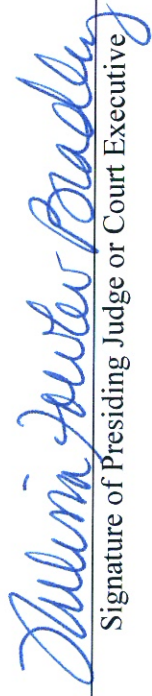


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/14/13

Date

Shasta

Court

FY 2013-14, Qtr 1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Shasta
Court
FY 2013-14, Qtr 1
Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Shasta

 Court

 FY 2013-14, Qtr 1

 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	186	169			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Shasta
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										2012/13	
Fiscal Year 2013/14											
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)	
PROGRAM EXPENDITURES:											
Judges & Courtroom Support	\$ 614,549	\$ 127,029					\$ 741,578	\$ 3,399,403	\$ 740,477	\$ 3,127,580	
Traffic & Other Infractions	\$ 106,236	\$ 21,579					\$ 127,815	\$ 583,338	\$ 100,351	\$ 460,902	
Other Criminal Cases	\$ 199,473	\$ 16,062					\$ 215,535	\$ 942,618	\$ 196,466	\$ 875,377	
Civil	\$ 195,126	\$ 22,087			\$ 913		\$ 218,126	\$ 933,007	\$ 205,435	\$ 892,486	
Family & Children Services	\$ 219,720	\$ 30,562			\$ 2,992		\$ 253,274	\$ 1,269,772	\$ 251,030	\$ 1,109,845	
Probate, Guardianship & Mental Health Services	\$ 104,006	\$ 3,086					\$ 107,092	\$ 420,900	\$ 97,561	\$ 447,801	
Juvenile Dependency Services	\$ 6,220	\$ 141,975					\$ 148,195	\$ 754,382	\$ 95,371	\$ 622,743	
Juvenile Delinquency Services	\$ 9,349	\$ 1,890					\$ 11,238	\$ 39,829	\$ 9,030	\$ 79,404	
Other Court Operations	\$ 147,707	\$ 11,444					\$ 159,151	\$ 601,235	\$ 98,022	\$ 274,691	
Court Interpreters	\$ 33,005	\$ 54,952					\$ 87,957	\$ 349,985	\$ 71,246	\$ 308,409	
Jury Services	\$ 25,160	\$ 11,592	\$ 25,224				\$ 61,976	\$ 274,516	\$ 55,479	\$ 187,547	
Security	\$ 428,404	\$ 49,302					\$ 477,706	\$ 2,259,982	\$ 530,841	\$ 2,182,150	
Trial Court Operations Program	\$ 2,088,956	\$ 491,560	\$ 25,224		\$ 3,905		\$ 2,609,644	\$ 11,828,967	\$ 2,451,309	\$ 10,568,935	
Enhanced Collections	\$ 275,720	\$ 92,541					\$ 380,431	\$ 1,685,431	\$ 367,722	\$ 1,703,908	
Other Non-Court Operations	\$ 115,032	\$ 92,233	\$ 0		\$ 12,170		\$ 191,191	\$ 702,065	\$ 96,523	\$ 619,915	
Non-Court Operations Program	\$ 390,752	\$ 184,774	\$ 0		\$ (16,074)		\$ 571,622	\$ 2,387,496	\$ 464,245	\$ 2,323,823	
Executive Office	\$ 135,822	\$ 17,687					\$ 153,508	\$ 506,851	\$ 153,867	\$ 678,925	
Fiscal Services	\$ 106,688	\$ 12,767					\$ 119,455	\$ 527,400	\$ 165,449	\$ 884,806	
Human Resources	\$ 40,969	\$ (6,293)					\$ 34,676	\$ 199,675	\$ 166,556	\$ 193,079	
Business & Facilities Services		\$ 0					\$ 0		\$ (6,771)	\$ 2,600	
Information Technology	\$ 127,664	\$ 18,004					\$ 145,668	\$ 593,139	\$ 105,247	\$ 604,771	
Court Administration Program	\$ 411,143	\$ 42,164					\$ 453,307	\$ 1,827,065	\$ 584,349	\$ 2,364,181	
Expenditures Not Distributed or Posted to a Program											
Prior Year Adjustments Not Posted to a Program											
Total	\$ 2,890,852	\$ 718,498	\$ 25,224		\$ 0		\$ 3,634,573	\$ 16,043,528	\$ 3,499,903	\$ 15,256,939	

