



JUDICIAL COUNCIL
OF CALIFORNIA

TRIAL COURT BUDGET
ADVISORY COMMITTEE

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TRIAL COURT BUDGET ADVISORY COMMITTEE
FUNDING METHODOLOGY SUBCOMMITTEE

OPEN MEETING AGENDA

Open to the Public (Cal. Rules of Court, rule 10.75(c)(1))

THIS MEETING IS BEING RECORDED

Date: December 16, 2015
Time: 10:00 a.m. - 3:00 p.m.
Location: Veranda Room C, 2860 Gateway Oaks Drive, Suite 400
Sacramento, CA 95833
Public Call-In Number Closed session: 10:00 a.m. - 12:30 p.m.
Open session: 12:30 p.m. - 3:00 p.m.
1-877-820-7831, Pass code: 3775936

Meeting materials will be posted on the advisory body web page on the California Courts website at least three business days before the meeting.

Agenda items are numbered for identification purposes only and will not necessarily be considered in the indicated order.

I. OPEN MEETING (CAL. RULES OF COURT, RULE 10.75(C)(1))

Call to Order and Roll Call

Approval of Minutes from the August 5, 2015 Meeting

II. PUBLIC COMMENT (CAL. RULES OF COURT, RULE 10.75(K)(2))

Public Comment

The public may submit written comments for this meeting. In accordance with California Rules of Court, rule 10.75(k)(1), written comments pertaining to any agenda item of a regularly noticed open meeting can be submitted up to one complete business day before the meeting. Comments should be e-mailed to tcbac@jud.ca.gov. Only written comments received by December 15, 2015 at 10 a.m. will be provided to advisory body members. The chairs may elect to receive and consider comments that are received late. Written comments received in a timely manner will be provided to advisory members before the start of the meeting or as soon as reasonably practicable during the meeting. Written comments are also posted to www.courts.ca.gov/tcbac.htm.

Members of the public requesting to speak during the public comment portion of the meeting must place the speaker's name, the name of the organization that the speaker represents if any, and the agenda item that the public comment will address, on the public comment sign-up sheet. The sign-up sheet will be available at the meeting location at least one hour prior to the meeting start time. The Co-Chairs will establish speaking limits at the beginning of the public comment session. While the advisory body welcomes and encourages public comment, time may not permit all persons requesting to speak to be heard at this meeting.

III. DISCUSSION AND POSSIBLE ACTION ITEMS (ITEMS 1–8)

Item 1

Subcommittee Work Plan (Action Item)

Review the subcommittee's work plan, confirm 2015–2016 activities, and assign work to subgroups if necessary.

Presenter(s)/Facilitator(s): Hon. Jonathan B. Conklin; Rebecca Fleming

Item 2

WAFM Component Update Schedules (Action Item)

Review and formally approve the current update cycles in place for five WAFM components and review and determine the update cycle for one WAFM component that has not been updated since the initial FY 2013–2014 WAFM funding need calculation.

Presenter(s)/Facilitator(s): Hon. Jonathan B. Conklin; Rebecca Fleming; Colin Simpson, JCC Finance

Item 3

Included and Excluded OE&E Expense Codes (Action Item)

Review three expense codes both included and excluded in Judicial Council-approved methodology for calculating WAFM OE&E per FTE.

Presenter(s)/Facilitator(s): Hon. Jonathan B. Conklin; Rebecca Fleming; Colin Simpson, JCC Finance

Item 4

OE&E Expense Codes Not Considered Previously (Action Item)

Review expense codes with no expenditures in 2011–2012 or created after 2011–2012 and, therefore, not previously considered in the Judicial Council-approved methodology for calculating WAFM OE&E per FTE.

Presenter(s)/Facilitator(s): Hon. Jonathan B. Conklin; Rebecca Fleming; Colin Simpson, JCC Finance

Item 5

WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements (Action Item)

Review six funding sources not previously considered for inclusion in or exclusion from the Judicial Council-approved equivalent, available WAFM funding

Presenter(s)/Facilitator(s): Hon. Jonathan B. Conklin; Rebecca Fleming; Colin Simpson, JCC Finance

Item 6

Fund Expenditures Included in WAFM OE&E per FTE (Action Item)

Review funds included, but not previously considered or reviewed, in the Judicial Council-approved methodology for calculating WAFM OE&E per FTE and identify those funds determined not to be part of the equivalent, available WAFM funding and those requiring further analysis by the subcommittee.

Presenter(s)/Facilitator(s): Hon. Jonathan B. Conklin; Rebecca Fleming; Colin Simpson, JCC Finance

Item 7

Civil Assessments and WAFM (Discussion Item)

Assign three members to review civil assessments with the sole objective of determining whether civil assessments should be included in each court's equivalent, available WAFM funding amount.

Presenter(s)/Facilitator(s): Hon. Jonathan B. Conklin; Rebecca Fleming; Colin Simpson, JCC Finance

Item 8

Open Discussion (Discussion Item)

Presenter(s)/Facilitator(s): Hon. Jonathan B. Conklin; Rebecca Fleming

IV. INFORMATION ONLY ITEMS (NO ACTION REQUIRED)

Item 9

Overview of County Maintenance of Effort Obligations and Civil Assessments (Informational Item)

Review of the changes in the county payments to the Trial Court Trust Fund (TCTF) required by Government Code sections 77201, 77201.1, and 77201.3 including those resulting from civil assessments becoming TCTF revenues in January 2006.

Presenter(s)/Facilitator(s): Hon. Jonathan B. Conklin; Rebecca Fleming; Colin Simpson, JCC Finance

V. ADJOURNMENT

Adjourn.

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TRIAL COURT BUDGET ADVISORY COMMITTEE
FUNDING METHODOLOGY SUBCOMMITTEE

Materials for December 16, 2015 Meeting

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FUNDING METHODOLOGY SUBCOMMITTEE

MINUTES OF OPEN MEETING

August 5, 2015

10:00 a.m. to 12:00 p.m.

Judicial Council, Veranda Room, 2860 Gateway Oaks Drive, Suite 400, Sacramento, CA 95833

Advisory Body Members Present: Judges: Laurie M. Earl (Cochair), Mark A. Cope, Barry P. Goode, Lesley D. Holland, Carolyn B. Kuhl, and Cynthia Ming-Mei Lee.

Executive Officers: Jake Chatters (Cochair), Alan Carlson, Sherri R. Carter, Kimberly Flener, Deborah Norrie, Michael M. Roddy, Mary Beth Todd, Kim Turner, and Christina M. Volkens.

Advisory Body Members Absent: None.

Others Present: Judges: Daniel J. Buckley, Marsha Slough
Judicial Council staff: Steven Chang, Leah Rose-Goodwin, Colin Simpson, and Zlatko Theodorovic.

OPEN MEETING

Call to Order and Roll Call

The co-chair called the meeting to order at 10:00 a.m., and took roll call.

Approval of Minutes

The advisory body reviewed and approved the minutes of the April 9, 2015 Trial Court Budget Advisory Committee (TCBAC) Funding Methodology Subcommittee meeting.

Public Comment

None received.

DISCUSSION AND ACTION ITEMS (ITEMS 1-3)

Item 1

Overview of County Maintenance of Effort Obligations and Civil Assessments (Discussion Item)

No action taken. Review of the changes in the county payments to the Trial Court Trust Fund (TCTF) required by Government Code sections 77201, 77201.1, and 77201.3

including those resulting from civil assessments becoming TCTF revenues in January 2006.

Item 2

Subcommittee Work Plan (Action Item)

The subcommittee unanimously adopted the following updated work plan:

FY 2015–2016

1. Identify technology funding streams (with JCTC and CITMF assistance)
2. Joint working group with Family and Juvenile Law Advisory Committee to evaluate the allocation methodology for Child Support Commissioner and Family Law Facilitator Program funding.
3. Evaluate the impact of civil assessments as it relates to the Workload-based Allocation and Funding Methodology (WAFM)
4. Plans for FY 2018–2019 and beyond

FY 2016–2017

1. Review self-help funding (with Access & Fairness Advisory Committee)
2. Review AB 1058 revenue as an offset
3. Identify all funding sources and determine allocation models
4. Review operating expenses and equipment (OE&E) calculation and other WAFM components to determine handling of inflation, modification and refresh cycle

Indefinite

1. Evaluate impact of JCC and other provided services
2. Evaluate how to include unfunded costs – courthouse construction
3. Refresh and clarify county Maintenance of Effort

Item 3

Open Discussion (Discussion Item)

No action taken.

ADJOURNMENT

There being no further business, the meeting was adjourned at 12:00 p.m.

Approved by the subcommittee on enter date.

Item 1
Subcommittee Work Plan
(Action Item)

Issue

Should the subcommittee revisit and revise its work plan?

Previous Judicial Council and Trial Court Budget Advisory Committee Action

At its August 5, 2015 meeting, the Funding Methodology Subcommittee updated its work plan for 2015–2016, 2016–2017, and items without a definite time period currently assigned to address them.

Recommendation

Review the subcommittee’s work plan, confirm 2015–2016 and 2016–2017 activities, and assign work to subgroups if necessary.

Recommendation Rationale

Based on the recent activity of the AB 1058 Funding Allocation Joint Subcommittee as well as the plan to address the refresh cycle related to WAFM components at this meeting, Items 2 for 2015–2016 and 2016–2017 and Item 4 for 2016–2017, if not the other items, should be reviewed and the subcommittee should determine whether the work plan needs to be updated based on its review.

