



JUDICIAL COUNCIL  
OF CALIFORNIA

ADVISORY COMMITTEE ON AUDITS AND  
FINANCIAL ACCOUNTABILITY FOR THE  
JUDICIAL BRANCH

[www.courts.ca.gov/auditcommittee.htm](http://www.courts.ca.gov/auditcommittee.htm)  
[auditcommittee@jud.ca.gov](mailto:auditcommittee@jud.ca.gov)

**AUDITS AND FINANCIAL ACCOUNTABILITY COMMITTEE**

**OPEN MEETING WITH CLOSED SESSION AGENDA**

Open to the Public Unless Indicated as Closed (Cal. Rules of Court, rule 10.75(c)(1))

THIS MEETING IS BEING CONDUCTED BY ELECTRONIC MEANS

OPEN PORTION OF THIS MEETING IS BEING RECORDED

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**Date:** March 28, 2019  
**Time:** 12:15 – 1:15 PM  
**Public Call-In Number:** 1-877-820-7831; Public Listening Code 4045700

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Meeting materials for open portions of the meeting will be posted on the advisory body web page on the California Courts website at least three business days before the meeting.

Agenda items are numbered for identification purposes only and will not necessarily be considered in the indicated order.

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**I. OPEN MEETING (CAL. RULES OF COURT, RULE 10.75(C)(1))**

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**Call to Order and Roll Call**

**Opening Comments by the Chair and Vice-Chair**

Presenter(s)/Facilitator(s): Hon. Judge Rosenberg—Chair; Hon. Presiding Justice  
Siggins—Vice Chair

**Approval of Minutes**

Approve minutes of the February 8, 2019, Audit Committee meeting.

Approve minutes of March 5, 2019 action taken by e-mail

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**II. PUBLIC COMMENT (CAL. RULES OF COURT, RULE 10.75(K)(2))**

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**Written Comment**

In accordance with California Rules of Court, Rule 10.75(k) (1), written comments pertaining to any agenda item of a regularly noticed open meeting can be submitted up to one complete business day before the meeting. For this specific meeting, comments should be e-mailed to [auditcommittee@jud.ca.gov](mailto:auditcommittee@jud.ca.gov) or mailed or delivered to Judicial Council of California, Audit Services, 455 Golden Gate Avenue, 5<sup>th</sup> Floor, San Francisco, California 94102 attention: Audit Services. Only written comments received by 12:15 pm on March 27th, 2019 will be provided to advisory body members prior to the start of the meeting.

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**III. INFORMATION ONLY ITEMS (NO ACTION REQUIRED)**

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**Info 1**

**Report from Audit Services**

Overview of Audit Services' work in progress as well as a summary of external audits being performed by other governmental agencies.

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council's Audit Services

**Info 2**

**General Discussion by Members of the Committee**

Open discussion by committee members regarding any topic within the scope and purview of the Advisory Committee for Audits and Financial Accountability for the Judicial Branch.

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council's Audit Services

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**IV. DISCUSSION AND POSSIBLE ACTION ITEMS (ITEMS 1-4)**

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**Item 1**

**Budget Change Proposal - Concept (Action Required)**

Review and approve the proposed Budget Change Proposal Concept for funding trial court audits pursuant to Government Code, Section 77206(h).

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council's Audit Services

**Item 2**

**External Audit Report – State Controller's Office (Action Required)**

Review and approve for posting the State Controller's recent audit report concerning Sonoma Superior Court. The State Controller is required to audit the trial courts' adherence to state rules concerning the revenues, expenditures, and fund balances under their control, per Government Code, Section 77206(h).

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council's Audit Services

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**V. ADJOURNMENT**

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**Adjourn to Closed Session**

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**VI. CLOSED SESSION (CAL. RULES OF COURT, RULE 10.75(D))**

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**Item 3**

**Draft Audit Report – Rule of Court 10.75(d) (6) (Action Required)**

***Non-final audit reports or proposed responses to such reports***

Review and approve Audit Services' draft audit report of the 4<sup>th</sup> District of the Courts of Appeal for public posting, per Rule of Court 10.63(c)(1).

Presenter(s)/Facilitator(s): Robert Cabral, Manager – Judicial Council's Audit Services

**Item 4**

**Draft Audit Report – Rule of Court 10.75(d) (6) (Action Required)**

***Non-final audit reports or proposed responses to such reports***

Review and approve Audit Services' draft audit report of Merced Superior Court's administration of Court Innovations Grant Program, per Rule of Court 10.63(c)(1).

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council's Audit Services

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**VII. ADJOURNMENT**

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**Adjourn the meeting**