



JUDICIAL COUNCIL OF CALIFORNIA

WORKLOAD ASSESSMENT
ADVISORY COMMITTEE

www.courts.ca.gov/waac.htm
waac@jud.ca.gov

WORKLOAD ASSESSMENT ADVISORY COMMITTEE

OPEN MEETING AGENDA

Open to the Public (Cal. Rules of Court, rule 10.75(c)(1))

THIS MEETING IS BEING RECORDED

Date: January 6, 2017
Time: 10:00 a.m. to 4:00 p.m.
Location: Judicial Council Conference Center, Redwood Room
Public Call-In Number 877-820-7831; listen-only passcode: 5197241

Meeting materials will be posted on the advisory body web page on the California Courts website at least three business days before the meeting.

Agenda items are numbered for identification purposes only and will not necessarily be considered in the indicated order.

I. OPEN MEETING (CAL. RULES OF COURT, RULE 10.75(C)(1))

Call to Order and Roll Call

Approval of Minutes

Approve minutes of the October 12, 2016, Workload Assessment Advisory Committee meeting and November 2, 2016, action by e-mail.

II. PUBLIC COMMENT (CAL. RULES OF COURT, RULE 10.75(K)(2))

Public Comment

Members of the public requesting to speak during the public comment portion of the meeting must place the speaker's name, the name of the organization that the speaker represents if any, and the agenda item that the public comment will address, on the public comment sign-up sheet. The sign-up sheet will be available at the meeting location at least one hour prior to the meeting start time. The Chair will establish speaking limits at the beginning of the public comment session. While the advisory body welcomes and encourages public comment, time may not permit all persons requesting to speak to be heard at this meeting.

Written Comment

In accordance with California Rules of Court, rule 10.75(k)(1), written comments pertaining to any agenda item of a regularly noticed open meeting can be submitted up to

one complete business day before the meeting. For this specific meeting, comments should be e-mailed to waac@jud.ca.gov or mailed or delivered to Office of Court Research, Judicial Council of California, 455 Golden Gate Avenue, San Francisco, California 94102, attention: Deana Farole. Only written comments received by 10:00 a.m. on January 5, 2017, will be provided to advisory body members prior to the start of the meeting.

III. DISCUSSION AND POSSIBLE ACTION ITEMS (ITEMS 1-3)

Item 1

Workload Assessment Advisory Committee 2017 Annual Agenda (Action Required)

The committee will be asked to review and approve the draft annual agenda for 2017.

Presenter(s)/Facilitator(s): Hon. Lorna A. Alksne, Chair

Item 2

Resource Assessment Study (RAS): Update and Next Steps (Action Required)

The committee will receive an overview of the update of the RAS Model and be asked to review and approve the proposed new caseweights and other model parameters.

Presenter(s)/Facilitator(s): Hon. Lorna A. Alksne, Chair; Ms. Deana Farole, Ms. Karen Viscia, Ms. Savet Hong, and Mr. Peter James, Office of Court Research

Item 3

Judicial Needs Assessment: Time Span for Filings Data (No Action Required)

The committee will be briefed on an exploratory analysis to evaluate how the judicial needs assessment results would be affected by using different time spans for the average annual filings that are input into the model.

Presenter(s)/Facilitator(s): Hon. Lorna A. Alksne, Chair; Mr. Peter James, Office of Court Research

IV. ADJOURNMENT

Adjourn



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WORKLOAD ASSESSMENT ADVISORY COMMITTEE

MINUTES OF OPEN MEETING AND ACTION BY EMAIL

10/12/16

12:15 p.m.

Teleconference

Advisory Body Members Present: Hon. Lorna Alksne, Chair; Hon. Irma Asberry; Hon. Joyce Hinrichs; Hon. Suzanne Kingsbury; Hon. John Kiriwara; Hon. Richard C. Martin; Hon. Annemarie Pace; Hon. Jennifer Rockwell; Hon. Garrett Wong; Ms. Sherri Carter; Mr. Sean Metroka; Ms. Sheran Morton; Mr. Stephen Nash; Mr. Michael Planet; Mr. Darrel Parker; Ms. Teresa Risi

Advisory Body Members Absent: Mr. Brian Taylor

Others Present: Mr. Michael Corriere; Ms. Christine Donovan; Ms. Marita Ford; Mr. Michael Roddy; Ms. Patty Wallace; Mr. Brian Aho; Mr. Chris Belloli; Ms. Deana Farole; Ms. Lucy Fogarty; Ms. Savet Hong; Mr. Peter James; Ms. Leah Rose-Goodwin; Ms. Laura Speed; Mr. Zlatko Theodorovic; Ms. Karen Viscia

OPEN MEETING

Call to Order and Roll Call

The Workload Assessment Advisory Committee (WAAC) chair called the meeting to order at 12.15 p.m. and took roll call.

Approval of Minutes

WAAC reviewed and approved the minutes of the April 28, 2016, Workload Assessment Advisory Committee meeting.

DISCUSSION AND ACTION ITEMS (ITEM 1 - 3)

Item 1

Legislative Report: Standards and Measures That Promote the Fair and Efficient Administration of Justice (Action Required)

The committee reviewed and approved the 2016 report to the legislature on standards and measures of judicial administration.

Action:

WAAC approved that the annual report be submitted it to the Judicial Council for review/approval at its October 2016 meeting.

Item 2

Legislative Report: 2016 Update of the Judicial Needs Assessment (Action Required)

The committee reviewed and discussed the biennial report to the legislature updating the results of the Judicial Needs Assessment. The committee also discussed the reference period for the filings data that are used in the model and agreed to further explore the topic at its next meeting.

Action:

WAAC moved that the annual report be submitted it to the Judicial Council for review/approval at its October 2016 meeting, after a paragraph is added to acknowledge the unknown impact of new legislation and rules of court on judicial workload that may not be captured in the caseweights that were based on a study conducted in 2010.

Item 3

Update and Progress Report on AB 1058 Funding Allocation Joint Subcommittee (Action Required)

The committee received an update on the work of the AB 1058 Funding Allocation Joint Subcommittee and reviewed the subcommittee's progress report to the Judicial Council.

Action: WAAC moved to take an Action-by-Email to review and approve the subcommittee's interim report to the Judicial Council for the December 2016 meeting.

INFORMATION ONLY ITEMS (NO ACTION REQUIRED)

Info 1

Resource Assessment Study (RAS) Model Progress Update

Judicial Council staff provided the committee with a progress report on the current efforts to update the RAS model for fiscal year 2017–2018.

A D J O U R N M E N T

There being no further business, the meeting was adjourned at 1 p.m.