FUNDING METHODOLOGY SUBCOMMITTEE WORK PLAN

Updated on August 5, 2015

FY 2015–2016

1. Identify technology funding streams (with JCTC and CITMF assistance)
2. Joint working group with Family and Juvenile Law Advisory Committee to evaluate the allocation methodology for Child Support Commissioner and Family Law Facilitator Program funding.
3. Evaluate the impact of civil assessments as it relates to the Workload-based Allocation and Funding Methodology (WAFM)
4. Plans for FY 2018–2019 and beyond

FY 2016–2017

1. Review self-help funding (with Access & Fairness Advisory Committee)
2. Review AB 1058 revenue as an offset
3. Identify all funding sources and determine allocation models
4. Review operating expenses and equipment (OE&E) calculation and other WAFM components to determine handling of inflation, modification and refresh cycle

Indefinite

1. Evaluate impact of JCC and other provided services
2. Evaluate how to include unfunded costs – courthouse construction
3. Refresh and clarify county Maintenance of Effort

Item 2
Current Informal WAFM Component Update Schedules
(Action Item)

Issue

Should the subcommittee review and formally approve the current update cycles in place for five WAFM components and review and determine the update cycle for one WAFM component that has not been updated since the initial FY 2013–2014 WAFM funding need calculation?

Previous Judicial Council and Trial Court Budget Advisory Committee Action

Not applicable

Recommendation

Review and formally approve the current update cycles in place for five WAFM components and review and determine the update cycle for one WAFM component that has not been updated since the initial FY 2013–2014 WAFM funding need calculation.

Recommendation Rationale

By formally approving the current effective update cycles for these WAFM components (see 2B), these schedules will be documented and a formal standard established for the expected refresh of this information.

The one WAFM component that has not been updated relies on trial court schedule information. The WAFM operating expenses and equipment (OE&E) per FTE amount is calculated using Schedule 7A information for the number of WAFM FTEs (excludes those positions related to non-court-operations PECTs, subordinate judicial officers, CEOs, marshals, court attendants, interpreters and interpreter coordinators, and vacant positions) and 4th quarter Quarterly Financial Statement expenditure information for general ledger items designated as OE&E expenses.

For the OE&E per FTE, four options are provided with the differences between options relating to either updating the component on an annual basis or a less frequent basis and the update using the most recent fiscal year of available information or an average of the three most recent years of available information (see 2C). Benefits and drawbacks are provided for each option. Options 1A and 2A would be the most consistent with how the initial amounts were calculated in 2013–2014. Attachment 2D compares the current OE&E per FTE amounts to the updated amounts under options A and B and the impact those options would have had on the 2015–2016 estimated WAFM funding need, an increase of between \$40.6 million and \$65.8 million. Note that the variance between the one-year average and three-year average is driven by the OE&E per FTE amounts in 2012–2013 which were significantly lower than 2013–2014 and 2014–2015.

Current WAFM Component Update Schedules
as of November 30, 2015

#	WAFM Component Description	Update Frequency	Source of Data	WAFM Funding Need Worksheet Location
1	Average court executive officer salary	Updated annually	Prior year Schedule 7A	Column E
2	Federal Bureau of Labor Statistics (BLS) factor	Updated annually	Three year-average BLS data up to two years prior	Column G and "BLS Factor" worksheet
3	Salary-driven and non-salary-driven benefits	Updated annually	Prior year Schedule 7A	Columns I1, I2, J1, and J2
4	AB 1058 adjustment	Updated annually	Two years prior reimbursement data from JCC grants accounting unit	Column O
5	Average RAS-related salary	Updated annually	Prior year Schedule 7A	Bottom left corner under "NOTES"
6	Average Operating Expenses & Equipment (OE&E) per FTE by cluster	N/A	4th quarter Quarterly Financial Statement expenditures (QFS)	Column N
7	Funding Floor	N/A	Prior year BLS-adjusted Schedule 7A average RAS salary and benefits information from five Cluster 1 courts and any updated Cluster 1 OE&E per FTE amounts	"Funding Floor" worksheet
ITEMS BELOW INFORMATIONAL ONLY - RAS Components (Updated by Workload Assessment Advisory Committee (WAAC))				
N/A	RAS FTE need	Updated annually	Three year-average court filings data up to two years prior	Columns A, B, and C and "RAS FTE Need" worksheet
N/A	RAS caseweight	Updated every five years	Court time study	N/A

**WAFM Components Not Updated Since FY 2013-14
as of November 30, 2015**

#	WAFM Component Description	Update Frequency	Source of Data	WAFM Funding Need Worksheet Location
6	Average Operating Expenses & Equipment (OE&E) per FTE by cluster	N/A	N/A 4th quarter Quarterly Financial Statement expenditures (QFS)	Column N

WAFM Component Update Options

#	WAFM Component Description	Option	Option Description	Pros	Cons
6	Average Operating Expenses & Equipment (OE&E) per FTE by cluster	1A	Updated annually using two years prior 4th quarter Quarterly Financial Statement (QFS) data (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Using single year data consistent with original OE&E calculation Information remains as current as possible 	<ul style="list-style-type: none"> No smoothing of data due to single year more prone to fluctuations Year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a lesser magnitude likely than a staggered update
		1B	Updated annually using a three-year average from 4th quarter QFS data up through two years prior (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Smoothing of data to avoid fluctuations that could result from one year basis Information remains relatively current as possible since updated annually but less current than one year basis 	<ul style="list-style-type: none"> Inconsistent with original OE&E calculation Year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a lesser magnitude
		2A	Updated every two to three years using two years prior 4th quarter Quarterly Financial Statement (QFS) data (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Using single year data consistent with original OE&E calculation No year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a greater magnitude possible when update does occur 	<ul style="list-style-type: none"> No smoothing of data due to single year more prone to fluctuations Information not as current
		2B	Updated every two to three years using a three-year average from 4th quarter QFS data up through two years prior (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Smoothing of data to avoid fluctuations that could result from one year basis No year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a greater magnitude possible when update does occur 	<ul style="list-style-type: none"> Inconsistent with original OE&E calculation Information not as current

WAFM Operating Expenses and Equipment Update Options Comparison

2D

#	Description	FY 2015-16 WAFM OE&E (2011-12 Basis) ¹	OPTIONS	
			1A and 2A	1B and 2B
			One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹
		A	B	C
1	OE&E per FTE			
2	<i>Cluster 1</i>	27,928.05	33,601.88	30,944.62
3	<i>Clusters 2 - 4</i>	20,287.27	23,790.67	22,458.12
4	FY 2015-16 RAS FTE Need			
5	<i>Cluster 1</i>	266	266	266
6	<i>Clusters 2 to 4</i>	18,337	18,337	18,337
7	FY 2015-16 OE&E Need			
8	<i>Cluster 1</i>	7,428,861	8,938,099	8,231,269
9	<i>Clusters 2 to 4</i>	372,007,614	436,249,562	411,814,546
10	Change from FY 2015-16 OE&E		65,751,188	40,609,341
11	<i>Cluster 1</i>	-	1,509,239	802,408
12	<i>Clusters 2 to 4</i>	-	64,241,949	39,806,933

1 Basis refers to the fiscal year or years courts' 4th quarter Quarterly Financial Statement and Schedule 7A data was used.

Item 3
Included and Excluded OE&E Expense Codes
(Action Item)

Issue

Should the subcommittee clarify the designation for three expense codes both included and excluded in the Judicial Council-approved methodology for calculating WAFM operating expenses and equipment (OE&E) per full-time equivalent (FTE)?

Previous Judicial Council and Trial Court Budget Advisory Committee Action

At its business meeting on April 26, 2013, the Judicial Council approved the WAFM methodology which included in its original report on pages 40 through 44 the explicit inclusion and exclusion of specific Phoenix Financial System expenditure codes as well as the acknowledgement that any other items not listed were by default included in the WAFM OE&E expenditure calculation (see 3B). On this list three items were both explicitly included and explicitly excluded from the WAFM OE&E calculation (see yellow highlighted rows, 3B).

Recommendation

Clarify the designation for the three expense codes both included and excluded in the Judicial Council-approved methodology for calculating WAFM OE&E per FTE.

Recommendation Rationale

Since these items were explicitly addressed and approved in a Judicial Council report, the subcommittee should explicitly select inclusion or exclusion to resolve this issue. Currently, since the default position for items is that they be included, these items are included in the WAFM OE&E per FTE calculation. However, this is a temporary solution only until the subcommittee addresses the items' designation.

Based on informal notes from meetings in February and March 2013, it is possible to glean the intention of the subcommittee regarding the designation of these items. Informal notes from a meeting on February 11, 2013 indicate that the intention was to exclude "Grand Jury Costs" due those costs being reimbursable recruitment for grand juror costs which are not included in the equivalent, available WAFM funding amounts. Those same meeting notes grouped the other two items under the header "Final Decisions On These Not Recorded". Informal notes from a second draft meeting on March 1, 2013, identify the "Air Conditioning/Heating Equipment" expense as included and the "Facilities Planning" expense as excluded with no explanation of the decisions.

