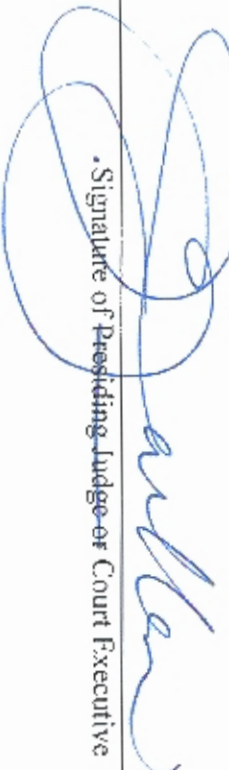


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

  
\_\_\_\_\_  
• Signature of Presiding Judge of Court Executive

  
\_\_\_\_\_  
Date

Sonoma

Court

11-12 Q1

Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

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Court

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Fiscal Year and Ending Quarter

**FOOTNOTES**

1	
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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

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Court

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Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Sonoma Superior Court  
Trial Court Operations Fund  
Balance Sheet  
(Unaudited)

For the month ended Sep									
Fiscal Year 2011/12									2010/11
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (608,576)	\$ 831,894	\$ (443,729)				\$ 11,450	\$ (208,961)	\$ (257,302)
Payroll	\$ 13,017	\$ 0						\$ 13,017	\$ 19,400
Jury Revolving	\$ 15,000							\$ 15,000	\$ 5,818
Other Distribution							\$ 41,312	\$ 41,312	\$ 113,048
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust Credit Card							\$ 2,330,066	\$ 2,330,066	\$ 2,833,896
Cash on Hand	\$ 8,000							\$ 8,000	\$ 8,100
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0
Total Cash	\$ (572,559)	\$ 831,894	\$ (443,729)				\$ 2,382,828	\$ 2,198,434	\$ 2,722,960
Short Term Investment	\$ 7,038,346						\$ 1,158,718	\$ 8,197,064	\$ 6,882,862
Investment in Financial Institution							\$ 2,071,621	\$ 2,071,621	\$ 833,303
Total Investments	\$ 7,038,346						\$ 3,230,340	\$ 10,268,685	\$ 7,716,165
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 2,235
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0						\$ 0	\$ 0
Trust Due To/From							\$ 2,487	\$ 2,487	
Distribution Due To/From							\$ 0	\$ 0	
Civil Filing Fee Due To/From									
General Due To/From	\$ 1,373							\$ 1,373	
Total Receivables	\$ 1,373	\$ 0	\$ 0				\$ 2,487	\$ 3,860	\$ 2,235
Prepaid Expenses - General	\$ 250							\$ 250	\$ 250
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 250							\$ 250	\$ 250
Other Assets									
Total Other Assets									
Total Assets	\$ 6,467,410	\$ 831,894	\$ (443,729)				\$ 5,615,655	\$ 12,471,230	\$ 10,441,610
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 15,953	\$ (2,012)	\$ 12				\$ 0	\$ 13,954	\$ 88,180
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 3,860	\$ 3,860	\$ 2,235
Due to Other Courts									
Due to State									\$ 0
TC145 Liability							\$ 638,993	\$ 638,993	\$ 689,804
Due to Other Governments							\$ 569,915	\$ 569,915	\$ 460,887
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 330	\$ 330	\$ 470
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 15,953	\$ (2,012)	\$ 12				\$ 1,213,099	\$ 1,227,053	\$ 1,241,577
Civil							\$ 1,523,832	\$ 1,523,832	\$ 1,558,400
Criminal							\$ 517,907	\$ 517,907	\$ 657,482
Unreconciled - Civil and Criminal							\$ 48,411	\$ 48,411	\$ 48,411
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 5,720	\$ 5,720	\$ 47,966
Miscellaneous Trust									
Total Trust Deposits							\$ 2,095,870	\$ 2,095,870	\$ 2,312,258
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (101,394)						\$ 2,071,621	\$ 1,970,228	\$ 763,704
Deferred Compensation Payable	\$ 0							\$ 0	\$ (921)
Deductions Payable	\$ 156,661							\$ 156,661	\$ 187,585
Payroll Clearing									\$ 0
Total Payroll Liabilities	\$ 55,268	\$ 0	\$ 0				\$ 2,071,621	\$ 2,126,889	\$ 950,369
Revenue Collected in Advance									
Liabilities For Deposits	\$ 22,216						\$ 17,732	\$ 39,948	\$ 13,349
Jury Fees - Non-Interest							\$ 215,593	\$ 215,593	\$ 445,344
Fees - Partial Payment & Overpayment							\$ 1,739	\$ 1,739	\$ 30,617
Uncleared Collections									
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Other Liabilities	\$ 22,216	\$ 0	\$ 0				\$ 235,065	\$ 257,280	\$ 489,310
Total Liabilities	\$ 93,436	\$ (2,012)	\$ 12				\$ 5,615,655	\$ 5,707,092	\$ 4,993,513
Fund Balance - Nonspendable									
Fund Balance - Restricted	\$ 1,524,875	\$ 1,001,706	\$ 0					\$ 2,526,581	\$ 4,649,268
Fund Balance - Committed	\$ 0							\$ 0	
Fund Balance - Assigned	\$ 3,184,851	\$ 0						\$ 3,184,851	\$ 1,067,250
Fund Balance - Unassigned	\$ 1,310,147	\$ 0	\$ 0					\$ 1,310,147	\$ (18,552)
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ 354,102	\$ (167,800)	\$ (443,742)					\$ (257,440)	\$ (249,869)
Total Fund Balance	\$ 6,373,974	\$ 833,906	\$ (443,742)					\$ 6,764,138	\$ 5,448,097
Total Liabilities and Fund Balance	\$ 6,467,410	\$ 831,894	\$ (443,729)				\$ 5,615,655	\$ 12,471,230	\$ 10,441,610