ACTION-BY-EMAIL

11/2/16

Item 1

Review/Approve Child Support Commissioner and Family Law Facilitator Program Funding Allocation Joint Subcommittee Interim Report

Action: WAAC moved to approve the interim report that the AB 1058 Funding Allocation Joint Subcommittee plans to submit to the Judicial Council at its December 2016 meeting.

Minutes to be reviewed/approved by the advisory body on 1/6/2017.

Workload Assessment Advisory Committee

DRAFT Annual Agenda—2017

Approved by E&P: _____

I. ADVISORY BODY INFORMATION

Chair:	Hon. Lorna Alksne
Staff:	Leah Rose-Goodwin, Court Operations Services, Office of Court Research
Advisory Body's Charge: The committee makes recommendations to the council on judicial administration standards and measures that provide for the equitable allocation of resources across courts to promote the fair and efficient administration of justice. The committee must recommend: (1) Improvements to performance measures and implementation plans and any modifications to the Judicial Workload Assessment and the Resource Assessment Study Model; (2) Processes, study design, and methodologies that should be used to measure and report on court administration; and (3) Studies and analyses to update and amend case weights through time studies, focus groups, or other methods.	
Advisory Body's Membership: 17 members: 9 judicial officers, 8 court executives	
Subgroups/Working Groups: AB 1058 Funding Allocation Joint Subcommittee (with Family and Juvenile Law Advisory Committee and Trial Court Budget Advisory Committee)	
Advisory Body's Key Objectives for 2017: <ol style="list-style-type: none">1. Complete the update of the Resource Assessment Study (RAS) Model and seek Judicial Council approval of revised caseweights and other model parameters for use in FY 2017-2018 budget allocations.2. Begin the update of the judicial workload study.3. Complete and submit a mandated report to the legislature on standards and measures of judicial administration.	

II. ADVISORY BODY PROJECTS

#	Project ¹	Priority ²	Specifications	Completion Date/Status	Describe End Product/ Outcome of Activity
1.	Resource Assessment Study (RAS) Model: update to caseweights (i.e., time per filing) and other model parameters that are used to estimate workload-based need. The committee's work in 2017 will include reviewing the proposed new caseweights and other model parameters, convening technical subcommittees as needed to address specific areas identified in the review, finalizing the caseweights and other model parameters, and taking the results to the Judicial Council for approval.	1	<p>Judicial Council Direction: In February 2013, the Judicial Council approved the updated RAS model parameters for use in estimating court staff workload need, with the understanding that ongoing technical adjustments will continue to be made by council staff as the data become available. The need for regular updates to the workload model has become more urgent now that RAS is used as the foundation piece of the model used to allocate trial court funding (WAFM).</p> <p>Origin of Project: The SB 56 Working Group was formed in 2009 at the direction of the Administrative Director to provide trial court input and oversight to the Office of Court Research in its ongoing work to revise and improve the workload estimates for judges and court staff. In October</p>	Updated RAS Model to be presented to the Judicial Council for approval at its May 2017 meeting	Updated caseweights and other model parameters to estimate trial court staff need, which is then used in the Workload-based Allocation and Funding Methodology (WAFM).

¹ All proposed projects for the year must be included on the Annual Agenda. If a project implements policy or is a program, identify it as *implementation* or a *program* in the project description and attach the Judicial Council authorization/assignment or prior approved Annual Agenda to this Annual Agenda.

² For non-rules and forms projects, select priority level 1 (must be done) or 2 (should be done). For rules and forms proposals, select one of the following priority levels: 1(a) Urgently needed to conform to the law; 1(b) Urgently needed to respond to a recent change in the law; 1(c) Adoption or amendment of rules or forms by a specified date required by statute or council decision; 1(d) Provides significant cost savings and efficiencies, generates significant revenue, or avoids a significant loss of revenue; 1(e) Urgently needed to remedy a problem that is causing significant cost or inconvenience to the courts or the public; 1(f) Otherwise urgent and necessary, such as a proposal that would mitigate exposure to immediate or severe financial or legal risk; 2(a) Useful, but not necessary, to implement statutory changes; 2(b) Helpful in otherwise advancing Judicial Council goals and objectives.

#	Project ¹	Priority ²	Specifications	Completion Date/Status	Describe End Product/ Outcome of Activity
			<p>2013, the advisory committee voted to update the studies every 5 years, as resources permit. In December 2013, the Judicial Council approved a recommendation to establish the Judicial Branch Resource Needs Assessment Advisory Committee to succeed the SB 56 Working Group and to continue its work. In April 2014, the committee was renamed to the Workload Assessment Advisory Committee (WAAC).</p> <p>Resources: 0.25 FTE Manager, 0.75 FTE Supervising Analyst, 1.5 FTE Senior Analyst, 1.0 FTE Analyst, 0.5 FTE Associate Analyst (Supervising Analyst position vacant effective 2/1/17; others are existing staff); subject matter expert consultants from the Center for Families, Children & the Courts and Criminal Justice Services (existing staff).</p> <p>Key Objective Supported: #1</p>		
2.	Judicial Workload Study update: update to caseweights (i.e., time per filing) and other model parameters that are used to estimate workload-based need for judicial officers. The	1	Judicial Council Direction: The methodology for determining the number of judgeships needed in the trial courts was approved by the Judicial Council in August 2001 and modified and approved by the council	Ongoing through 2018	Updated caseweights and other model parameters to estimate trial court judicial officer need.

#	Project ¹	Priority ²	Specifications	Completion Date/Status	Describe End Product/ Outcome of Activity
	<p>committee's work in 2017 will consist of establishing the overall project plan and timeline, modifying data collection instruments to reflect needed changes since the last study in 2010, and recruiting courts to participate in the study.</p>		<p>in August 2004 and December 2011. The methodology was incorporated into statute in 2006 (Stats. 2006, ch. 390).</p> <p>Origin of Project: Government Code Section 61614(c)(1) requires the Judicial Council to prepare biennial updates of the Judicial Needs Assessment in even-numbered years. In October 2013, the advisory committee voted to conduct a study every five years, as resources permit, to update the judicial caseweights that are used in the Judicial Needs Assessment.</p> <p>Resources: 0.25 FTE Manager, 0.75 FTE Supervising Analyst, 1.5 FTE Senior Analyst, 1.0 FTE Analyst, 0.5 FTE Associate Analyst (Supervising Analyst position vacant effective 2/1/17; others are existing staff); subject matter expert consultants from the Center for Families, Children & the Courts and Criminal Justice Services (existing staff).</p> <p>Key Objective Supported: #2</p>		