Attachment 3C provides additional information regarding these items including the amount expended in 2011-2012 and 2014-2015. Also provided are comparable expense items and their

WAFM OE&E designations for the subcommittee's reference. Attachment 3D reflects the change in the WAFM OE&E per FTE amount based on the options A and B methodologies provided in Item 2 if the subcommittee chose to include "Air Conditioning/Heating Equipment" expenditures and exclude "Grand Jury Costs" and "Facilities Planning" expenditures from the WAFM OE&E calculation consistent with the intent of the subcommittee per the informal notes (see columns D and E, line 10, attachment 3D). Under this scenario, the overall estimated WAFM funding need would decrease from the amount calculated in Item 2 by roughly \$300,000 (see columns F and G, lines 8 and 9, attachment 3D).

Detailed Decisions on Inclusion and Exclusion of OE&E Expenditures 3B
Judicial Council Report - April 26, 2013

TABLE 2
DETAILED DECISIONS ON INCLUSION AND EXCLUSION OF OE&E EXPENDITURES

The following tables document decisions made by the subcommittee of the Trial Court Budget Working Group (the Funding Allocation Subcommittee) on items to include and exclude from the calculation of OE&E. These decisions were made at meetings of the Funding Allocation Subcommittee beginning on February 11, 2013 in Sacramento, and finalized during a number of follow-up meetings and communications between members of the Funding Allocation Subcommittee.

The calculation of OE&E is used to estimate how much funding is needed for non-personnel costs required to operate the trial courts.

The categories listed below in the first column come from the Phoenix Financial System.

Table 2. A: OE&E to INCLUDED in Calculation	Decision
NOTE THAT INCLUSION IS THE DEFAULT DECISION. BELOW IS ONLY THE LIST OF ITEMS THAT WERE DISCUSSED AND THAT THE GROUP DETERMINED SHOULD BE INCLUDED. ALL OTHER ITEMS <i>NOT LISTED</i> ANYWHERE ON THIS PAGE UNDER EITHER INCLUSION OR EXCLUSION, IS BY DEFAULT <i>INCLUDED</i> .	
Administrative	Include
Archiving/Imaging Management Services	Include
Attorney Civil Other	Include
Citation Services	Include
Contracted Services	Include
Court Reporter Transcripts-Felony Appeals	Include
Court Reporter Transcripts-Non Felony Appeals	Include
Court Reporter-Lodging	Include
Court Reporter-Meals	Include
Court Reporter-Mileage	Include
Court Transcripts	Include
Electronic Recording	Include
Electronic Recording Services	Include
General Consultant and Professional Services	Include
Human Resource Services	Include

Detailed Decisions on Inclusion and Exclusion of OE&E Expenditures 3B
Judicial Council Report - April 26, 2013

Table 2. A: OE&E to INCLUDED in Calculation	Decision
Information Technology Services	Include
Investigative Services	Include
Attorney Arbitration-ADR Mediators Fee	Include
Court Ordered Investigative Services	Include
Stenography Services	Include
Court Interpreter Document Translation	Include
Key Card, Repair Counter, Replace Shelving	Include
Maintenance and Supplies	Include
Other Facility Costs - Goods	Include
Other Facility Costs - Services	Include
Paint, Protective Coating, and Sealer Supplies	Include
Plumbing	Include
Signs and Related Supplies	Include
Storage	Include
Waste Removal	Include
Window Coverings	Include
Wood or Tile Floor	Include
Carpet	Include
Carpet Cleaning and Floor Waxing	Include
Control Devices	Include
Court Appointed Counsel Charges-Family Code Section 3150	Include
Electrical Supplies and Accessories	Include
Electricity	Include
Extermination	Include
Facilities Operations	Include
Facility Planning	Include

Detailed Decisions on Inclusion and Exclusion of OE&E Expenditures 3B
Judicial Council Report - April 26, 2013

Table 2. A: OE&E to INCLUDED in Calculation	Decision
Fire Fighting Supplies	Include
Flags, Flag Poles and Banners	Include
Fuel for Equipment	Include
Garden and Nursery	Include
Grand Jury Costs	Include
Grounds	Include
Grounds Maintenance	Include
Hardware and Related Items	Include
Alteration	Include
Alterations and Improvements	Include
Appeal Process	Include
Electricity	Include
Interest	Include
Air Conditioning/Heating Equipment	Include
Janitorial Services	Include
Utility Categories - 5 categories	Include
Janitorial	Include
Janitorial Cleaning Supplies	Include

Detailed Decisions on Inclusion and Exclusion of OE&E Expenditures 3B
Judicial Council Report - April 26, 2013

Table 2. B: OE&E to be EXCLUDED from Calculation	Decision
Perimeter Security-Contract (Other than Sheriff)	Exclude
Other Post Employment Benefits (OPEB)	Exclude
Sheriff	Exclude
Facility Planning	Exclude
Pro Tem Hearing Officers	Exclude
Marshal Uniforms	Exclude
Rent -- three categories (see discussion under 2. A. i) (3) above)	Include/Exclude
All Salary & Benefits – GLs 900000 and 910000	Exclude
Court Construction	Exclude
Collection Services	Exclude
Commission Costs	Exclude
Consulting Services-Temp Help	Exclude
Consulting Services-Temp Help Clerical Services	Exclude
Courtroom Security-Sheriff Provided	Exclude
Dependency Counsel Charges For Children	Exclude
Dependency Counsel Charges For Parents	Exclude
Court Interpreter Services	Exclude
Court Interpreter Travel	Exclude
Court Interpreter-American Sign Language	Exclude
Court Interpreter-Certified	Exclude
Court Interpreter-Language Line-In Court	Exclude
Court Interpreter-Language Line-Non Court	Exclude
Court Interpreter-Lodging	Exclude
Court Interpreter-Meals	Exclude
Court Interpreter-Mileage	Exclude
Court Interpreter-Non Certified	Exclude

Detailed Decisions on Inclusion and Exclusion of OE&E Expenditures 3B
Judicial Council Report - April 26, 2013

Table 2. B: OE&E to be EXCLUDED from Calculation	Decision
Court Interpreter-Non Registered	Exclude
Court Interpreter-Registered	Exclude
Juror Costs	Exclude
Juror Public Transportation	Exclude
Jury Fees	Exclude
Jury Mileage	Exclude
Meals & Lodging (Sequestered Jurors)	Exclude
Meals (Non Sequestered Jurors)	Exclude
Perimeter Security-Entrance Screening Personnel - Sheriff Provided	Exclude
Perimeter Security-Sheriff Provided	Exclude
Security	Exclude
Civil Assessment Commission Costs	Exclude
Court Assistant/Attendant Uniforms	Exclude
Court Reporter Services	EXCLUDE
Weapon Screening X-Ray Machine	EXCLUDE
Traffic School Monitoring	EXCLUDE
Probate Evaluations and Reports	Exclude
Probation Department Services	Exclude
Small Claims Advisory Service	Exclude
Court Appointed Counsel Charges	EXCLUDE
Mediators/Arbitrators	Exclude
Sheriff Reimbursement-AB2030/AB2695	Exclude
Air Conditioning/Heating Equipment	Exclude
Architectural Services	Exclude
Grand Jury Costs	Exclude

**Included/Excluded WAFM Operating Expenses and Equipment Items
and Comparable Expense Items in the Phoenix Financial System**

Line #	Court-Specific Code Col. A	Phoenix Financial Statement Category Col. B	Level 2 - Expense Detail Account Name Col. C	Court-Specific Code Description Col. D	FY 2011-12 Expenditures Col. E	FY 2014-15 Expenditures Col. F	WAFM OE&E Designation Col. G	Intended WAFM OE&E Designation per Informal Meeting Notes Col. H
317	935402	Facility Operations	Maintenance and Supplies	Maintenance on air conditioning or heating units.	47,343	487,834	Included/Excluded	Included
341	935802	Facility Operations	Other Facility Costs - Services	Facility planning services.	829,060	75,000	Included/Excluded	Excluded
522	972299	Grand Jury	Grand Jury Costs	Costs associated with a Grand Jury.	95,236	177,664	Included/Excluded	Excluded
Total Expenditures					971,639	740,498		

316	935401	Facility Operations	Maintenance and Supplies	Facility related repairs.	62,394	218,766	Included (Default)	N/A
317	935402	Facility Operations	Maintenance and Supplies	Maintenance on air conditioning or heating units.	47,343	487,834	Included/Excluded	Included
318	935403	Facility Operations	Maintenance and Supplies	Electrical supplies and accessories.	140,309	82,850	Included	N/A
319	935404	Facility Operations	Maintenance and Supplies	Plumbing.	229	30,208	Included	N/A
320	935405	Facility Operations	Maintenance and Supplies	Carpet repairs.	735,965	1,328,401	Included	N/A
321	935406	Facility Operations	Maintenance and Supplies	Wood or tile floor repairs.	50,306	39,511	Included	N/A
322	935407	Facility Operations	Maintenance and Supplies	Paint, protective coating, and sealer supplies.	194,247	685,417	Included	N/A
323	935408	Facility Operations	Maintenance and Supplies	Hardware and related items.	35,919	58,958	Included	N/A
324	935409	Facility Operations	Maintenance and Supplies	Key card, repair counter, replace shelving.	31,704	112,652	Included	N/A
325	935410	Facility Operations	Maintenance and Supplies	Fuel for equipment.	385	2,127	Included	N/A
326	935411	Facility Operations	Maintenance and Supplies	Devices for public access control. (e.g., crowd management cones, ropes to direct lines in lobby)	1,902	2,125	Included	N/A
327	935499	Facility Operations	Maintenance and Supplies	May be used in lieu of the individual court specific codes within such corresponding expense detail code.	424,874	3,906,008	Included	N/A

