



JUDICIAL COUNCIL OF CALIFORNIA

TECHNOLOGY COMMITTEE

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JUDICIAL COUNCIL TECHNOLOGY COMMITTEE

AGENDA

Open to the Public (Cal. Rules of Court, rule 10.75(c)(1))

THIS MEETING IS BEING RECORDED

Date: April 14, 2016
Time: 9:00 a.m. – 10:30 a.m.
Location: Sequoia Room, Judicial Council Conference Center, 455 Golden Gate Avenue, San Francisco, CA 94102-3688
Public Call-In Number 1-877-820-7831; Passcode: 3511860

Meeting materials will be posted on the advisory body web page on the California Courts website at least three business days before the meeting.

Agenda items are numbered for identification purposes only and will not necessarily be considered in the indicated order.

I. OPEN MEETING (CAL. RULES OF COURT, RULE 10.75(C)(1))

Call to Order and Roll Call

Approval of Minutes

Approve minutes of the March 25, 2016 meeting.

II. PUBLIC COMMENT (CAL. RULES OF COURT, RULE 10.75(K)(2))

Public Comment

Members of the public requesting to speak during the public comment portion of the meeting must place the speaker's name, the name of the organization that the speaker represents if any, and the agenda item that the public comment will address, on the public comment sign-up sheet. The sign-up sheet will be available at the meeting location at least 15 minutes prior to the meeting start time. The Chair will establish speaking limits at the beginning of the public comment session. While the advisory body welcomes and encourages public comment, time may not permit all persons requesting to speak to be heard at this meeting.

Written Comment

In accordance with California Rules of Court, rule 10.75(k)(1), written comments pertaining to any agenda item of a regularly noticed open meeting can be submitted up to one complete business day before the meeting. For this specific meeting, comments should be e-mailed to jctc@jud.ca.gov or mailed or delivered to 455 Golden Gate Avenue, San Francisco, CA 94102-3688, attention: Jessica Craven Goldstein, c/o

Conference Support Services Unit. Only written comments received by 9:00 a.m. on Wednesday, April 13, 2016 will be provided to advisory body members prior to the start of the meeting.

III. AGENDA ITEMS

DISCUSSION AND POSSIBLE ACTION ITEMS (ITEMS 1 – 2)

Item 1

Chair Report

Provide update on activities of or news from the Judicial Council, advisory bodies, courts, and/or other justice partners.

Presenter: Hon. Marsha G. Slough, Chair, Judicial Council Technology Committee

Item 2

Seven Court Consortium Request for Funding for Information Technology Infrastructure & Scenarios for Eventual Elimination of Subsidies from Trial Court Trust Fund (TCTF) and Improvement and Modernization Fund (IMF) for the Interim Case Management System (ICMS or Sustain Justice Edition) and Managed Court Program (Hosting) (Action Required)

Review possible scenarios for the elimination of subsidies from TCTF and IMF and Managed Court Program (Hosting), developed in response to Judicial Council directive, and related consideration of the request for funding to support the Placer Proposal for a seven court hosting consortium, for possible referral for comment by the Trial Court Budget Advisory Committee (TCBAC) and/or recommendation to the Judicial Council.

Presenter: Mr. Jake Chatters, Court Executive Officer, Placer Superior Court

IV. ADJOURNMENT OF OPEN MEETING SESSION

Adjournment to Nonpublic Session

CLOSED/NONPUBLIC SESSION

V. INFORMATION ONLY ITEMS (NO ACTION REQUIRED) (INFO 1)

Info 1

Branch Technology Related Projects Update

A report on the status of current and future technology related projects from advisory bodies other than ITAC.

Presenter: Hon. Marsha G. Slough

VI. ADJOURNMENT

Adjournment of Meeting



JUDICIAL COUNCIL OF CALIFORNIA

TECHNOLOGY COMMITTEE

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JUDICIAL COUNCIL TECHNOLOGY COMMITTEE

MINUTES OF OPEN MEETING

March 25, 2016

12:00 - 1:00 PM

Teleconference

Advisory Body Members Present: Hon. Marsha G. Slough, Chair; Hon. Daniel J. Buckley, Vice-Chair; Mr. Mark G. Bonino; Mr. Jake Chatters; Hon. Ming W. Chin; Hon. David E. Gunn; Hon. Gary Nadler; and Ms. Debra Elaine Pole

Advisory Body Members Absent: Mr. Richard D. Feldstein

Others Present: Mr. Mark Dusman, Ms. Virginia Sanders-Hinds; Ms. Renea Stewart; Ms. Kathy Fink, Ms. Jamel Jones; Mr. Zlatko Theodorovic; Mr. David Yamasaki; Mr. David Koon; Mr. Robert Oyung; Mr. Patrick O'Donnell; Ms. Tara Lundstrom; and Mr. Michael Derr

OPEN MEETING

Call to Order and Roll Call

The chair called the meeting to order, took roll call, and advised one public comment received that was circulated to members prior to the meeting for their consideration.

Approval of Minutes

The advisory body reviewed and approved the minutes of the February 8, 2016 meeting, as well as the March 7 2016, and March 14, 2016 Judicial Council Technology Committee Action by Emails.

DISCUSSION AND ACTION ITEMS (ITEMS 1-7)

Item 1

Chair Report

Update: Hon. Marsh G. Slough provided an update to members. There was one public comment received that was circulated to members prior to the meeting for their consideration.

Item 2

Update/Report on Information Technology Advisory Committee (ITAC)

Update: Hon. Terence L. Bruiniers, Chair of ITAC, provided an update and report on the activities of the advisory committee, its subcommittees, and its workstreams.

Action: The committee discussed the activities of ITAC and received the report.

Item 3

Consortium of Seven Court Information Technology Infrastructure (Action Required)

Update: This item was deferred to the April 14 in person meeting.

Item 4

Update on Civil Case Management System (V3) Replacement Budget Change Proposal

Update: Justice Slough provided the update on behalf of Mr. Rick Feldstein. Mr. Feldstein, JCTC and Trial Court Budget Advisory Committee (TCBAC) member as well as CEO of Napa Court has been a key part of the BCP to replace the V3 civil case management system. The V3 BCP was submitted to the Department of Finance, who have made some inquiries that were addressed by Judicial Council Information Technology staff.

Item 5

Update on Sustain Justice Edition Case Management System

Update: Mr. David Koon, Manager in Judicial Council Information Technology, provided an update on the work being done to issue the Request for Proposal (RFP). Plumas and Sierra courts are leading this effort. They are using the RFP used by other courts as a baseline and currently defining the scope and business requirements. The goal is to issue this in early April then continue the work on the BCP to replace the SJE case management system.

Item 6

Improvement and Modernization Fund Budget Review: Telecommunications Program

Update: Mr. Michael Derr, Principal Manager in Judicial Council Technology Information, provided a summary of the telecom program. A large spike in expenses for FY 16-17 was projected as a result of hardware needing to be refreshed. Expenditures were forecast that significantly exceeded the available budget. Working with court IT managers to close the budget gap, a solution to reduce the FY16-17 budget and establish a more stable spending pattern moving forward was adopted. Also in collaboration with the court IT managers, three options were reviewed to address the remaining deficit. This team is also looking at options to avoid future spikes in the budget.

Item 7


Remote Video Proceedings Pilot Project

Update: Ms. Tara Lundstrom, Attorney in Judicial Council Legal Services, updated the committee that the pilot project went to a standing rule of court. So far Fresno is still the only court. Courts participating will have to submit reports under the rule. Fresno is leveraging their proceedings, received Federal grant for a rural site. Courts will no longer need to apply, only notify and provide annual reports.

A D J O U R N M E N T

There being no further business, the meeting was adjourned.

Judicial Council Technology Committee Open Meeting

The background of the slide features a large, faint, circular seal of the Judicial Council of Pennsylvania. The seal contains a central figure holding a scale and a sword, surrounded by the text "JUDICIAL COUNCIL OF PENNSYLVANIA" and the year "1926".

April 14, 2016

Call to Order and Roll Call

- Welcome
- Open Meeting Script
- Approve minutes

*Hon. Marsha G. Slough, Chair, Judicial Council Technology
Committee*



JUDICIAL COUNCIL
OF CALIFORNIA

Chair Report

Hon. Marsha G. Slough



JUDICIAL COUNCIL
OF CALIFORNIA

Action: Court Consortium Request for Funding for Information Technology Infrastructure & Scenarios for Eventual Elimination of Subsidies from Trial Court Trust Fund (TCTF) and Improvement and Modernization Fund (IMF) for the Interim Case Management System (ICMS or Sustain Justice Edition) and Managed Court Program (Hosting)

Mr. Jake Chatters, Court Executive Officer, Plumas Superior Court



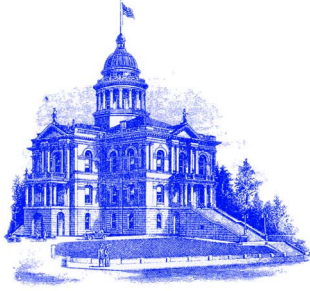
JUDICIAL COUNCIL
OF CALIFORNIA

Adjourn

All



JUDICIAL COUNCIL
OF CALIFORNIA



Superior Court of the State of California
In and For The County of Placer
Roseville, California

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March 1, 2016

Hon. Marsha Slough, Chair
Judicial Council Technology Committee

Hon. Jonathan Conklin, Chair
Trial Court Budget Advisory Committee

Re: Funding Request – Creation of Seven Court Information Technology
Infrastructure Consortium

Hon. Marsha Slough and Hon. Jonathan Conklin,

On behalf of the Superior Courts of Lake, Modoc, Plumas, San Benito, Sierra¹, and Trinity² (Hosted Courts), the Superior Court of Placer County (Placer Court) is requesting funding and/or Schedule C relief in the amount of \$238,500 in current year (FY 15/16) and \$498,000 in FY 16/17 to support the creation of the Placer Court Hosting Center (PCHC). The PCHC will provide a hosting location for six small Superior Courts' information technology (IT) infrastructure. The Hosted Courts join in this request.

This one time funding request will help to:

1. Support the Judicial Council's direction to the Judicial Council Technology Committee and the Trial Court Budget Advisory Committee to develop a plan for the eventual elimination of the Interim Case Management System (ICMS).
2. Reduce Improvement and Modernization Fund (IMF) expenditures related to costs for both the California Court Technology Center (CTCC) and the ICMS program.
3. Reduce annual IT related expenses for the Hosted Courts.