#	Project ¹	Priority ²	Specifications	Completion Date/Status	Describe End Product/ Outcome of Activity
3.	<p>Prepare report to legislature on judicial administration standards and measures that promote the fair and efficient administration of justice. Annual reports are required pursuant to Government Code Section 77001.5.</p>	1	<p>Judicial Council Direction: The Judicial Council must approve this statutorily mandated report before it is transmitted to the legislature.</p> <p>Origin of Project: Government Code Section 77001.5 requires the Judicial Council to report to the legislature annually on judicial administration standards and measures.</p> <p>Resources: 0.10 Supervising Analyst; 0.25 FTE Associate Analyst (Supervising Analyst position vacant effective 2/1/17; other is existing staff)</p> <p>Key Objective Supported: #3</p>	Fall 2017	Judicial Council report (September or November 2017) and Report to Legislature
4.	<p>To enrich recommendations to the council and avoid duplication of effort, members of the Family and Juvenile Law Advisory Committee will collaborate with members of the Trial Court Budget Advisory Committee, the Workload Assessment Advisory Committee, and representatives from the California Department of Child Support Services (DCSS) to reconsider the AB 1058 funding allocation</p>	1	<p>Judicial Council Direction: At its April 17, 2015 meeting, the Judicial Council received and approved a recommendation from the Family and Juvenile Advisory Committee to form a joint subcommittee to study the AB 1058 funding methodology. The Judicial Council received a report from the joint subcommittee at its February 26, 2016, meeting and approved a recommendation to reconstitute the joint subcommittee to allow for more time to consider different funding methodology</p>	Ongoing through December 2017	The subcommittee will provide recommendations to the Judicial Council regarding updating the AB 1058 funding methodology.

#	Project ¹	Priority ²	Specifications	Completion Date/Status	Describe End Product/ Outcome of Activity
	<p>methodology developed in 1997. The subcommittee will coordinate with CDSS on its program review and develop a workload-based funding methodology for implementation no later than fiscal year 2018-2019.</p>		<p>options and coordinate with DCSS on its program review.</p> <p>Origin of Project: The AB 1058 funding methodology was first established in 1997 and has not since been updated. In reviewing the proposed midyear funding reallocations, the Family and Juvenile Law Advisory Committee acknowledge the need to reexamine the funding methodology to account for “the myriad of factors that must be considered when allocating funding to both optimize program success and provide for mechanisms for all funds to be spent by the end of each fiscal year.”</p> <p>Resources: 0.25 FTE Supervising Analyst (position will be vacant effective 2/1/17); CFCC staff (existing resources); Finance staff (existing resources)</p> <p>Key Objective Supported: N/A (WAAC is acting in a consulting role and the key objective rests with the Family and Juvenile Law Advisory Committee.)</p>		

III. STATUS OF 2016 PROJECTS:

[List each of the projects that were included in the 2016 Annual Agenda and provide the status for the project.]

#	Project	Completion Date/Status
1	Staff workload study update. The update will consist of a time study of a sample of trial courts and is intended to update the caseweights and other model parameters that are used to estimate workload need. The committee's work in 2016 will consist of training participating courts on data collection, fielding the staff time study, preliminary data analysis, review and validation of data with study courts, supplemental data collection, and holding Delphi sessions to make adjustments to draft caseweights.	Data collection, preliminary analysis, review and validation of preliminary data with study courts, and Delphi sessions to make adjustments to the draft caseweights were completed. Remaining steps for WAAC to close out the project in 2017 include reviewing the proposed post-Delphi caseweights and other RAS Model parameters, convening technical subcommittees as needed to examine and refine particular components of the model, and seeking approval of the final model parameters at the May Judicial Council meeting.
2	To enrich recommendations to the council and avoid duplication of effort, members of the Family and Juvenile Law Advisory Committee will collaborate with members of the Trial Court Budget Advisory Committee, the Workload Assessment Advisory Committee, and representatives from the California Department of Child Support Services to reconsider the allocation methodology developed in 1997. The subcommittee will coordinate with the California Department of Child Support Services (DCSS) on its program review and develop a workload-based funding methodology for implementation no later than fiscal year 2018-2019.	Membership was identified for the newly reconstituted subcommittee and an initial meeting was held on June 30 to discuss the subcommittee's work to date and next steps. Several subsequent meetings were scheduled for members to be briefed on the Resource Assessment Study Model, DCSS efforts to develop its own allocation methodology, and other information pertinent to the allocation process. Subject matter expert groups of child support commissioners and family law facilitators were assembled to provide input to the subcommittee and have been meeting to discuss and gather information on key factors to be considered in the allocation methodology. There was also a plenary session on the subcommittee's efforts at the annual AB 1058 conference in August.
3	Update the Judicial Needs Assessment: this project involves using updated filings data to project the need for judicial officers. Biennial updates in even-numbered years are required by Government Code Section 61614(c)(1).	The report was completed and approved for transmission to the legislature by the Judicial Council at its October 27, 2016 meeting.
4	Prepare report to legislature on judicial administration standards and measures that promote the fair and efficient administration of justice. Annual reports are required pursuant to Government Code Section 77001.5.	The report was completed and approved for transmission to the legislature by the Judicial Council at its October 27, 2016 meeting.

IV. Subgroups/Working Groups - Detail

Subgroups/Working Groups: *[For each group listed in Section I, including any proposed “new” subgroups/working groups, provide the below information. For working groups that include members who are not on this advisory body, provide information about the additional members (e.g., from which other advisory bodies), and include the number of representatives from this advisory body as well as additional members on the working group.]*

Subgroup or working group name: AB 1058 Funding Allocation Joint Subcommittee

Purpose of subgroup or working group: To reconsider the AB 1058 allocation methodology developed in 1997, with an eye to the myriad of factors that must be considered when allocating funding to both optimize program success and provide for mechanisms for all funds to be spent by the end of each fiscal year.

Number of advisory body members on the subgroup or working group: 5

Number and description of additional members (not on this advisory body): 6 members of the Family and Juvenile Law Advisory Committee, 6 members of the Trial Court Budget Advisory Committee, 1 representative of the Department of Child Support Services

Date formed: initially formed 4/17/15; reconstituted 2/26/16

Number of meetings or how often the subgroup or working group meets: On an as-needed basis

Ongoing or date work is expected to be completed: A workload-based funding methodology is to be developed for implementation no later than fiscal year 2018-2019.

The background features a large, faint, circular seal of the Judicial Council of California. The seal contains a central figure holding a scale of justice, surrounded by various symbols of law and order. The text "JUDICIAL COUNCIL OF CALIFORNIA" is visible around the perimeter of the seal, and the year "1926" is at the bottom.