Superior Court of California, County of Shasta
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2013/14										2012/13	
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds					Fiduciary Funds
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 4,440,350	\$ 7,400					\$ 4,447,750	\$ 10,330,209	\$ 2,142,211	\$ 8,682,189	
Improvement and Modernization Fund	\$ 3,005						\$ 3,005	\$ 52,499		\$ 32,898	
Judges' Compensation (45.25)	\$ 20,625						\$ 20,625	\$ 82,500	\$ 26,559	\$ 106,237	
Court Interpreter (45.45)	\$ 36,459						\$ 36,459	\$ 162,338	\$ 19,538	\$ 179,358	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 112,757						\$ 112,757	\$ 729,230	\$ 100,714	\$ 787,004	
Other Miscellaneous								\$ 262,222		\$ 165,395	
	\$ 4,613,196	\$ 7,400					\$ 4,620,596	\$ 11,618,998	\$ 2,289,022	\$ 9,953,081	
Grants											
AB 1058 Commissioner/Facilitator			\$ 125,930				\$ 125,930	\$ 754,948	\$ (3,392)	\$ 589,475	
Other AOC Grants								\$ 48,198	\$ 5,160	\$ 10,000	
Non-AOC Grants											
			\$ 125,930				\$ 125,930	\$ 803,146	\$ 1,768	\$ 599,475	
Other Financing Sources											
Interest Income	\$ 125	\$ 0				\$ 1	\$ 125	\$ 8,118	\$ 255	\$ 5,970	
Investment Income											
Donations											
Local Fees	\$ 77,124						\$ 77,124	\$ 298,817	\$ 63,944	\$ 295,566	
Non-Fee Revenues	\$ 83						\$ 83	\$ 215	\$ 49	\$ 80	
Enhanced Collections		\$ 437,940					\$ 437,940	\$ 2,209,202	\$ 401,283	\$ 2,475,000	
Escheatment											
Prior Year Revenue											
County Program - Restricted	\$ 22,855	\$ 792				\$ 102,642	\$ 126,289	\$ 441,319	\$ 99,044	\$ 411,362	
Reimbursement Other	\$ 23,208						\$ 23,208	\$ 8,450	\$ 3,465	\$ 15,744	
Sale of Fixed Assets											
Other Miscellaneous	\$ 15,384						\$ 15,384				
	\$ 138,779	\$ 438,732				\$ 102,643	\$ 680,154	\$ 2,966,121	\$ 568,040	\$ 3,203,722	
Total Revenues	\$ 4,751,975	\$ 446,132	\$ 125,930			\$ 102,643	\$ 5,426,680	\$ 15,388,285	\$ 2,858,830	\$ 13,756,278	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,667,473	\$ 193,502	\$ 51,307			\$ 86,388	\$ 1,998,671	\$ 8,873,039	\$ 1,957,567	\$ 8,396,142	
Temp Help	\$ 108,947	\$ 7,547					\$ 116,494	\$ 209,053	\$ 59,228		
Overtime	\$ 1,752	\$ 1,060				\$ 75	\$ 2,887		\$ 3,000		
Staff Benefits	\$ 650,980	\$ 73,611	\$ 19,640			\$ 28,569	\$ 772,799	\$ 3,704,124	\$ 969,722	\$ 3,901,789	
	\$ 2,429,152	\$ 275,720	\$ 70,947			\$ 115,032	\$ 2,890,852	\$ 12,786,216	\$ 2,989,517	\$ 12,297,931	
