



JUDICIAL COUNCIL  
OF CALIFORNIA

TRIAL COURT BUDGET  
ADVISORY COMMITTEE

TRIAL COURT BUDGET ADVISORY COMMITTEE  
FUNDING METHODOLOGY SUBCOMMITTEE

**MATERIALS FOR JULY 12, 2018**

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# JUDICIAL COUNCIL OF CALIFORNIA

TRIAL COURT BUDGET  
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## TRIAL COURT BUDGET ADVISORY COMMITTEE FUNDING METHODOLOGY SUBCOMMITTEE

### NOTICE AND AGENDA OF OPEN IN-PERSON MEETING

Open to the Public (Cal. Rules of Court, rule 10.75(c)(1) and (e))

THIS MEETING IS BEING RECORDED

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**Date:** July 12, 2018  
**Time:** 10:00 a.m. – 3:00 p.m.  
**Location:** 455 Golden Gate Avenue, San Francisco, CA 94102, Sequoia Room  
**Public Call-in Number:** 1-877-820-7831 passcode: 1884843 (listen only)

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Meeting materials will be posted on the advisory body web page on the California Courts website at least three business days before the meeting.

Members of the public seeking to make an audio recording of the meeting must submit a written request at least two business days before the meeting. Requests can be e-mailed to [tcbac@jud.ca.gov](mailto:tcbac@jud.ca.gov).

Agenda items are numbered for identification purposes only and will not necessarily be considered in the indicated order.

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#### I. OPEN MEETING (CAL. RULES OF COURT, RULE 10.75(C)(1))

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##### Call to Order and Roll Call

##### Approval of Minutes

Approve minutes of the May 21, 2018 Funding Methodology Subcommittee teleconference meeting.

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#### II. PUBLIC COMMENT (CAL. RULES OF COURT, RULE 10.75(K)(1)-(2))

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##### In-Person Public Comment

Members of the public requesting to speak during the public comment portion of the meeting must place the speaker's name, the name of the organization that the speaker represents if any, and the agenda item that the public comment will address, on the public comment sign-up sheet. The sign-up sheet will be available at the meeting location at least 1 hour prior to the meeting start time. The Chair will establish speaking limits at the beginning of the public comment session. While the advisory body welcomes and

encourages public comment, time may not permit all persons requesting to speak to be heard at this meeting.

**Written Comment**

In accordance with California Rules of Court, rule 10.75(k)(1), written comments pertaining to any agenda item of a regularly noticed open meeting can be submitted up to one complete business day before the meeting. For this specific meeting, comments should be e-mailed to [tcbac@jud.ca.gov](mailto:tcbac@jud.ca.gov) or mailed or delivered to 455 Golden Gate Avenue, San Francisco, CA 94102, attention: Lucy Fogarty. Only written comments received by 10 a.m. on July 11, 2018, will be provided to advisory body members prior to the start of the meeting.

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**III. DISCUSSION AND POSSIBLE ACTION ITEMS (ITEMS 1–8)**

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**Item 1**

**Funding Methodology Subcommittee (FMS) Work Plan (Action Required)**

Updates to the FMS work plan.

Presenter(s)/Facilitator(s): Ms. Lucy Fogarty, Deputy Director, Judicial Council  
Budget Services

**Item 2**

**Allocation of \$75 million in New Funding (Action Required)**

Allocation methodology for the \$75 million in new funding provided in the Budget Act of 2018.

Presenter(s)/Facilitator(s): Ms. Lucy Fogarty

**Item 3**

**Methodology for Courts Exceeding 100% of Workload-based Allocation and Funding Methodology (WAFM) Need (No Action Required)**

Discuss policy parameters for 2019-20 and beyond regarding allocations for courts exceeding 100% of their WAFM need.

Presenter(s)/Facilitator(s): Hon. Jonathan B. Conklin, Cochair, Funding Methodology  
Subcommittee  
Ms. Rebecca Fleming, Cochair, Funding Methodology  
Subcommittee

**Item 4**

**Allocation Methodology for 2019-20 Self-Help Funding (Action Required)**

Allocation methodology for all self-help funding beginning in 2019-20.

Presenter(s)/Facilitator(s): Ms. Kristin Greenaway, Supervising Research Analyst,  
Judicial Council Budget Services

**Item 5**

**Allocation Methodology for Interpreter Program Shortfall (Action Required)**

Discuss a methodology for allocating a shortfall in the event that Court Interpreter Program has insufficient funds to cover all eligible reimbursements.

Presenter(s)/Facilitator(s): Mr. Catrayel Wood, Senior Budget Analyst, Judicial Council Budget Services

**Item 6**

**Cluster 2 Court Review (Action Required)**

Update regarding work plan item 4 and the review of the WAFM adjustment request submitted by Del Norte Superior Court on January 8, 2018.

Presenter(s)/Facilitator(s): Ms. Kristin Greenaway

**Item 7**

**All Trial Court Funding Sources (Action Required)**

Consider all funding sources and determine allocation models.

Presenter(s)/Facilitator(s): Ms. Leah Rose-Goodwin, Manager, Judicial Council Budget Services

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**IV. ADJOURNMENT**

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**Adjourn**



JUDICIAL COUNCIL  
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TRIAL COURT BUDGET  
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TRIAL COURT BUDGET ADVISORY COMMITTEE  
FUNDING METHODOLOGY SUBCOMMITTEE

MINUTES OF OPEN MEETING

May 21, 2018

12:00 p.m. – 1:30 p.m.

Conference Call: 1-877-820-7831, Listen only code: 1884843

**Advisory Body Members Present:** Judges: Hon. Jonathan B. Conklin (Co-Chair), Hon. Joyce D. Hinrichs and Hon. Paul M. Marigonda.

Executive Officers: Ms. Rebecca Fleming (Co-Chair), Ms. Sherri R. Carter, Ms. Kimberly Flener, Mr. Jeffrey E. Lewis, Mr. Michael D. Planet, and Ms. Tania Ugrin-Capobianco.

**Advisory Body Members Absent:** Judges: Hon. Mark Ashton Cope.

Executive Officers: Mr. Michael M. Roddy.

**Others Present:** Mr. John Wordlaw (phone), Mr. Zlatko Theodorovic, Ms. Lucy Fogarty, Ms. Brandy Sanborn, Ms. Leah Rose-Goodwin, Ms. Kristin Greenaway, Mr. James Baird, and Ms. Bonnie Hough.

OPEN MEETING

**Call to Order and Roll Call**

The chair called the meeting to order at 12:01 p.m. and roll was called.

**Approval of Minutes**

The advisory body reviewed and approved the minutes of the March 26, 2018 Funding Methodology Committee Meeting.

ACTION ITEMS (ITEMS 1-3)

**Item 1 – Funding Methodology Subcommittee Work Plan (Action Required)**

Review and update work plan including prioritization of Workload-based Allocation and Funding Methodology (WAFM) Adjustment Requests referred by the Trial Court Budget Advisory Committee.

Presenter(s)/Facilitator(s): Ms. Lucy Fogarty, Deputy Director, Judicial Council Budget Services

*Action: The Funding Methodology Subcommittee unanimously approved the following:*

*Item 1A: The subcommittee reviewed changes made at the March 26, 2018 FMS meeting to the work plan including:*

- *Moving new judgeship funding to 2019-20*
- *Marking Item 6 (Evaluate Special Circumstances Funding) as completed*
- *Moving Item 7 (Evaluate impact of JCC and other provided services) to 2019-20.*

*Item 1B: The subcommittee reviewed proposed changes and clarifications to the work plan that were not previously reviewed at the March 26 meeting, including:*

- *Eliminating item 1B (review and evaluate funding methodology) because this item was completed as of January 2018.*
- *Added language to item 3 (self-help funding allocations) to specify that allocation methodology would be worked on in 2019-20.*
- *Clarified in item 9 that the floor updates would be presented to TCBCAC in December.*
- *Added language to clarify in item 10 that the purpose of the updates is to identify potential impacts on workload and also to revise the timing of the updates to bi-annually rather than quarterly.*
- *Make a technical change to item 11 to include the Workload Assessment Advisory Committee as one of the members of the joint working group.*

*As a result of discussion concerning item 3, it was decided that this item would be brought to FMS at its July 2018 meeting. There was further discussion about whether item 1B should be completely eliminated. It was determined that it could be removed from the work plan, but added to the narrative at the top of the subcommittee's work plan to make it clear that this is an ongoing responsibility of the subcommittee.*

*The revisions to Item 1B were unanimously approved.*

*Item 1C: WAFM Adjustment Request Procedure (ARP) referrals. The subcommittee heard summaries of ARP referrals from the Superior Courts of Del Norte and Stanislaus. The Del Norte request will be reviewed by the subcommittee as part of its review of the cluster 2 court adjustments, scheduled for 2018-19. The Stanislaus request relates to item 8 of the subcommittee's work plan (evaluate how to include unfunded costs- courthouse construction), scheduled for review in 2019-20. The subcommittee considered whether to change the review period for courthouse construction, but did not make a change.*

*Item 1C was approved as submitted.*

## **Item 2 – 2018-19 Self Help Funding Allocations (Action Required)**

Consider allocations for self-help funding for 2018-19.