¹ Case management system only.

² Case management system only.

Funding Request: Creation of Seven Court Information Technology Infrastructure Consortium

Details of the request are provided on the attached Funding Request.

The Placer and Hosted Courts are requesting expedited review of this request to ensure work can begin in April 2016. Work must begin no later than April to ensure implementation is complete in time to provide relief to the IMF at the start of FY 17/18.

On behalf of the participating courts, we are prepared to answer any questions you or your Committees may have and will make ourselves available to any future meetings.

Thank you for your consideration,

Jake Chatters
Court Executive Officer
Placer Superior Court

Krista LeVier
Court Executive Officer
Lake Superior Court

Ronda Gysin
Court Executive Officer
Modoc Superior Court

Deborah Norrie
Court Executive Officer
Plumas Superior Court

Gil Solario
Court Executive Officer
San Benito Superior Court

Lee Kirby
Court Executive Officer
Sierra Superior Court

Staci Holliday
Interim Court Executive Officer
Trinity Superior Court

Funding Request
Creation of Seven Court Information Technology
Infrastructure Consortium
March 1, 2016

Submitted to:
Judicial Council Technology Committee
Trial Court Budget Advisory Committee

Submitted by the Superior Courts of:
Placer (lead), Lake, Modoc, Plumas, San Benito, Sierra, Trinity

Summary of Request

The Superior Court of Placer County (Placer Court) is requesting funding in the amount of \$238,500 in current year (FY 15/16) and \$498,000 in FY 16/17 to support the creation of the Placer Court Hosting Center (PCHC). The PCHC will provide a hosting location for six small Superior Courts' information technology (IT) infrastructure. Participating in this effort are the Superior Courts of Lake, Modoc, Plumas, San Benito, Sierra³, and Trinity⁴ (Hosted Courts). The Hosted Courts join in this funding request.

This request is consistent with the Judicial Council's Technology Governance and Funding Model and the Judicial Council's Strategic Plan for Technology (2014-2018), Judicial Council's April 2014 directive to the Judicial Council Technology Committee (JCTC) to "eventually eliminate subsidies from the TCTF and IMF for both V-3 and ICMS" and with actions taken by the Judicial Council at its February 19, 2015 meeting that directed the JCTC and the Trial Court Budget Advisory Committee (TCBAC) to form a group "to focus on information technology (IT) efficiencies and cost saving measures for smaller courts."

Background and Program Components

The Superior Courts of Lake, Modoc, Plumas, San Benito, Sierra, and Trinity (Hosted Courts) rely on the California Court Technology Center (CTCC) and Judicial Council's Information Technology (JCIT) for most, if not all, of their technology infrastructure. The scope of the services varies by court but generally includes hosting of email, file servers, websites, jury management systems, case management systems, and other mission critical applications.

³ Case management system only.

⁴ Case management system only.

Charges for these services include both general hosting charges for baseline IT infrastructure and charges related to the SUSTAIN Justice Edition Case Management System, generally referred to in Judicial Council documents as the Interim Case Management System (ICMS) program.

Due to the ongoing deficit in the IMF, the TCBAC Revenue and Expenditure Subcommittee has undertaken detailed review of all expenditures from the IMF. This review highlighted that the Hosted Courts are not paying the full cost of either the IT infrastructure-related CTCC charges or the full cost of the ICMS program⁵. Significant dialogue between the JCTC, TCBAC, the Hosted Courts, and JCIT has resulted in a number of specific actions or directives from the Judicial Council. In particular the April 2014 directive to “eventually eliminate subsidies from the TCTF and IMF for both V-3 and ICMS” and its February 2015 directive that the JCTC and TCBAC form a group “to focus on information technology (IT) efficiencies and cost saving measures for smaller courts.”

Initial focus of the JCTC and TCBAC focused on the V3 courts due to the significantly higher cost of that program. The Hosted Courts, concerned about unknown and potentially large cost increases in future years continued to discuss and consider options for finding a stable IT infrastructure at lower cost.

In spring 2015, the Placer Superior Court extended an invitation to the eight ICMS-hosted courts to participate in an evaluation of a court-based IT hosting center for their case management system. The six Hosted Courts expressed interest in the evaluation. The Humboldt and Madera Superior Courts declined to participate at that time.

The Placer Superior Court IT staff met with each of the interested courts throughout the fall of 2015 to identify specific needs and construct an appropriate solution. This effort made it clear that hosting of the case management system alone did not address the Hosted Courts’ needs. Instead, to allow for the greatest cost savings and operational efficiency, any solution would need to include all IT infrastructure.

In December 2015, the Placer Superior Court provided a proposal to the Hosted Courts to create the Placer Court Hosting Center (PCHC). Under this proposal, the PCHC will provide:

- All servers, located at the Gibson Courthouse in Roseville.
- Hosting of Journal Technologies SUSTAIN SJE or eCourt⁶ case management system.

⁵ This review also highlighted that V3 courts were receiving an implicit subsidy for their case management system. Significant effort has been undertaken to support the move of V3 courts away from that solution and the CTCC. Those efforts are not discussed in any detail in this request.

⁶ Only courts currently using these programs were included in the analysis. The Placer Court currently uses both SJE and eCourt and has expertise in the establishment and maintenance of required servers.

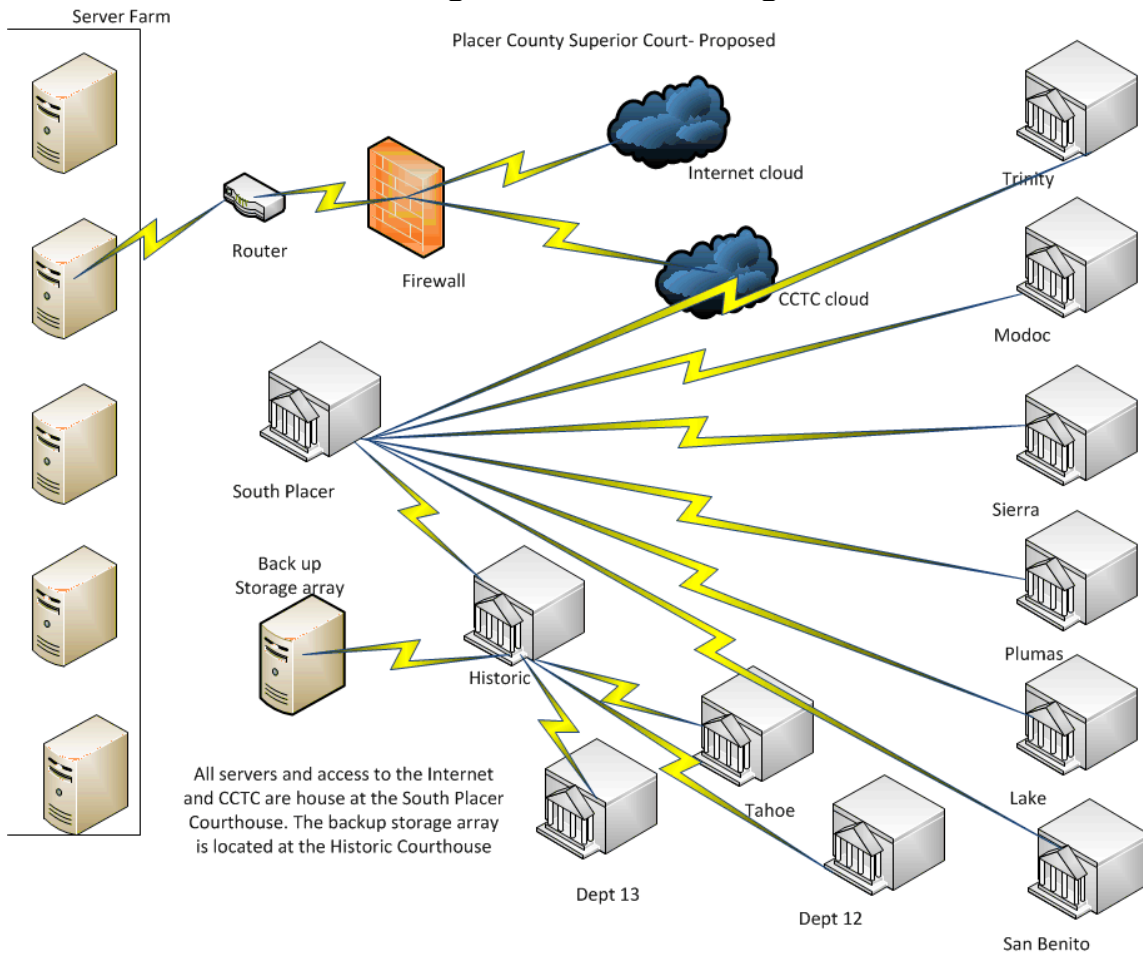
Funding Request: Creation of Seven Court Information Technology Infrastructure Consortium

- Uniform IT policies and security rules.
- Centralized connection to the PCHC, external connections running from the PCHC to the eventual location (for example, hosted court connects to the PCHC, which then connects to the Phoenix application).
- Services will be provided Monday – Friday, 7 am to 5 pm
- The PCHC would host (or manage contracts for hosting⁷) the following non-exclusive list:
 - Internet
 - Email, including archiving
 - File storage (i.e. reports, memos, etc)
 - Conduit to the California Courts Technology Center for connection to Phoenix (financial system) and the California Court Protective Order Registry
 - Connection to DMV
 - Journal Technologies SJE and/or eCourt case management system
 - Jury Management Systems
 - Document Management Systems
 - DNS
 - DHCP
 - Domain Naming
 - Jury instructions
 - Martin Dean Essential Forms
 - XSpouse
 - XArrears
 - Microsoft Office (routine purchase or Office 365 at Hosted Court preference)
 - Backup and recovery services
 - Website hosting.

Exhibit 1 provides a visual representation of the new PCHC.

⁷ Some applications may be purchased as software as a service to avoid the need for local installation.

Exhibit 1: Placer Court Hosting Center Network Diagram



The PCHS proposal included a court by court cost analysis for the transition from the CTCC to the PCHC for each court along with a five year projection of operating and replacement costs (see Financial Summary section).

In January 2016, all six Hosted Courts expressed their desire to move to the PCHC.

Financial Summary and Funding Request

Implementation Costs

The total one-time cost to bring the six courts into the PCHC is approximately **\$988,000**. Exhibit 2 provides a high-level summary of the deployment costs.

