notification	Provide for an automated exchange of data from the Probation Dept. to notify the Court when a defendant violates probation terms and conditions.		
Preferred	Probation Victim Restitution Establishment Request	Provide for an automated exchange of data from the Probation Dept. to notify the Court on behalf of the defendant to establish a victim restitution account		
Preferred	Probation Warrant Issuance and Recall	Provide for a series of automated exchanges of data between the Court CMS and the Probation Dept. to provide warrant issue and recall information when the warrant subject is under the authority and/or supervision of the Probation Dept.		
Preferred	Probation Public Defender Recovery	Provide for an automated exchange to allow Probation to download data from CMS, which includes name, address, in-custody indication and Court case information for defendants that have been assigned a Public Defender. Probation uses this data to recover Public Defenders fees from the defendants		
Mandatory	District Attorney Case Initiation	Provide for an automated exchange of data from the District Attorney System to the Court to initiate a case in the CMS system. Exchange must support: 1. Criminal Complaints 2. Informations 3. Indictments 4. Petitions 5. Supplemental Petition 6. Amended Filings Exchange must include response processing to indicate either acceptance or rejection of the filing.		
Preferred	District Attorney Direct File Case Notification	Provide for an automated exchange of data from the Court CMS to the District Attorney System to provide the DA with notice and information associated with a Criminal Case directly filed with the court by a Law Enforcement Agency		



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Preferred	District Attorney Courtroom Orders	Provide for an automated exchange of data from the Court CMS to the District Attorney System to provide the DA with notice and information associated with all courtroom orders, to include: 1. Judgement Orders 2. Sentencing Orders 3. Sentence Modification 4. Court Docket 5. Hearing Minute		
Preferred	District Attorney Warrants	Provide for a series of automated exchanges of data between the Court CMS and the DA System to cover the Warrant Business process between the DA and the Court to include: 1. DA Request for Issuance of a Warrant 2. Court Issues a Warrant 3. Court Recalls a Warrant		
Preferred	District Attorney Seal and Delete	Provide for automated exchanges of data between the Court CMS and the DA System to allow either the Court or DA to submit a request to SEAL or Delete a case in the other party's system.		
Preferred	County Finance	Provide for an automated exchange of data between Court CMS and County Finance for their monthly distribution summary.		
Mandatory	DMV Statewide Partner Exchanges	Provide for a series of automated data exchanges to cover the business processes between the Court and DMV and compliant with the "Abstract Reporting Manual". To include: 1. Prior Violations (DriverHistory) Query from Court to DMV 2. Abstract of Conviction Transaction from Court to DMV 3. Failure to Appear Abstract Transaction from Court to DMV 4. Failure to Appear Release Abstract Transaction from Court to DMV 5. Failure to Pay Fine Abstract Transaction from Court to DMV 6. Failure to Pay Fine Release Abstract Transaction from Court to DMV 7. Failure to Comply Abstract Transaction from Court to DMV 8. All confirmation and error response messages from DMV to Court		
Mandatory	DOJ Statewide Partner Exchanges	Provide support for existing automated statewide DOJ disposition reporting data exchanges. Exchanges include Court Disposition reporting as per format and business rules required by DOJ. Also included is an exchange from DOJ to notify Court of errors associated with Dispositions reported. Exchange is hosted at CCTC.		



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Preferred	DCSS Statewide Partner Exchanges	<p>Provide support for existing automated data exchanges between DCSS and the courts. These data exchanges must accept and create exchange messages in the GJXDM format currently supported by DCSS. Data exchanges must support the following Scenarios for which DCSS has automated currently.</p> <ol style="list-style-type: none"> 1. Ability for DCSS to submit a Summons and Complaint and receive a filing response (accept or Reject) from the court. To include Amended and Supplemental Summons and Complaint. 2. Ability for DCSS to submit Proof of Service (Mail and Personal) 3. Ability for DCSS to submit Default Judgement both Initial and Amended. <p>Support must be included for the form-sets associated when both 5 or fewer children and more than 5 children are included.</p>		
Preferred	CCPOR Statewide Partner Exchange	<p>Provide for an automated exchange of data between the Court CMS and the California Courts Protective Order Registry (CCPOR) to include protective order data and forms.</p>		
Preferred	FTB Statewide Partner Exchange	<p>Provide support for an automated exchange (FTP) of data between the Court CMS and the California Franchise Tax Board (FTB) to include:</p> <ol style="list-style-type: none"> 1. Ability to send information to the Court Ordered Debt (COD) program in order for the FTB to collect outstanding delinquent debt. 2. Ability to send to the Interagency Intercept Collections (FTB-IIC) program in order for the FTB to collect outstanding debt through tax refund and lottery winner intercepts. 3. Ability to receive Franchise Tax Board (FTB) Case Return Notifications 4. Ability to send FTB collections recall notification 5. Ability to send FTB collections balance update notifications 		
Mandatory	Phoenix Statewide Partner Exchange	<p>Provide support for an automated exchange (FTP) of data between the Court CMS and the AOC Phoenix System to include:</p> <ol style="list-style-type: none"> 1. Ability to send Daily Receipts Deposit information 2. Ability to send Disbursement Requests 3. Ability to receive Disbursement Updates 		
Mandatory	Courtesy Notice Data Push	<p>Provide for an automated exchange of data from the Court CMS to the Vendor who prints and mails Courtesy Notices for the Court.</p>		
Mandatory	Collections Agency Data Push	<p>Provide for an automated exchange of data from the Court CMS to the Private Collection Agency (GCS) to request they collect outstanding case balances on behalf of the court. Data to include all pertinent information the agency require to collect the funds.</p>		