Presenter(s)/Facilitator(s): Ms. Kristin Greenaway, Supervisor, Judicial Council Budget Services and Ms. Bonnie Rose Hough, Managing Attorney, Judicial Council Center for Families, Children, and the Courts

*Action: The Funding Methodology Subcommittee unanimously approved the 2018-19 self-help allocations, contingent on additional self-help funding being provided in the Budget Act of 2018. The 2018-19 allocations are based on updated (2017) population data.*

*The subcommittee also unanimously approved the policy decision to use updated population data for self-help allocations for 2018-19. In the event there are not additional funds for self-help in the Budget Act of 2018, existing self-help funding will be allocated using updated population data.*

**Item 3 – Simplified Displays (Action Required)**

Consider displays for trial court allocations beginning in 2018-19.

Presenter(s)/Facilitator(s): Ms. Brandy Sanborn, Manager, Judicial Council Budget Services

*Action: The Funding Methodology Subcommittee determined that restricted funding, such as Children’s Waiting Room or Court Appointed Dependency Counsel funding should be included in the complex displays, but that their inclusion in the simplified displays might be confusing to the lay reader. With that change, the subcommittee unanimously approved the WAFM displays.*

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**INFORMATION ONLY ITEM (ITEM 1-2)**

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**Info 1 – Budget Update**

Update on the 2018-19 budget.

Presenter(s)/Facilitator(s): Mr. Zlatko Theodorovic, Director, Judicial Council Budget Services

*Action: No action taken*

**Info 2 –Cluster 2 Review**

Update on the review of the cluster 2 courts.

Presenter(s)/Facilitator(s): Ms. Kristin Greenaway

*The review will consist of first examining the elements of RAS and WAFM that utilize clusters as a basis for making model updates. Then, the review will expand to consider other elements, like population, that might affect clusters. Finally, the workload studies will incorporate additional analysis based on clusters, though a longer-term issue. The review will*

*Action: No action taken*

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**A D J O U R N M E N T**

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There being no further business, the meeting was adjourned at 1:03 p.m.

Approved by the advisory body on enter date.

## FUNDING METHODOLOGY SUBCOMMITTEE (FMS) WORK PLAN

Updated ~~May 21~~July 12, 2018

### **Charge of the Funding Methodology Subcommittee**

**Focus on the ongoing review and refinement of the Workload-based Allocation and Funding Methodology, develop a methodology for allocations from the Trial Court Trust Fund Court Interpreter Program (0150037) in the event of a funding shortfall, and consider funding allocation methodologies for other non-discretionary dollars as necessary.**

#### **2017-18**

- ~~1. Plans for FY 2018-2019 and year 6 and beyond~~
  - ~~a. Simplify display of worksheets for after year 5~~

#### **2018-19**

- ~~2.1.~~ Evaluate the impact of civil assessments as it relates to the Workload-based Allocation and Funding Methodology (WAFM).
- ~~4.2.~~ Review TCTF and IMF self-help funding allocations and determine allocation methodology for all self-help funding beginning in 2019-20.
- ~~6.3.~~ Identify all funding sources and determine allocation models.
- ~~8.4.~~ Evaluate the cluster 2 Bureau of Labor Statistics and small court adjustment contributions including a review of the WAFM adjustment request from Del Norte Superior Court, submitted on January 8, 2018.

#### **2019-20**

- ~~9.5.~~ Address new judgeship staffing complement funding when necessary.
- ~~11.6.~~ Evaluate impact of JCC and other provided services.
- ~~13.7.~~ Evaluate how to include unfunded costs – courthouse construction including a review of the WAFM adjustment request from Stanislaus Superior Court, submitted on January 16, 2018.

#### **Annual Updates**

14.8. Review the base and graduated funding floor amounts annually, for presentation to the TCBAC in December, to determine whether an inflationary adjustment is needed.

16.9. Track technology funding streams to identify any potential impacts on trial court workload (updates from JCTC and ~~CITMF~~ITAC in June and December).

18.10. Track joint working group with the Family and Juvenile Law Advisory Committee and the Workload Assessment Advisory Committee to evaluate the allocation methodology for Child Support Commissioner and Family Law Facilitator Program funding. Subsequent to receiving information from working group, FMS will continue to review AB 1058 revenue as an offset to WAFM funding need.

JUDICIAL COUNCIL OF CALIFORNIA  
BUDGET SERVICES  
Report to the Funding Methodology Subcommittee

**(Action Item)**

**Title:** Allocation of \$75 Million in New, Discretionary Funding  
**Date:** 7/5/2018  
**Contact:** Lucy Fogarty  
Deputy Director, Budget Services

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**Background**

The Budget Act of 2018 provides a number of new appropriations for the trial courts. While allocation methodologies have already been approved by the Trial Court Budget Advisory Committee (TCBAC) for two appropriations—\$47.8 million for courts below the statewide average and \$19.1 million in self-help funding—the Funding Methodology Subcommittee (FMS) must review and recommend a methodology for allocating the \$75 million in discretionary funding. There is budget bill language expressing legislative intent for \$10 million to be utilized to increase the level of court reporters in family law cases.

**2018-19 New Funding**

2018-19 new funding will be allocated to trial courts as identified in the table below.

<b>Appropriation</b>	<b>Status</b>
1. \$47.8 million for courts below the statewide average funding level.	Allocation methodology approved by TCBAC May 31, 2018 and on Judicial Council agenda for the July 19-20, 2018 business meeting.
2. \$75 million discretionary funding.	Recommendation to be reviewed by FMS in this report and by TCBAC on July 31, 2018.
3. \$19.1 million for self-help	Allocation methodology approved by TCBAC May 31, 2018 and on Judicial Council agenda for the July 19-20, 2018 business meeting.

During the development of the new Workload-based Allocation and Funding Methodology (WAFM) policy that was approved by the Judicial Council in January 2018, the details of the Governor’s proposed budget and subsequent Budget Act of 2018 were not yet known. While the new policy was developed to accommodate potential funding impacts including new discretionary and non-discretionary funding as well as cuts, it was not anticipated that the trial courts would receive \$47.8 million to address the inequities of WAFM related to courts below the average level of funding. With the additional \$75 million in discretionary funding, it has been a landmark year for the budgets of the trial courts.

JUDICIAL COUNCIL OF CALIFORNIA  
BUDGET SERVICES  
**Report to the Funding Methodology Subcommittee**

Applying the existing WAFM policy parameters to the \$75 million would disproportionately advantage the courts below the statewide funding level considering that \$47.8 million has already been appropriated for that purpose. Because a discretionary augmentation of this magnitude was not anticipated, policy parameters have not yet been put in place to address allocations when courts exceed 100% of their funding need due to workload declines. Furthermore, one of the key objectives of the WAFM policy was to ensure that all courts benefit from an allocation of new discretionary funding and to provide time for adjustment and adaptation.

**Allocation Methodology**

Since we have already entered the fiscal year with remaining uncertainty regarding how the \$75 million will be allocated and because, under the approved WAFM policy, some courts would see very little benefit from this funding, it is suggested that a pro-rata workload-based methodology be utilized for this year only. In addition, court reporters in family law are not included in the Resource Assessment Study; therefore, the funding need is not captured in WAFM. The pro-rata approach will provide all courts with a reasonable allocation and will avoid having to implement a new methodology for court reporters in family law.

The allocations would be performed in the following order:

- i. Allocate \$47.8 million to courts below average funding level.
- ii. Bring all Cluster 1 courts up to 100% of funding need based on WAFM.
- iii. Allocate a 1.96% increase for court-provided non-sheriff security.
- iv. Allocate the remainder of the \$75 million pro rata based on 2017-18 ending base allocations.

**Alternatives Considered**

The WAFM policy, as approved by the Judicial Council in January 2018, would result in some courts seeing very little benefit from this funding. The Legislature understood the challenges of the inequity of funding in the trial courts and provided an augmentation for courts below the statewide average. This was one of the primary goals of the new WAFM policy. Applying the WAFM policy as is, coupled with the new \$47.8 million, would result in a disproportionate amount of the \$75 million going to the courts below the average with little remaining to distribute to all courts.

Even with a recommendation to the council to use a partial WAFM approach wherein the policy to allocate 50% of the \$75 million to courts below the average would be suspended, many courts would not receive the intended benefit of the increase in funding. For 2018-19, the Legislature provided more money than was anticipated. Besides cluster one courts, the FMS did not consider a court having already reached 100% funding relative to need, especially a large court.

JUDICIAL COUNCIL OF CALIFORNIA  
BUDGET SERVICES  
**Report to the Funding Methodology Subcommittee**

Given these unanticipated anomalies, it was not anticipated that a court would receive little or no increase in funding in those years when new funding was provided. For example, unless modified, in the 2018-19 fiscal year a large court would receive only \$2,000 from an augmentation totaling \$112.8 million. FMS needs time to develop a policy recommendation regarding allocations for courts exceeding 100% of their need. In addition, considering the timing of the \$75 million allocation, some courts may not have anticipated the low level of funding they would receive under WAFM.

