

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

David H. Imada
Signature of Presiding Judge or Court Executive

1-9-2012
Date

Superior Court - Santa Clara
Court

FY 2011-12 Q1
Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Superior Court - Santa Clara
Court
FY 2011-12 Q1
Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Superior Court - Santa Clara

Court

FY 2011-12 Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	906.58	770.50			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Santa Clara Superior Court
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended Sep							2010/11	
	Fiscal Year 2011/12								
	Governmental Funds					Proprietary Funds	Fiduciary Funds		Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
ASSETS									
Operations	\$ (364,648)	\$ 392,966	\$ (811,072)	\$ 0			\$ 40,164	\$ (742,590)	\$ (3,594,035)
Payroll									
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 802,864	\$ 802,864	\$ 811,437
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ 23,514,167	\$ 23,514,167	\$ 23,492,164
Credit Card									
Cash on Hand	\$ 16,185						\$ 0	\$ 16,185	\$ 16,285
Cash with County	\$ 7,000,000						\$ 0	\$ 7,000,000	\$ 7,000,000
Total Cash	\$ 6,651,537	\$ 392,966	\$ (811,072)	\$ 0			\$ 24,357,195	\$ 30,590,626	\$ 27,725,851
Short Term Investment	\$ 20,058,764						\$ 7,324,545	\$ 27,383,310	\$ 23,826,783
Investment in Financial Institution									
Total Investments	\$ 20,058,764						\$ 7,324,545	\$ 27,383,310	\$ 23,826,783
Accrued Revenue	\$ 0	\$ 0	\$ 0					\$ 0	\$ (130,550)
Accounts Receivable - General	\$ 2,862	\$ 24,250	\$ 394,655					\$ 421,767	\$ 0
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 10,732
Due From Other Governments	\$ 0	\$ 0	\$ 1,045					\$ 1,045	\$ 22,857
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From							\$ 0	\$ 0	
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 9,484							\$ 9,484	
Total Receivables	\$ 12,345	\$ 24,250	\$ 395,700				\$ 0	\$ 432,296	\$ (96,981)
Prepaid Expenses - General	\$ 65,468							\$ 65,468	\$ 102,890
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 65,468							\$ 65,468	\$ 102,890
Other Assets									
Total Other Assets									
Total Assets	\$ 26,788,115	\$ 417,216	\$ (415,371)	\$ 0			\$ 31,681,741	\$ 58,471,700	\$ 51,558,564
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 125,789	\$ 0	\$ 0				\$ 471,966	\$ 597,755	\$ 303,369
Due to Other Funds	\$ 0	\$ 0	\$ 0	\$ 0			\$ 9,484	\$ 9,484	\$ 10,732
Due to Other Courts									
Due to State	\$ 0							\$ 0	
TC145 Liability							\$ 2,219,378	\$ 2,219,378	\$ 2,885,191
Due to Other Governments		\$ 53						\$ 53	
AB145 Due to Other Government Agency	\$ 0						\$ 5,478,535	\$ 5,478,535	\$ 7,932,362
Due to Other Public Agencies									
Sales and Use Tax	\$ 580							\$ 580	\$ 549
Interest							\$ 2,898	\$ 2,898	\$ 3,381
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 126,369	\$ 53	\$ 0	\$ 0			\$ 8,182,261	\$ 8,308,684	\$ 11,135,584
Civil							\$ 19,025,751	\$ 19,025,751	\$ 19,505,964
Criminal							\$ 4,331,081	\$ 4,331,081	\$ 3,633,049
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 69,139	\$ 69,139	\$ 44,740
Miscellaneous Trust									
Total Trust Deposits							\$ 23,425,971	\$ 23,425,971	\$ 23,183,753
Accrued Payroll	\$ 405,132							\$ 405,132	\$ 405,132
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ 405,132							\$ 405,132	\$ 405,132
Revenue Collected in Advance	\$ 198,049		\$ 63,500					\$ 261,549	\$ 140,134
Liabilities For Deposits	\$ 34,568	\$ 2,829					\$ 73,509	\$ 110,906	\$ 39,787
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 232,618	\$ 2,829	\$ 63,500				\$ 73,509	\$ 372,455	\$ 179,921
Total Liabilities	\$ 784,119	\$ 2,882	\$ 63,500	\$ 0			\$ 31,681,741	\$ 32,512,242	\$ 34,904,390
Fund Balance - Nonspendable									
Fund Balance - Restricted	\$ 2,413,876	\$ 943,273	\$ 338					\$ 3,357,487	\$ 3,293,269
Fund Balance - Committed	\$ 0			\$ 0				\$ 0	\$ 0
Fund Balance - Assigned	\$ 16,998,539							\$ 16,998,539	\$ 16,998,539
Fund Balance - Unassigned	\$ 4,330,093	\$ 0	\$ 0					\$ 4,330,093	\$ 3,916,538
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ 2,281,489	\$ (528,939)	\$ (479,210)					\$ 1,273,340	\$ (7,564,172)
Total Fund Balance	\$ 26,023,996	\$ 414,333	\$ (478,871)	\$ 0				\$ 25,959,458	\$ 16,654,174
Total Liabilities and Fund Balance	\$ 26,788,115	\$ 417,216	\$ (415,371)	\$ 0			\$ 31,681,741	\$ 58,471,700	\$ 51,558,564