Exhibit 2: Total Implementation Costs

Cost Category	\$
Vendor Costs (All Courts)	\$393,000
Vendor Costs-Additional for San Benito/Lake	\$65,000
Staffing Costs	\$256,000
Hardware/Software Costs	\$274,000
Total	\$988,000

Implementation costs will be spread over two fiscal years as follows:

FY 15/16 -- \$450,000

FY 16/17 - \$538,000.

Ongoing Costs

Annual ongoing costs will be approximately \$373,000⁸. This cost will be allocated to each participating court on a per user basis. These costs are inclusive of all direct hardware, software, services, and staff costs.

By comparison, the six courts currently pay \$768,000 annually to the Judicial Council for hosting costs. The Judicial Council pays an additional \$373,000 annually for data center costs related to the ICMS that is paid for by the IMF⁹. It is unclear whether there are additional non-case management system hosting costs paid by the JCC that are not passed on to the court. Further the \$768,000 paid by the Hosted Courts does *not* include any costs related to JCC staff support of the ICMS. The PCHC is not intended to replace the work done by JCC staff to support the ICMS program, only to replace the data center costs.

Total annual ongoing costs for PCHC: \$373,000

Current CTCC annual costs related to ICMS and the Hosted Courts: \$1,141,000¹⁰

Moving to the PCHC would result in a significant savings for the Hosted Courts and the IMF. Assuming that only 60% of the CTCC costs can be avoided, there would be a savings of \$470,000 annually in data center costs alone. Providing a return on the

⁸ Does not include estimate of cost increases between current and start of project. Does include rough increase of 5% per year for inflation after Year 1. Year 5 will have a significantly higher cost due to routine hardware replacement. Does not include costs for CMS, DMS, JMS, or other software maintenance paid directly by hosted courts to their vendors. Also does not include Office 365, if hosted courts choose this option.

⁹ The ICMS Program receives IMF funding totaling \$1.039 million in FY 15/16. Of that total, \$373,000 is required for CTCC costs associated with the ICMS Program. The additional expenditures relate to staffing and consultants to support the ICMS Program. A separate effort is underway to replace the ICMS Program in a way that relieves expenses from the IMF. Further, the \$373,000 represents the total CTCC costs and includes charges necessary to support the two hosted courts that are NOT included in the PCHC.

¹⁰ Represents the costs paid by Hosted Courts for non-ICMS hosting costs and the ICMS hosting costs. Does not include expenditure by the JCC using IMF or other funds for non-ICMS hosting costs attributable to the Hosted Courts but not included in their Schedule C charges.

initial implementation costs in just 2.1 years. This is a low estimate given that there are likely other JCC costs related to hosting these courts that have not been identified.

Schedule

The intent of the participating courts is to complete the transition to the PCHC by June 30, 2017. This would enable the Judicial Branch to begin decommissioning elements of the CTCC beginning in January 2017 and complete the ramp down of the portions related to the participant courts effective July 1, 2017. To accomplish this goal, the courts have agreed to the following rough schedule:

- December 2015-January 2016 – Hosted Courts decision to move forward with concept.
- February-March 2016 – Development and execution of Intra-Branch Agreements.
- April-June 2016 – Infrastructure design and purchase.
- July-November 2016 – Network build, DMV interface for all courts built, design of data migration, SUSTAIN environment created at Placer Court for all other courts.
- December 2016-June 2017 – Courts moved onto PCHC, one per month.
- June 30, 2017 – All implementation activities complete
- July 1, 2017 – First year of program officially begins.

Funding Request

The Hosted Courts are requested funding and/or Schedule C relief of:

- **FY 16/17 - \$238,500**
- **FY 17/18 - \$498,000**

This request is for one-time funding and/or Schedule C relief to support the transition to the PCHC. No ongoing funding is requested.

The Hosted Courts are in very different financial positions, but have been able to identify the following funding for the one-time costs as outlined in Exhibit 3.

Exhibit 3: Funding Available // Requested By Fiscal Year

	Court	Funding Available	Remaining Cost
FY 15/16 Implementation Costs			\$ 450,000
	Lake	\$ 40,000	\$ 410,000
	Modoc	\$ 20,000	\$ 390,000
	Plumas/Sierra	\$ 71,500	\$ 318,500
	San Benito	\$ 55,000	\$ 263,500
	Trinity	\$ 25,000	\$ 238,500
	Total Funding Available 15/16	\$ 211,500	
	Funding Requested FY 15/16		\$ 238,500
FY 16/17 Implementation Costs			\$ 538,000
	Lake	\$ -	\$ 538,000
	Modoc	\$ 8,000	\$ 530,000
	Plumas/Sierra	\$ 17,000	\$ 513,000
	San Benito	\$ 15,000	\$ 498,000
	Trinity	\$ -	\$ 498,000
	Total Funding Available 16/17	\$ 40,000	
	Funding Requested FY 16/17		\$ 498,000
	TOTAL FUNDING REQUESTED		\$ 736,500

The Hosted Courts will continue to monitor their budgets for additional funding that may become available to support this project. Due to the need for the Placer Superior Court to execute contracts with third party vendors, the funding requests cannot wait until later in the current year. If the Hosted Courts identify additional available funding to provide to the project, the amount provided by Branch funds could be reduced by an equal amount.

The Hosted Courts and Placer Superior Court are open to any option on how best to provide the requested funding. Inter-branch agreements are being developed between the Placer Superior Court and each Hosted Court to allow for the provision of services and related payment. As such, the Committees may wish to provide funding to the Hosted Courts. Alternatively, in lieu of an additional allocation, an action could be taken to waive the Hosted Courts' Schedule C costs in both fiscal years. Finally, the requesting courts are not opposed to funding being provided directly to the Placer Superior Court for this project, should the Committees believe this the most effective course of action.

Closing

The Placer Superior Court and the Hosted Courts appreciate the opportunity to present this funding request and thank both the JCTC and TCBAC for their consideration and welcome the opportunity to provide additional detail or answer any of the Committees' questions.



Superior Court of the State of California
In and For The County of Placer
Roseville, California

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April 9, 2016

Hon. Marsha Slough, Chair
Judicial Council Technology Committee

Re: Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF for ICMS and Managed Court Program

Hon. Marsha Slough:

On March 1, 2016, the Placer Court Hosting Center (PCHC) project courts submitted a joint funding request to the Judicial Council Technology Committee (JCTC) and the Trial Court Budget Advisory Committee (TCBAC). The funding request raised a number of policy-related questions regarding the impact of the move of the PCHC participants on other ICMS courts.

Attached to this memorandum, please find a request that the Judicial Council Technology Committee approve a cooperatively developed plan for the eventual elimination of subsidies from the TCTF and IMF for the ICMS and Managed Court Programs. This request attempts to answer some of the questions raised and provides scenarios that meet the Judicial Council's directive to the JCTC while attempting to minimize the impacts on the local courts.

Although this policy-focused request and the potential impacts have been discussed with the impacted courts, the attached document has not been formally approved by those courts.

The attached could not have been prepared without extensive assistance and information from Judicial Council Information Technology staff, and I would like to express my appreciation for their time and efforts.

Please do not hesitate to contact me if you or the JCTC members have any questions.

Sincerely,

/s/

Jake Chatters
Court Executive Officer
Superior Court of Placer County

Attachment: Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF for ICMS and Managed Court Program (Hosting), April 9, 2016

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF for ICMS and Managed Court Program (Hosting)

April 9, 2016

Background

In April 2014 the Judicial Council directed the Judicial Council Technology Committee (JCTC) to “develop a plan to eliminate the subsidies from the IMF and the TCTF to courts for CCMS V3 and Sustain Justice Edition costs, and to make recommendations to the Judicial Council”. The Judicial Council expanded on this topic in February 2015 by issuing a directive that the JCTC and Trial Court Budget Advisory Committee (TCBAC) form a group “to focus on information technology (IT) efficiencies and cost saving measures for smaller courts.”

On March 1, 2016, a seven court consortium¹ submitted a funding request to the JCTC and TCBAC to support the creation of the Placer Court Hosting Center (PCHC), which would allow those courts to move away from the California Court Technology Center (CCTC) and reduce subsidies provided by the IMF in future years.

The March 1 funding request raised some questions about the impact of this move on the other courts participating in the ICMS and Managed Court Programs.

This document attempts to address those questions, presents a number of scenarios that would address the Judicial Council’s 2014 directive, and concludes with a request for action by the JCTC.

This request is being submitted as supplemental information to the March 1, 2016 funding request. Unlike the original request, however, it has not been formally reviewed or approved by all PCHC courts. The ultimate request is made by the author.

Scope

The California Court Technology Center (CCTC) hosts varied systems for use by the Judicial Council and its staff agency, the courts of review, and the trial courts. This report focuses solely on the following systems and/or programs hosted at CCTC:

- **Interim Case Management System (ICMS) Program** – The ICMS program provides project management and technical expertise to support the eight (8) trial courts which have their Sustain Justice Edition (SJE) case management system hosted at the CCTC. As a result of reduced ICMS program funding, the ICMS support has been primarily focused on maintenance and operations activities which are required such as implementation of

¹ The seven court consortium included the Superior Courts of Lake, Modoc, Placer, Plumas, Sierra, San Benito and Trinity.

legislative updates, production support, patch management, CCTC infrastructure support and CCTC hosting services. There is also ICMS support for minimal enhancements requested by the courts.

- **Managed Court Program** – The Managed Court Program provides information technology hosting services for six courts. This includes provision of IT services beyond the case management system including, but not limited to, email, file storage, backup and recover, and critical business applications. Five courts are currently fully hosted with a sixth court using hosted email services only.

For purposes of this document, all other CCTC services are assumed to remain constant.

Objectives

The analysis contained in this document intends to:

- Eliminate subsidies from the TCTF and IMF for the ICMS and Managed Court programs beginning in FY 17/18 with complete elimination by the end of FY 18/19.
- Ensure continued case management system operation for the courts using the ICMS program.
- Ensured continued operation for courts participating in the Managed Court program.
- Support an IT administrative structure that is consistent with funded in a manner consistent with other trial courts throughout the state.
- Accomplish this task within existing Branch funding.

Key Assumptions

- The ICMS program courts are working on an RFP for a replacement of that system. It is anticipated that this RFP will be issued in Spring/Summer 2016. A Budget Change Proposal will be required to fund the replacement of the system. It is anticipated that the BCP will be submitted for consideration in the FY 17/18 Budget Year. Assuming the BCP is funded, implementation activities would likely begin in early 2018 with completion in 2020 or beyond.