The pro rata approach recommended above will provide a reasonable allocation to all courts and provide them the ability to report service level improvements as a result of the investment from the Legislature.

**Recommendation**

Judicial Council Budget Services staff recommends the FMS approve the allocation methodology for consideration of TCBAC.

**Attachments**

Attachment A: Proposed Allocation Options of \$75 Million

2018-19 New Trial Court Funding Allocation Options

July 5, 2018

Cluster	Court	2017-18 Base Allocation	Percentage Share of Total (No Cluster 1)	\$47.8m Allocated to Below Average	Cluster 1 to 100%	1.96% Court Security Increase	\$75m Pro Rata (No Cluster 1)	Total
		A	B	C	D	E	F	J (C+D+E+F)
4	Alameda	76,715,321	4.21%	-	-	62,960	2,969,634	3,032,594
1	Alpine	739,145	0.00%	-	-	-	-	-
1	Amador	2,247,491	0.00%	20,210	593,910	-	-	614,121
2	Butte	10,314,956	0.57%	1,035,340	-	9,255	399,290	1,443,885
1	Calaveras	2,088,044	0.00%	-	483,502	-	-	483,502
1	Colusa	1,928,387	0.00%	-	64,812	-	-	64,812
3	Contra Costa	39,914,703	2.19%	7,771	-	-	1,545,090	1,552,860
1	Del Norte	2,535,333	0.00%	-	438,565	-	-	438,565
2	El Dorado	6,688,898	0.37%	380,365	-	-	258,926	639,291
3	Fresno	48,184,650	2.64%	206,520	-	-	1,865,218	2,071,738
1	Glenn	1,926,364	0.00%	-	185,696	194	-	185,890
2	Humboldt	6,440,016	0.35%	123,006	-	3,324	249,292	375,622
2	Imperial	8,750,458	0.48%	280,294	-	8,330	338,728	627,353
1	Inyo	2,082,448	0.00%	-	17,282	3,698	-	20,980
3	Kern	46,746,883	2.56%	6,934,144	-	1,299	1,809,562	8,745,005
2	Kings	7,209,133	0.40%	621,965	-	8,359	279,064	909,388
2	Lake	3,445,858	0.19%	397,775	-	3,893	133,388	535,057
1	Lassen	2,084,467	0.00%	-	291,511	5,821	-	297,333
4	Los Angeles	538,865,942	29.54%	-	-	283,197	20,859,388	21,142,585
2	Madera	7,648,372	0.42%	406,148	-	7,556	296,067	709,772
2	Marin	11,919,150	0.65%	-	-	191	461,388	461,579
1	Mariposa	1,172,483	0.00%	-	172,351	-	-	172,351
2	Mendocino	5,538,797	0.30%	439,507	-	5,931	214,406	659,843
2	Merced	11,619,071	0.64%	1,411,609	-	-	449,772	1,861,381
1	Modoc	875,071	0.00%	-	148,851	16	-	148,867
1	Mono	1,773,269	0.00%	-	149,585	479	-	150,063
3	Monterey	17,944,396	0.98%	1,642,389	-	17,236	694,624	2,354,249
2	Napa	7,508,251	0.41%	-	-	5,855	290,643	296,498
2	Nevada	5,247,474	0.29%	88,152	-	8,587	203,129	299,867
4	Orange	137,993,948	7.56%	-	-	54,161	5,341,717	5,395,878
2	Placer	16,280,536	0.89%	541,685	-	-	630,216	1,171,901
1	Plumas	1,099,721	0.00%	-	160,602	-	-	160,602
4	Riverside	89,211,094	4.89%	6,452,686	-	38,267	3,453,343	9,944,295
4	Sacramento	76,750,330	4.21%	1,238,313	-	36,937	2,970,989	4,246,239
1	San Benito	2,499,711	0.00%	77,117	680,861	-	-	757,977
4	San Bernardino	97,968,627	5.37%	6,098,018	-	64,773	3,792,345	9,955,137
4	San Diego	136,586,044	7.49%	-	-	13,020	5,287,217	5,300,237
4	San Francisco	52,241,942	2.86%	-	-	-	2,022,275	2,022,275
3	San Joaquin	34,696,484	1.90%	2,112,908	-	5,701	1,343,094	3,461,702
2	San Luis Obispo	13,054,314	0.72%	1,002,293	-	4,788	505,330	1,512,411
3	San Mateo	34,482,280	1.89%	2,403,438	-	8,777	1,334,802	3,747,017
3	Santa Barbara	22,042,267	1.21%	742,298	-	20,904	853,252	1,616,453
4	Santa Clara	75,731,460	4.15%	-	-	-	2,931,549	2,931,549
2	Santa Cruz	11,783,694	0.65%	860,214	-	-	456,144	1,316,359
2	Shasta	13,307,053	0.73%	1,293,888	-	52,181	515,113	1,861,183
1	Sierra	736,853	0.00%	-	-	-	-	-
2	Siskiyou	2,970,624	0.16%	-	-	-	114,992	114,992
3	Solano	21,155,359	1.16%	578,591	-	8,626	818,920	1,406,137
3	Sonoma	23,621,856	1.29%	-	-	8,717	914,397	923,114
3	Stanislaus	21,743,154	1.19%	2,986,976	-	185	841,673	3,828,834
2	Sutter	5,112,077	0.28%	212,389	-	4,895	197,887	415,171
2	Tehama	3,873,657	0.21%	473,168	-	-	149,948	623,117
1	Trinity	1,906,786	0.00%	-	179,799	10,201	-	190,001
3	Tulare	18,984,798	1.04%	2,370,947	-	309	734,898	3,106,153
2	Tuolumne	3,375,195	0.19%	418,591	-	4,369	130,653	553,613
3	Ventura	35,973,663	1.97%	2,105,586	-	30,890	1,392,533	3,529,008
2	Yolo	9,941,251	0.54%	1,561,631	-	11,548	384,824	1,958,003
2	Yuba	4,621,562	0.25%	274,066	-	2,626	178,900	455,593
<b>Total</b>		<b>1,849,901,174</b>	<b>100.00%</b>	<b>47,800,000</b>	<b>3,567,327</b>	<b>818,056</b>	<b>70,614,617</b>	<b>122,800,000</b>



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TRIAL COURT BUDGET  
ADVISORY COMMITTEE

**TRIAL COURT BUDGET ADVISORY COMMITTEE  
FUNDING METHODOLOGY SUBCOMMITTEE**

**3 – METHODOLOGY FOR COURTS EXCEEDING 100% OF NEED**

Discussion Only – No Materials

JUDICIAL COUNCIL OF CALIFORNIA  
BUDGET SERVICES  
Report to the Funding Methodology Subcommittee

**(Action Item)**

**Title:** Self-Help Funding Allocation  
**Date:** 6/27/2018  
**Contact:** Kristin Greenaway  
Supervising Analyst, Budget Services

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**Background**

The Governor's 2018-19 budget language includes \$19.1 million to expand the availability of attorneys and paralegal staff at self-help centers in trial courts, primarily in the areas of family, domestic violence, landlord/tenant, consumer debt, employment law, and small claims cases where there are critical unmet needs. Additionally, the budget language contains a requirement as part of the \$19.1 million that the Judicial Council shall conduct a cost-benefit analysis of self-help services and submit a report to the Legislature on its findings by November 30, 2020. This analysis shall assess the costs and benefits of each method by which self-help services are provided, how cost-effectiveness may vary across issue areas and the impacts of self-help services on trial court operations.

With the addition of the proposed \$19.1 million, 2018-19 allocations for self-help funding in the trial courts will be \$30.3 million.

**2018-19 Self-help Funding Methodology**

At its May 21, 2018 meeting, the Funding Methodology Subcommittee (FMS) approved that the population-based methodology for self-help funding be unchanged for 2018-19 with the exception of updating census information. Therefore, for 2018-19 allocations, the census information will be updated using the California Department of Finance, Demographic Research Unit, Population Estimates for Cities and Counties and the State, January 1, 2016 and 2017.

Following the discussion of 2018-19 self-help funding allocations and allocation methodology, FMS recommended that discussions of any future, potential changes to self-help funding allocations or allocation methodology occur early in the 2018-19 fiscal year to allow courts enough time to plan for impacts of those changes. Two potential changes for 2019-20 include (1) a policy decision regarding updates to census data used in the allocation methodology and (2) an increase to baseline funding to account for cost increases since the model was first developed.