Sonoma Superior Court  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended Sep											
Fiscal Year 2011/12										2010/11	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 5,110,721	\$ 11,393					\$ 5,122,114	\$ 23,669,379	\$ 4,828,543	\$ 31,415,071	
Trial Court Improvement Fund	\$ (266)						\$ (266)	\$ 76,104	\$ (58)	\$ 64,555	
Judicial Administration Efficiency & Mod Fund	\$ (22,750)						\$ (22,750)	\$ 31,950	\$ 6,929	\$ 87,050	
Judges' Compensation (45.25)	\$ 49,875						\$ 49,875	\$ 190,000	\$ 28,727	\$ 190,000	
Court Interpreter (45.45)	\$ 286,223						\$ 286,223	\$ 1,198,488	\$ 484,738	\$ 1,198,488	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 43,895						\$ 43,895	\$ 389,004	\$ 910	\$ 365,312	
Other Miscellaneous											
	\$ 5,467,698	\$ 11,393					\$ 5,479,091	\$ 25,554,925	\$ 5,349,789	\$ 33,320,476	
Grants											
AB 1058 Commissioner/Facilitator			\$ (102,114)				\$ (102,114)	\$ 815,180	\$ (54,805)	\$ 641,873	
Other AOC Grants			\$ (11,527)				\$ (11,527)	\$ 21,531	\$ (113,482)	\$ 48,200	
Non-AOC Grants			\$ (46,809)				\$ (46,809)	\$ 468,584	\$ (22,669)	\$ 561,964	
			\$ (160,450)				\$ (160,450)	\$ 1,305,295	\$ (190,955)	\$ 1,252,037	
Other Financing Sources											
Interest Income	\$ 46,066	\$ 37					\$ 46,102	\$ 35,560	\$ (5,448)	\$ 54,094	
Investment Income											
Donations											
Local Fees	\$ 29,661						\$ 29,661	\$ 302,600	\$ 24,060	\$ 286,622	
Non-Fee Revenues	\$ 4,605						\$ 4,605	\$ 15,540	\$ 410	\$ 20,102	
Enhanced Collections		\$ 81,007					\$ 81,007	\$ 1,236,205	\$ (115,882)	\$ 1,020,646	
Escheatment											
Prior Year Revenue											
County Program - Restricted	\$ 0	\$ 3,017					\$ 3,017	\$ 28,913	\$ 3,057	\$ 28,841	
Reimbursement Other	\$ (51,852)						\$ (51,852)	\$ 50,670	\$ 0	\$ 53,000	
Sale of Fixed Assets											
Other Miscellaneous									\$ 616,004	\$ 616,004	
	\$ 28,479	\$ 84,060					\$ 112,540	\$ 1,669,488	\$ 522,201	\$ 2,079,309	
Total Revenues	\$ 5,496,177	\$ 95,453	\$ (160,450)				\$ 5,431,181	\$ 28,529,708	\$ 5,681,034	\$ 36,651,822	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 2,711,755	\$ 103,339	\$ 149,077				\$ 2,964,171	\$ 12,497,109	\$ 3,167,543	\$ 13,824,388	
Temp Help											
Overtime	\$ 4,900						\$ 4,900		\$ 1,555		
Staff Benefits	\$ 1,745,233	\$ 76,317	\$ 86,648				\$ 1,908,198	\$ 10,127,552	\$ 1,730,750	\$ 9,473,391	
	\$ 4,461,887	\$ 179,656	\$ 235,725				\$ 4,877,268	\$ 22,624,661	\$ 4,899,848	\$ 23,297,779	