For these reasons, this project assumes that courts will continue to use the existing ICMS application during the time period being reviewed and does not include information on potential case management system replacement or any related future support costs.

All cost projections focus on savings related to the hosting or CTCC costs of the ICMS program only. Current budget for JC IT staff and consultants that support the case

management system would continue until the ICMS system is fully replaced.

Any reference to eliminating subsidies from the IMF or TCTF is specifically focused on the CTCC and other hosting related costs. It is assumed the IMF will continue to fund ICMS application support until that program is replaced.

- The policy direction to eliminate funding remains a commitment of the Judicial Council. For purposes of this plan, a goal date of complete elimination by the end of FY 18/19 was used. The Judicial Council did not select a date in their previous actions related to the ICMS Program.
- Implementation of this effort will not require the issuance of a Budget Change Proposal. If a Budget Change Proposal is required, all or part of the activities, and any related financial projections, may be delayed.
- Implementation dates are for planning purposes and still need to be confirmed and agreed to by the involved courts.
- One time costs for the Humboldt, Madera, and San Luis Obispo courts were developed during initial planning conversations with those courts. As such they are preliminary only.
- That the Judicial Council adopts the procedures for “Trial Court Reserves Held in the Trial Court Trust” being recommended by the TCBCAC at its April 15, 2016 meeting.

Scenarios

This section presents three options for achieving the objectives of this effort. Specifically:

- **Scenario 1: No Change in Program, subsidies eliminated with phased-in approach starting FY 17/18 and fully eliminated for the start of FY 19/20.**
The ICMS and Managed Courts programs remain at the CTCC, but the subsidies from the TCTF and IMF related to hosting costs are phased out starting in FY 17/18 and are completely eliminated for the start of FY 19/20. One ICMS court has already announced plans to move out of the CTCC in 2018 and this scenario includes completion of that effort.
- **Scenario 2: Partial reduction of use of CTCC, subsidies eliminated with phased-in approach starting FY 18/19 and fully eliminated for the start of FY 19/20.**
Some of the courts using the ICMS and Managed Courts programs leave the CTCC beginning in FY 16/17 and completing in FY 18/19. Any remaining courts using these services at the CTCC are fiscally responsible for all hosting related costs of the program, with a two year phase in starting in FY 18/19.

- **Scenario 3: Elimination of the ICMS and Managed Court Program use of CTCC, if any use remains at the start of FY 19/20, any such costs are paid by the participating courts.**

All courts using ICMS and the Managed Courts program leave the CTCC. Courts begin leaving in FY 16/17 with the final court eliminating use of CTCC by the end of December 2018. If any courts remain on these programs at the CTCC at the start of FY 19/20, those courts would be responsible for any costs of the program.

Scenario 1: No Change in Program, subsidies eliminated with phased-in approach starting FY 17/18 and fully eliminated for the start of FY 19/20.

Under this scenario, the ICMS and the managed court programs continue to be hosted at the CCTC. The Imperial Superior Court has already announced their plans to leave the ICMS program in 2018. With the Imperial Superior Court's departure, at the conclusion of FY 18/19:

- ICMS Program continues to support the courts of Humboldt, Lake, Madera, Modoc, Plumas/Sierra, San Benito, and Trinity.
- Managed Court program continues to support the courts of Lake, Madera, Modoc, Plumas, San Benito, Trinity, and San Luis Obispo (email only).

The TCTF and IMF continue to subsidize these programs fully through FY 16/17. Starting in FY 17/18, the subsidy is reduced by 25%, shifting this cost burden to the participating courts. In FY 18/19, the subsidy is reduced by 50% (total), shifting an additional 25% of the cost to the participating courts. No subsidy is provided in FY 19/20 and the courts are paying for the full cost of the program.

All mention of elimination of the "subsidy" refers to covering hosting-related costs. As previously outlined, costs related to the support of the ICMS application, currently funded by the IMF, are assumed to continue until that system is replaced.

Exhibit 1, on the following page, shows the program budget for FY 2015/2016 through FY 2019/2020.

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 1: Scenario 1 Budget Breakdown

Line Item	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20
Expenses					
ICMS Program: Hosting Costs at CCTC	\$ 1,431,487	\$ 1,214,486	\$ 1,232,549	\$ 1,232,549	\$ 1,208,580
-Less: ICMS Program Cost Reduction at CCTC-Imperial Departure					\$ (65,000)
ICMS Program: Software Support	\$ 657,430	\$ 663,430	\$ 663,430	\$ 663,430	\$ 663,430
Managed Courts Program: Hosting Costs (CCTC, Consultants, and JCC Staff)	\$ 988,297	\$ 988,297	\$ 988,297	\$ 988,297	\$ 988,297
TOTAL EXPENSES	\$ 3,077,214	\$ 2,866,213	\$ 2,884,276	\$ 2,884,276	\$ 2,795,307
Revenues					
Base Schedule C from Courts (Schedule C - FY 15/16 Base Year)	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825
--(Less) Imperial Leaves CCTC/No Longer Participates in Costs				\$ (161,160)	\$ (161,160)
Subtotal - Base Payments from Courts	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825	\$ 1,461,665	\$ 1,461,665
Additional Revenue from Courts Due to Elimination of Subsidy:					
--Add 25% Reduction in Subsidy			\$ 149,505	\$ 189,795	\$ 167,553
--Add Additional 25% Reduction in Subsidy				\$ 189,795	\$ 167,553
--Add Elimination of Remaining Subsidy					\$ 335,106
Subtotal - Additional Revenue from Courts	\$ -	\$ -	\$ 149,505	\$ 379,590	\$ 670,212
Base IMF Funding (FY 15/16 Base Year)	\$ 1,454,389	\$ 1,454,389	\$ 1,454,389	\$ 1,454,389	\$ 1,454,389
--Add/(Less) ICMS Program: Hosting Costs at CCTC Changes		\$ (217,001)	\$ (198,938)	\$ (198,938)	\$ (287,907)
--Add/(Less) ICMS Program: Software Support Changes		\$ 6,000	\$ 6,000	\$ 6,000	\$ 6,000
--Add/(Less) Managed Courts Program: Hosting Cost Changes		\$ -	\$ -	\$ -	\$ -
Subtotal - Base IMF Funding w/ Cost Adjustments	\$ 1,454,389	\$ 1,243,388	\$ 1,261,451	\$ 1,261,451	\$ 1,172,482
IMF Funding Adjustments					
--Add/(Less) Shortfall in Local Court Revenue/Base IMF	\$ -	\$ -	\$ -	\$ 161,160	\$ 161,160
--(Less) 25% Reduction in Subsidy			\$ (149,505)	\$ (189,795)	\$ (167,553)
--(Less) Additional 25% Reduction in Subsidy				\$ (189,795)	\$ (167,553)
--(Less) Elimination of Remaining Subsidy					\$ (335,106)
Subtotal - IMF Funding Adjustments	\$ -	\$ -	\$ (149,505)	\$ (218,430)	\$ (509,052)
TOTAL REVENUE	\$ 3,077,214	\$ 2,866,213	\$ 2,884,276	\$ 2,884,276	\$ 2,795,307
BALANCE	\$ -	\$ -	\$ -	\$ -	\$ -
Summary of Revenue by Fund Type					
Subtotal - IMF Revenue	\$ 1,454,389	\$ 1,243,388	\$ 1,111,946	\$ 1,043,021	\$ 663,430
Subtotal - Local Court Revenue	\$ 1,622,825	\$ 1,622,825	\$ 1,772,330	\$ 1,841,255	\$ 2,131,877

Scenario 2: Partial reduction of use of CTCC, subsidies eliminated with phased-in approach starting FY 18/19 and fully eliminated for the start of FY 19/20.

Under this scenario, the majority of courts shift to alternative locations and no longer use the CCTC for either ICMS or the Managed Court program. This occurs as follows:

- A seven court consortium creates the Placer Court Hosting Center (PCHC), located at the Placer Superior Court. This results in six ICMS courts leaving the CCTC and five courts discontinuing participation in the Managed Court program. This occurs over two years:
 - Plumas/Sierra and Lake Courts move in late FY 16/17 (contemplated as April and May 2017).
 - Trinity, San Benito, and Modoc move in early FY 17/18 (contemplated as July, August, September 2017).
- The Imperial Superior Court leaves the ICMS program in December 2018.

At the conclusion of FY 18/19:

- ICMS Program continues to support the courts of Humboldt and Madera.
- Managed Court program continues to support Madera and San Luis Obispo (email only).

The TCTF and IMF continue to subsidize these programs fully through FY 16/17. Relief to the IMF begins in FY 17/18 exclusively through reduction of costs associated with the six courts that depart to the PCHC. No additional charges are passed on to the courts remaining at CCTC. In FY 18/19, the subsidy is reduced by 50%, shifting the cost to the courts remaining on the CCTC. No subsidy is provided in FY 19/20 and the courts are paying for the full cost of the program.

All mention of elimination of the “subsidy” refers to covering costing-related costs. As previously outlined, costs related to the support of the ICMS application, currently funded by the IMF, are assumed to continue until that system is replaced.

A one-time funding request has been submitted by the seven court consortium, spread over two fiscal years, to support transition costs to the PCHC. This funding has been requested for FY 15/16 and FY 16/17. Because the Judicial Council will not be able to act on the request until June 2016, it is likely that this funding will instead be needed in FY 16/17 with a small carryover need in FY 17/18.

Exhibit 2, on the following page, shows the program budget for FY 2015/2016 through FY 2019/2020.