340	935801	Facility Operations	Other Facility Costs - Services	Waste removal services.	18,586	25,048	Included	N/A
341	935802	Facility Operations	Other Facility Costs - Services	Facility planning services.	829,060	75,000	Included/Excluded	Excluded
342	935899	Facility Operations	Other Facility Costs - Services	May be used in lieu of the individual court specific codes within such corresponding expense detail code.	695,514	1,243,733	Included	N/A
358	938406	Contracted Services	General Consultant and Professional Services	Costs of contracted consulting and professional services related to architectural services. (e.g., blueprints)	15,881	12,879	Excluded	N/A

WAFM Both Included and Excluded Operating Expenses and Equipment Designation Option

#		FY 2015-16 WAFM OE&E (2011-12 Basis) ¹	ATTACHMENT 3C OPTIONS		PROPOSED DESIGNATIONS PER MEETING NOTES		CHANGE FROM ATTACHMENT 3C AMOUNTS	
			1A and 2A	1B and 2B	1A and 2A	1B and 2B	1A and 2A	1B and 2B
			One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹
		Col. A	Col. B	Col. C	Col. D	Col. E	Col. F	Col. G
1	OE&E per FTE							
2	Cluster 1	27,928.05	33,601.88	30,944.62	33,598.19	30,941.50	(3.68)	(3.12)
3	Clusters 2 - 4	20,287.27	23,790.67	22,458.12	23,773.54	22,442.04	(17.13)	(16.08)
4	FY 2015-16 RAS FTE Need							
5	Cluster 1	266	266	266	266	266	266	266
6	Clusters 2 to 4	18,337	18,337	18,337	18,337	18,337	18,337	18,337
7	FY 2015-16 OE&E Need							
8	Cluster 1	7,428,861	8,938,099	8,231,269	8,937,119	8,230,439	(980)	(830)
9	Clusters 2 to 4	372,007,614	436,249,562	411,814,546	435,935,374	411,519,687	(314,189)	(294,859)
10	Change from FY 2015-16 OE&E		65,751,188	40,609,341	65,436,019	40,313,652	N/A	N/A
11	Cluster 1	-	1,509,239	802,408	1,508,259	801,578	N/A	N/A
12	Clusters 2 to 4	-	64,241,949	39,806,933	63,927,760	39,512,074	N/A	N/A

¹ Basis refers to the fiscal year or years courts' 4th quarter Quarterly Financial Statement and Schedule 7A data was used.

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Item 4
OE&E Expense Codes Not Considered Previously
(Action Item)

Issue

Should the subcommittee review and designate expense codes with no expenditures in 2011–2012 or created after 2011–2012 and, therefore, not previously considered as part of the Judicial Council-approved methodology for calculating WAFM operating expenses and equipment (OE&E) per full-time equivalent (FTE)?

Previous Judicial Council and Trial Court Budget Advisory Committee Action

At its business meeting on April 26, 2013, the Judicial Council approved the WAFM methodology which included in its original report on pages 40 through 44 the explicit inclusion and exclusion of specific Phoenix Financial System expenditure codes as well as the acknowledgement that any other items not listed were by default included in the WAFM OE&E expenditure calculation (see 3B).

Recommendation

Review and designate expense codes with no expenditures in 2011–2012 or created after 2011–2012 and, therefore, not previously considered as part of the Judicial Council-approved methodology for calculating WAFM OE&E per FTE.

Recommendation Rationale

Since these items were not addressed in the April 26, 2013 Judicial Council report, if the subcommittee takes no action, by default all of these items would be included in the WAFM OE&E per FTE calculation. However, there are some items that are similar enough to items that have been explicitly excluded by the Judicial Council and merit the subcommittee’s consideration regarding whether or not to include them.

Attachment 4B provides additional information regarding these items including the amount expended, if any, for 2011-2012 and 2014-2015. Items highlighted in blue had no expenditures in 2011–2012 and items highlighted in orange were created after 2011–2012. For those items that had comparable items that were excluded by the Judicial Council, also provided are comparable expense items and their WAFM OE&E designations assembled into seven groups for the subcommittee’s reference in Attachment 4C. Attachment 4D reflects the change in the WAFM OE&E per FTE amount based on the options A and B methodologies provided in Item 2 if the subcommittee chose to exclude “Perimeter Security-Entrance Screening Personnel – Contract” (line #303) as it relates to security costs otherwise excluded by the Judicial Council, “Dependency Counsel Charges Reimbursed by JDCCP Allocations” (line # 393) as it relates to a reimbursed expense related to court-appointed counsel, and “OPEB Administrative Fee

Expense” and “OPEB Benefits Expense” (lines # 515-516) as they relate to personal services’ other post-employment benefits and not operating expenses and equipment (see columns D and E, line 10, attachment 4D). Under this scenario, the estimated WAFM funding need would decrease from the amount calculated in Item 3 by between \$1.9 million and \$3.0 million (see columns F and G, lines 8 and 9, attachment 4D).

Phoenix Expense General Ledger Codes Not Previously Considered by the Judicial Council

4B

Line #	Court-Specific Code Col. A	Phoenix Financial Statement Category Col. B	Level 2 - Expense Detail Account Name Col. C	Court-Specific Code Description Col. D	FY 2011-12 Expenditures Col. E	FY 2014-15 Expenditures Col. F	WAFM OE&E Designation Col. G	WAFM OE&E Designation of Comparable Items Col. H
100	920499	General Expense	Employee Relocation	May be used in lieu of the individual court specific codes within such corresponding expense detail code.	-		Included (Default)	Included
108	920604	General Expense	Office Expense	Maps.	-		Included (Default)	Included
137	920633	General Expense	Office Expense	Ergonomic supplies.	-	26,523	Included (Default)	Included
178	922704	General Expense	Equipment Rental/Lease	Rental or lease of sheriff security equipment.	-	430	Included (Default)	Included
217	923916	General Expense	General Expense - Service	Testing services.	-	70,997	Included (Default)	Included
239	925108	Telecommunications	Telecommunications	Instruments-County provided.	-		Included (Default)	Included
289	931109	Out-of-State Travel	Travel Out of State	Out of state travel expense for all other mileage claim	-	78	Included (Default)	Included
303	934506	Security Services	Security	Perimeter security provided by contract at the entrance of the courthouse.	-	2,112,000	Included (Default)	See Section 1 of 4C. 5 Excluded, 1 Included.
329	935502	Facility Operations	Grounds	Parking maintenance.	-	2,234	Included (Default)	Included
359	938407	Contracted Services	General Consultant and Professional Services	Costs of contracting for public works planning and engineering services.	-		Included (Default)	See Section 2 of 4C. 11 Included, 2 Excluded.
393	938804	Contracted Services	Court Appointed Counsel Charges	Dependency Counsel and Other Eligible Expenses to be Reimbursed by JDCCP Allocation	-	284,523	Included (Default)	See Section 3 of 4C. 3 Excluded, 1 Included.
396	938902	Contracted Services	Investigative Services	Surveillance services.	-	192	Included (Default)	Included
397	938903	Contracted Services	Investigative Services	Police reports. (e.g., PC 987.9 cases)	-		Included (Default)	Included
432	939409	Contracted Services	Legal	Attorney criminal, no public defender.	-		Included (Default)	See Section 4 of 4C. 9 Included, 1 Excluded.
441	939418	Contracted Services	Legal	Contract law firm fees.	-	58	Included (Default)	See Section 4 of 4C. 9 Included, 1 Excluded.
450	941103	Consulting and Professional Services	Sheriff	Incidence/disturbance calls.	-		Included (Default)	See Section 5 of 4C. 2 Excluded, 1 Included.
461	942702	Consulting and Professional Services	County-Provided Services	Custodial services.	-	8,931,549	Included (Default)	Included
477	943508	Information Technology	IT Repairs/Supplies/License	Mainframe application software.	-	13	Included (Default)	Included
494	952099	Other Items of Expense	Uniform Allowance	May be used in lieu of the individual court specific codes within such corresponding expense detail code.	-	1,234	Included (Default)	See Section 6 of 4C. 2 Excluded, 1 Included.
515	971102	Other	Other Post Employment Benefits	Record expense for administration of other post employment benefits (OPEB) investments by trustee.	-	3,600	Included (Default)	See Section 7 of 4C. 1 Excluded.
527	973199	Debt Service	Debt Service	May be used in lieu of the individual court specific codes within such corresponding expense detail code.	-	324,871	Included (Default)	Included
99	920402	General Expense	Employee Relocation	Temporary housing expense related to relocating an employee.			Included (Default)	Included
204	923903	General Expense	General Expense - Service	Specific to form delivery.			Included (Default)	Included
428	939405	Contracted Services	Legal	Contract review.			Included (Default)	See Section 4 of 4C. 9 Included, 1 Excluded.
430	939407	Contracted Services	Legal	Attorney civil, no public defender.			Included (Default)	See Section 4 of 4C. 9 Included, 1 Excluded.
431	939408	Contracted Services	Legal	Attorney civil, conflict of interest.			Included (Default)	See Section 4 of 4C. 9 Included, 1 Excluded.
433	939410	Contracted Services	Legal	Attorney criminal, conflict of interest.			Included (Default)	See Section 4 of 4C. 9 Included, 1 Excluded.