Workload Assessment Advisory Committee Meeting

January 6, 2017

1926

Objectives for Today's Meeting

- Review and approve 2017 annual agenda
- Review and approve Resource Assessment Study (RAS) Model components:
 - Caseweights, work-year value, non-case-related time, court interpreter need, manager/supervisor ratio, Program 90 ratio



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Objectives for Today's Meeting (cont.)

- Determine whether to retain separate small- and large-county caseweights for infractions
- Discuss time span of filings data used in judicial needs assessment



RAS Recap

- March 2016: Staff time study
- May 2016: Supplemental survey to capture work of contractors, volunteers, etc.
- July–Sept. 2016: Site visits to review preliminary results with study courts



RAS Recap

- Nov.–Dec. 2016: Delphi groups to make quality adjustments to preliminary caseweights
- Jan.–April 2017: Finalize caseweights and other model components; draft Judicial Council report
- May 2017: Present RAS Model update to Judicial Council for approval



Workload Study Site Visits



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Workload Study Site Visits

Global Issues

Loss of Staff/Staff Shortages

- Backlogs
- Overtime
- Elimination of Services
- Cross-Training



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Workload Study Site Visits

Global Issues

Loss of Staff/Staff Shortages

- Cross-training
- Automation



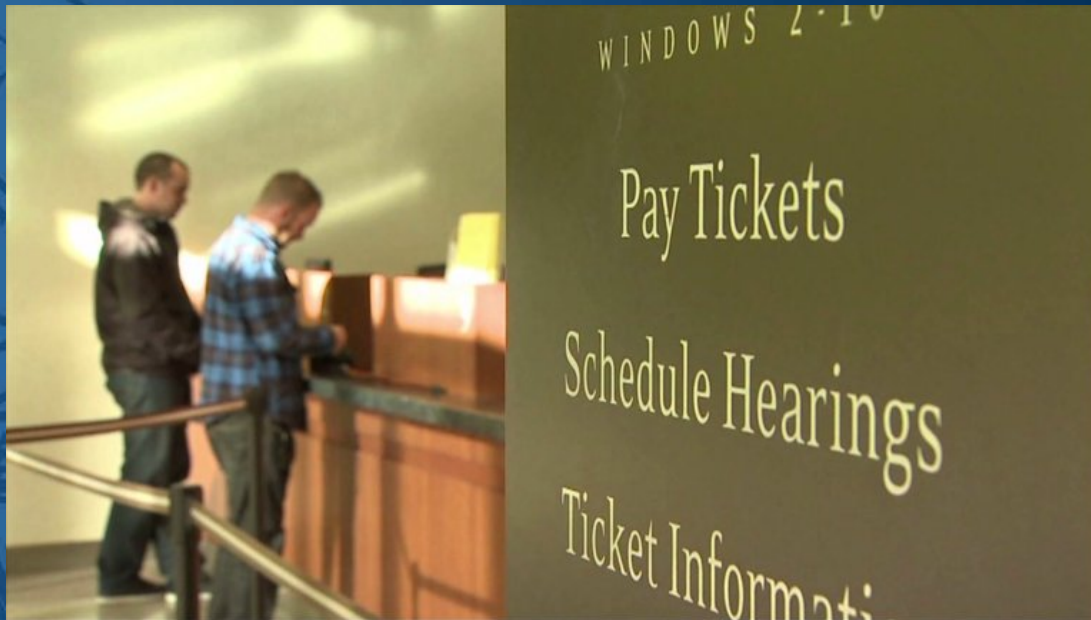
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Workload Study Site Visits

Global Issues

Reduced Counter Hours



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Workload Study Site Visits Global Issues

Court Closures



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Workload Study Site Visits Global Issues

Interpreters in Civil Matters



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Workload Study Site Visits Felony

- Increase in the number of murder/capital cases
- More jury trials
- Creative charging by DA
- Low misdemeanor arrests
- Prop 47 workload



Workload Study Site Visits Misdemeanor

- Low misdemeanor arrests
- Increase in the number of trials
- Increase of defendants with multiple cases
- Increase in the number of FTA warrants
- Prop 47 and Prop 64 workload



Workload Study Site Visits Infractions



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Workload Study Site Visits

Civil Unlimited

- Matters are more complex and contentious
- Increase in the number of trials



Workload Study Site Visits Unlawful Detainers

- Case are much more contentious
- Increase in monitoring activities
- “Masking” or confidentiality requirements have added workload
- Increase in fee waivers



Workload Study Site Visits Small Claims

- Increase in monitoring time either because:
 - more judges are taking matters under submission, or
 - an increased number of continuances in order to delay the hearing.
- Increased jurisdictional limits



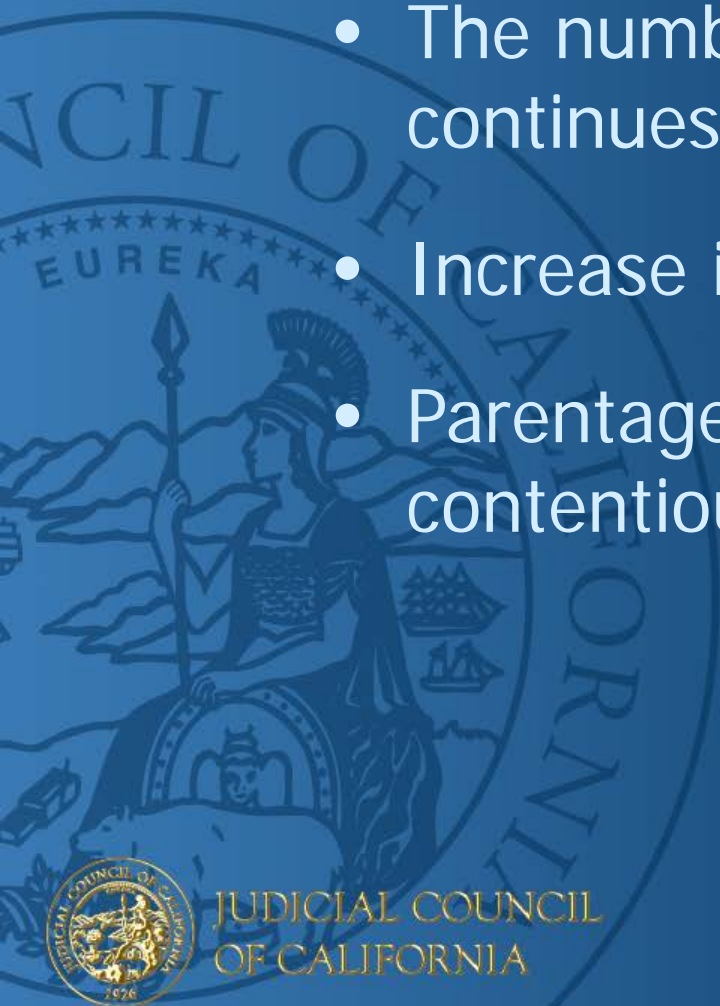
Workload Study Site Visits

Family-Generally

- The number of pro per parties continues to rise
- Increase in fee waivers
- Parentage cases are much contentious



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Workload Study Site Visits Juvenile-Generally

- Increase in the number of appeals
- Courts do not have the staff to fully implement W&I 786



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Workload Study Site Visits Delinquency

- Increased emphasis on the use of diversion programs is leaving courts with the more difficult cases



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Workload Study Site Visits Dependency

- Increased complexity/contentious
- Increase in the number of non-minor dependents
- Increase in the number of special immigrant juvenile status filings
- Psychotropic Medications



Workload Study Site Visits Probate—Estates, Trusts, Other

- Estates and trusts with real property are taking longer because of the difficulty of selling the property.