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 2: Scenario 2 Budget Breakdown

Line Item	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20
Expenses					
ICMS Program: Hosting Costs at CCTC	\$ 1,431,487	\$ 1,214,486	\$ 1,232,549	\$ 1,232,549	\$ 1,208,580
--Less: ICMS Program Cost Reduction at CCTC-Imperial Departure					\$ (65,000)
--Less: ICMS Program: Hosting Cost Reduction Six Court Departure				\$ (93,000)	\$ (93,000)
Subtotal: ICMS Hosting Costs at CCTC	\$ 1,431,487	\$ 1,214,486	\$ 1,232,549	\$ 1,139,549	\$ 1,050,580
ICMS Program: Software Support	\$ 657,430	\$ 663,430	\$ 663,430	\$ 663,430	\$ 663,430
Subtotal: ICMS Program: Software Support	\$ 657,430	\$ 663,430	\$ 663,430	\$ 663,430	\$ 663,430
Managed Courts Program: Hosting Costs (CCTC, Consultants, and JCC Staff)	\$ 988,297	\$ 988,297	\$ 988,297	\$ 988,297	\$ 988,297
--Less: Managed Courts Program: Hosting Cost Reduction Due to PCHC			\$ (463,077)	\$ (463,077)	\$ (463,077)
-Add: Managed Courts Program: Hosting Cost Increase to Remaining Courts			\$ 142,832	\$ 142,832	\$ 142,832
Subtotal: Managed Courts Program	\$ 988,297	\$ 988,297	\$ 668,053	\$ 668,053	\$ 668,053
Subtotal Program Expenses	\$ 3,077,214	\$ 2,866,213	\$ 2,564,032	\$ 2,471,032	\$ 2,382,063
One-Time Placer Hosting Transition Costs (Placer Costs Only)		\$ 717,500	\$ 315,200		
One-Time CCTC Charges for Placer Hosting Transition		\$ 17,500	\$ 17,500		
Subtotal Transition Expenses	\$ -	\$ 735,000	\$ 332,700	\$ -	\$ -
TOTAL EXPENSES	\$ 3,077,214	\$ 3,601,213	\$ 2,896,732	\$ 2,471,032	\$ 2,382,063

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 2: Scenario 2 Budget Breakdown, Cont.

Line Item	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20
Revenues					
Base Schedule C from Courts (Schedule C - FY 15/16 Base Year)	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825
--(Less) Lake/Plumas/Sierra Leave CCTC/No Longer Participate in Costs			\$ (330,900)	\$ (330,900)	\$ (330,900)
--(Less) San Benito/Modoc/Trinity Leave CCTC/No Longer Participate in Costs			\$ (211,803)	\$ (423,606)	\$ (423,606)
--(Less) Imperial Leaves CCTC/No Longer Participates in Costs				\$ (161,160)	\$ (161,160)
Subtotal - Base Payments from Courts	\$ 1,622,825	\$ 1,622,825	\$ 1,080,122	\$ 707,159	\$ 707,159
Court Participation in Transition Costs					
-- Add One-Time Court Participation in Transition Costs - PCHC		\$ 251,500			
Subtotal - Court Participation in Transition Costs	\$ -	\$ 251,500	\$ -	\$ -	\$ -
Additional Revenue from Courts Due to Elimination of Subsidy:					
--Add 50% Reduction in Subsidy				\$ 550,221	\$ 505,737
--Add Elimination of Remaining Subsidy					\$ 505,736
Subtotal - Additional Revenue from Courts	\$ -	\$ -	\$ -	\$ 550,221	\$ 1,011,473
Base IMF Funding (FY 15/16 Base Year)	\$ 1,454,389	\$ 1,454,389	\$ 1,454,389	\$ 1,454,389	\$ 1,454,389
--Add/(Less) ICMS Program: Hosting Costs at CCTC Changes		\$ (217,001)	\$ (198,938)	\$ (291,938)	\$ (380,907)
--Add/(Less) ICMS Program: Software Support Changes		\$ 6,000	\$ 6,000	\$ 6,000	\$ 6,000
--Add/(Less) Managed Courts Program: Hosting Cost Changes			\$ (320,245)	\$ (320,245)	\$ (320,245)
Subtotal - Base IMF Funding w/ Cost Adjustments	\$ 1,454,389	\$ 1,243,388	\$ 941,206	\$ 848,206	\$ 759,237
IMF Funding Adjustments					
--Add/(Less) Shortfall in Local Court Revenue/Base IMF	\$ -	\$ 483,500	\$ 875,403	\$ 915,666	\$ 915,666
--(Less) 50% Reduction in Subsidy				\$ (550,221)	\$ (505,737)
--(Less) Elimination of Remaining Subsidy					\$ (505,736)
Subtotal - IMF Funding Adjustments	\$ -	\$ 483,500	\$ 875,403	\$ 365,445	\$ (95,807)
TOTAL REVENUE	\$ 3,077,214	\$ 3,601,213	\$ 2,896,732	\$ 2,471,032	\$ 2,382,063
BALANCE	\$ -	\$ -	\$ -	\$ -	\$ -
Summary of Revenue by Fund Type for JCC Paid Expenses					
Subtotal - IMF Revenue	\$ 1,454,389	\$ 1,726,888	\$ 1,816,609	\$ 1,213,651	\$ 663,430
Subtotal - Local Court Revenue	\$ 1,622,825	\$ 1,622,825	\$ 1,080,122	\$ 1,257,380	\$ 1,718,632

Scenario 3: Elimination of the ICMS and Managed Court Program use of CTCC, if any use remains at the start of FY 19/20, any such costs are paid by the participating courts.

Under this scenario, all courts shift to alternative locations and no longer use the CCTC for either ICMS or the Managed Court program. This occurs as follows:

- A seven court consortium creates the Placer Court Hosting Center (PCHC), located at the Placer Superior Court. This results in six ICMS courts leaving the CCTC and five courts discontinuing participation in the Managed Court program. This occurs over two years:
 - Plumas/Sierra and Lake Courts move in late FY 16/17 (contemplated as April and May 2017).
 - Trinity, San Benito, and Modoc move in early FY 17/18 (contemplated as July, August, September 2017).
- The Humboldt Superior Court moves to a local installation of the ICMS in FY 17/18 (contemplated as October 2017).
- The Madera Superior Court, with assistance from the 5th District Court of Appeal, moves to a local installation of the ICMS and discontinues participation on a Managed Court. This occurs in two phases:
 - Discontinues participation in the Managed Court program in September 2017.
 - Moves to a local installation of the ICMS in November 2017.
- The San Luis Obispo Superior Court moves to a local solution for email by December 2018.
- The Imperial Superior Court leaves the ICMS program in December 2018.

At the conclusion of FY 18/19, all ICMS and Managed Court program use of the CCTC has concluded and all servers have been decommissioned.

The TCTF and IMF continue to subsidize these programs until the end of FY 2018/2019. The IMF received relief in FY 2017/2018 and FY 2018/2019 through a slow reduction of expenditures related to the programs. By FY 19/20, no further expenditures from the IMF will be necessary for the CTCC related costs of these programs. If, however, courts remain on the system, those remaining would be responsible for any continuing costs of the CTCC for these programs.

A one-time funding request has been submitted by the seven court consortium, spread over two fiscal years, to support transition costs to the PCHC. This funding has been requested for FY 15/16 and FY 16/17. Because the Judicial Council will not be able to act on the request until June 2016, it is likely that this funding will instead be needed in FY 16/17 with a small carryover

need in FY 17/18.

The Humboldt Superior Court is exploring locally hosting the ICMS application. This is in the preliminary stages, but high end costs are estimated at \$525,000. This includes costs for DMV and other interfaces at full cost. It is possible these costs could be reduced if done at a similar time to Madera and/or the PCHC.

The Madera Superior Court has initiated an effort to create an internal IT Department. The Fifth District Court of Appeal has offered support in this analysis and is providing project management support as the Madera Superior Court creates this function in-house. This effort kicked-off on April 4, 2016 with an initial planning discussion. Rapid estimates prepared during that meeting place initial one-time startup costs at approximately \$600,000. This includes costs for DMV and other interfaces at full cost. It is possible these costs could be reduced if done at a similar time to Humboldt and/or the PCHC.

The Humboldt and Madera Superior Courts may be able to participate in these one-time costs. The extent to which they are capable of funding these one-time costs is not currently known. For simplicity, the analysis that follows shows all one-time costs for these courts to be borne by the IMF.

Exhibit 3, on the following page, shows the program budget for FY 2015/2016 through FY 2019/2020.

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 3: Scenario 3 Budget Breakdown.

Line Item	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20
Expenses					
ICMS Program: Hosting Costs at CCTC	\$ 1,431,487	\$ 1,214,486	\$ 1,232,549	\$ 1,232,549	\$ 1,208,580
--Less: ICMS Program Cost Reduction at CCTC-Imperial Departure					\$ (65,000)
--Less: ICMS Program: Hosting Cost Reduction Six Court Departure				\$ (93,000)	\$ (93,000)
--Less: ICMS Program: Hosting Cost Reduction All Servers Decommissioned (Humboldt/Madera leave)					\$ (1,050,580)
Subtotal: ICMS Hosting Costs at CCTC	\$ 1,431,487	\$ 1,214,486	\$ 1,232,549	\$ 1,139,549	\$ -
ICMS Program: Software Support	\$ 657,430	\$ 663,430	\$ 663,430	\$ 663,430	\$ 663,430
Subtotal: ICMS Program: Software Support	\$ 657,430	\$ 663,430	\$ 663,430	\$ 663,430	\$ 663,430
Managed Courts Program: Hosting Costs (CCTC, Consultants, and JCC Staff)	\$ 988,297	\$ 988,297	\$ 988,297	\$ 988,297	\$ 988,297
--Less: Managed Courts Program: Hosting Cost Reduction Due to PCHC			\$ (463,077)	\$ (463,077)	\$ (463,077)
--Less: Managed Courts Program: Hosting Cost Reduction Due to Madera Depart			\$ (149,201)	\$ (298,401)	\$ (298,401)
--Less: Managed Courts Program: Hosting Cost Reduction Due to SLO eMail Depart				\$ (66,383)	\$ (66,383)
Subtotal: Managed Courts Program	\$ 988,297	\$ 988,297	\$ 376,020	\$ 160,436	\$ 160,436
Subtotal Program Expenses	\$ 3,077,214	\$ 2,866,213	\$ 2,271,999	\$ 1,963,415	\$ 823,866
One-Time Placer Hosting Transition Costs (Placer Costs Only)		\$ 719,000	\$ 269,000		
One-Time CCTC Charges for Placer Hosting Transition		\$ 17,500	\$ 17,500		
One-Time Madera Hosting (ICMS and Managed Court) Transition Costs (Madera Costs)		\$ 400,000	\$ 200,000		
One-Time CCTC Charges for Madera Hosting Transition		\$ 9,000	\$ 9,000		
One-Time Humboldt Hosting (ICMS Only) Transition Costs (Humboldt Costs)		\$ 300,000	\$ 225,000		
One-Time CCTC Charges for Humboldt Hosting Transition		\$ 9,000	\$ 9,000		
One-Time SLO Hosting (Email Only) Transition Costs (SLO Costs)		\$ -	\$ -		
One-Time CCTC Charges for SLO Hosting Transition		\$ 9,000	\$ 9,000		
Subtotal Transition Expenses	\$ -	\$ 1,463,500	\$ 738,500	\$ -	\$ -
TOTAL EXPENSES	\$ 3,077,214	\$ 4,329,713	\$ 3,010,499	\$ 1,963,415	\$ 823,866

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 3: Scenario 3 Budget Breakdown, Cont.