**2019-20 Self-Help Funding Methodology**

As part of its workplan to *review TCTF and IMF self-help funding allocations and determine allocation methodology for all self-help funding beginning in 2019-20*, FMS identified two areas to be discussed regarding self-help allocations:

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1. Population/Census Data Update Schedule
2. Increases to Baseline Funding

*Population/Census Data Update Schedule*

Population data, for use in allocating self-help funding, was updated for 2018-19 using 2017 Department of Finance data. These data are based on the decennial census, but are updated annually using local data, such as driver license address change data.<sup>1</sup> Prior to that update, self-help funding allocations had been based on 2005 population data. The issue now is to determine how often population data should be updated to reflect timely information without burdening the courts with constant shifts in funding due to too frequent updates.

Decennial updates are too infrequent to reflect current population. California continues to be a high growth state, with some counties experiencing ten-year changes in population as high as 41.7% (Riverside County)<sup>2</sup>. For that reason, it is reasonable to update the population data more frequently. Annual updates, on the other hand, are too frequent and would make it difficult to plan and expend the funding. A reasonable compromise would be to update population data every five years. That would both create a regular update schedule and also predictability in funding.

*Increases to Baseline Funding*

The methodology for annual allocation includes \$34,000 per court as a baseline totaling \$1,972,000 statewide. The remainder of the funds are proportionally allocated based on the population in the county. The baseline allocation, at the time it was calculated, reflected approximately one-third of the statewide average salary and benefits paid to a family law facilitator. Since salary and benefit costs have increased in the years since the baseline was first established, this amount is now seen as being too low to reflect current costs.

However, due to the increase in overall self-help funding (\$19.1 m) and changes to allocation amounts resulting from updated population data, it may be best to delay a discussion on a baseline funding increase until we have more information--including from the cost-benefit analysis--and such time that courts can adjust to new self-help funding. In addition to the cost-benefit analysis, proposed language in the 2018-19 Budget Act would require courts to revert back any unspent funds. This may change how courts deploy self-help services. Because of these larger programmatic changes, baseline funding should not be adjusted at this time.

**Recommendations**

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<sup>1</sup> More information about the methodology is at: <http://www.dof.ca.gov/Forecasting/Demographics/Estimates/E-1/>

<sup>2</sup> Population data obtained from the American Community Survey, U.S. Census Bureau (2000 to 2010)

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For 2019-20, it is recommended that the subcommittee:

1. Adopt a 5-year population update schedule using census data from the California Department of Finance, Demographic Research Unit, Population Estimates for Cities and Counties and the State. The next update would be for 2023-24.
2. Maintain the current baseline allocation of \$34,000 per courts and revisit in 2021 after the November 30, 2020 report to the Legislature.

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**(Action Item)**

**Title:** Allocation Methodology for Interpreter Program Shortfall  
**Date:** 7/5/2018  
**Contact:** Catrayel Wood, Senior Budget Analyst, Judicial Council Budget Services  
916-643-7008 | [catrayel.wood@jud.ca.gov](mailto:catrayel.wood@jud.ca.gov)

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**Issue**

Current projections for the Trial Court Trust Fund Court Interpreter Program 0150037 (formerly known as Program 45.45) indicate that the fund balance has been depleted and, with expenditures exceeding allocations, the fund will become insolvent in the current fiscal year. A methodology is required for allocations from this fund as a result of the structural deficit, including a review of existing methodologies as needed (e.g., reimbursement vs. allocation methodology).

**Background**

A fundamental goal of the California judicial system is equal access to justice and to the courts, regardless of any individual's ability to communicate in English. With over 200 languages spoken in California, court interpreters play a critical role in achieving this goal by accurately interpreting for persons with limited-English proficient (LEP) language skills.

In 1998, the Judicial Council approved the establishment of the Court Interpreters Program (CIP). The CIP oversees program development and is responsible for the recruitment, orientation, testing, and certification of individuals seeking to become court interpreters. The CIP also oversees mandatory ethics training for newly certified or registered interpreters and monitors annual renewal requirements, which include compliance with the continuing education and professional assignment requirements of certified and registered interpreters in California's courts.

**Mandates to Provide Court Interpreting Services**

Article I, section 14 of the California Constitution was amended in 1974 to provide that "[a] person unable to understand English who is charged with a crime has a right to an interpreter throughout the proceedings." This provision established a mandate for courts to provide interpreters in criminal matters to all defendants who have a limited ability to understand or speak English. The constitutional mandate and subsequent case law has been interpreted to include proceedings related to criminal, misdemeanor, and delinquency matters as well as certain

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civil matters such as divorce or separation involving a protective order, and child custody and visitation proceedings.

Effective January 1, 2015, the enactment of Assembly Bill (AB)1657 (Stats. 2014, ch.721) expanded California's constitutional mandate and authorized courts to provide interpreters to all parties in civil matters, regardless of income, and set forth a priority and preference order<sup>1</sup> when courts do not have sufficient resources to provide interpreters for all persons.

### **Current Reimbursement Process**

Reimbursements to courts for interpreter expenditures are made monthly. Funds are advanced to the courts for staff interpreter costs based on the salary and benefit information for filled positions reported by the courts in their most current Schedule 7A; and contract interpreter costs are reimbursed based on the actual expenditures reported by courts in the Trial Court Financial System (Phoenix), as are cross-assignment costs.

At the end of the fiscal year, a year-end adjustment template is completed by each court in which they report their eligible reimbursable interpreter costs for the year. This amount is then compared with the amount reimbursed to the court for that fiscal year. Courts either receive additional funds if they were under-reimbursed, or have their current reimbursements reduced, if they were over-reimbursed.

### **Allowable Expenditures**

The following expenditures qualify for reimbursement under the Trial Court Trust Fund (TCTF) CIP 150037:

- Contract court interpreters, including per diems and travel;
- Certified and registered court interpreters employed by the courts, including salaries, benefits, and travel;
- Court interpreter coordinators who are certified or registered court interpreters<sup>2</sup>, including salaries and benefits; and
- Four court interpreter supervisor positions: two in Los Angeles County, one in Orange County, and one in San Diego County.

### **Appropriation & Expenditures**

In 2016-17, the most current fiscal year for which we have available data, the available funding from the annual appropriation in the TCTF CIP 0150037 for reimbursement of court interpreter costs was \$103.458 million. Less the \$87,000 designated for the Court Interpreter Data

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<sup>1</sup> [https://www.occourts.org/directory/cris/forms/order\\_of\\_priority.pdf](https://www.occourts.org/directory/cris/forms/order_of_priority.pdf)

<sup>2</sup> Interpreter coordinators no longer need to be certified and or registered starting in 2017-18.

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Collection System, the appropriation for reimbursement was \$103.371 million. The appropriation included an additional \$7 million in ongoing funds to advance the implementation of the Strategic Plan for Language Access in the California Courts adopted in January 2015, and \$603,000 for interpreter benefits.

<b>2016-17 Court Interpreter Program 0150037 (formerly Program 45.45)</b>	
<b>Expenditures Overview</b>	
<b>1. Total Mandated Criminal</b>	<b>\$ 100,780,466</b>
<b>2. Total Domestic Violence reported by courts:</b>	<b>1,424,228</b>
<b>3. Total Civil reported by courts:</b>	<b>3,930,041</b>
<b>Court Total Reimbursements (sum of 1, 2, 3)</b>	<b>\$ 106,134,735</b>
Court Interpreter Data Collection System	87,000
<b>Total Expenditures</b>	<b>106,221,735</b>

The 2016-17 CIP fund balance totaled \$5.7 million. The fund balance is designated as restricted in the TCTF per Judicial Council policy and available to reimburse trial courts for interpreter services. The 2017-18 CIP fund balance will not be known until the 2017-18 fund balance templates are returned from the courts around November 2018.

For 2017-18, the appropriation was \$103.632 million. The appropriation for reimbursement of the court interpreter costs, excluding the \$87,000 designated for the Court Interpreter Data Collection System, is \$103.545 million. The difference in appropriation of \$173,000 from 2016-17 is for interpreter benefits.

Historical appropriation and language changes can be referenced at Attachment A.