Phoenix Expense General Ledger Codes Not Previously Considered by the Judicial Council

Line #	Court-Specific Code Col. A	Phoenix Financial Statement Category Col. B	Level 2 - Expense Detail Account Name Col. C	Court-Specific Code Description Col. D	FY 2011-12 Expenditures Col. E	FY 2014-15 Expenditures Col. F	WAFM OE&E Designation Col. G	WAFM OE&E Designation of Comparable Items Col. H
438	939415	Contracted Services	Legal	Attorney mental health, no public defender.			Included (Default)	See Section 4 of 4C. 9 Included, 1 Excluded.
439	939416	Contracted Services	Legal	Attorney mental health, conflict of interest.			Included (Default)	See Section 4 of 4C. 9 Included, 1 Excluded.
440	939417	Contracted Services	Legal	Attorney mental health, other.			Included (Default)	See Section 4 of 4C. 9 Included, 1 Excluded.
442	939419	Contracted Services	Legal	Contract law firm costs.			Included (Default)	See Section 4 of 4C. 9 Included, 1 Excluded.
476	943507	Information Technology	IT Repairs/Supplies/License	Mainframe operating software.		111	Included (Default)	Included
511	971003	Other	Other Special items of Expense	Record realized loss incurred on sale of investments.			Included (Default)	Included
512	971004	Other	Other Special items of Expense	Record unrealized loss on investment due to decrease in market value.			Included (Default)	Included
516	971103	Other	Other Post Employment Benefits	Record other post employment benefits (OPEB) paid by trustee.			Included (Default)	See Section 7 of 4C. 1 Excluded.
524	972399	Other	Non-Expert Witness	May be used in lieu of the individual court specific codes within such corresponding expense detail code.			Included (Default)	Included
525	973101	Debt Service	Debt Service	Expense for notes principal repayments.			Included (Default)	Included
Total Expenditures					-	11,758,413		

Phoenix Expense General Ledger Codes Not Reviewed by the Judicial Council and Comparable Items

Line #	Court-Specific Code Col. A	Phoenix Financial Statement Category Col. D	Level 2 - Expense Detail Account Name Col. E	Court-Specific Code Description Col. G	FY 2011-12 Expenditures Col. H	FY 2014-15 Expenditures Col. K	WAFM OE&E Designation Col. L	
1	300	934503	Security Services	Security	Perimeter security provided by Sheriff.	173,921	187,286	Excluded
	301	934504	Security Services	Security	Perimeter security contracted from source other than Sheriff.	9,808,763	7,575,688	Excluded
	302	934505	Security Services	Security	Perimeter security provided by Sheriff at the entrance of the courthouse.	125,139	367,085	Excluded
	303	934506	Security Services	Security	Perimeter security provided by contract at the entrance of the courthouse.	-	2,112,000	Included (Default)
	304	934510	Security Services	Security	Courtroom security provided by Sheriff.	11,820,254	3,211,693	Excluded
	305	934512	Security Services	Security	Monthly charges for alarm services.	324,818	224,958	Included (Default)
	306	934599	Security Services	Security	Security	1,008,349	647,771	Excluded

2	353	938401	Contracted Services	General Consultant and Professional Services	Costs of contracted general consulting and professional services.	26,183,829	25,746,974	Included
	354	938402	Contracted Services	General Consultant and Professional Services	Benefit	491,512	515,637	Included (Default)
	355	938403	Contracted Services	General Consultant and Professional Services	Costs of contracted consulting and professional services related to payroll services.	622,876	1,176,246	Included (Default)
	356	938404	Contracted Services	General Consultant and Professional Services	Costs of contracted consulting and professional services related to administrative services. (e.g., Statewide Infrastructure Charges, Judicial Council Phoenix expense, etc.)	12,065,886	4,497,495	Included
	357	938405	Contracted Services	General Consultant and Professional Services	Costs of contracted consulting and professional services related to auditing services.	19,998		Included (Default)
	358	938406	Contracted Services	General Consultant and Professional Services	Costs of contracted consulting and professional services related to architectural services. (e.g., blueprints)	15,881	12,879	Excluded
	359	938407	Contracted Services	General Consultant and Professional Services	Costs of contracting for public works planning and engineering services.	-		Included (Default)
	360	938408	Contracted Services	General Consultant and Professional Services	Costs of contracting for laboratory services.	3,779	30,042	Included (Default)
	361	938409	Contracted Services	General Consultant and Professional Services	Costs of contracted consulting and professional services related to archiving or imaging services.	4,108,672	3,953,079	Included
	362	938410	Contracted Services	General Consultant and Professional Services	Costs of contracted consulting and professional services related to telecommunication services.	13,812	20,682	Included (Default)
	363	938411	Contracted Services	General Consultant and Professional Services	Costs of contracting for traffic school monitoring.	2,049,686	2,032,911	Excluded
	364	938420	Contracted Services	General Consultant and Professional Services	Contractual reimbursement for general consultants lodging.	17,188	38,945	Included (Default)
	365	938421	Contracted Services	General Consultant and Professional Services	Contractual reimbursement for general consultants mileage.	11,608	5,957	Included (Default)
	366	938422	Contracted Services	General Consultant and Professional Services	Contractual reimbursement for general consultants meals.	737	7,417	Included (Default)

3	390	938801	Contracted Services	Court Appointed Counsel Charges	Legal services related to appointed counsel for children in dependency hearings. Note: Expenditures recorded in this account, in PECT 1233, in fund 110001, are picked up for reimbursement by Judicial Council.	17,831,234	15,710,308	Excluded
	391	938802	Contracted Services	Court Appointed Counsel Charges	Legal services related to appointed counsel for parents in dependency hearings. Note: Expenditures recorded in this account, in PECT 1233, in fund 110001, are picked up for reimbursement by Judicial Council.	19,111,621	18,603,243	Excluded
	392	938803	Contracted Services	Court Appointed Counsel Charges	Legal services related to appointed counsel to represent minors in contested custody proceedings pursuant to Family Code Section 3150.	2,336,475	1,876,410	Included

Phoenix Expense General Ledger Codes Not Reviewed by the Judicial Council and Comparable Items

Line #	Court-Specific Code Col. A	Phoenix Financial Statement Category Col. D	Level 2 - Expense Detail Account Name Col. E	Court-Specific Code Description Col. G	FY 2011-12 Expenditures Col. H	FY 2014-15 Expenditures Col. K	WAFM OE&E Designation Col. L
393	938804	Contracted Services	Court Appointed Counsel Charges	Dependency Counsel and Other Eligible Expenses to be Reimbursed by JDCCP Allocation	-	284,523	Included (Default)
394	938899	Contracted Services	Court Appointed Counsel Charges	May be used in lieu of the individual court specific codes within such corresponding expense detail code. Note: Expenses reimbursed by Judicial Council should be recorded in accounts identified as a reimbursement account.	4,197,370	2,268,124	Excluded

4	424	939401	Contracted Services	Legal	Costs of non-governmental legal services. May be used in lieu of the individual court specific codes within such corresponding expense detail code.	1,418,081	1,381,805	Included (Default)
	425	939402	Contracted Services	Legal	Legal costs related to labor negotiations.	112,271	343,286	Included (Default)
	426	939403	Contracted Services	Legal	Legal costs related to appeal process.	701	1,581	Included
	427	939404	Contracted Services	Legal	Legal costs related to filing fees.	54	8,515	Included (Default)
	428	939405	Contracted Services	Legal	Contract review.			Included (Default)
	429	939406	Contracted Services	Legal	Attorney civil, other.	111,050	152,000	Included
	430	939407	Contracted Services	Legal	Attorney civil, no public defender.			Included (Default)
	431	939408	Contracted Services	Legal	Attorney civil, conflict of interest.			Included (Default)
	432	939409	Contracted Services	Legal	Attorney criminal, no public defender.	-		Included (Default)
	433	939410	Contracted Services	Legal	Attorney criminal, conflict of interest.			Included (Default)
	434	939411	Contracted Services	Legal	Attorney criminal, other.	2,275	3,141	Included (Default)
	435	939412	Contracted Services	Legal	Attorney juvenile, conflict of interest.	1,412,330	770,513	Included (Default)
	436	939413	Contracted Services	Legal	Attorney family law.	439,670	482,710	Included (Default)
	437	939414	Contracted Services	Legal	Attorney probate.	6,285	31,582	Included (Default)
	438	939415	Contracted Services	Legal	Attorney mental health, no public defender.			Included (Default)
	439	939416	Contracted Services	Legal	Attorney mental health, conflict of interest.			Included (Default)
	440	939417	Contracted Services	Legal	Attorney mental health, other.			Included (Default)
441	939418	Contracted Services	Legal	Contract law firm fees.	-	58	Included (Default)	
442	939419	Contracted Services	Legal	Contract law firm costs.			Included (Default)	
443	939420	Contracted Services	Legal	Small claims advisory service.	502,503	397,643	Excluded	

5	448	941101	Consulting and Professional Services	Sheriff	Cost of reimbursing Sheriff for service provided in serving protective orders/injunctions per AB2030/AB2695 (Code of Civil Procedure section 527.6 and Family Code section 6222).	1,675,931	1,735,591	Excluded
	449	941102	Consulting and Professional Services	Sheriff	Citation services.	50,693	124,552	Included
	450	941103	Consulting and Professional Services	Sheriff	Incidence/disturbance calls.	-		Included (Default)
	451	941199	Consulting and Professional Services	Sheriff	May be used in lieu of the individual court specific codes within such corresponding expense detail code.	1,167,366	36,255	Excluded