Workload Study Site Visits Probate—Conservatorships and Guardianships

- The number of guardianships is increasing in some courts. Court staff believe guardianship is being used as an alternative to dependency.
- Rise in pro per, especially in guardianships



Workload Study Site Visits Mental Health

- Increase in mental health filings, generally, but especially criminal mental health
- Laura's Law filings are increasing



Proposed 2016 Caseweights and Implied Staff Need

Refer to separate handouts:

- Comparison of Preliminary and Final/ Proposed Final Caseweights: 2011 and 2016 [table]
- Comparison of Staff Need Under 2011 and Proposed 2016 Model Parameters [table]
- Caseweights by Functional Areas: Delphi Adjusted with Non–case Related Time [chart]



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Special Caseweights

- Cannot be measured directly from time study data due to infrequent/unique nature of workload
- Asbestos, complex civil, EDD (Sacramento only)



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RAS 2016: Work Year Value

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Work Year Value: 2011 vs. 2016

Refer to separate handout:
Detail of 2011 and Proposed
2016 RAS Work Year Value
Calculations



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Leave

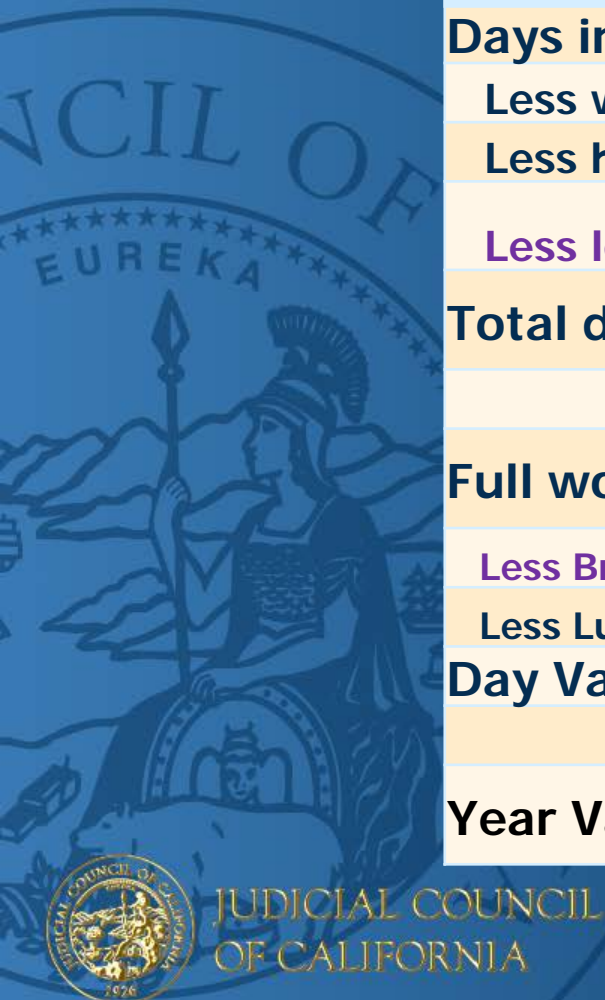
- Data and Model
 - Data shows that staff are on average taking
 - Vacation/Leave: 17% ~ 42 days
 - Lunch/Break: 11% ~ 1 hour daily



Leave

	2016 Model	2016 RAS Data
Days in the year:	365	365
Less weekends	104	104
Less holidays	13	13
Less leave/vacation	29	41
Total days available:	219	207
Full work day (hours):	9	9
Less Break	0.5	0
Less Lunch	1	1
Day Value:	7.5	8
Year Value (mins):	98,550	99,360

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Leave cont...

- Comments from Courts...

- Only one staff at a time is allowed leave (multiple courts)
- Staff maxing or near to maxing out leave accrual are encouraged to take leave
- Court staff tends to be mostly seasoned employees (10+ years of service), who have substantial leave time



Non-Case-Related Time

- General administrative and clerical duties, meetings, training, work-related travel
- General customer service not related to a case, warrant processing, jury administration
- Value derived from time study data:
30.6%



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Court Interpreter Need

- Estimated only for case types in which interpreters are mandated (criminal, juvenile, mental health)
- Based on assessed judicial need: 1.25 FTE court reporters per judicial position
- FTE converted to minutes and added to caseweight



