

IT Modernization Funding Program: FY 2023-24 Cycle

Judicial Council Technology Committee

March 3, 2023



Purpose and Agenda

Prepare members to consider setting priorities for the IT Modernization program FY 2023-24 cycle

- Overview program (principles, requirements)
- Branch data and considerations
- Discuss/answer questions
- Review timeline and next steps

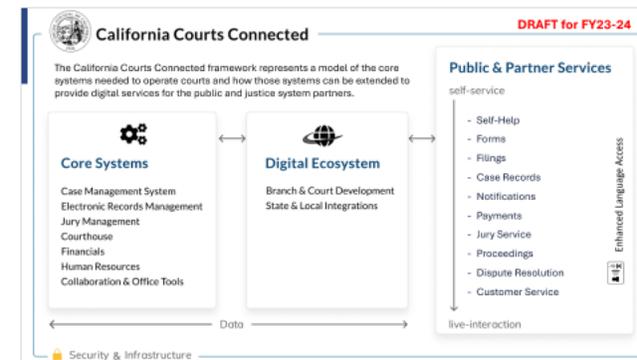
IT Mod Fund: Local Court Projects

\$12.5 million budgeted and potentially allocated annually

Appellate and trial courts submit project proposals

Aligns to judicial branch:

- Strategic and Tactical Plans for Technology
- California Courts Connected (CCC) framework





California Courts Connected

DRAFT for FY23-24

The California Courts Connected framework represents a model of the core systems needed to operate courts and how those systems can be extended to provide digital services for the public and justice system partners.



Guiding Principles for the program

- Allocate funding based on the CCC framework
- Promote courts' ability to be innovative and forward-looking
- Take an adaptive approach to distribution methodology
- Align with Strategic and Tactical Plans; and Access 3D
- Collaborate, collaborate, collaborate!
- Keep it simple – support a streamlined and efficient process
- Be responsive to court priorities

Project Requirements for courts

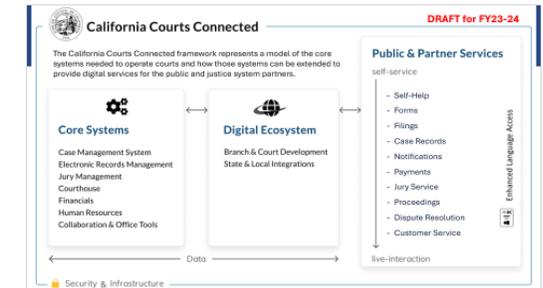
- Benefit the public
- Comply with branchwide policies and standards
- Be vetted and approved by the Technology Committee
- Fall within at least one of the approved program categories
- Initiate project activities immediately after approved (July 2023)
- Show demonstrable progress by the following quarter (October 2023)
- Expend or encumber funds by end of the fiscal year (June 30, 2024)
- Project completion by end of the third fiscal year (June 30, 2026)
- Report quarterly on measurable, successful outcomes

Branch Data and Considerations



1. Court Technology Assessment Tool

- Formerly “court technology inventory”
- Courts self-assess local technology posture, local priorities, funding needs
- Aligns to the CCC framework categories
- Response rate
 - Appellate Courts-** 100% - all Courts of Appeal and Supreme Court
 - Trial Courts-** 94% (55 of 58 courts; missing Marin, Santa Clara, Plumas)



Results: Technology Priorities

Categories listed most frequently as court priorities

Appellate and Trial Courts	
CMS and Extensions	37
Electronic Records Management	26
Infrastructure	25
Cyber/Information Security	19
Proceedings	19



Appellate Courts	
CMS and Extensions	4
Electronic Records Management	4
Infrastructure	4
Cyber/Information Security	3
Collaboration & Office Tools	2
Data	2

Trial Courts	
CMS and Extensions	33
Cyber/Information Security	16
Electronic Records Management	22
Infrastructure	21
Proceedings	19

CMS = Case Management Systems

Results: Funding Needs

Categories where funding for FY 23-24 was most requested

Appellate and Trial Courts			
	Yes	Maybe	No
Infrastructure	16	4	1
Proceedings	16	2	
Electronic Records Management	14	3	2
CMS and Extensions	11	4	14
Cyber/Information Security	9		2



Appellate Courts			
	Yes	Maybe	No
Electronic Records Management	1	1	1
Infrastructure	1	1	
CMS and Extensions		1	
Filings		1	
Websites		1	
Data			1

Trial Courts			
	Yes	Maybe	No
Proceedings	16	2	
Infrastructure	15	3	1
Electronic Records Management	13	2	1
CMS and Extensions	11	3	14
Cyber/Information Security	9		2

CMS = Case Management Systems

2. Other Funding Considerations

- AB 716 – funding for audio upgrades
- IT Modernization Fund – for branchwide Information Security Office
- Language Access & Signage Technology Grant
- Jury Management Systems Grant
- Model Self-Help Grant

Priorities set last year and result

Branch priorities last cycle

- Electronic Records Management (includes digitization of court records)
- Remote Access
 - Remote Appearances
 - Remote Access to Proceedings (for the public)
 - Remote Records Access and Search
- Infrastructure (ensuring components in place to support and connect systems and services)
- Innovative Branch Solutions

Distribution methodology:

Funded \$5m for digitization of records, small court priority #1s without digitization; and remainder as pro rata

Overall data

Category	Top Funding Needs	Top Local Technology Priorities	Last Year's Branch Priorities
Infrastructure	16	25	Y
Proceedings	16	19	Y
Electronic Records Management	14	26	Y
CMS and Extensions	11	37	Y
Cyber/Information Security	9	19	N

Discussion

Do you want to set priorities?

If so, what might that look like for this cycle?

This is not a discussion/decision on funding.

Timeline

WE
ARE
HERE

February Preparations

- Court Technology Assessment Tool

March Kickoff New Program Cycle

- Tech Comm finalize branch priorities (March 13)
- Outreach to branch for ITAC Workstream participation
- Branchwide webinar
- Application opens (est. March 27)

April Applications

- ITAC Workstream Kickoff and information on evaluation process
- Application closes (est. April 21)
- Tech Comm education on types of allocation methodologies (April or May)

May Review

- ITAC Workstream reviews project proposals

June Review & Recommendations

- ITAC approves project recommendations
- Tech Comm approves project recommendations and allocations

July Approvals

- Judicial Council reviews and approves allocations

August-September Disbursements

- Intra-Branch Agreements (IBAs) executed and funds distributed

Remainder of FY Progress Reports

Workstream meets to review progress reports in:

- November 2023
- February 2024
- May 2024

- **Next Step** – March 13 public meeting to set branch priorities

Boxes indicate Technology Committee activities.

Questions & Discussion

Thank you!