Santa Clara Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	For the month ended Sep										
	Fiscal Year 2011/12								2010/11		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
Non-Grant		Grant									
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 20,232,567	\$ 17,734					\$ 20,250,301	\$ 93,233,935	\$ 20,110,464	\$ 125,644,205	
Trial Court Improvement Fund	\$ (782)						\$ (782)	\$ 332,173	\$ (19,443)	\$ 371,662	
Judicial Administration Efficiency & Mod Func	\$ 0						\$ 0	\$ 526,367	\$ (37,548)	\$ 872,365	
Judges' Compensation (45.25)	\$ 187,626						\$ 187,626	\$ 750,500	\$ 140,605	\$ 750,500	
Court Interpreter (45.45)	\$ 681,663						\$ 681,663	\$ 3,000,000	\$ 792,861	\$ 3,178,213	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 135,747						\$ 135,747	\$ 1,320,578	\$ (136)	\$ 2,025,195	
Other Miscellaneous								\$ 1,797,417			
	\$ 21,237,021	\$ 17,734					\$ 21,254,755	\$ 100,960,988	\$ 20,896,803	\$ 133,042,140	
Grants											
AB 1058 Commissioner/Facilitator			\$ 0				\$ 0	\$ 2,625,607	\$ (134,570)	\$ 2,625,606	
Other AOC Grants			\$ 166,144				\$ 166,144	\$ 314,538	\$ 6,420	\$ 115,785	
Non-AOC Grants			\$ 25,233				\$ 25,233	\$ 2,458,130	\$ 58,812	\$ 2,236,750	
			\$ 191,377				\$ 191,377	\$ 5,398,275	\$ (69,338)	\$ 4,976,141	
Other Financing Sources											
Interest Income	\$ 19,519	\$ 58	\$ 1				\$ 19,578	\$ 150,000	\$ 21,302	\$ 150,000	
Investment Income											
Donations		\$ 4,866					\$ 4,866	\$ 20,000	\$ 4,444	\$ 40,000	
Local Fees	\$ 232,697						\$ 232,697	\$ 1,406,000	\$ (20,946)	\$ 1,784,000	
Non-Fee Revenues											
Enhanced Collections		\$ 123,945					\$ 123,945	\$ 700,000	\$ 0	\$ 490,000	
Escheatment								\$ 1,000,000			
Prior Year Revenue	\$ 46,214						\$ 46,214		\$ 169,122		
County Program - Restricted	\$ 0	\$ 28,606					\$ 28,606	\$ 346,143	\$ 20,590	\$ 369,498	
Reimbursement Other	\$ 22,464	\$ 0					\$ 22,464	\$ 1,945,860	\$ 11,667	\$ 1,773,707	
Sale of Fixed Assets	\$ 547,111	\$ 0					\$ 547,111	\$ 2,168,253	\$ 393,397	\$ 1,608,253	
Other Miscellaneous											
	\$ 868,005	\$ 157,475	\$ 1				\$ 1,025,482	\$ 7,736,278	\$ 599,576	\$ 8,195,458	
Total Revenues	\$ 22,105,026	\$ 175,209	\$ 191,378				\$ 22,471,613	\$ 114,095,539	\$ 21,517,041	\$ 144,215,739	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 11,375,369	\$ 144,130	\$ 185,134				\$ 11,704,633	\$ 59,222,669	\$ 11,978,416	\$ 59,929,752	
Temp Help	\$ 153,873	\$ 7,954	\$ 70,812				\$ 232,739	\$ 1,216,731	\$ 296,669	\$ 1,077,649	
Overtime	\$ 16,607						\$ 16,607	\$ 50,000	\$ 16,721	\$ 50,000	
Staff Benefits	\$ 7,187,964	\$ 450,021	\$ 161,828				\$ 7,799,814	\$ 43,623,076	\$ 7,198,828	\$ 41,748,868	