Manager/Supervisor Ratio

Court Cluster	Ratio of Staff to Managers/Supervisors
1	7.10
2/3	8.20
4	11.30



Infractions Caseweight

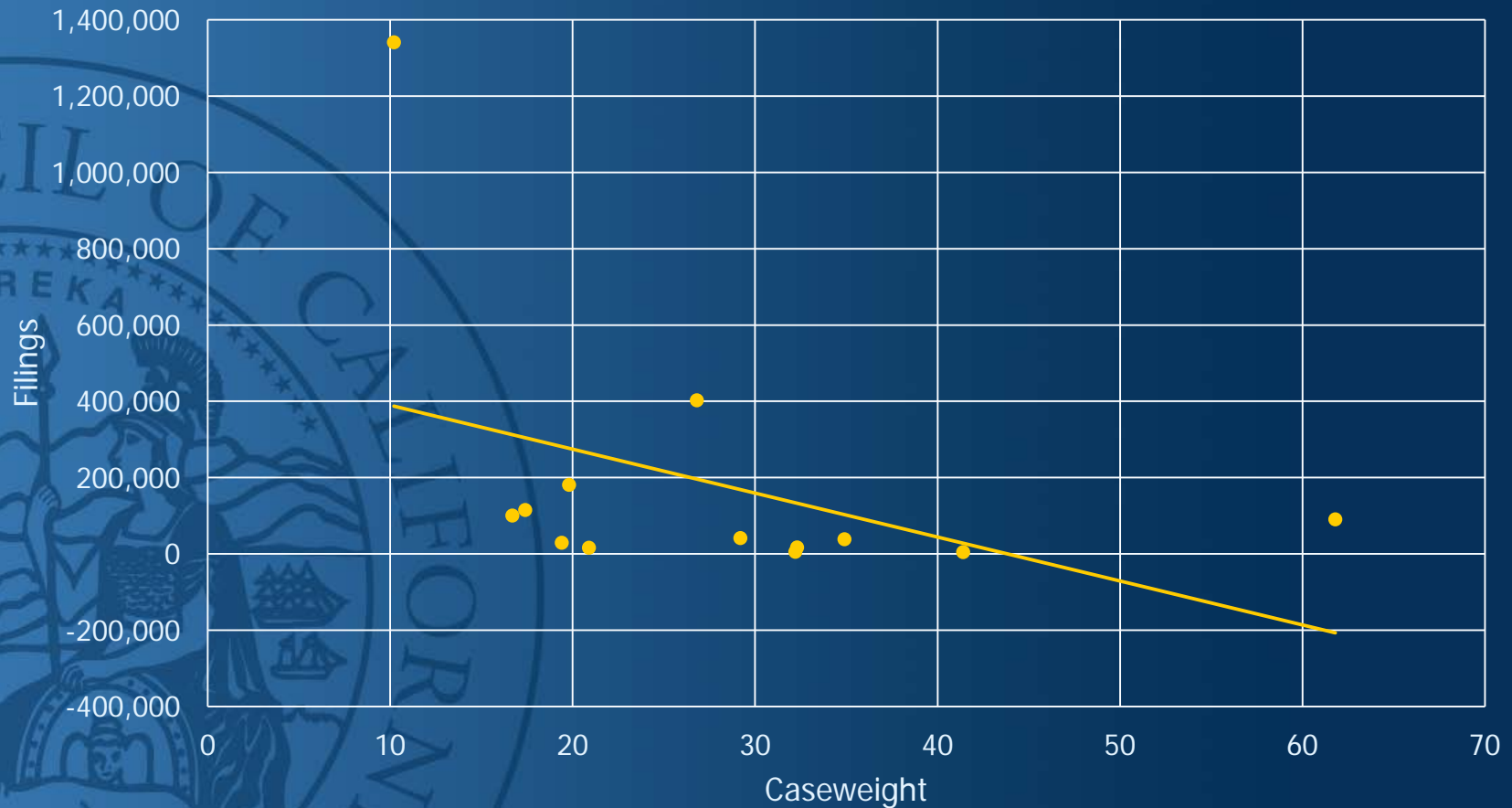
Preliminary Weight	Infractions Filings
41	5,095
32	5,383
21	16,620
32	17,254
19	29,739
35	38,648
29	41,773
62	90,647
17	100,722
17	114,883
20	181,201
27	402,452
10	1,340,692

Average = 34
Median = 32

Average = 18
Median = 17

1,340,692 - for deliberative purposes only

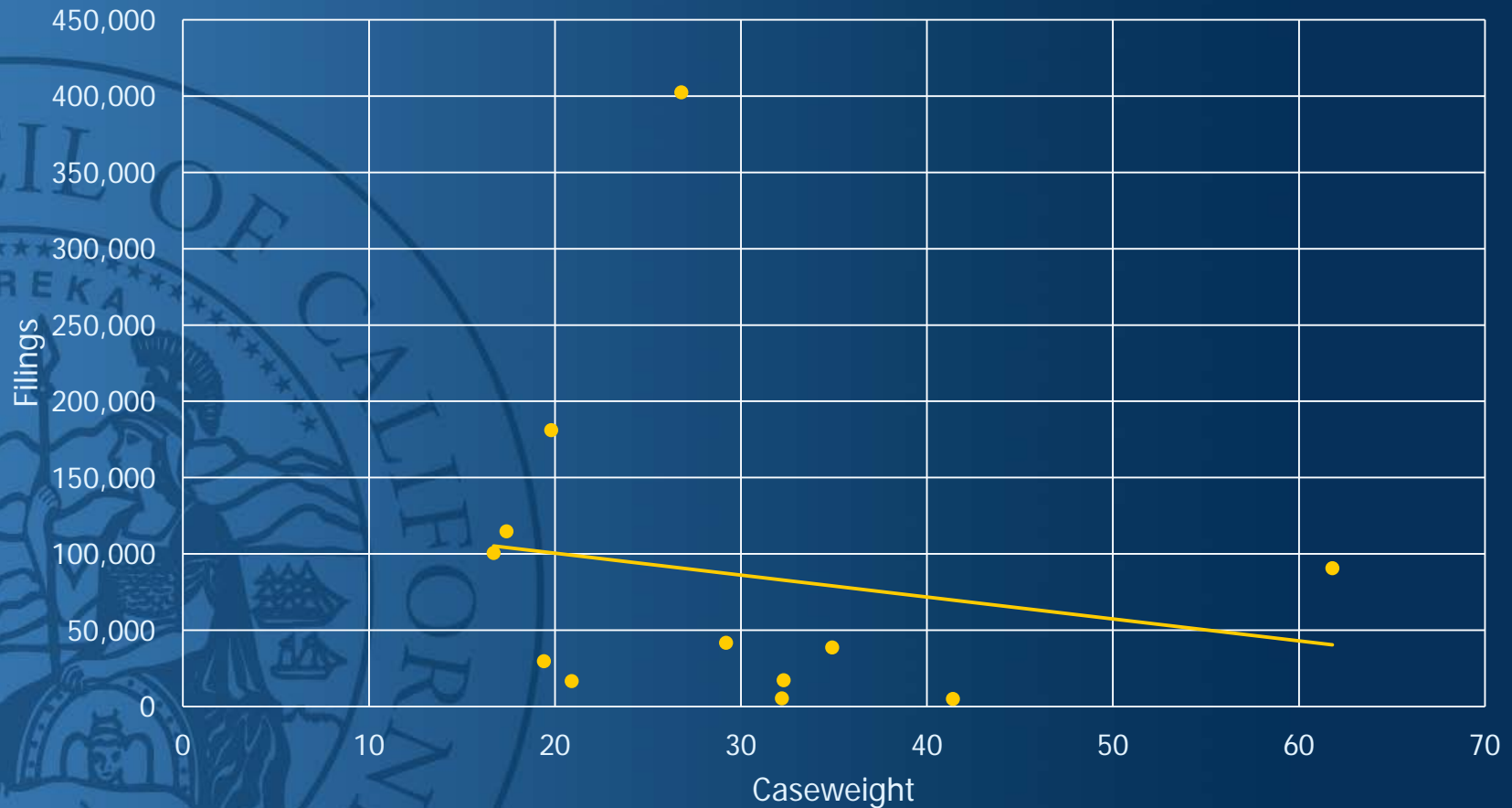
Infractions Caseweight



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Infractions Caseweight



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The seal of the Judicial Council of California is a circular emblem. It features a central figure of a woman in a classical style, holding a spear and a shield. The word "EUREKA" is inscribed above her. Below the figure are various symbols including a ship, a bear, and a plow. The outer ring of the seal contains the text "JUDICIAL COUNCIL OF CALIFORNIA" and the year "1926" at the bottom.