6	491	952001	Other Items of Expense	Uniform Allowance	Judicial officer robes.	1,168	165	Included (Default)
	492	952002	Other Items of Expense	Uniform Allowance	Court assistant/attendant uniforms.	46,354	34,098	Excluded
	493	952003	Other Items of Expense	Uniform Allowance	Marshal uniforms (i.e., bulletproof vest, holster, gear, uniform allowance)	2,633	13,282	Excluded
	494	952099	Other Items of Expense	Uniform Allowance	May be used in lieu of the individual court specific codes within such corresponding expense detail code.	-	1,234	Included (Default)

Line #	Court-Specific Code Col. A	Phoenix Financial Statement Category Col. D	Level 2 - Expense Detail Account Name Col. E	Court-Specific Code Description Col. G	FY 2011-12 Expenditures Col. H	FY 2014-15 Expenditures Col. K	WAFM OE&E Designation Col. L	
7	514	971101	Other	Other Post Employment Benefits	Expense related to other postemployment benefits (OPEB) per GASB 45.	1,686,739	13,499,977	Excluded
	515	971102	Other	Other Post Employment Benefits	Record expense for administration of other post employment benefits (OPEB) investments by trustee.	-	3,600	Included (Default)
	516	971103	Other	Other Post Employment Benefits	Record other post employment benefits (OPEB) paid by trustee.			Included (Default)

WAFM Operating Expenses and Equipment Not Reviewed Designation Option

4D

#	Description	FY 2015-16 WAFM OE&E (2011-12 Basis) ¹	ATTACHMENT 3C OPTIONS ADJUSTED IN ATTACHMENT 4D		PROPOSED DESIGNATIONS PER COMPARABLES		CHANGE FROM ATTACHMENT 4D AMOUNTS	
			1A and 2A	1B and 2B	1A and 2A	1B and 2B	1A and 2A	1B and 2B
			One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹
		Col. A	Col. B	Col. C	Col. D	Col. E	Col. F	Col. G
1	OE&E per FTE							
2	Cluster 1	27,928.05	33,598.19	30,941.50	32,988.88	30,569.25	(609.31)	(372.25)
3	Clusters 2 - 4	20,287.27	23,773.54	22,442.04	23,619.33	22,342.00	(154.21)	(100.04)
4	FY 2015-16 RAS FTE Need							
5	Cluster 1	266	266	266	266	266	266	266
6	Clusters 2 to 4	18,337	18,337	18,337	18,337	18,337	18,337	18,337
7	FY 2015-16 OE&E Need							
8	Cluster 1	7,428,861	8,937,119	8,230,439	8,775,042	8,131,421	(162,078)	(99,019)
9	Clusters 2 to 4	372,007,614	435,935,374	411,519,687	433,107,684	409,685,254	(2,827,690)	(1,834,433)
10	Change from FY 2015-16 OE&E		65,436,019	40,313,652	62,446,252	38,380,200	N/A	N/A
11	Cluster 1	-	1,508,259	801,578	1,346,181	702,560	N/A	N/A
12	Clusters 2 to 4	-	63,927,760	39,512,074	61,100,071	37,677,640	N/A	N/A

¹ Basis refers to the fiscal year or years courts' 4th quarter Quarterly Financial Statement and Schedule 7A data was used.

Item 5
WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements
(Action Item)

Issue

Should the subcommittee review six funding sources not previously considered for inclusion in or exclusion from the Judicial Council-approved equivalent, available WAFM funding?

Previous Judicial Council and Trial Court Budget Advisory Committee Action

At its business meeting on April 26, 2013, the Judicial Council approved the WAFM methodology which included in its original report on pages 7 and 52 the inclusion and exclusion of specific fund sources (see 5B). As noted in the report, “[i]n determining the available funding need, the subcommittee determined that any revenue or resources that are allocated using a formula that is not filings-driven, such as reimbursed expenses for court interpreters or jury, should not be considered “available funding” for the purposes of comparing to workload need. Likewise, revenue sources such as civil assessments or enhanced collections, which are implemented based on local court decisions, are also not considered part of the “available funding” to be compared to workload need.” The subcommittee continued to review and revise the list of included and excluded funds beginning in September 2013, and further refined the excluded items into two sections: “Allocation or Reimbursement for Costs Not Captured by Workload Analysis” (non-WAFM) and “Items that Require Further Analysis” (see second and third pages of 5C). The six funds highlighted in green on the third page in 5C, “Items that Require Further Analysis”, are the funds not previously considered.

Recommendation

Review the six funding sources not previously considered for inclusion in or exclusion from the Judicial Council-approved equivalent, available WAFM funding and designate as “Items that Require Further Analysis” to be reviewed by the subcommittee in 2016–2017 as reflected in Item 3 of the work plan (see 1B).

Recommendation Rationale

As these are funds received by the trial courts, they should be recognized in the “Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements” table. However, there currently is not enough information available to the subcommittee for it to practically assess whether these fund sources are equivalent, available WAFM funding or not. Instead of considering these new items piecemeal at an upcoming meeting, it would be a more efficient use of the subcommittee’s time to consider these items with the other “Items that Require Further Analysis” as planned in 2016–2017 as reflected in Item 3 of the work plan (see attachments 1B and 5C).

**2013-14 Fund Sources Included and Excluded from WAFM
Judicial Council Report - April 26, 2013**

5B

f. The addition of costs that were not captured in the workload measurements, such as costs associated with programs or salaries funded through local revenues or that are funded by dedicated state funding sources, grant funding, county funding, and/or federal funding.

2. Identification of funding equivalent to the total filings based trial court costs:

In determining the available funding need, the subcommittee determined that any revenue or resources that are allocated using a formula that is not filings-driven, such as reimbursed expenses for court interpreters or jury, should not be considered “available funding” for the purposes of comparing to workload need. Likewise, revenue sources such as civil assessments or enhanced collections, which are implemented based on local court decisions, are also not considered part of the “available funding” to be compared to workload need. The funds that are not considered part of the allocation formula are shown in Appendix G.

The subcommittee identified the following categories of funding as comparable to workload-based funding need:

Table 1

Fund Category	Fund Source	Statewide Funding Amount for FY 13–14 Allocation
Current TCTF Program 45.10 Base Allocation	TCTF 45.10	1,694,659,219
<i>Items subtracted from base allocation:</i>		
Security Base (FY 10–11) Adjustment		(40,983,089)
SJO Adjustment (does not include compensation for AB 1058 commissioners)		(64,674,907)
Projected \$261M Reduction based on Governor Budget Proposal		(261,000,000)
Self-help	TCTF 45.10	2,500,000
Replacement of 2% Automation	TCTF 45.10	10,907,494
Automated Recordkeeping and Micrographics Distribution (FY 11–12)	TCTF 45.10	3,160,318
Benefits Base Allocation (FY 10–11 and FY 11–12)	General Fund	68,818,575
Benefits Base Allocation (Confirmed as of 1/31/2013)	General Fund	23,199,967
Benefits Base Allocation (Unconfirmed as 1/31/2013)	General Fund	483,174
Total		1,437,070,751

3. Comparison of estimated funding need in filings-driven workload and actual funding in the equivalent categories:

**2013-14 Fund Sources Included and Excluded from WAFM
Judicial Council Report - April 26, 2013**

5B

Funds Not Considered Part of Allocation Formula with Statewide Dollar Amounts for FY 13-14
Allocation (dollar amounts subject to change each fiscal year)

Fund Description	Fund Source	Statewide Amount (For FY 13-14 Allocation Process)
Security Base Adjustment	TCTF (45.10)	40,983,089
SJO Adjustment (AB 1058 Commissioner Compensation Removed)	TCTF (45.10)	64,674,907
Court-Appointed Counsel (including DRAFT)	TCTF (45.10)	105,283,990
Jury	TCTF (45.10)	14,931,289
Criminal Justice Realignment (one-time 12-13)	TCTF (45.10)	9,073,000
\$30 court reporter fee	TCTF (45.10)	-
Fees Retained by Courts	TCTF (45.10)	17,966,453
Civil Assessments	TCTF (45.10)	95,220,404
Children's waiting room	TCTF (45.10)	4,027,799
Telephonic Appearance	TCTF (45.10)	943,840
Court Interpreters	TCTF (45.45)	89,286,025
Civil Case Coordination	TCTF (45.55)	647,697
Family Law Information Centers	TCTF (45.55)	320,000
Model Self-Help	TCTF (45.55)	891,000
Complex Civil Litigation	IMF	4,001,010
Self-Help	IMF	5,005,141
AB 1058 Child Support Commissioner, Family Law Facilitator, and Staff	GF	48,474,319
Prisoners' Hearings	GF	1,408,137
Service of Process	GF	1,638,813
Interest Income	Local	3,568,960
Investment Income	Local	6,126
Local Fees	Local	60,024,529
Non-Fee Revenues	Local	17,670,937
Enhanced Collections	Local	49,202,024
County Program - Restricted	Local	24,847,948
Reimbursement Other	Local	27,415,279
Other Miscellaneous	Local	14,423,980
Total (not compared to funding need)		701,936,697

Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements 5C
 (amounts subject to change each fiscal year, updated 11/20/2015)

#	Resource	Fund Source	Statewide Amount (For 2015-16 Allocation Process)*	Notes
1	<i>1. Allocation for Costs Captured by Workload Analysis (including associated (OE&E))</i>			
2	Current TCTF Program 45.10 Base Allocation	TCTF (45.10)	1,614,580,054	
3	Benefits Base Allocation from General Fund	GF (45.10)	68,818,601	
4	SJO Adjustment (excludes compensation for AB 1058 commissioners) (updated by Office of Court Research, 5/5/15)	TCTF (45.10)	(58,793,118)	
5	Security Base Adjustment (12-13 allocation)	TCTF (45.10)	(40,983,089)	
6	\$90.6 Million in New Funding Offset by \$22.7 Million Revenue Shortfall	TCTF (45.10)	67,900,000	
7	\$38.8 Million in Benefits Cost Changes Funding	TCTF (45.10)	37,526,000	
8	Replacement of 2% Automation	TCTF (45.10)	10,907,494	
9	Self-Help	IMF (45.10)	5,000,000	
10	Prisoners' Hearings (15-16 appropriation)	GF (45.10)	2,728,000	
11	Self-Help	TCTF (45.10)	2,500,000	
12	Automated Recordkeeping and Micrographics Distribution (14-15 actual)	TCTF (45.10)	2,428,420	
13	Civil Case Coordination (15-16 appropriation)	TCTF (45.55.100)	832,000	
14	Cost of Homicide Trials (15-16 appropriation)	GF (45.10)	272,000	
15	TCTF Program 30.15 Allocations for Phoenix, CCTC, ICMS, and V3 maintenance and operations (less charges to courts)	TCTF (30.15)	-	
16	Annualization of 2014-15 Reduction for SJO Conversions	TCTF (45.10)	(817,737)	
17	Reduction for SJO Conversions	TCTF (45.10)	(1,283,668)	
18	<i>Subtotal, Allocation for Costs Captured by Workload Analysis</i>		<i>1,711,614,958</i>	

*Other than IMF revenues and Program 30.15 administrative infrastructure costs, excludes state trial court funding not distributed to courts (e.g., TCTF Program 45.25 (judges' compensation)) and one-time appropriations.

Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements 5C
 (amounts subject to change each fiscal year, updated 11/20/2015)

#	Resource	Fund Source	Statewide Amount (For 2015-16 Allocation Process)*	Notes
20	2. Allocation or Reimbursement for Costs Not Captured by Workload Analysis			
21	Court-Appointed Counsel (including DRAFT)	TCTF (45.10)	114,700,000	
22	Court Interpreters	TCTF (45.45)	95,855,000	
23	SJO Adjustment (excludes compensation for AB 1058 commissioners) (updated by Office of Court Research, 5/5/15)	TCTF (45.10)	58,793,118	
24	Estimated 2015-16 IMF revenue and transfers less self-help (\$5M allocation (15-16 IMF 1st Turn 10R less \$5 million)	IMF	61,424,000	
25	AB 1058 Child Support Commissioner and Family Law Facilitator Program (14-15 actual)	GF (45.55.010)	51,908,478	
26	Security Base Adjustment (12-13 allocation)	TCTF (45.10)	40,983,089	
27	Jury	TCTF (45.10)	14,500,000	
28	Criminal Justice Realignment	TCTF (45.10)	9,223,000	
29	Children's Waiting Room (14-15 actual)	TCTF (45.10)	3,093,995	
30	Service of Process (14-15 actual)	GF (45.10)	1,865,131	
31	Enhanced Collections (14-15 Phoenix)	Local	50,544,725	
32	County Program - Restricted (14-15 Phoenix)	Local	19,060,891	
33	Reimbursement Other (14-15 Phoenix)	Local	18,904,863	
34	Other Miscellaneous (14-15 Phoenix)	Local	13,499,994	
35	Subtotal, Allocation for Costs Not Captured by Workload Analysis		554,356,283	

*Other than IMF revenues and Program 30.15 administrative infrastructure costs, excludes state trial court funding not distributed to courts (e.g., TCTF Program 45.25 (judges' compensation)) and one-time appropriations.

Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements 5C
 (amounts subject to change each fiscal year, updated 11/20/2015)

#	Resource	Fund Source	Statewide Amount (For 2015-16 Allocation Process)*	Notes
37	3. Items that Require Further Analysis			
38	Civil Assessments (14-15 actual)	TCTF (45.10)	111,945,969	
39	Fees Retained by Courts (14-15 actual)	TCTF (45.10)	23,004,303	
40	Replacement Screening Stations	TCTF (45.10)	2,286,000	Not addressed previously
41	Parolee Reentry Court Program CDCR (14-15 actual)	GF (45.55.020)	1,972,809	
42	Collaborative Courts-Substance Abuse Programs (14-15 actual)	GF (45.55.020)	1,128,748	
43	Recidivism Reduction Program	RRF (45.10)	1,300,000	Not addressed previously: new appropriation in 2014-15
44	Model Self-Help	TCTF (45.55.065)	957,000	
45	Telephonic Appearance	TCTF (45.10)	943,840	
46	Juvenile Dependency Counsel Collections (including DRAFT)	TCTF (45.10)	872,692	Not addressed previously: new allocation in FY 2013-14
47	California Justice Corps (14-15 actual)	GF (45.55.070)	858,520	Not addressed previously
48	Federal Child Access and Visitation Grant Program (14-15 actual)	FTF (45.55.030)	770,000	
49	California Adult Reentry Drug Court Project (14-15 actual)	FTF (45.55.080)	479,299	Not addressed previously
50	Family Law Information Centers	TCTF (45.55.095)	345,000	
51	Elder Abuse Filings	TCTF (45.10)	332,340	
52	Local Fees (14-15 Phoenix)	Local	58,315,578	
53	Non-Fee Revenues (14-15 Phoenix)	Local	16,394,838	
54	Non-Judicial Council Grants (14-15 Phoenix)	Local	7,171,367	
55	Interest Income (14-15 Phoenix)	Local	2,077,860	
56	Escheatment (14-15 Phoenix)	Local	1,473,701	
57	Donations (14-15 Phoenix)	Local	390,375	
58	Prior Year Revenue (14-15 Phoenix)	Local	(1,813,247)	
59	Sale of Fixed Assets (14-15 Phoenix)	Local	209,299	
60	Investment Income (14-15 Phoenix)	Local	-	
61	Subtotal, Items that Require Further Analysis		231,416,291	
62				
63	Grand Total		2,497,387,532	

*Other than IMF revenues and Program 30.15 administrative infrastructure costs, excludes state trial court funding not distributed to courts (e.g., TCTF Program 45.25 (judges' compensation)) and one-time appropriations.

Item 6
Fund Expenditures Included in WAFM OE&E per FTE
(Action Item)

Issue

Should the subcommittee review funds included, but not previously considered or reviewed, in the Judicial Council-approved methodology for calculating WAFM operating expenses and equipment (OE&E) per full-time equivalent (FTE) and identify those funds determined not to be part of the equivalent, available WAFM funding and those requiring further analysis by the subcommittee?

Previous Judicial Council and Trial Court Budget Advisory Committee Action

At its business meeting on April 26, 2013, the Judicial Council approved the WAFM methodology which included in its original report on pages 40 through 44 the explicit inclusion and exclusion of specific Phoenix Financial System expenditure codes as well as the acknowledgement that any other items not listed were by default included in the WAFM OE&E expenditure calculation (see 3B). In addition, included in the original April 26, 2013 Judicial Council report on pages 7 and 52 were the inclusion and exclusion of specific fund sources in identifying equivalent, available WAFM funding. In calculating the WAFM OE&E per FTE amount, expenditure code WAFM designations of included or excluded were utilized to determine which amounts of the courts' expenditure information should be used, but fund WAFM designations were not considered and OE&E expenditures from all funds were retrieved in calculating the WAFM OE&E per FTE amounts.

Recommendation

Review funds included, but not previously considered or reviewed, in the Judicial Council-approved methodology for calculating WAFM OE&E per FTE and identify those funds determined not to be part of the equivalent, available WAFM funding and those requiring further analysis by the subcommittee.

Recommendation Rationale

The subcommittee has previously identified for the Judicial Council funds that are part of the equivalent, available funding and those not included in the WAFM allocation as reflected in the "Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements" (see 5B and 5C). Attachments 6B and 6C provide a reconciliation at the summary level and the detail level between the funds and subfunds identified in the Phoenix Financial System and the fund sources identified and designated in 5C, "Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements" and provides the WAFM designation of those fund sources that support the funds and subfunds. To the extent OE&E expenditures are supported by funds considered non-

WAFM and/or “Items that Require Further Analysis”, the subcommittee should consider whether those specific OE&E expenditures are to be excluded from the amount used to calculate the WAFM OE&E per FTE amount.

Attachment 6D reflects three options for the subcommittee’s consideration regarding the inclusion and exclusion of funds from the WAFM OE&E per FTE amount calculation. The first option reflects no change from the current approach in which all funds are included, the second option excludes those funds identified as non-WAFM (see columns D through G), and the third option excludes both the non-WAFM funds and the “Items that Require Further Analysis” funds (see columns H through K). The change in the WAFM OE&E per FTE amount based on the options A and B methodologies provided in Item 2 is provided in the first two columns of each option (see columns B through E, H and I, line 10, attachment 6D). In addition, for options 2 and 3, the estimated WAFM funding need change from option 1 is provided in the third and fourth columns. Under these options, the WAFM funding need would decrease by between \$30.5 million and \$72.8 million (see columns F and G, J and K, lines 8 and 9, attachment 6D).