	\$ 18,733,813	\$ 602,105	\$ 417,874				\$ 19,753,792	\$ 104,112,698	\$ 19,490,738	\$ 102,808,289	
Operating Expenses and Equipment											
General Expense	\$ 295,615	\$ 5,621	\$ 5,943				\$ 307,378	\$ 2,271,124	\$ 306,016	\$ 2,210,499	
Printing	\$ 42,660	\$ 94					\$ 42,854	\$ 200,000	\$ 29,911	\$ 190,000	
Telecommunications	\$ 253,028						\$ 253,028	\$ 650,000	\$ 290,633	\$ 900,000	
Postage	\$ 137,289						\$ 137,289	\$ 460,000	\$ 85,427	\$ 530,000	
Insurance	\$ 4,107						\$ 4,107	\$ 40,000	\$ 4,284	\$ 40,000	
In-State Travel	\$ 6,753	\$ 0	\$ 867				\$ 9,620	\$ 186,001	\$ 26,620	\$ 185,001	
Out-of-State Travel	\$ 3,575		\$ 1,324				\$ 4,899		\$ 4,918		
Training	\$ 23,031		\$ 975				\$ 24,006	\$ 175,000	\$ 27,221	\$ 175,000	
Security Services								\$ 295,376	\$ 6,920,279	\$ 29,152,191	
Facility Operations	\$ 139,419						\$ 139,419	\$ 1,169,238	\$ 269,557	\$ 1,424,650	
Utilities	\$ 34,014						\$ 34,014	\$ 160,000	\$ 32,328	\$ 160,000	
Contracted Services	\$ 786,247	\$ 80,036	\$ 170,278				\$ 1,016,561	\$ 7,369,459	\$ 1,122,294	\$ 8,032,582	
Consulting and Professional Services	\$ 138,350						\$ 138,350	\$ 2,100,113	\$ 231,733	\$ 1,918,562	
Information Technology	\$ 132,643						\$ 132,643	\$ 430,000	\$ 124,938	\$ 565,000	
Major Equipment	\$ 306,033						\$ 306,033				
Other Items of Expense	\$ 9,741						\$ 9,741	\$ 73,000	\$ 6,943	\$ 58,000	
	\$ 2,294,706	\$ 85,951	\$ 179,386				\$ 2,560,043	\$ 15,779,309	\$ 9,483,099	\$ 45,541,485	
Special Items of Expense											
Grand Jury		\$ 3,638					\$ 3,638	\$ 11,000	\$ 4,318	\$ 11,000	
Jury Costs	\$ 118,347	\$ 12,454					\$ 130,801	\$ 705,000	\$ 90,883	\$ 710,000	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 0						\$ 0		\$ 0		
Capital Costs	\$ (1,250,000)						\$ (1,250,000)	\$ 2,500,000	\$ 0	\$ 0	
Internal Cost Recovery	\$ (73,328)		\$ 73,328				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment									\$ 2,175		
	\$ (1,204,981)	\$ 16,093	\$ 73,328				\$ (1,115,561)	\$ 3,216,000	\$ 97,377	\$ 721,000	
Total Expenditures	\$ 19,823,537	\$ 704,148	\$ 670,588				\$ 21,198,274	\$ 123,108,007	\$ 29,071,213	\$ 148,066,774	
Excess (Deficit) of Revenues Over Expenditures	\$ 2,281,489	\$ (528,939)	\$ (479,210)				\$ 1,273,340	\$ (9,012,468)	\$ (7,554,172)	\$ (4,853,035)	
Operating Transfers In (Out)	\$ 0		\$ 0				\$ 0	\$ 0		\$ 0	
Finance (Deficit) Balance (Deficit)	\$ 23,742,507	\$ 943,273	\$ 338	\$ 0			\$ 24,686,118	\$ 24,686,118	\$ 24,208,346	\$ 24,208,346	
Beginning Balance (Deficit)	\$ 28,023,996	\$ 414,333	\$ (478,871)	\$ 0			\$ 25,959,458	\$ 15,673,650	\$ 16,654,174	\$ 18,355,311	