Judicial Needs Assessment

Review of methodology

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Question for review

- **Current method:** 3 yr period is used to calculate average annual filings for judicial need model.
- **Concern:** is 3 year average too sensitive to random variation in filings from year to year?
- **Task:** evaluate impact of calculating average over longer period (e.g. 5 or 7 years).
- **Evaluation method:**
 - Assess impact if 5 or 7 yr average used for JNA 2016
 - Compare sensitivity of estimates based on 1-7 yr average
 - Consider implications if filings decrease, plateau or increase



Results of review

- **Impact on judicial need (2016):**
 - *Aggregate impacts:* 5 or 7 yr average causes slight increase average and total judicial need.
 - *Distributional impacts:* some courts move up rank position and some courts move down.
- **Sensitivity of estimates:** longer average periods give less stable estimates, given downward trend.
- **Future scenarios:** longer average periods are less responsive to changes in filings trends.



Conclusions

- **Broader issues to consider:**
 - **Quality of data over time:** data quality less consistent over time (e.g. UD); more extrapolations required.
 - **Consistency of data elements over time:** more difficult to maintain as average period lengthens
 - **Consistency with RAS/WAFM:** the RAS uses 3 yr average of filings and WAFM uses 3yr average of BLS salary data.
- **Summary:**
 - No compelling reason to extend average period
 - A range of benefits in retaining existing approach



Comparison of Preliminary and Final/Proposed Final Caseweights: 2011 and 2016

Case Type	Case Category	2011		2016	
		Preliminary Caseweight	Final Caseweight	Preliminary Caseweight	Proposed Final Caseweight
Civil	Limited Civil w/o UD	160	179	182	214
Civil	Small Claims	199	201	259	332
Civil	Unlawful Detainer	198	235	276	290
Civil	Unlimited Civil	814	797	719	764
Criminal	Felony	706	944	813	900
Criminal	Misd Non-traffic	232	298	478	553
Criminal	Misd Traffic	104	109	103	117
Criminal	Infractions	35		37	38
Criminal	Infractions > 100,000 filings ¹		40		
Criminal	Infractions < 100,000 filings ¹		28		
Family	Marital	625	1,057	861	1,058
Family	Child Support		484	405	431
Family	Domestic Violence		770	475	921
Family	Parentage		1,158	1,260	1,236
Family	Family Other		478	1,030	1,046
Family	Family Non-Marital ²	452			
Juvenile	Delinquency	375	602	646	982
Juvenile	Dependency	858	1,428	1,211	1,916
Mental Health	Mental Health	321	627	324	392
Probate	Conservatorship & Guardianship		3,729	2,225	3,580
Probate	Probate Other		835	1,831	1,994
Probate	Probate All ³	1,349			

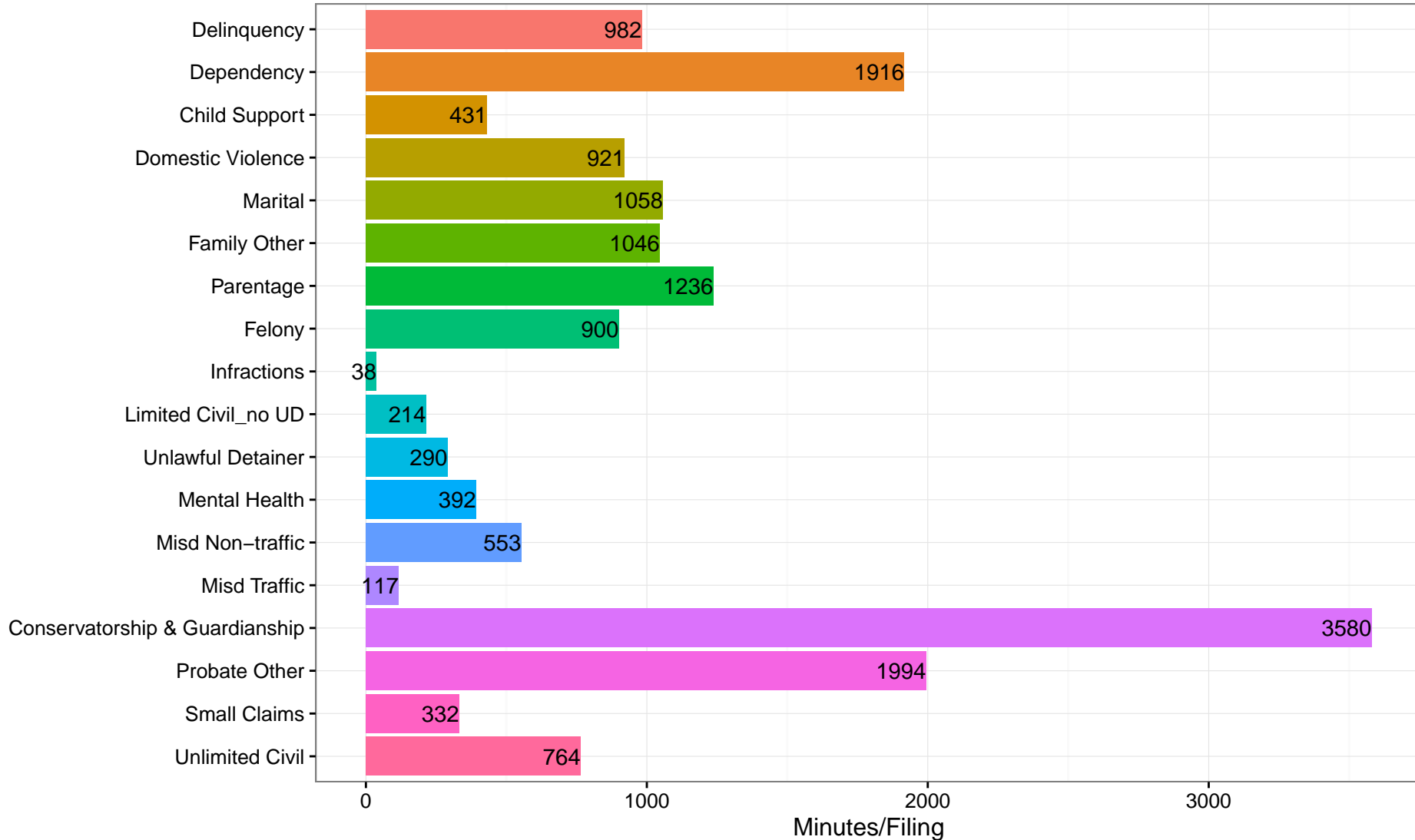
Notes:

1. In 2011, separate infractions caseweights were established post-hoc for smaller and larger counties. For 2016, WAAC needs to determine whether to retain separate caseweights or use a single statewide caseweight.
2. In 2011, family law caseweights were initially established for only two case categories: marital and non-marital. Post-hoc, separate caseweights were established for child support, domestic violence, and parentage within the non-marital category.
3. In 2011, there was initially only one caseweight for all probate case categories; post-hoc, separate probate caseweights were established for conservatorship/guardianship and other probate.