Operating Expenses and Equipment											
General Expense	\$ 112,634	\$ 3,832	\$ 1,052				\$ 117,518	\$ 649,223	\$ 93,835	\$ 632,611	
Printing	\$ 23,143	\$ 905	\$ 17				\$ 24,064	\$ 165,430	\$ 35,399	\$ 153,400	
Telecommunications	\$ 36,975	\$ 1,789	\$ 1,645				\$ 40,410	\$ 195,083	\$ 34,345	\$ 198,097	
Postage	\$ 20,610	\$ 2,643	\$ 0				\$ 23,253	\$ 187,540	\$ 37,889	\$ 183,145	
Insurance	\$ 476						\$ 476	\$ 7,000	\$ 380	\$ 6,127	
In-State Travel	\$ 3,078		\$ 4,087				\$ 7,166	\$ 49,390	\$ 8,026	\$ 31,092	
Out-of-State Travel											
Training	\$ 1,345		\$ 275				\$ 1,620	\$ 40,785	\$ 4,505	\$ 48,571	
Security Services	\$ 87,044		\$ 0				\$ 87,044	\$ 417,612	\$ 79,597	\$ 7,392,144	
Facility Operations	\$ (70,064)	\$ 490					\$ (69,574)	\$ 662,155	\$ 3,370	\$ 634,067	
Utilities	\$ (20,473)						\$ (20,473)	\$ 82,340	\$ 7,291	\$ 60,750	
Contracted Services	\$ 209,291	\$ 20,703	\$ 9,520				\$ 239,514	\$ 1,903,998	\$ 304,050	\$ 2,236,217	
Consulting and Professional Services	\$ 132,561	\$ 10,215	\$ 5,802				\$ 148,579	\$ 1,277,515	\$ 140,378	\$ 1,378,517	
Information Technology	\$ 15,070	\$ 14,603	\$ 146				\$ 29,819	\$ 394,893	\$ 66,924	\$ 245,957	
Major Equipment	\$ (146)	\$ (41)					\$ (187)	\$ 15,000	\$ 294		
Other Items of Expense	\$ 286						\$ 286	\$ 6,558	\$ 2,497	\$ 3,050	
	\$ 551,830	\$ 55,140	\$ 22,545				\$ 629,515	\$ 6,054,522	\$ 818,779	\$ 13,203,745	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 34,639						\$ 34,639	\$ 257,990	\$ 64,325	\$ 232,750	
Judgements, Settlements and Claims										\$ 200,000	
Debt Service											
Other	\$ 150,000						\$ 150,000	\$ 600,000	\$ 150,000	\$ 600,000	
Capital Costs											
Internal Cost Recovery	\$ (56,280)	\$ 28,457	\$ 27,823				\$ 0	\$ 1		\$ (1)	
Prior Year Expense Adjustment			\$ (2,800)				\$ (2,800)		\$ (2,048)		
	\$ 128,358	\$ 28,457	\$ 25,023				\$ 181,839	\$ 857,991	\$ 212,277	\$ 1,032,749	
Total Expenditures	\$ 5,142,076	\$ 263,254	\$ 283,292				\$ 5,688,622	\$ 29,537,174	\$ 5,930,903	\$ 37,534,273	
Excess (Deficit) of Revenues Over Expenditures	\$ 354,102	\$ (167,800)	\$ (443,742)				\$ (257,440)	\$ (1,007,466)	\$ (249,869)	\$ (882,451)	
Operating Transfers In (Out)								\$ 0		\$ (1)	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 6,019,872	\$ 1,001,706	\$ 0				\$ 7,021,578	\$ 7,021,578	\$ 5,697,966	\$ 5,697,966	
Ending Balance (Deficit)	\$ 6,373,974	\$ 833,906	\$ (443,742)				\$ 6,764,138	\$ 6,014,112	\$ 5,448,097	\$ 4,815,514	