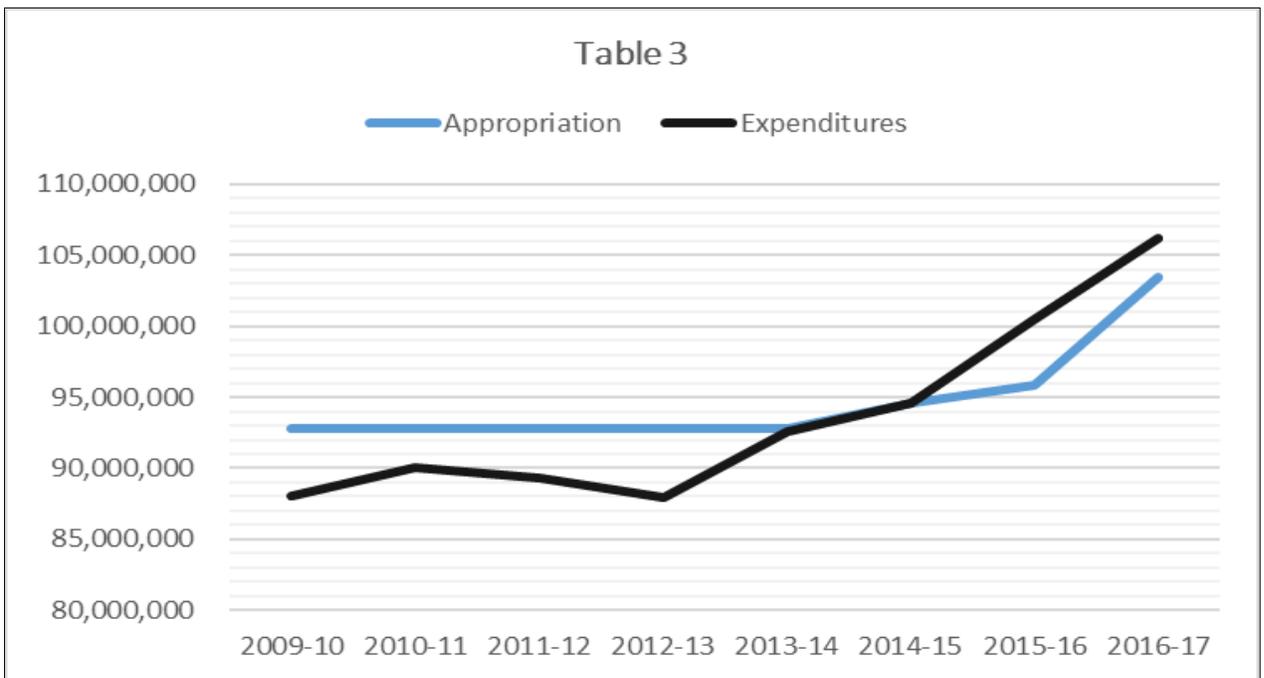
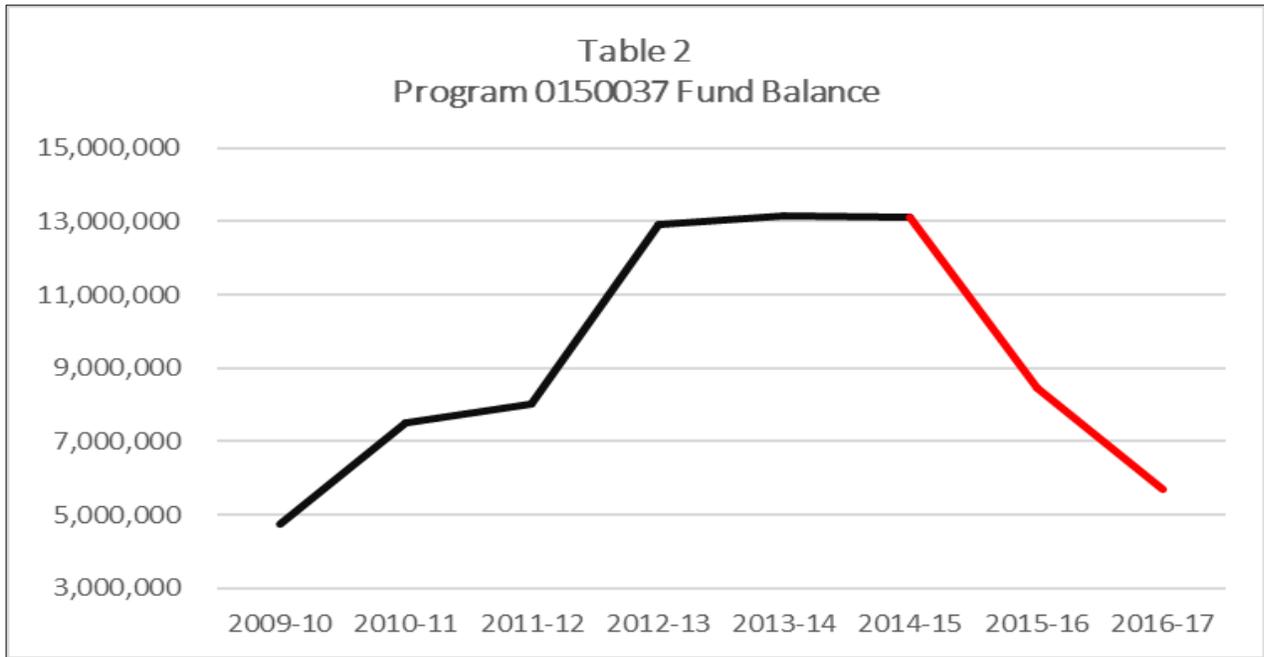
### Past Practice

In 2008-09, court interpreter expenditures exceeded the appropriation by \$912k and the funding shortfall was covered by one time funding allocated by the Judicial Council<sup>3</sup>. Prior to that, and until recently, there has historically been sufficient fund balance<sup>4</sup> (see Table 2) to address instances where expenditures have exceeded appropriation (see Table 3):

<sup>3</sup> <http://www.courts.ca.gov/documents/min072909.pdf> (see page 5, item 7).

<sup>4</sup> The fund balance is designated as restricted in the TCTF per Judicial Council policy and available to reimburse trial courts for eligible interpreter services.

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**Projections**

The projected expenditures below reflect the following: 1) an estimated six percent wage growth over a three-year term starting in 2018-19; 2) AB1657 (Stats. 2014, ch.721) mandate for the ongoing expansion of court interpreter services into all civil matters; and 3) the cost of interpreter coordinators that no longer need to be certified and or registered:

<b>PROJECTED EXPENDITURES</b>					
<b>Expenditure Categories</b>		<b>2017-18</b>	<b>2018-19</b>	<b>2019-20</b>	<b>2020-21</b>
		<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>
1	Mandated Criminal	100,780,466	102,339,457	103,920,316	105,532,792
2	Domestic Violence	1,307,433	1,346,656	1,387,056	1,428,667
3	Civil	3,802,455	3,878,504	3,956,074	4,035,196
5	Additional Interpreter Coordinator Expenses	1,000,000	2,637,215	2,637,215	2,637,215
6	Estimated Wage Increases	1,558,991	1,580,859	1,612,476	1,644,726
7	Court Interpreter Data Collection System	87,000	87,000	87,000	87,000
<b>Total Projected Expenditures</b>		<b>108,536,345</b>	<b>111,869,691</b>	<b>113,600,137</b>	<b>115,365,596</b>

The 2018 Budget Act included a one-time, \$4m funding award for the interpreter fund, resulting in an estimated shortfall of (\$3.4m) for 2018-19. The deficit is expected to increase by nearly \$6m in 2019-20 through a combination of estimated increased costs of \$1.7m and the sunset of the \$4m one-time funding:

<b>PROJECTED FUND BALANCE *</b>				
<b>Description</b>	<b>2017-18</b>	<b>2018-19</b>	<b>2019-20</b>	<b>2020-21</b>
Beginning Fund Balance <i>(prior year carry over)</i>	5,698,434	794,089	-	-
Allocation	103,632,000	107,632,000	103,632,000	103,632,000
Projected Expenditures	(108,536,345)	(111,869,691)	(113,600,137)	(115,365,596)
Surplus / (Deficit)	(4,904,345)	(4,237,691)	(9,968,137)	(11,733,596)
<b>Ending Fund Balance</b>	<b>794,089</b>	<b>(3,443,602)</b>	<b>(9,968,137)</b>	<b>(11,733,596)</b>

\*Assumes no additional increases to the appropriation for 2019-20 and 2020-21.

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**Next Steps**

The Judicial Council Budget Services staff is requesting the Funding Methodology Subcommittee to provide direction on additional information necessary to develop a recommendation for presentation at the next subcommittee meeting.

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**Historical Appropriation & Language Changes**

**2000–01 (Initial Language)**

The funds appropriated in Schedule (d) shall be for payments for services of contractual court interpreters, certified court interpreters employed by the courts, and the following court interpreter coordinators: one each in counties of the 1st through the 15th classes, 0.5 each in counties of the 16th through the 31st classes, and 0.25 each in counties of the 32nd through 58th classes. Courts in counties with a population of 500,000 or less are encouraged, but not required, to coordinate interpreter services on a regional basis. For the purposes of this provision, “court interpreter coordinators” may be full- or part-time court employees, or those contracted by the court to perform these services.

**2001–02**

The funds appropriated (**\$54,450,000**) in Schedule (4) shall be for payments for services of contractual court interpreters, and certified *and registered* court interpreters employed by the courts, and the following court interpreter coordinators: one each in counties of the 1st through the 15th classes, 0.5 each in counties of the 16th through the 31st classes, and 0.25 each in counties of the 32nd through 58th classes. Courts in counties with a population of 500,000 or less are encouraged, but not required, to coordinate interpreter services on a regional basis. For the purposes of this provision, “court interpreter coordinators” may be full- or part-time court employees, or those contracted by the court to perform these services.