FY 2014-15 Phoenix Expenses for WAFM OE&E Designations by Fund Summary

		Information Located in Attachment 5C		
Fund Description	Amount	Revenue Source	Line #	Revenue Source - Designation
Capital Projects	399,870.80	Debt service on Capital Outlay	N/A	Non-WAFM
Enterprise	0.00			
General	310,454,602.57			
	295,257,045.31	Multiple Section 1 Items	2-7	WAFM
	15,197,557.26	Mostly in Local Fees	52	Items that Requires Further Analysis
Grant	15,757,136.41	Judicial Council Grants, Non-Judicial Council Grants, and Reimbursement Other	25, 33, 41-42, 44, 47-50, 54	Items that Requires Further Analysis
Internal Service	1,927.04	County Program - Restricted	32	Non-WAFM
Proprietary	7,352,826.83	Trial court benefits	N/A	WAFM, but not OE&E
Special Revenue	23,093,914.73			
	18,236,361.97	Enhanced Collections, County Program - Restricted, Children's Waiting Room, and Reimbursement Other	29, 31-33	Non-WAFM
	3,129,807.78	Replacement of 2% Automation and Automated Recordkeeping and Micrographics	8, 12	WAFM
	1,727,744.98	Mostly in Local Fees, Donations, Non-Fee Revenues	52-53, 56	Items that Requires Further Analysis

357,060,278.38

298,386,853.09

WAFM

25,990,986.64

Non-WAFM

32,682,438.65

Items that Require Further Analysis

357,060,278.38

Total

FY 2014-15 Phoenix Expenses for WAFM OE&E Designations by Fund

		Information Located in Attachment 5C		
Fund and Subfund Description	Amount	Revenue Source	Line #	Revenue Source - Designation
Capital Projects	399,870.80			
Capital Fund	399,870.80	Debt service on Capital Outlay	N/A	Non-WAFM
Enterprise	0.00			
EZ Legal	0.00	Interest Income	55	Item that Requires Further Analysis
General	310,454,602.57			
General Fund - NTCTF	15,197,557.26	Mostly in Local Fees	52	Item that Requires Further Analysis
General Fund - TCTF	295,257,045.31	Multiple Section 1 Items	2-7	WAFM
Grant	15,757,136.41			
DOJ - Juvenile Drug Court Implementation	(0.00)	Non-Judicial Council Grants	54	Item that Requires Further Analysis
Drug Court Discr Grant	45,876.88	Non-Judicial Council Grants	54	Item that Requires Further Analysis
Grant Arrest Policy	(32,621.86)	Non-Judicial Council Grants	54	Item that Requires Further Analysis
SAMHSA	135,193.29	Non-Judicial Council Grants	54	Item that Requires Further Analysis
US DOJ - Local Law Enforcement Block Grant	1,506.15	Non-Judicial Council Grants	54	Item that Requires Further Analysis
Judicial Council Grant	12,479,655.77	\$9.1 million AB 1058, \$3.4 million other Judicial Council grants	25, 41-42, 44, 47-50	Non-WAFM & Items that Require Further Analysis, AB 1058 adjustment separate
Federal Grant	2,201,575.99	Non-Judicial Council Grants	54	Item that Requires Further Analysis
State Grant	154,041.48	Non-Judicial Council Grants	54	Item that Requires Further Analysis
Local Government Grant	548,055.22	Non-Judicial Council Grants and Reimbursement Other	54 & 33	Item that Requires Further Analysis
Private Grant	223,853.49	Non-Judicial Council Grants	54	Item that Requires Further Analysis
Internal Service	1,927.04			
Internal Service	1,927.04	County Program - Restricted	32	Non-WAFM
Proprietary	7,352,826.83			
Retiree Self Health Insurance	265,367.86	Trial court benefits	N/A	WAFM, but not OE&E
Self Health Insurance	7,087,458.97	Trial court benefits	N/A	WAFM, but not OE&E
Special Revenue	23,093,914.73			
2% Automation	2,318,921.44	Replacement of 2% Automation	8	WAFM
Alternative Defense Program	1,604,793.59	County Program - Restricted	32	Non-WAFM
Childrens Court Parking Fund	0.00	Other Miscellaneous	34	Non-WAFM
Children's Waiting Room	3,810,596.39	Children's Waiting Room	29	Non-WAFM
Court Reporter Salary Revolving Fund	0.00	Local Fees	52	Item that Requires Further Analysis
Court Warrant System	58,250.17	Local Fees	52	Item that Requires Further Analysis
Dispute Resolution (DRPA)	668,321.00	County Program - Restricted	32	Non-WAFM
Donations - NTCTF	72,497.32	Mostly in Donations	56	Item that Requires Further Analysis
Enhanced Collections	7,475,355.66	Enhanced Collections	31	Non-WAFM
Grand Jury	293,601.27	County Program - Restricted	32	Non-WAFM
Other County Service	854,787.36	County Program - Restricted & Reimbursement Other	32-33	Non-WAFM
Pre-Trial Services	47,946.63	County Program - Restricted	32	Non-WAFM
Service of Process	13,985.28	Mostly in Reimbursement Other	33	Non-WAFM
Small Claims Advisory	41,732.28	County Program - Restricted	32	Non-WAFM
Teen Court	12,857.48	Mostly Non-Fee Revenues	53	Item that Requires Further Analysis
Traffic Violator Fee	243,743.47	Local Fees and other items	52	Item that Requires Further Analysis
Court Facilities Maintenance Fund	3,425,242.51	Reimbursement Other	33	Non-WAFM
Special Revenue Fund-Other	1,340,396.54	Mostly in Local Fees and other items	52	Item that Requires Further Analysis
Automated Record/Micrographics	810,886.34	Automated Recordkeeping and Micrographics	12	WAFM
	357,060,278.38			
	298,386,853.09	WAFM		
	25,990,986.64	Non-WAFM		
	32,682,438.65	Items that Require Further Analysis		
	357,060,278.38	Total		

WAFM Operating Expenses and Equipment Excluded Funds Options

#		OPTION 1 - NO CHANGE			OPTION 2				OPTION 3			
		ATTACHMENT 2D OPTIONS ADJUSTED THRU ATTACHMENT 4D NO FUNDS EXCLUDED			NON-WAFM FUNDS EXCLUDED		CHANGE FROM OPTION 1		NON-WAFM FUNDS AND ITEMS THAT REQUIRE FURTHER ANALYSIS EXCLUDED		CHANGE FROM OPTION 1	
		FY 2015-16 WAFM OE&E (2011-12 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹
	Col. A	Col. B	Col. C	Col. D	Col. E	Col. F	Col. G	Col. H	Col. I	Col. J	Col. K	
1	OE&E per FTE											
2	Cluster 1	27,928.05	32,988.88	30,569.25	31,839.85	29,625.12	(1,149.03)	(944.13)	24,922.36	24,124.59	(8,066.51)	(6,444.66)
3	Clusters 2 - 4	20,287.27	23,619.33	22,342.00	21,884.09	20,691.80	(1,735.24)	(1,650.20)	19,766.69	18,601.78	(3,852.64)	(3,740.22)
4	FY 2015-16 RAS FTE Need											
5	Cluster 1	266	266	266	266	266	266	266	266	266	266	266
6	Clusters 2 to 4	18,337	18,337	18,337	18,337	18,337	18,337	18,337	18,337	18,337	18,337	18,337
7	FY 2015-16 OE&E Need											
8	Cluster 1	7,428,861	8,775,042	8,131,421	8,469,401	7,880,282	(305,641)	(251,139)	6,629,349	6,417,141	(2,145,693)	(1,714,280)
9	Clusters 2 to 4	372,007,614	433,107,684	409,685,254	401,288,582	379,425,537	(31,819,102)	(30,259,717)	362,461,794	341,100,840	(70,645,891)	(68,584,414)
10	Change from FY 2015-16 OE&E		62,446,252	38,380,200	30,321,508	7,869,344	N/A	N/A	(10,345,332)	(31,918,493)	N/A	N/A
11	Cluster 1	-	1,346,181	702,560	1,040,540	451,421	N/A	N/A	(799,512)	(1,011,720)	N/A	N/A
12	Clusters 2 to 4	-	61,100,071	37,677,640	29,280,968	7,417,923	N/A	N/A	(9,545,820)	(30,906,774)	N/A	N/A

¹ Basis refers to the fiscal year or years courts' 4th quarter Quarterly Financial Statement and Schedule 7A data was used.

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Item 7
Civil Assessments and WAFM
(Discussion Item)

Issue

Assign three members to review civil assessments with the sole objective of determining whether civil assessments should be included in each court's equivalent, available WAFM funding amount. This effort relates to Item 3 for 2015-2016 of the Funding Methodology Subcommittee's work plan, "[e]valuate the impact of civil assessments as it relates to the Workload-based Allocation and Funding Methodology (WAFM)." The members will report back to the subcommittee with any findings at its meeting planned for the end of February 2016 with the intention of reporting to the Trial Court Budget Advisory Committee at its March 10, 2016 business meeting.