Operating Expenses and Equipment											
General Expense	\$ 74,532	\$ 14,923	\$ 1,060			\$ 124	\$ 90,638	\$ 411,943	\$ 79,056	\$ 469,689	
Printing	\$ 2,679	\$ 1,787	\$ 100				\$ 4,566	\$ 36,894	\$ 5,096	\$ 44,180	
Telecommunications	\$ 11,820	\$ 652	\$ 839			\$ 106	\$ 13,418	\$ 102,314	\$ 18,466	\$ 77,735	
Postage	\$ 22,504	\$ 17,509	\$ 161				\$ 40,173	\$ 133,278	\$ 19,768	\$ 144,372	
Insurance								\$ 6,274	\$ 0	\$ 1,410	
In-State Travel	\$ 1,115		\$ 1,229				\$ 2,344	\$ 45,075	\$ 4,104	\$ 33,228	
Out-of-State Travel									\$ 0		
Training	\$ 838	\$ 300	\$ 1,010				\$ 2,148	\$ 3,632	\$ 3,193	\$ 15,885	
Security Services	\$ 299	\$ 13					\$ 313	\$ 2,861	\$ 385	\$ 1,010	
Facility Operations	\$ 49,808	\$ 11,985	\$ 8,542			\$ 1,437	\$ 71,772	\$ 394,265	\$ 64,462	\$ 373,949	
Utilities	\$ 4,273	\$ 534	\$ 1,577			\$ 90	\$ 6,475	\$ 24,175	\$ 270	\$ 270	
Contracted Services	\$ 325,848	\$ 45,629	\$ 13,868			\$ 223	\$ 385,568	\$ 1,754,238	\$ 250,288	\$ 1,490,777	
Consulting and Professional Services	\$ 140					\$ 12	\$ 152	\$ 16,100	\$ 61	\$ 15,900	
Information Technology	\$ 7,610	\$ 31,286				\$ 58,956	\$ 97,852	\$ 184,710	\$ 18,751	\$ 179,092	
Major Equipment								\$ 30,977	\$ 22,647	\$ 650	
Other Items of Expense	\$ 3,080						\$ 3,080	\$ 15,629	\$ 1,280	\$ 25,760	
	\$ 504,545	\$ 124,619	\$ 28,386			\$ 60,948	\$ 718,498	\$ 3,162,365	\$ 485,556	\$ 2,873,907	
Special Items of Expense											
Grand Jury		\$ 0					\$ 0	\$ 1,275			
Jury Costs	\$ 25,224						\$ 25,224	\$ 93,672	\$ 24,829	\$ 85,100	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (14,556)	\$ 12,170	\$ 18,460			\$ (16,074)	\$ 0	\$ 0	\$ 0	\$ 1	
Prior Year Expense Adjustment											
	\$ 10,668	\$ 12,170	\$ 18,460			\$ (16,074)	\$ 25,224	\$ 94,947	\$ 24,829	\$ 85,101	
Total Expenditures	\$ 2,944,365	\$ 412,509	\$ 117,794			\$ 159,906	\$ 3,634,573	\$ 16,043,528	\$ 3,499,903	\$ 15,256,939	
Excess (Deficit) of Revenues Over Expenditures	\$ 1,807,610	\$ 33,624	\$ 8,136			\$ (57,263)	\$ 1,792,107	\$ (655,263)	\$ (641,073)	\$ (1,500,661)	
Operating Transfers In (Out)	\$ (72,301)						\$ 72,301	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,749,996	\$ 3	\$ 0			\$ 27,439	\$ 1,777,438	\$ 1,777,438	\$ 3,892,447	\$ 3,892,447	
Ending Balance (Deficit)	\$ 3,485,304	\$ 33,627	\$ 8,136			\$ 42,477	\$ 3,569,545	\$ 1,122,175	\$ 3,251,374	\$ 2,391,786	