**2010–11**

The funds appropriated (**\$92,794,000**) in Schedule (4) shall be for payments to contractual court interpreters, and certified and registered court interpreters employed by the courts *for services provided during court proceedings and other services related to pending court proceedings, including services provided outside a courtroom*, and the following court interpreter coordinators: 1.0 each in counties of the 1st through the 15th classes, 0.5 each in Ch. 712 — 20 — Item Amount counties of the 16th through the 31st classes, and 0.25 each in counties of the 32nd through the 58th classes. For the purposes of this provision, “court interpreter coordinators” may be full- or part-time court employees, or those contracted by the court to perform these services.

**2012–13**

The funds appropriated (**\$92,794,000**) in Schedule (4) shall be for payments to contractual court interpreters, and certified and registered court interpreters employed by the courts for services provided during court proceedings and other services related to

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pending court proceedings, including services provided outside a courtroom, and the following court interpreter coordinators: 1.0 each in counties of the 1st through the 15th classes, 0.5 each in counties of the 16th through the 31st classes, and 0.25 each in counties of the 32nd through the 58th classes. For the purposes of this provision, “court interpreter coordinators” may be full- or part-time court employees, and shall be certified and registered court interpreters in good standing under existing law.

**2017–18**

The funds appropriated (**\$103,632,000**) in Schedule (4) shall be for payments to contractual court interpreters, and certified or registered court interpreters employed by the courts for services provided during court proceedings and other services related to pending court proceedings, including services provided outside a courtroom, and the following court interpreter coordinators: 1.0 each in counties of the 1st through the 15th classes, 0.5 each in counties of the 16th through the 31st classes, and 0.25 each in counties of the 32nd through the 58th classes. For purposes of this provision, “court interpreter coordinators” may be full- or part-time court employees.



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TRIAL COURT BUDGET  
ADVISORY COMMITTEE

**TRIAL COURT BUDGET ADVISORY COMMITTEE  
FUNDING METHODOLOGY SUBCOMMITTEE**

**6 – CLUSTER 2 COURT REVIEW**

No Materials

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**(Action Item)**

**Title:** All Funding Sources  
**Date:** 7/2/2018  
**Contact:** Lucy Fogarty, Deputy Director, Budget Services

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**Background**

The Funding Methodology Subcommittee (FMS) Work Plan item 3 reads:

3. Identify all funding sources and determine allocation models.

On March 26, 2018, the FMS was presented with a summary of all revenues that were recorded in the Phoenix Financial System for 2016-17. The FMS directed Judicial Council staff to prepare a recommendation regarding which revenues should be considered part of the Workload-based Funding Methodology (WAFM). This excluded the generic revenue accounts that would be reviewed at a later date.

The preliminary recommendation from staff is provided in Attachment A. The primary rationale for the recommendation is that, if the revenue is related to workload that is captured in the Resource Assessment Study, it should be considered part of WAFM.

**Recommendation**

The FMS is being asked to determine for each revenue account identified in Attachment A whether the staff recommendation be approved.

In addition, the subcommittee should consider how the funds should be allocated, whether they should be considered towards WAFM need, and how they should be factored into the existing WAFM policy.

Furthermore, the subcommittee should consider whether to direct council staff to develop a recommendation for the outstanding revenues.

## 2016-17 TRIAL COURT REVENUES

State Financing Sources	
Trial Court Trust Fund	1,887,873,117
IMF	5,623,929
Judges' Compensation	115,434,219
Court Interpreters	106,707,783
Civil Coordination Reimbursement	505,440
MOU Reimbursements	61,532,169
Other Miscellaneous	68,849,817
<b>Subtotal</b>	<b>2,246,526,474</b>
Grants	
AB 1058	51,441,722
Other JC Grants	12,155,347
Non-JC Grants	6,525,455
<b>Subtotal</b>	<b>70,122,524</b>
Other Financing Sources	
Interest	4,939,584
Donations	59,408
Local Fees	60,722,416
Non-Fee Revenues	9,451,382
Enhanced Collections	58,407,514
Escheatment	1,614,040
Prior Year Revenue	(1,309,567)
County Programs	18,999,992
Other Reimbursements	27,491,148
Sale of Fixed Assets	43,446
Other Miscellaneous	11,304,331
<b>Subtotal</b>	<b>191,723,694</b>
<b>Total</b>	<b>2,508,372,692</b>

## 2016-17 TRIAL COURT REVENUES

STATE FINANCING SOURCES - TRIAL COURT TRUST FUND					
Account Number and Name		Description	Legislation	Amount	In WAFM?
812110	TCTF-OPERATIONS	Used to record Program 0150010 operations revenue. This revenue is received through the monthly allocation.		1,782,304,561	TBD
812140	TCTF-SMALL CLAIMS SERVICE BY MAIL	Used to record revenue received for Small Claims - Service by mail. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	CCP 116.232	230,893	Yes
812141	TCTF-ADMIN CHRG RETURNED CHECK	Used to record revenue received for Administrative charge for processing returned checks related to filing fees. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	CCP 411.20(g)	64,553	Yes
812142	TCTF-ADMIN CHRG PARTIAL PAYMENT	Used to record revenue received for Administrative charge for processing partial payments related to filing fees. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	CCP 411.21(g)	17,515	Yes
812143	TCTF-FEE WAIVER TO INDIGENT PARTY	Used to record revenue received for Administrative charge for collection of fees where fee waiver was previously granted. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 68511.3(d)	19,056	Yes
812144	TCTF-CLERKS TRANSCRIPT ON APPEAL	Used to record revenue received for charges related to the preparation of the clerk's transcript for cases where an appeal is filed. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 68926.1	1,734,705	Yes
812145	TCTF-EXTRA COURT REPORTER	Used to record revenue received for when an additional court reporter is requested. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 69953.5	6,950	No
812146	TCTF-COPY PREPARATION	Used to record revenue received for preparing copies of any record, proceeding or paper on file. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 70627(a)	6,476,146	Yes

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STATE FINANCING SOURCES - TRIAL COURT TRUST FUND					
Account Number and Name		Description	Legislation	Amount	In WAFM?
812147	TCTF-COMPARISON OF PAPER	Used to record revenue received for the comparison of any paper copy presented for certification that is prepared by another to the original on file. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 70627(b)	6,983	Yes
812148	TCTF-MANUAL SEARCH OF RECORDS	Used to record revenue received for manual search of records or files. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 70627(c)	404,020	Yes
812149	TCTF-REIMBURSEMENT OF OTHER COSTS	Used to record revenue received for reimbursement of costs where no fee is specified. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 70631	2,338,267	TBD
812150	TCTF-ESTATE PLANNING DOC SEARCH	Used to record revenue received for searching stored estate planning documents. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 70661 GC 70627	15,059	Yes
812151	TCTF-CUSTODY/VISITATION-MEDIATION	Used to record revenue received for additional charges for filing a motion or order to show cause to modify or enforce custody or visitation to cover the costs of maintaining mediation services. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 70678	356,706	Yes
812152	TCTF-RETURNED CHECK	Used to record revenue received for Administrative charge for processing returned checks. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 71386	129,835	Yes
812153	TCTF-GUARDIANSHIP INVESTIGATION	Used to record revenue received for the investigation of guardianship. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	Probate 1513.1	366,039	Yes
812154	TCTF-INFO PACKAGE FOR CONSERVATORS	Used to record revenue received for information packages that are provided to conservators. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	Probate 1835	20,593	Yes

## 2016-17 TRIAL COURT REVENUES

STATE FINANCING SOURCES - TRIAL COURT TRUST FUND					
Account Number and Name		Description	Legislation	Amount	In WAFM?
812155	TCTF-CONSERVATORSHIP INVESTIGATION	Used to record revenue received for the assessment for conservatorship investigation. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	Probate 1851.5	2,894,436	Yes
812156	TCTF-ANNUAL FILING FEE	Used to record revenue received from the annual filing fee for registration of private professional conservator or guardian. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	Probate 2343	435	No
812157	TCTF-CHILDREN'S WAITING ROOM	Used to record revenue allocated for the purpose of providing children's waiting rooms within the courthouse. Revenue is received through the monthly allocation and is restricted for the use of expenses related to the establishment and maintenance of the children's waiting room.	GC 70640	2,242,932	No
812158	TCTF-CUSTODY/VISITATION-FAMILY LAW FACILITATORS	Used to record the portion of revenue received for additional charges for filing a motion or order to show cause to modify or enforce custody or visitation to cover the costs of services provided by the family law facilitator. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 70678	233,813	Yes
812159	TCTF-CIVIL ASSESSMENTS	Used to record civil assessment revenue received by the court per Penal Code 1214.1 as updated by AB 139. Revenue is reported on the TC145 and returned to the court through the monthly allocation. The total amount received per fiscal year is less the annual undesignated fee MOE reduction amount.	PC 1214.1 AB 139	67,853,903	Yes
812160	TCTF-AUTOMATED RECORDKEEPING AND MICROGRAPHICS	Used to record revenue related to automated recordkeeping and micrographics (ARM) distributed by Judicial Council after 12/31/05 collection period. Revenue is received through the monthly allocation.		2,577,931	Yes
812162	TCTF-CHILD CUSTODY EVALUATION	Used to record revenue received for reimbursement of costs for the investigation or evaluation of a parent, guardian or other person in a custody case. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	FC 3112	1,379,485	Yes