Santa Clara Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Sep										
Fiscal Year 2011/12										
2010/11										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$5,811,701	\$ 413,320	\$ 0		\$ 0		\$ 6,225,021	\$ 33,353,898	\$ 6,586,705	\$ 32,895,798
Traffic & Other Infractions	\$ 1,487,348	\$ 59,351					\$ 1,546,699	\$ 8,187,445	\$ 1,612,333	\$ 8,011,623
Other Criminal Cases	\$ 2,465,582	\$ 109,648			\$ 0		\$ 2,575,230	\$ 16,503,163	\$ 2,682,378	\$ 15,701,490
Civil	\$ 2,122,972	\$ 59,407					\$ 2,182,379	\$ 11,209,438	\$ 2,141,967	\$ 11,485,728
Family & Children Services	\$ 2,178,210	\$ 150,199			\$ 0		\$ 2,328,409	\$ 14,000,380	\$ 2,515,938	\$ 13,929,079
Probate, Guardianship & Mental Health Services	\$ 667,314	\$ (24,205)					\$ 643,109	\$ 3,487,978	\$ 679,243	\$ 3,447,020
Juvenile Dependency Services	\$ 141,284	\$ 14,108					\$ 155,392	\$ 1,068,375	\$ 126,931	\$ 1,501,447
Juvenile Delinquency Services	\$ 150,965	\$ 5,369					\$ 156,334	\$ 760,358	\$ 182,971	\$ 807,526
Other Court Operations	\$ 114,959	\$ 18					\$ 114,977	\$ 749,979	\$ 113,197	\$ 970,771
Court Interpreters	\$ 693,122	\$ 110,265					\$ 803,386	\$ 5,416,558	\$ 805,814	\$ 5,298,457
Jury Services	\$ 217,910	\$ 37,448	\$ 118,347				\$ 373,705	\$ 1,570,822	\$ 404,165	\$ 1,674,113
Security	\$ 16,051,367	\$ 934,729	\$ 118,347		\$ 0		\$ 17,104,443	\$ 95,653,770	\$ 24,771,920	\$ 124,925,643
Trial Court Operations Program										
Enhanced Collections	\$ 421,930	\$ 26,048	\$ 16,093				\$ 464,070	\$ 288,860	\$ 91,045	\$ 323,679
Other Non-Court Operations	\$ 421,930	\$ 26,048	\$ 16,093				\$ 464,070	\$ 288,860	\$ 91,045	\$ 323,679
Non-Court Operations Program										
Executive Office	\$ 629,996	\$ 23,148					\$ 653,144	\$ 1,700,992	\$ 300,840	\$ 2,164,744
Fiscal Services	\$ 408,583	\$ 286,349					\$ 694,932	\$ 4,317,269	\$ 587,654	\$ 3,330,119
Human Resources	\$ 433,974	\$ 20,081					\$ 454,055	\$ 2,517,916	\$ 494,843	\$ 2,526,137
Business & Facilities Services	\$ 817,678	\$ 565,004		\$ (1,250,000)			\$ 132,681	\$ 10,820,616	\$ 1,418,087	\$ 9,013,430
Information Technology	\$ 990,265	\$ 704,684					\$ 1,694,949	\$ 6,608,584	\$ 1,406,824	\$ 6,785,022
Court Administration Program	\$ 3,280,495	\$ 1,599,266		\$ (1,250,000)			\$ 3,629,761	\$ 26,165,377	\$ 4,208,248	\$ 23,819,452
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 19,753,792	\$ 2,560,043	\$ 134,439	\$ (1,250,000)	\$ 0		\$ 21,198,274	\$ 123,108,007	\$ 29,071,213	\$ 149,068,774