Line Item	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20
Revenues					
Base Schedule C from Courts (Schedule C - FY 15/16 Base Year)	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825
--(Less) Lake/Plumas/Sierra Leave CCTC/No Longer Participate in Costs			\$ (330,900)	\$ (330,900)	\$ (330,900)
--(Less) San Benito/Modoc/Trinity Leave CCTC/No Longer Participate in Costs			\$ (211,803)	\$ (423,606)	\$ (423,606)
--(Less) Madera and Humboldt Leave CCTC/No Longer Participate in Costs				\$ (555,932)	\$ (555,932)
--(Less) SLO Leaves CCTC for Email/No Longer Participate in Costs				\$ (56,860)	\$ (56,860)
--(Less) Imperial Leaves CCTC/No Longer Participates in Costs				\$ (161,160)	\$ (161,160)
Subtotal - Base Payments from Courts	\$ 1,622,825	\$ 1,622,825	\$ 1,080,122	\$ 94,367	\$ 94,367
Court Participation in Transition Costs					
-- Add One-Time Court Participation in Transition Costs - PCHC		\$ 251,500			
--Add One-Time Court Participation in Transition Costs - Humboldt		TBD	TBD		
--Add One-Time Court Participation in Transition Costs - Madera		TBD	TBD		
--Add One-Time Court Participation in Transition Costs - SLO		None anticipated			
Subtotal - Court Participation in Transition Costs	\$ -	\$ 251,500	\$ -	\$ -	\$ -
Additional Revenue from Courts Due to Elimination of Subsidy:	NOT NEEDED - IMF PARTICIPATION ELIMATED VIA PROGRAM CHANGES				
Base IMF Funding (FY 15/16 Base Year)	\$ 1,454,389	\$ 1,454,389	\$ 1,454,389	\$ 1,454,389	\$ 1,454,389
--Add/(Less) ICMS Program: Hosting Costs at CCTC Changes		\$ (217,001)	\$ (198,938)	\$ (291,938)	\$ (1,431,487)
--Add/(Less) ICMS Program: Software Support Changes		\$ 6,000	\$ 6,000	\$ 6,000	\$ 6,000
--Add/(Less) Managed Courts Program: Hosting Cost Changes		\$ -	\$ (612,278)	\$ (827,862)	\$ (827,862)
Subtotal - Base IMF Funding w/ Cost Adjustments	\$ 1,454,389	\$ 1,243,388	\$ 649,173	\$ 340,590	\$ (798,959)
IMF Funding Adjustments					
--Add/(Less) Shortfall in Local Court Revenue/Base IMF	\$ -	\$ 1,212,000	\$ 1,281,203	\$ 1,528,458	\$ 1,528,458
Subtotal - IMF Funding Adjustments	\$ -	\$ 1,212,000	\$ 1,281,203	\$ 1,528,458	\$ 1,528,458
TOTAL REVENUE	\$ 3,077,214	\$ 4,329,713	\$ 3,010,499	\$ 1,963,415	\$ 823,866
BALANCE	\$ -	\$ -	\$ -	\$ -	\$ -
Summary of Revenue by Fund Type for JCC Paid Expenses					
Subtotal - IMF Revenue	\$ 1,454,389	\$ 2,455,388	\$ 1,930,376	\$ 1,869,048	\$ 729,499
Subtotal - Local Court Revenue	\$ 1,622,825	\$ 1,874,325	\$ 1,080,122	\$ 94,367	\$ 94,367

Comparison

Exhibit 4, on the following page, provides a breakdown of the annual ongoing savings (or cost) of each scenario for the IMF and local courts. This analysis assumes no contributions towards one-time costs from the Humboldt and Madera Superior Courts. Both courts have indicated they will be able to contribute some amount to the one time costs. However, because these amounts are not currently known, the analysis leaves all such costs with the IMF.

Exhibit 4 highlights the following:

- Scenario 1:
 - Reduces annual ongoing expenses from the IMF by \$670,000, a 46% reduction.
 - Reduces the burden on the IMF over five years by just under \$1.2 million, an 18% decrease.
 - Increases annual ongoing program expenses for local courts by \$670,000, a 41% increase.
 - Increases the burden on local courts over five years by just under \$1.2 million, a 15% increase.
- Scenario 2:
 - Reduces annual ongoing expenses from the IMF by \$670,000, a 46% reduction.
 - Increases the burden on the IMF over five years by \$160,000, a 2% increase.
 - Increases annual ongoing program expenses for local courts by roughly \$250,000, a 16% increase.
 - Decreases the burden on local courts over five years by just under \$240,000, a 3% decrease.
 - Overall funds, saves 13% annually ongoing and 1% over the first five years.
- Scenario 3:
 - Reduces annual ongoing expenses from the IMF by \$670,000, a 46% reduction.
 - Increases the burden on the IMF over five years by \$1.6 million, a 25% increase. (This assumes no participation from the Humboldt or Madera Superior Courts in one-time costs, as that information is not currently available.)
 - Decreases annual ongoing program expenses for local courts by roughly \$1.37 million, a 16% increase.
 - Decreases the burden on local courts over five years by just over \$3 million, a 39% decrease.
 - Overall funds, saves 66% annually ongoing and 9% over the first five years.

Scenario 3 savings may be overstated due to the lack of estimates for ongoing costs for local hosting in Madera and Humboldt. A more complete analysis has been performed for the courts in the PCHC. The percent savings over five years and annual savings ongoing for these courts may be more illustrative of the true savings for Scenario 3 across all courts. For PCHC courts:

- 51% reduction in annual ongoing expenses at year five and after.
- 19% decrease in expenses over next five years.

Request for Plan for Eventual Elimination of Subsidies from TCTF and IMF
for ICMS and Managed Court Program (Hosting)

Exhibit 4: Summary of Impacts

		Current (No Change in Policy or Program)	Scenario 1	Scenario 2	Scenario 3	
1	Impact on IMF	FY 15/16 IMF Expenditures for Program(s)	\$ 1,454,389	\$ 1,454,389	\$ 1,454,389	\$ 1,454,389
2		FY 19/20 (and Annual Ongoing) IMF Expenditures for Program	\$ 1,333,642	\$ 663,430	\$ 663,430	\$ 663,430
3		Annual Ongoing (Savings)/Additional Expense		\$ (670,212)	\$ (670,212)	\$ (670,212)
4		Percent Change (Annual Ongoing Compared to 15/16)		-46%	-46%	-46%
5		Total Expenditure Over Five Years	\$ 6,715,482	\$ 5,516,175	\$ 6,874,968	\$ 8,372,631
6		Five Year (Decrease)/(Increase) Compared to Current		\$ (1,199,307)	\$ 159,486	\$ 1,657,150
7		Percent Change (Five Year Expenditure)		-18%	2%	25%
8	Impact on Local Courts (Program Expenses)	FY 15/16 Expenditures for Program(s)	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825	\$ 1,622,825
9		FY 19/20 (and Annual Ongoing) Expenditures for Program	\$ 1,461,665	\$ 2,131,877	\$ 1,718,632	\$ 94,367
10		Annual Ongoing (Savings)/Additional Expense		\$ 670,212	\$ 256,967	\$ (1,367,298)
11		Percent Change (Annual Ongoing Compared to 15/16)		41%	16%	-84%
12		Total Expenditure Over Five Years	\$ 7,791,806	\$ 8,991,113	\$ 7,553,286	\$ 4,766,007
13		Five Year (Decrease)/(Increase) Compared to Current		\$ 1,199,307	\$ (238,521)	\$ (3,025,799)
14	Percent Change (Five Year Expenditure)		15%	-3%	-39%	
15	Impact on All Funds (Program Expenses)	FY 15/16 Expenditures for Program(s)	\$ 3,077,214	\$ 3,077,214	\$ 3,077,214	\$ 3,077,214
16		FY 19/20 (and Annual Ongoing) Expenditures for Program	\$ 2,795,307	\$ 2,795,307	\$ 2,382,063	\$ 757,797
17		Annual Ongoing (Savings)/Additional Expense		\$ -	\$ (413,245)	\$ (2,037,510)
18		Percent Change (Annual Ongoing Compared to 15/16)		0%	-13%	-66%
19		Total Expenditure Over Five Years	\$ 14,507,288	\$ 14,507,288	\$ 14,428,253	\$ 13,138,638
20		Five Year (Decrease)/(Increase) Compared to Current		\$ -	\$ (79,034)	\$ (1,368,650)
21	Percent Change (Five Year Expenditure)		0%	-1%	-9%	
22	Impact on PCHC Courts (All Expenditures) [1]	FY 15/16 Expenditures for ICMS/Hosting [2]	\$ 772,156	\$ 772,156	\$ 772,156	\$ 772,156
23		FY 19/20 (and Annual Ongoing) Expenditures for ICMS/Hosting	\$ 772,156	\$ 1,131,067	\$ 381,609	\$ 381,609
24		Annual Ongoing (Savings)/Additional Expense		\$ 358,911	\$ (390,548)	\$ (390,548)
25		Percent Change (Annual Ongoing Compared to 15/16)		46%	-51%	-51%
26		Total Expenditure Over Five Years [3]	\$ 3,860,782	\$ 4,400,257	\$ 3,128,431	\$ 3,128,431
27		Five Year (Decrease)/(Increase) Compared to Current		\$ 539,475	\$ (732,351)	\$ (732,351)
28	Percent Change (Five Year Expenditure)		14%	-19%	-19%	

Notes:

IMF Expenditures and Local Court (Program Expenses) assume Humboldt and Madera Courts do not contribute to one-time costs. Should they be able to contribute, impacts on the IMF will be reduced and impacts on local courts will increase in equal dollars.

[1] Savings on all expenses related to ICMS and hosted services for the courts participating in the Placer Court Hosting Center. One-time contributions and ongoing expenses are more complete for this subset.

[2] Reflects current Schedule C expenses for all related services.

[3] Calculated as five times the FY 15/16 Schedule C costs.