Sonoma Superior Court  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended Sep										
Fiscal Year 2011/12									2010/11	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 2,080,399	\$ 95,162			\$ 19,546		\$ 2,195,107	\$ 11,148,495	\$ 2,252,183	\$ 11,636,659
Traffic & Other Infractions	\$ 147,689	\$ 8,495					\$ 156,184	\$ 756,988	\$ 153,361	\$ 679,545
Other Criminal Cases	\$ 227,268	\$ 15,024					\$ 242,291	\$ 1,488,421	\$ 297,340	\$ 1,555,086
Civil	\$ 243,382	\$ 15,649					\$ 259,031	\$ 1,238,499	\$ 269,887	\$ 1,341,837
Family & Children Services	\$ 591,223	\$ 28,334			\$ 8,277		\$ 627,834	\$ 3,102,691	\$ 618,170	\$ 3,316,662
Probate, Guardianship & Mental Health Services	\$ 158,257	\$ 1,604					\$ 159,861	\$ 769,484	\$ 151,459	\$ 707,097
Juvenile Dependency Services	\$ 45,604	\$ 40					\$ 45,644	\$ 204,086	\$ 43,291	\$ 199,402
Juvenile Delinquency Services	\$ 81,125	\$ 26,283				\$ (2,800)	\$ 104,609	\$ 467,400	\$ 114,218	\$ 559,082
Other Court Operations	\$ 83,764	\$ 210,858					\$ 294,623	\$ 2,003,625	\$ 322,375	\$ 1,253,745
Court Interpreters	\$ 299,550	\$ 28,146					\$ 327,696	\$ 1,574,753	\$ 328,638	\$ 1,533,409
Jury Services	\$ 38,892	\$ 17,976	\$ 34,639				\$ 91,507	\$ 540,975	\$ 137,696	\$ 544,811
Security		\$ 88,030					\$ 88,030	\$ 421,060	\$ 71,552	\$ 7,403,844
Trial Court Operations Program	\$ 3,997,153	\$ 535,600	\$ 34,639		\$ 27,823	\$ (2,800)	\$ 4,592,414	\$ 23,716,476	\$ 4,760,170	\$ 30,731,179
Enhanced Collections	\$ 179,656	\$ 37,420			\$ 28,457		\$ 245,534	\$ 1,236,205	\$ 186,734	\$ 1,020,646
Other Non-Court Operations		\$ 2,000					\$ 2,000	\$ 12,000	\$ 2,000	\$ 1,021,973
Non-Court Operations Program	\$ 179,656	\$ 39,420			\$ 28,457		\$ 247,534	\$ 1,248,205	\$ 188,734	\$ 2,042,619
Executive Office	\$ 135,629	\$ 4,001					\$ 139,630	\$ 619,616	\$ 153,677	\$ 651,386
Fiscal Services	\$ 178,424	\$ 32,488			\$ (56,280)		\$ 154,631	\$ 851,685	\$ 181,037	\$ 1,035,244
Human Resources	\$ 94,900	\$ (16,529)	\$ 150,000				\$ 228,370	\$ 1,255,455	\$ 278,388	\$ 1,248,119
Business & Facilities Services	\$ 70,745	\$ 5,758					\$ 76,503	\$ 763,901	\$ 87,493	\$ 368,472
Information Technology	\$ 220,762	\$ 28,777					\$ 249,539	\$ 1,081,836	\$ 281,404	\$ 1,457,254
Court Administration Program	\$ 700,459	\$ 54,495	\$ 150,000		\$ (56,280)		\$ 848,674	\$ 4,572,493	\$ 982,000	\$ 4,760,475
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 4,877,268	\$ 629,515	\$ 184,639		\$ 0	\$ (2,800)	\$ 5,688,622	\$ 29,537,174	\$ 5,930,903	\$ 37,534,273