Comparison of Staff Need Under 2011 and Proposed 2016 Model Parameters

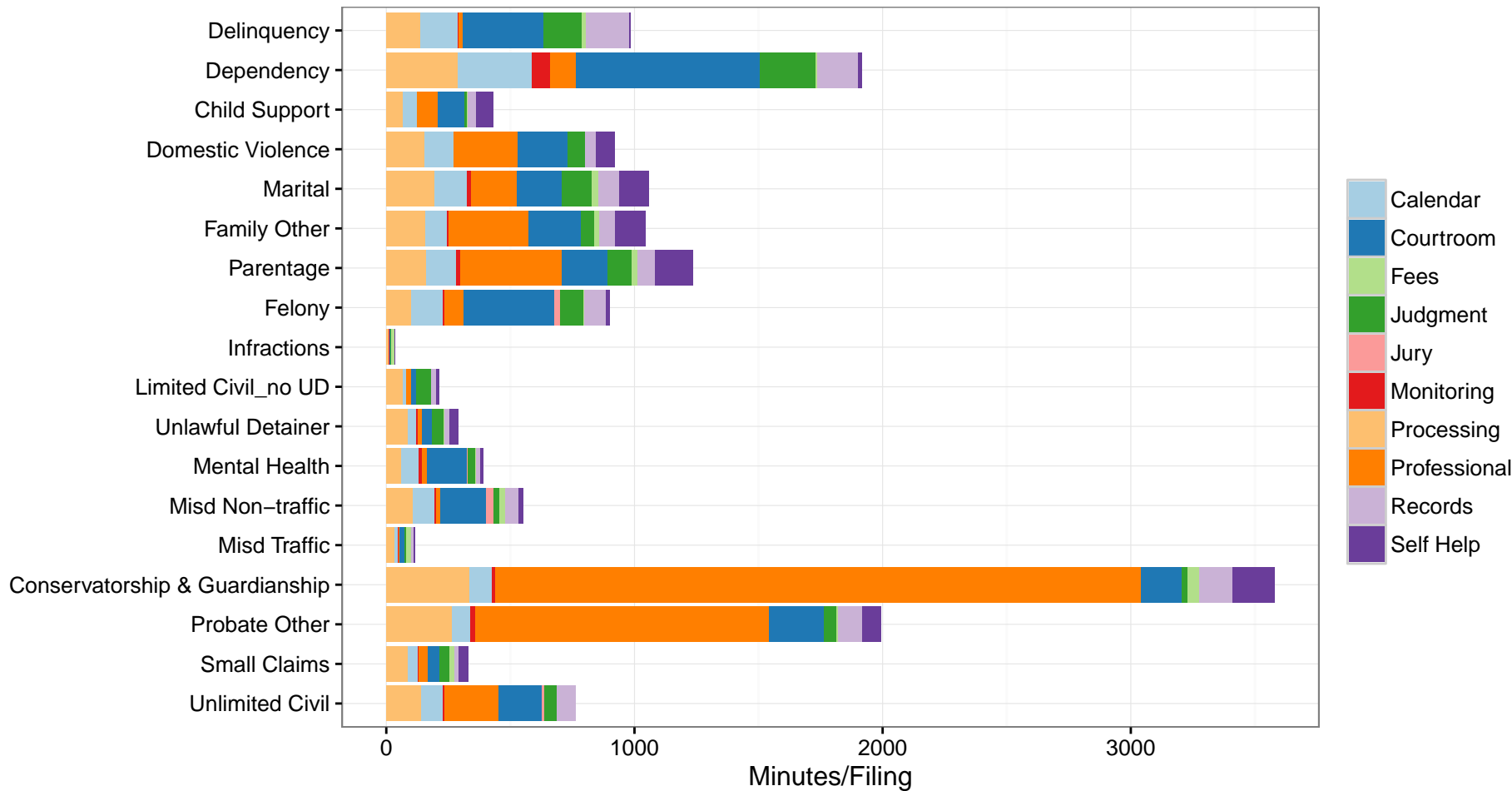
Case Type	Full-Time Equivalent Staff Need	
	2011 Caseweights and Staff-Year Value	Proposed 2016 Caseweights and Staff-Year Value
Civil	2,951.0	3,074.6
Criminal	4,394.3	5,272.0
Family Law	3,187.7	3,365.5
Infractions	1,525.3	1,849.3
Juvenile	953.5	1,327.1
Probate/Mental Health	983.5	1,214.5
Total	13,995.4	16,102.9

Delphi Adjusted Caseweights with Non-case Related Time



Caseweights by Functional Areas

Delphi Adjusted with Non-case Related Time



Detail of 2011 and Proposed 2016 RAS Work Year Value Calculations
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Same assumptions re: total working days available in the year:

Days in the year	365
Less weekend days	104
Less holidays	13
Less leave/vacation days	29
Total working days available*	219

* This figure is not directly used in the 2011 calculation.

Same assumptions re: work hours per day:

Full work day (hours)	9.0
Less breaks	0.5
Less lunch	1.0
Work hours per day	7.5

2011 Calculation

365 total days – 104 weekend days – 13 holidays = 248 available work days = 133,920 minutes/year

248 working days * 1.5 hours/day = 22,320 minutes subtracted for lunch/breaks

29.1 leave/vacation days * 9 hours/day = 15,714 minutes subtracted for leave/vacation

133,920 total minutes

- 22,320 minutes for lunch/break

- 15,714 minutes for leave/vacation

95,886 minutes → rounds up to 95,900 minutes

2016 Calculation

365 total days – 104 weekend days – 13 holidays – 29 leave/vacation days = 219 actual work days/year

219 working days * 7.5 working hours/day = 98,550 minutes