## 2016-17 TRIAL COURT REVENUES

STATE FINANCING SOURCES - TRIAL COURT TRUST FUND					
Account Number and Name		Description	Legislation	Amount	In WAFM?
812163	TCTF-COURT APPOINTED COUNSEL FOR CHILD	Used to record revenue received for reimbursement from the parties for the costs associated with the counsel appointed by the court to represent a child. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	FC 3153	293,012	No
812164	TCTF-PETITION TO DECLARE CHILD FREE FROM PARENTAL CONTROL	Used to record revenue received for compensation of expenses related to investigation costs for petitions to declare child free from parental control. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	FC 7851.5	63,978	Yes
812165	TCTF-STEP PARENT ADOPTION INVESTIGATION	Used to record revenue received for reimbursement from the prospective adoptive parent for costs incurred for the investigation required by Family Code Section 9001. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	FC 9002	223,130	Yes
812166	TCTF-ADMIN CHRGR PUBLIC ENTITY	Used to record revenue received for administrative charge, for recovering as part of judgment, fees not paid by public entity. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 6103.5(d)	58,713	Yes
812167	TCTF-GC 77207.5 REPLACEMENT OF 2% AUTOMATION ALLOCATION	Used to record revenue pursuant to GC 77207.5 and use restricted pursuant to GC 68090.8. Revenue is received through the monthly allocation.	GC 77207.5	11,078,509	Yes
812168	TCTF-COURT REPORTER FOR PROCEEDINGS UNDER ONE HOUR	Used to record revenue received for cost of services of an official court reporter pursuant to Section 269 of the Code of Civil Procedure for each civil proceeding lasting less than one hour. Revenue is reported on the TC145 and returned 100% to the court through the monthly allocation.	GC 68086	4,480,960	Yes
			<b>Subtotal</b>	<b>1,887,873,118</b>	

## 2016-17 TRIAL COURT REVENUES

STATE FINANCING SOURCES - ALL OTHER						
Account Number and Name		Description	Legislation	Amount	Subtotal	In WAFM?
837011	STATE TRIAL COURT IMPROVEMENT AND MODERNIZATION FUND REIMBURSEMENT	These reimbursements are related to agreements (MOUs or IBA) between the Judicial Council and the courts which are issued from the State Trial Court Improvement and Modernization fund (IMF). This activity as well as expenditures associated with these agreements should be tracked by a project.		5,623,927	<b>5,623,927</b>	TBD
833010	TCTF-JUDGES SALARIES	Used to record Program 0150019 reimbursements for Superior Court Judges' salaries. This reimbursement is associated with expenditures recorded in GL 906311. Revenue is received through the monthly allocation.		115,434,219	<b>115,434,219</b>	No
834010	TCTF-COURT INTERPRETER	Used to record Program 0150037 reimbursements of allowable costs related to the Court Interpreter Program. Revenue is received through the monthly allocation. Additional reimbursements identified on the annual court interpreter survey are received as a warrant.		106,707,781	<b>106,707,781</b>	No
835010	TCTF-CIVIL COORDINATION	Used to record Program 0150091 reimbursements for complex civil cases.		505,440	<b>505,440</b>	Yes
831010	GENERAL FUND- AB2030/AB2695 SERVICE OF PROCESSING	These reimbursements are related to AB2030/AB2695 Service of Processing (Expenditure recorded under GL 941101).	The cost to the court for the sheriff to serve protective orders in certain types of civil and family law cases.	1,909,751		No
831011	GENERAL FUND- EXTRAORDINARY HOMICIDE	These reimbursements are related to Extraordinary Homicide Costs. These should be tracked by a project.		22,427		No
831012	GENERAL FUND-PRISONER HEARING COSTS	These reimbursements are related to Prisoner Hearing Costs. These should be tracked by a project.	Necessary and reasonable costs connected with state prisons, California Youth Authority institutions, prisoners, and wards, consistent with Penal Code Sections 4750-4755 and 6005.	2,392,716		Yes
832010	TCTF-MOU REIMBURSEMENTS	These reimbursements are related to agreements (MOU or IBA) between the Judicial Council and the courts which are issued from the Trial Court Trust fund. This activity as well as expenditures associated with these agreements should be tracked by a project. Revenue is received through the monthly allocation.		7,005,151		TBD

## 2016-17 TRIAL COURT REVENUES

STATE FINANCING SOURCES - ALL OTHER						
Account Number and Name		Description	Legislation	Amount	Subtotal	In WAFM?
832011	TCTF-JURY	Used to record reimbursement of Criminal and non-reimbursed Civil Jury expense. This reimbursement is associated with expenditures recorded in GL 965101-965103 and 965110. Revenue is received through the monthly allocation.		12,839,635		No
832012	TCTF-COURT APPOINTED COUNSEL	Used to record Program 0150011 reimbursement of Court Appointed Counsel expenses. This reimbursement is associated with expenditures recorded in GL 938801-938802. Revenue is received through the monthly allocation.		36,773,895		No
832013	TCTF-ELDER ABUSE	Funding to reimburse courts for the costs of processing these orders which was received through the Budget Act of 2000. Reimbursement requests for actual expenses should be submitted on a quarterly basis. Revenue is received through the monthly allocation.		346,856		Yes
832014	TCTF-OTHER	Used to record Program 0150010 reimbursements which is not otherwise classified in any other account. Revenue is received through the monthly allocation.		115,916		TBD
832015	TCTF-JUVENILE DEPENDENCY COUNSEL COLLECTIONS PROGRAM (JDCCP)	Used to record Program 0150010 reimbursement of Court Appointed Counsel and other eligible expenses related to dependency hearings that are reimbursed through the Juvenile Dependency Counsel Collections Program (JDCCP) allocation. This reimbursement is associated with expenditures recorded in GL 938804. Revenue is received through the monthly allocation.	WI 903.47(a)	125,819	<b>61,532,166</b>	No
816110	OTHER STATE RECEIPTS	Used to record miscellaneous revenue from the Judicial Council but not included in monthly allocations and not provided through a grant. (e.g., 50/50 split, one-time conservatorship)		31,216		TBD
816111	GENERAL FUND REVENUE	Used to record revenue received from the Judicial Council and other State agencies that comes directly from the State General Fund 0001 (i.e., Change in Employee and Retiree Health Benefits).		68,818,601	<b>68,849,817</b>	TBD

## 2016-17 TRIAL COURT REVENUES

GRANTS					
Account Number and Name		Description	Legislation	Amount	In WAFM?
838010	AB1058 GRANTS	Judicial Council grant for Family Law Facilitator and Child Support Commissioner.		51,441,722	Yes
838020	OTHER JUDICIAL COUNCIL GRANTS	Used to record grants, other than AB1058, whose source is the Judicial Council.		12,155,347	TBD
839010	NON-JUDICIAL COUNCIL GRANTS	Used to record grants whose source is not the Judicial Council including, federal, state, local, and private grants.		6,525,453	TBD
			<b>Total</b>	<b>70,122,522</b>	

## 2016-17 TRIAL COURT REVENUES

OTHER FINANCING SOURCES						
Account Number and Name	Description	Legislation	Amount	Subtotal	In WAFM?	
825010	INTEREST INCOME	Used to record revenue received for interest.	4,939,583	<b>4,939,583</b>	Yes	
823010	DONATIONS	Used to record donations received.	59,403		Yes	
823011	JUDGES VOLUNTARY DONATION	Used to record voluntary donations received from trial court judges to be used for court operations.	5	<b>59,408</b>	Yes	
822101 thru 822110	NON-FEE REVENUE	Used to record revenue (other than per AB145) which is not otherwise classified in any other account. Each court should be consistent in their coding to each of these GL accounts and should provide Judicial Council-TCAS with such coding structure.	9,016,773		TBD	
822120	CRC 3.670 COURT CALL	Used to record revenue received for teleconferencing of court appearances provided by a private agency.	0		Yes	
822121	GC13963f RESTITUTION REBATE	Used to record the portion (10%) of revenue received from the State for the collection of restitution fees.	434,611	<b>9,451,384</b>	No	
821201	ENHANCED COLLECTIONS-(CIVIL ASSESSMENT)	Used to record the amount reduced from debt collection for the civil assessment fee portion to offset the cost of collecting the debt through a comprehensive collections program.	16,039,585		No	
821202	ENHANCED COLLECTIONS-(OTHER)	Used to record the amount reduced from debt collection for all other portions other than civil assessment to offset the cost of collecting the debt through a comprehensive collections program.	42,367,930	<b>58,407,515</b>	No	
823002	ESCHEATMENT REVENUE	Used to record revenue related to escheatment.	655,546		Yes	
823003	ESCHEATMENT REVENUE-TRUST	Used to record trust disbursements that have been stale dated and outstanding for at least 3 years and all notices have been posted. Also used to record deposits as allowed under code GC 68084.1.	958,494	<b>1,614,040</b>	Yes	
899910	PRIOR YEAR REVENUE ADJUSTMENT	Used to record revenue that was earned in the prior year but not accrued.	(1,309,566)	<b>(1,309,566)</b>	No	
841010	SMALL CLAIMS ADVISORY	Used to record reimbursement received to cover the costs of providing small claims advisory services.	867,040		Yes	
841011	DISPUTE RESOLUTION	Used to record revenue received to cover the costs for dispute resolution program expense.	1,244,884		Yes	
841012	GRAND JURY	Contract Agreement between the court and county	1,186,668		No	
841013	PRE TRIAL	Contract Agreement between the court and county	1,305,716		No	
841014	PROBATION	Contract Agreement between the court and county	101,522		No	
841015	OTHER COUNTY SERVICES	Contract Agreement between the court and county	14,294,158	<b>18,999,988</b>	TBD	
861010	CIVIL JURY REIMBURSEMENT	Used to record reimbursement from litigants for Civil Jury expenses.	3,225,059		No	