Conclusion and Request

Based on the information presented in this analysis, it is requested that the Judicial Council Technology Committee work further with the TCBAC to enact Scenario 3. This may be accomplished by the JCTC:

1. Endorsing the position that all Sustain hosted courts move away from the current IMF subsidized funding structure to an IT administrative program that is funded in a manner consistent with other trial courts throughout the state.
2. Endorsing Scenario 3, a cooperatively developed plan by and with the hosted ICMS and Managed Courts that ends all IMF subsidies by the beginning of FY 19/20.
3. Working with the Trial Court Budget Advisory Committee to find one-time funding for the support of this effort, as early as the current year.
4. Continuing to support the Sustain hosted courts in their efforts to acquire a replacement of the outdated ICMS as a longer term goal, which would further reduce IMF expenditures.



**SJE Court and IMF Impact Analysis
New CMS Business Case Scenarios
April 8, 2016**

Background/Purpose

This analysis was performed to help address questions about the costs, from both a court and an IMF perspective, if the Sustain Justice Edition (SJE) courts remained at the California Courts Technology Center (CCTC) for hosting until there was funding available to move to a new case management system (CMS). Specifically, these business case scenarios consider the approach of moving directly to a new case management system and a new hosting solution, rather than moving SJE to a new hosting solution and then moving to a new CMS.

Currently, nine of the SJE courts are working collaboratively to develop a Request for Proposal (RFP) for a new CMS. Vendors will submit their bids based on the specific requirements of the SJE courts. The information obtained from the RFP will provide the foundation for a Budget Change Proposal (BCP), requesting funding to replace the SJE application for the nine SJE courts. The BCP will be submitted to the Department of Finance in September 2016. For purposes of this document it is assumed that funding to move to a new CMS will be available in July 2017.

There are two business case scenarios in this document. Business Case Scenario #4 assumes the six courts in the consortium, hosted by the Placer Superior court, have their new CMS hosted at Placer, while the Humboldt and Madera courts implement a new CMS that is locally hosted. This scenario also assumes that the Placer court will provide the six courts with “managed court” and telecommunication services.

Business Case Scenario #5 assumes that the Placer court provides the six courts with “managed court” and telecommunication services but the new CMS is SaaS (Software as a Service) and therefore hosted by the CMS vendor. It is also assumed that the Humboldt and Madera Courts move to a new CMS which is locally hosted.

One of the challenges with creating these business case scenarios is that the SJE courts have not selected a replacement for the SJE application. Each business case is based on estimated pricing and makes certain assumptions about the level of professional services and deployment costs associated with a new CMS. Actual costs will also vary depending upon the needs of the individual courts.



Key Assumptions

There are several key assumptions which were made in preparing Business Case Scenarios #4 and #5 which are listed below:

1. Funding for deploying a new CMS becomes available in July 2017.
2. SJE hosting at the CCTC uses a shared hosting infrastructure. When courts leave, there is limited ability to decommission servers to reduce costs as long as one or two courts remain at the CCTC.
3. Schedule C reimbursements to the ICMS, “managed court” and telecommunications programs stop as the courts transition away from CCTC hosting.
4. JCC will not have staff to provide legislative updates and project management support for the new CMS.
5. CCTC connectivity for the six courts hosted at Placer will be through Placer’s network which will eliminate the Schedule C telecommunication charges for the six courts.

Scenario #4: Six Courts Deploy New CMS at Placer and Humboldt and Madera Deploy New Locally Hosted CMS

This scenario assumes that the SJE courts remain hosted at the CCTC until they deploy a new case management system/hosting solution and that funding becomes available to replace SJE in July 2017. It is assumed that the deployment of a new CMS for the CCTC hosted courts would be done in the following phases:

1. Six Placer hosted courts start a new CMS deployment in October 2017 and complete deployment in October 2019.
2. Imperial’s deployment of their new CMS is completed in June 30, 2018.
3. Humboldt and Madera start their locally hosted CMS deployments in November 2019 and complete it in November 2021.

The SJE courts are relatively small courts. As such, it is assumed that one-time professional services deployment costs for the CMS vendor would be approximately \$350,000 per court. For the six Placer hosted courts, it is assumed these courts will work collaboratively and that would result in a 40% reduction in the one-time \$350,000/court professional services costs and also that Plumas/Sierra are treated as one court. It is also assumed that on-going hosting costs for the six Placer courts would remain at \$373,000.



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Additionally, JCC will not have resources to continue supporting legislative updates and project management support for the SJE courts once they move to a new CMS. The Courts will need to obtain other resources to perform legislative updates or contract with the new CMS vendor to perform these tasks.

The Humboldt and Madera new CMS deployments are assumed to be local deployments which will require local support resources. For purposes of this business case, these local resources are projected to include a part-time Business Application Analyst to update configuration tables for tasks such as legislative updates and workflow changes. Also, as Humboldt and Madera each have multiple interfaces outside the standard DMV and DOJ interfaces, it is assumed a part-time interface developer/tester would be needed as part of the support team along with a part-time system administrators to maintain the locally hosted servers. The estimated total annual cost for all of these resources is \$227,500 per court.

The charts below show the estimated impact from a court and IMF perspective for Business Case Scenario #4. Also, see Appendix A for additional information on how the IMF impact was calculated for the ICMS Program:

Business Case Scenario #4 – Court Impact

Description	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20	FY 20/21	Six Year FY Total
Court's Perspective -- Business Case Scenario #4							
Six Placer Hosted Courts							
Total Sch C Payments ⁽¹⁾	\$ 772,156	\$ 772,156	\$ 759,984	\$ 456,660	\$ 17,649	\$ 17,649	\$ 2,796,253
One-time/On-going Placer Hosting Costs	-	-	260,000	260,000	373,000	373,000	1,266,000
CMS Vendor one-time deployment costs ⁽²⁾	-	-	525,000	525,000	-	-	1,050,000
BC #4 Six Placer Hosted Court's Sch C/Placer Hosting/New CMS	\$ 772,156	\$ 772,156	\$ 1,544,984	\$ 1,241,660	\$ 390,649	\$ 390,649	\$ 5,112,253
BC #4 - Imperial/Madera/Humboldt Total Sch C Payments ⁽¹⁾	\$ 774,092	\$ 774,092	\$ 774,092	\$ 612,932	\$ 612,932	\$ 612,932	\$ 4,161,071
BC #4 - Madera/Humboldt Move to New CMS Locally Hosted	\$ -	\$ -	\$ -	\$ -	\$ 617,000	\$ 844,000	\$ 1,461,000
BC #4 -- Total Sch C for non-Placer Courts and New CMS Humboldt/Madera	\$ 774,092	\$ 774,092	\$ 774,092	\$ 612,932	\$ 1,229,932	\$ 1,456,932	\$ 5,622,071
BC #4 Total Sch C/Placer Hosting/new CMS Costs All Courts	\$1,546,248	\$1,546,248	\$ 2,319,076	\$ 1,854,592	\$ 1,620,580	\$ 1,847,580	\$ 10,734,324
⁽¹⁾ Total Sch C Payments includes SJE hosting, Telcom, outsourced IT services and helpdesk charges. This does not include charges for programs such as Phoenix, CAFM, etc							
⁽²⁾ Does not include CMS licensing costs. SJE courts currently pay for SJE licensing costs directly to the vendor. Also, this amount does not include costs for providing legislative updates.							



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Business Case Scenario #4 – IMF Impact

IMF Funding Needed by Bus. Case	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20	FY 20/21	Six Year Total
BC #4 -- ICMS IMF Funding Needed	\$ 1,246,685	\$ 1,035,684	\$ 1,053,747	\$ 1,318,427	\$ 1,411,352	\$ 1,430,619	\$ 7,496,514
BC #4 -- Telecom/Outsourced IT IMF Funding Needed	186,240	186,240	186,240	189,041	226,951	226,951	1,201,664
BC #4 -- Total IMF Funding Needed	\$ 1,432,925	\$ 1,221,924	\$ 1,239,987	\$ 1,507,468	\$ 1,638,303	\$ 1,657,570	\$ 8,698,178

Scenario #5: Six Courts Deploy New SaaS Hosted CMS and Humboldt and Madera Deploy New Locally CMS. .

This scenario is the same as Scenario #4 except that the six courts (Lake, Modoc, Plumas/Sierra, San Benito and Trinity) would have their new CMS case management system SaaS (Software as a Service) hosted by the CMS vendor instead of having it hosted at Placer. It is assumed that Placer would provide these six courts with “managed court” and telecom services as they move to the new SaaS hosted CMS. It is assumed that the six SaaS hosted courts would need a part-time Business Applications Analyst and Interface Analyst which can be shared among all of these courts for a total annual cost of \$185,900. The estimated costs for providing “managed court” services by the Placer Court is approximately one-half of the total costs for CMS and “managed court” hosting costs.

The charts below show the estimated impact from a court and IMF perspective for Business Case Scenario #5. Also, see Appendix B for additional information on how the IMF impact was calculated for the ICMS Program:



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Business Case Scenario #5 – Court Impact

Description	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20	FY 20/21	Six Year FY Total
Court's Perspective -- Business Case Scenario #5							
Six Placer Hosted Courts							
Total Sch C Payments ⁽¹⁾	\$ 772,156	\$ 772,156	\$ 759,984	\$ 456,660	\$ 17,649	\$ 17,649	\$ 2,796,253
One-time/On-going Placer Hosting Costs "outsourced IT services only" ⁽⁴⁾	\$ -	\$ -	\$ 130,000	\$ 130,000	\$ 186,500	\$ 186,500	\$ 633,000
CMS Vendor one-time/on-going SaaS CMS costs ⁽²⁾	-	-	525,000	666,950	278,900	278,900	1,749,750
BC #5 Six Placer Hosted Court's Sch C/Placer Hosting/New SaaS CMS	\$ 772,156	\$ 772,156	\$ 1,414,984	\$ 1,253,610	\$ 483,049	\$ 483,049	\$ 5,179,003
BC #5 - Imperial/Madera/Humboldt Total Sch C Payments ⁽¹⁾	\$ 774,092	\$ 774,092	\$ 774,092	\$ 612,932	\$ 612,932	\$ 612,932	\$ 4,161,071
BC #5 - Madera/Humboldt Move to New CMS Locally Hosted	-	-	-	-	617,000	844,000	1,461,000
BC # 5 -- Total Sch C for non-Placer Courts and New CMS Humboldt/Madera	\$ 774,092	\$ 774,092	\$ 774,092	\$ 612,932	\$ 1,229,932	\$ 1,456,932	\$ 5,622,071
BC #5 Total Sch C/Placer Hosting/new CMS Costs All Courts ⁽³⁾	\$ 1,546,248	\$ 1,546,248	\$ 2,189,076	\$ 1,866,542	\$ 1,712,980	\$ 1,939,980	\$ 10,801,074