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Preferred	eFiling Service Providers	Provide for a series of automated exchanges of data between the Court and eFiling Service Providers (EFSP). This data exchange(s) will allow clients of EFSPs to automatically file cases with the Court. These data exchanges must support values from the Court Policy File		
Preferred	eFiling Service Providers Court Policy File	Provide for an automated exchanges of the Court Policy File data between the Court and eFiling Service Providers (EFSP).		
Preferred	All JP Minute Order Notification	Provide for an automated exchange of data from the Court CMS to interested Justice Partners to provide minute order notification information		
Mandatory	All JP Case Query	Provide for an automated exchange of data from the Court CMS to allow Justice Partners to query case information. Query to be initiated by the Justice Partner using one or more query data element options (Ex: Name, Filing Date, CMS Case Number, Justice Partner Case Reference Number, Case Aging, Etc.)		
Mandatory	All JP Case Party Demographic Updates	Provide for a 2 way automated exchange of data between the Justice Partners and the Court CMS when updates are made in either system to demographic information.		
Preferred	All JP Case Updates to Case Charges	Provide for a 2 way automated exchange of data between the Justice Partners and the Court CMS when updates are made in either system to charging information. Include the ability of Justice Partners (Sheriff or DA) to add additional charge information. and for the Justice Partners to receive charge updates when charges are amended in the court room.		
Desireable	All JP Calendar Notifications	Provide for an automated exchange of data from the Court CMS to interested Justice Partners to provide them with Case Calendar notices both initial and updated		
Preferred	All JP Calendar Query	Provide for an automated exchange of data from the Court CMS to allow Justice Partners to query calendar information. Query to be initiated by the Justice Partner using one or more query data elements and options (Ex: Date, Court Location, Etc.)		
Desireable	All JP Calendar Requests	Provide for an automated exchange of data from the Justice Partners to Court CMS to request specific calendar dates and times. Response should confirm or deny the Calendar request.		
Desireable	All JP Bail Schedule Updates	Provide for an automated exchange of data from the Court CMS to Justice Partners to provide them with an updated Violation and Bail Schedule.		
Desireable	All JP Payment Notice	Provide for an automated exchange of data from the Justice Partners to Court CMS to provide notice of payments received on a case.		



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Mandatory	DX Technical Requirement # 1	All automated data exchanges must support both Web Services and FTP protocols unless specific partners do not support one of these protocols such as DMV and FTB.		
Mandatory	DX Technical Requirement # 2	All automated data exchanges require secure transport connection protocols (TLS and/or SSL)		
Mandatory	DX Technical Requirement # 3	All automated data exchanges where a Justice Partner will establish a connection to the Court System require authentication and authorization processing		
Mandatory	DX Technical Requirement # 4	Data exchange infrastructure must support logging of all data exchange payloads to provide for ease of troubleshooting integration issues.		
Mandatory	DX Technical Requirement # 5	Data exchange infrastructure must support a configurable option to route data exchange messages from the courts to single or multiple partners		
Mandatory	DX Technical Requirement # 6	Data exchanges must support the ability to include documents as attachments where applicable.		
Preferred	DX Technical Requirement # 7	Data exchanges including documents as attachments must support the MTOM protocol.		
Mandatory	DX Technical Requirement # 8	Data exchange infrastructure must support replay/retry processing to allow for message delivery in the event a transitory error is triggered		
Mandatory	DX Technical Requirement # 9	Data exchanges message format should be XML based unless the specific partner such as DMV or FTB, do not support XML.		
Mandatory	DX Technical Requirement # 10	All data exchanges must include all relevant consumable data elements even if the exchange contains an attachment that already contains the data values		
Preferred	DX Technical Requirement # 11	Data exchanges should conform to established Justice data model standards such as NIEM where applicable.		