## 2016-17 TRIAL COURT REVENUES

OTHER FINANCING SOURCES						
Account Number and Name		Description	Legislation	Amount	Subtotal	In WAFM?
861011	MISCELLANEOUS REIMBURSEMENT	Used to record miscellaneous reimbursements which is not otherwise classified in any other account. (e.g., non Judicial Council MOU's, DV Restraining Order Reimbursement)		19,994,826		TBD
861012	CIVIL TRANSCRIPT REIMBURSEMENT	Used to record reimbursement received to cover costs of transcripts for civil cases.		397,966		No
861013	MERCHANT FEE REIMBURSEMENT	To record the offset or reimbursement for fees paid directly to the credit card issuer, funds processor, or draft purchaser in connection with credit card transactions.	GC 6159(d)(3)	3,212,433		Yes
861014	CONVENIENCE FEE REIMBURSEMENT	To record the offset or reimbursement for fees charged by the court to the cardholder for using a credit or debit card to offset the corresponding expense paid to third party.	GC 6159(g)	710,545		Yes
861015	REDEVELOPMENT AGENCY LITIGATION COST REIMBURSEMENT	Used to record reimbursement from the Trial Court Trust Fund Program 0150010, per assembly bill 1484, for costs incurred related to the processing and disposition of lawsuits to the governor's elimination of redevelopment agencies.	AB 1484	(49,678)	<b>27,491,151</b>	No
824010	SALE OF FIXED ASSETS	Used to record revenue related to the sale of a fixed asset.		43,445	<b>43,445</b>	Yes
823001	MISCELLANEOUS REVENUE	Used to record miscellaneous revenue which is not otherwise classified in any other account. (e.g., cal card rebates, unclaimed property)		3,104,998		TBD
823004	CASHIER OVERAGES	Used to record cash overages resulting from cashier errors which are not identified with a customer or case that represent cash in excess of a cashiers accounting of the transactions receipted.		37,691		Yes
823013	STAFF VOLUNTARY DONATION	Used to record voluntary donations received from court staff to be used for court operations.		1,049,963		Yes
823020	EMPLOYEE CONTRIBUTIONS-SELF INSURANCE	To deposit and record employee contributions for health benefits to self insurance program for dental, medical and vision.		7,180,170		Yes
823021	EMPLOYER CONTRIBUTIONS-SELF INSURANCE	To deposit and record employer contributions for health benefits to self insurance program for dental, medical and vision.		0		Yes
823022	EMPLOYEE CONTRIBUTIONS-RETIREE MEDICAL	To deposit and record active employee contribution amounts for retiree health benefits.		(68,490)	<b>11,304,332</b>	Yes

## 2016-17 TRIAL COURT REVENUES

OTHER FINANCING SOURCES - LOCAL FEES					
Account Number and Name		Description	Legislation	Amount	In WAFM?
821120	OTHER COURT RETAINED LOCAL FEES	Used to record revenue related to all miscellaneous fees.		1,288,050	TBD
821121 thru 821156	LOCAL FEES	Used to record revenue (other than per AB145) which is not otherwise classified in any other account.	Court Specific Code	11,986,617	TBD
821160	PRE-AB145	May be used in lieu of individual local fee revenue accounts to record revenue received from fees assessed prior to AB145 and January 1, 2006.		103,983	TBD
821161	FC3112 CUSTODY INVESTIGATIONS	Used to record revenue received for reimbursement of costs for the investigation or evaluation of a parent, guardian or other person in a custody case. Effective January 1, 2008, fees assessed pursuant to this code should be included on the TC145 and will be returned to the court through the monthly allocation.	FC 3112	303	Yes
821162	FC3153 CAC-CHILD	Used to record revenue received for reimbursement from the parties for the costs associated with the counsel appointed by the court to represent a child. This would not include reimbursement received from the Judicial Council. Effective January 1, 2008, fees assessed pursuant to this code should be included on the TC145 and will be returned to the court through the monthly allocation.	FC 3153	88	No
821170	GC26840.3 MARRIAGE LICENSE CONCILIATION	Used to record the portion of revenue collected from marriage license fees to support the costs of maintaining the family conciliation court or conciliation & mediation services.	GC 26840.3	780,145	Yes
821171	GC 72712 COURT REPORTER	Used to record revenue received from the city fee's and fines for costs incurred for court reporter salary and benefits for Los Angeles Superior Court.	GC 72712	11,068,685	TBD
821172	GC68150h PUBLIC ACCESS CIVIL IMAGES / E-FILINGS	Used to record revenue received for fees imposed to cover the costs of providing public access to the courts electronic records, specifically related to civil images and electronic filings.	GC 68150h CRC 2.506	6,416,158	Yes

## 2016-17 TRIAL COURT REVENUES

OTHER FINANCING SOURCES - LOCAL FEES					
Account Number and Name		Description	Legislation	Amount	In WAFM?
821173	GC68150h PUBLIC ACCESS CRIMINAL NAME SEARCH	Used to record revenue received for fees imposed to cover the costs of providing public access to the courts electronic records, specifically related to criminal name search.	GC 68150h CRC 2.506	7,487,057	Yes
821174	GC68150h PUBLIC ACCESS TRAFFIC TRANSACTION FEE	Used to record revenue received for fees imposed to cover the costs of providing public access to the courts electronic records, specifically related to traffic transactions.	GC 68150h CRC 2.506	1,837,371	Yes
821180	PC1203.4 & PC1203.41 CHANGE OF PLEA	Used to record revenue received from petitions for a change of plea or expungement of record to support the costs of services rendered.	PC1203.4 PC1203.41	868,058	Yes
821181	PC1205d INSTALLMENT FEE	Used to record revenue received for administrative costs for processing an accounts receivable on installment payments.	PC 1205(d)	10,414,294	Yes
821182	PC1205d STAY FEE	Used to record revenue received for administrative costs for processing an accounts receivable that is not paid in installments.	PC 1205(d)	332,841	Yes
821183	PC1463.22a INSURANCE CONVICTION	Used to record the portion of revenue collected from Vehicle Code 16028 convictions in order to defray costs in administering sections 16028, 16030 & 16031 of the Vehicle Code.	PC 1463.22(a)	819,071	Yes
821190	VC11205.2 TRAFFIC SCHOOL	Used to record revenue received from traffic violators in order to defray the costs incurred by the agency for monitoring reports and services provided to the court.	VC11205.2	2,144,831	Yes
821191	VC40508.6 DMV HISTORY/PRIORS	Used to record revenue received from assessments for the costs of recording and maintaining a record of the defendant's prior convictions for violations of the Vehicle Code.	VC 40508.6	4,752,673	Yes
821192	VC40611 PROOF OF CORRECTION	Used to record revenue received from fees for violations where proof of correction was submitted.	VC 40611	51,463	Yes
821194	CRC 10.500 PUBLIC ACCESS- DUPLICATION AND RETRIEVAL	Used to record revenue received for fees imposed to cover the costs of duplication, search and review related to providing public access to the courts records as specified in California Rules of Court 10.500.	CRC 10.500	4,974	No

## 2016-17 TRIAL COURT REVENUES

OTHER FINANCING SOURCES - LOCAL FEES					
Account Number and Name		Description	Legislation	Amount	In WAFM?
821195	GC 26746 DISBURSEMENT PROCESSING FEE	Used to record revenue received pursuant to GC26746 for each disbursement of money collected under a writ of attachment, execution, possession, or sale.	GC 26746	562	No
821196	GC 26731 SERVICE OF PROCESS FEE	Used to record revenue received pursuant to GC26731 for fees collected by the Marshal's office related to service of process activity.	GC 26731	10,980	No
821197	CRC 3.670 TELEPHONIC APPEARANCE	Used to record revenue received for teleconferencing of court appearances directly provided by the court. Note the amount recorded to this account is the courts portion of the fee collected.	CRC 3.670	354,214	Yes
			<b>Subtotal</b>	<b>60,722,418</b>	