- (1) Total Sch C Payments includes SJE hosting, Telecom, outsourced IT services and helpdesk charges. This does not include charges for programs such as Phoenix, CAFM, et
- (2) It is assumed that these 6 courts would closely collaborate and that their on-going support would be the equivalent of a single court's support. Also, there is no licensing costs included in these costs.
- (3) Imperial's new CMS costs are not included in these totals
- (4) Assumes "outsourced IT services" cost would be 1/2 of total cost of providing CMS hosting and "outsourced IT services" cost in Placer's hosting proposal

Business Case Scenario #5 – IMF Impact

IMF Funding Needed by Bus. Case	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20	FY 20/21	Six Year Total
BC #5 -- ICMS IMF Funding Needed	\$ 1,246,685	\$ 1,035,684	\$ 1,053,747	\$ 1,318,427	\$ 1,411,352	\$ 1,430,619	\$ 7,496,514
BC #5 -- Telecom/Outsourced IMF Funding Needed	186,240	186,240	186,240	189,041	226,951	226,951	1,201,664
BC #5 -- Total IMF Funding Needed	\$ 1,432,925	\$ 1,221,924	\$ 1,239,987	\$ 1,507,468	\$ 1,638,303	\$ 1,657,570	\$ 8,698,178



Comparison Summary for Business Cases #4 and 5

Listed below are summary charts for both the court and IMF impact comparing Business Case Scenarios #4 and 5

Court Impact New CMS Comparison Chart Business Case Scenarios #4 and #5

Comparison	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20	FY 20/21	Six Year FY Total
Six Placer Hosted Court Cost Comparison							
BC #4 Six Placer Hosted Court's Sch C/Placer Hosting/New CMS	772,156	772,156	1,544,984	1,241,660	390,649	390,649	5,112,253
BC #5 Six Placer Hosted Court's Sch C/Placer Hosting/New SaaS CMS	772,156	772,156	1,414,984	1,253,610	483,049	483,049	5,179,003
Imperial/Humboldt/Madera Comparison							
BC #4 Imperial/Humboldt/Mader Sch C and New CMS Humboldt/Madera	774,092	774,092	774,092	612,932	1,229,932	1,456,932	5,622,071
BC #5 Imperial/Humboldt/Madera Sch C and New CMS Humboldt/Madera	774,092	774,092	774,092	612,932	1,229,932	1,456,932	5,622,071
Total Costs All Courts Comparison							
BC #4 Total Sch C/Placer Hosting/new CMS Costs All Courts	1,546,248	1,546,248	2,319,076	1,854,592	1,620,580	1,847,580	10,734,324
BC #5 Total Sch C/Placer Hosting/ new SaaS and local CMS All Courts	1,546,248	1,546,248	2,189,076	1,866,542	1,712,980	1,939,980	10,801,074

Note: Total Sch C Payments includes SJE hosting, Telcom, outsourced IT services and helpdesk charges. This does not include charges for programs such as Phoenix, CAFM, etc

IMF Impact New CMS Comparison Chart Business Case Scenarios #4 and #5

IMF Funding Needed by Bus. Case	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20	FY 20/21	Six Year Total
BC #4 -- ICMS IMF Funding Needed	\$ 1,246,685	\$ 1,035,684	\$ 1,053,747	\$ 1,318,427	\$ 1,411,352	\$ 1,430,619	\$ 7,496,514
BC #4 -- Telecom/Outsourced IT IMF Funding Needed	186,240	186,240	186,240	189,041	226,951	226,951	1,201,664
BC #4 -- Total IMF Funding Needed	\$ 1,432,925	\$ 1,221,924	\$ 1,239,987	\$ 1,507,468	\$ 1,638,303	\$ 1,657,570	\$ 8,698,178
BC #5 -- ICMS IMF Funding Needed	\$ 1,246,685	\$ 1,035,684	\$ 1,053,747	\$ 1,318,427	\$ 1,411,352	\$ 1,430,619	\$ 7,496,514
BC #5 -- Telecom/Outsourced IMF Funding Needed	186,240	186,240	186,240	189,041	226,951	226,951	1,201,664
BC #5 -- Total IMF Funding Needed	\$ 1,432,925	\$ 1,221,924	\$ 1,239,987	\$ 1,507,468	\$ 1,638,303	\$ 1,657,570	\$ 8,698,178



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Appendix A
Business Case Scenario #4 – ICMS IMF Impact Calculations

Description	FY 15-16	FY 16-17	FY 17-18	FY 18-19	FY 19-20	FY 20-21	Total
SAIC Hosting Costs for ICMS Budget Calculations							
SAIC Hosting Costs Per 5 year budget	\$ 1,431,487	\$ 1,214,486	\$ 1,232,549	\$ 1,232,549	\$ 1,208,580	\$ 1,227,847	\$ 7,547,498
Decrease with Imperial Leaving				64,696	64,696	64,696	194,088
Decrease with six courts leaving					93,442	93,442	186,884
Adj SAIC Hosting Costs	\$ 1,431,487	\$ 1,214,486	\$ 1,232,549	\$ 1,167,853	\$ 1,050,442	\$ 1,069,709	\$ 7,166,526
TCTF (Schedule C Reimbursement to ICMS Program Calculations)							
	Amt						
Schedule C reimbursements All 9 Courts in FY 16/17	\$ 842,232						
Less Imperial Sch C Reimbursement in FY 18/19	161,160						
Less Lake/Modoc Sch C in FY 18-19	168,216						
Sch C Reimbursement FY 18/19	\$ 512,856						
Less Plumas/San Benito/Trinity in FY 19/20	225,336						
Sch C Reimbursement in FY 19/20	\$ 287,520						
ICMS Projected Budget							
TCTF (Schedule C Reimbursements to offset SAIC Hosting Costs)	\$ 842,232	\$ 842,232	\$ 842,232	\$ 512,856	\$ 287,520	\$ 287,520	\$ 3,614,592
IMF Funding Needed for SAIC Hosting Costs of SJE	589,255	372,254	390,317	654,997	762,922	782,189	3,551,934
Subtotal SAIC Hosting Costs	\$1,431,487	\$1,214,486	\$1,232,549	\$1,167,853	\$1,050,442	\$1,069,709	\$7,166,526
Business Analyst/Interface Support/PM/DMV Connectivity IMF Funded	657,430	663,430	663,430	663,430	648,430	648,430	3,944,580
Total ICMS Budget	\$2,088,917	\$1,877,916	\$1,895,979	\$1,831,283	\$1,698,872	\$1,718,139	\$11,111,106
IMF Funding Needed for ICMS, Telcom and Outsourced IT Services							
IMF Funding Needed for ICMS Program	\$ 1,246,685	\$ 1,035,684	\$ 1,053,747	\$ 1,318,427	\$ 1,411,352	\$ 1,430,619	\$ 7,496,514
Net IMF Funds to cover Telcom/Outsourced IT Services	186,240	186,240	186,240	189,041	226,951	226,951	1,201,664
Total IMF Funding Needed	\$ 1,432,925	\$ 1,221,924	\$ 1,239,987	\$ 1,507,468	\$ 1,638,303	\$ 1,657,570	\$ 8,698,178



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Appendix B
Business Case Scenario #5 – ICMS IMF Impact Calculations

Description	FY 15-16	FY 16-17	FY 17-18	FY 18-19	FY 19-20	FY 20-21	Total
SAIC Hosting Costs for ICMS Budget Calculations							
SAIC Hosting Costs Per 5 year budget	\$ 1,431,487	\$ 1,214,486	\$ 1,232,549	\$ 1,232,549	\$ 1,208,580	\$ 1,227,847	\$ 7,547,498
Decrease with Imperial Leaving				64,696	64,696	64,696	194,088
Decrease with six courts leaving					93,442	93,442	186,884
Adj SAIC Hosting Costs	\$ 1,431,487	\$ 1,214,486	\$ 1,232,549	\$ 1,167,853	\$ 1,050,442	\$ 1,069,709	\$ 7,166,526
TCTF (Schedule C Reimbursement to ICMS Program Calculations)							
	Amt						
Schedule C reimbursements All 9 Courts in FY 16/17	\$ 842,232						
Less Imperial Sch C Reimbursement in FY 18/19	161,160						
Less Lake/Modoc Sch C in FY 18-19	168,216						
Sch C Reimbursement FY 18/19	\$ 512,856						
Less Plumas/San Benito/Trinity in FY 19/20	225,336						
Sch C Reimbursement in FY 19/20	\$ 287,520						
ICMS Projected Budget							
TCTF (Schedule C Reimbursements to offset SAIC Hosting Costs)	\$ 842,232	\$ 842,232	\$ 842,232	\$ 512,856	\$ 287,520	\$ 287,520	\$ 3,614,592
IMF Funding Needed for SAIC Hosting Costs of SJE	589,255	372,254	390,317	654,997	762,922	782,189	3,551,934
Subtotal SAIC Hosting Costs	\$1,431,487	\$1,214,486	\$1,232,549	\$1,167,853	\$1,050,442	\$1,069,709	\$7,166,526
Business Analyst/Interface Support/PM/DMV Connectivity IMF Funded	657,430	663,430	663,430	663,430	648,430	648,430	3,944,580
Total ICMS Budget	\$2,088,917	\$1,877,916	\$1,895,979	\$1,831,283	\$1,698,872	\$1,718,139	\$11,111,106
IMF Funding Needed for ICMS, Telcom and Outsourced IT Services							
IMF Funding Needed for ICMS Program	\$ 1,246,685	\$ 1,035,684	\$ 1,053,747	\$ 1,318,427	\$ 1,411,352	\$ 1,430,619	\$ 7,496,514
Net IMF Funds to cover Telcom/Outsourced IT Services	186,240	186,240	186,240	189,041	226,951	226,951	1,201,664
Total IMF Funding Needed	\$ 1,432,925	\$ 1,221,924	\$ 1,239,987	\$ 1,507,468	\$ 1,638,303	\$ 1,657,570	\$ 8,698,178