Superior Court of California, County of Shasta
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September										
Fiscal Year 2013/14									2012/13	
	Governmental Funds						Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Special Revenue			Capital Project	Debt Service					
	General	Non-Grant	Grant							
ASSETS										
Operations	\$ 392,970	\$ 7,276	\$ (249,831)			\$ 42,477	\$ 371,707	\$ 564,599	\$ 204,155	
Payroll	\$ (1,640)	\$ 0				\$ 0		\$ (1,640)	\$ 55	
Jury										
Revolving	\$ 25,000	\$ 0						\$ 25,000	\$ 25,000	
Other										
Distribution										
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust							\$ (19,778)	\$ (19,778)	\$ (16,618)	
Credit Card								\$ 3,235	\$ 3,235	
Cash on Hand	\$ 2,635	\$ 600						\$ 3,235	\$ 3,235	
Cash with County	\$ 851,982						\$ 546,404	\$ 1,398,385	\$ 1,137,673	
Cash Outside of the AOC										
Total Cash	\$ 1,270,947	\$ 7,876	\$ (249,831)			\$ 42,477	\$ 898,332	\$ 1,969,802	\$ 1,353,501	
Short Term Investment										
Investment in Financial Institution	\$ 2,280,836						\$ 328,427	\$ 2,609,262	\$ 2,911,133	
							\$ 100,000	\$ 100,000		
Total Investments	\$ 2,280,836						\$ 428,427	\$ 2,709,262	\$ 2,911,133	
Accrued Revenue	\$ 0	\$ 0	\$ 0			\$ 0	\$ 0	\$ 0	\$ 0	
Accounts Receivable - General			\$ 0					\$ 0	\$ 0	
Dishonored Checks								\$ 0	\$ 0	
Due From Employee	\$ 0							\$ 0	\$ 0	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 0							\$ 0	\$ 0	
Due From Other Governments		\$ 25,951						\$ 25,951	\$ 35,937	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State	\$ 195	\$ 0	\$ 260,373			\$ 0	\$ 0	\$ 260,568	\$ 133,654	
Trust Due To/From										
Distribution Due To/From										
Civil Filing Fee Due To/From										
General Due To/From	\$ 89							\$ 89	\$ 94	
Total Receivables	\$ 284	\$ 25,951	\$ 260,373			\$ 0	\$ 0	\$ 286,608	\$ 169,685	
Prepaid Expenses - General	\$ 0	\$ 0						\$ 0	\$ 0	
Salary and Travel Advances	\$ 0							\$ 0	\$ 2,617	
Counties										
Total Prepaid Expenses	\$ 0	\$ 0				\$ 0		\$ 0	\$ 2,617	
Other Assets										
Total Other Assets										
Total Assets	\$ 3,552,067	\$ 33,827	\$ 10,542			\$ 42,477	\$ 1,326,759	\$ 4,965,673	\$ 4,436,937	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0	\$ 0	\$ 0			\$ 0		\$ 0	\$ 0	
Accounts Payable - General	\$ 4,561	\$ 0	\$ 0			\$ 0	\$ 0	\$ 4,561	\$ 15,471	
Due to Other Funds	\$ 0	\$ 0	\$ 0			\$ 0	\$ 89	\$ 89	\$ 94	
Due to Other Courts		\$ 0	\$ 0					\$ 0	\$ 0	
Due to State		\$ 0						\$ 0	\$ 0	
TC145 Liability							\$ 334,246	\$ 334,246	\$ 338,821	
Due to Other Governments	\$ 0							\$ 0		
AB145 Due to Other Government Agency										
Due to Other Public Agencies										
Sales and Use Tax	\$ 302							\$ 302	\$ 839	
Interest							\$ 45	\$ 45	\$ 38	
Miscellaneous Accts. Pay. and Accrued Liab.										
Total Accounts Payable and Accrued Liab.	\$ 4,863	\$ 0	\$ 0			\$ 0	\$ 334,381	\$ 339,244	\$ 355,262	
Civil										
Criminal							\$ 336,782	\$ 336,782	\$ 166,083	
Unreconciled - Civil and Criminal										
Trust Held Outside of the AOC							\$ 546,404	\$ 546,404	\$ 581,273	
Trust Interest Payable							\$ 8,140	\$ 8,140	\$ 7,852	
Miscellaneous Trust										
Total Trust Deposits							\$ 891,325	\$ 891,325	\$ 755,209	
Accrued Payroll	\$ 0	\$ 0	\$ 0			\$ 0		\$ 0	\$ 0	
Benefits Payable	\$ (120,974)						\$ 100,000	\$ (20,974)	\$ (29,779)	
Deferred Compensation Payable	\$ 15,001							\$ 15,001	\$ 10,023	
Deductions Payable	\$ 150,605							\$ 150,605	\$ 73,634	
Payroll Clearing										
Total Payroll Liabilities	\$ 44,632	\$ 0	\$ 0			\$ 0	\$ 100,000	\$ 144,632	\$ 53,877	
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0	
Liabilities For Deposits	\$ 19,262	\$ 200	\$ 2,406				\$ 453	\$ 22,321	\$ 15,133	
Jury Fees - Non-Interest							\$ 600	\$ 600	\$ 6,102	
Fees - Partial Payment & Overpayment							\$ 0	\$ 0	\$ 0	
Uncleared Collections	\$ (2,190)							\$ (2,190)	\$ (20)	
Other Miscellaneous Liabilities	\$ 197							\$ 197	\$ 0	
Total Other Liabilities	\$ 17,269	\$ 200	\$ 2,406				\$ 1,053	\$ 20,928	\$ 21,215	
Total Liabilities	\$ 66,763	\$ 200	\$ 2,406			\$ 0	\$ 1,326,759	\$ 1,396,128	\$ 1,185,562	
Total Fund Balance	\$ 3,485,304	\$ 33,627	\$ 8,136			\$ 42,477		\$ 3,569,545	\$ 3,251,374	
Total Liabilities and Fund Balance	\$ 3,552,067	\$ 33,827	\$ 10,542			\$ 42,477	\$ 1,326,759	\$ 4,965,673	\$ 4,436,937	