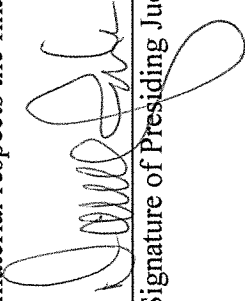


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

1/21/14  
\_\_\_\_\_  
Date

Santa Barbara County

\_\_\_\_\_  
Court

2013 September 30

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Santa Barbara Superior Court  
Court  
2013 Sept 30  
Fiscal Year and Ending Quarter

**FOOTNOTES**

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Santa Barbara County  
 \_\_\_\_\_  
 Court  
 2013 September 30  
 \_\_\_\_\_  
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		252.58			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September									
Fiscal Year 2013/14									
	Governmental Funds							Total Funds	Total Funds
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	(Info. Purposes Only)	(Info. Purposes Only)
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (2,050,827)	\$ 2,442,354	\$ (368,575)				\$ 1,303,947	\$ 1,326,900	\$ 1,288,723
Payroll	\$ (602)	\$ 0	\$ 0					\$ (602)	\$ (8,303)
Jury Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other Distribution							\$ 169,471	\$ 169,471	\$ 159,601
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust Credit Card							\$ (39,084)	\$ (39,084)	\$ (19,514)
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ (2,039,298)	\$ 2,442,354	\$ (368,575)				\$ 1,434,333	\$ 1,468,814	\$ 1,432,637
Short Term Investment	\$ 8,063,887						\$ 3,498,807	\$ 11,562,695	\$ 11,556,218
Investment in Financial Institution									
Total Investments	\$ 8,063,887						\$ 3,498,807	\$ 11,562,695	\$ 11,556,218
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts		\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 339,809					\$ 339,809	\$ 0
Trust Due To/From							\$ 322,978	\$ 322,978	\$ 476,018
Distribution Due To/From							\$ 93,758	\$ 93,758	\$ 40,432
Civil Filing Fee Due To/From									
General Due To/From	\$ 508	\$ 5						\$ 514	\$ 13,603
Total Receivables	\$ 508	\$ 5	\$ 339,809				\$ 416,736	\$ 757,060	\$ 530,054
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 6,025,097	\$ 2,442,359	\$ (28,765)				\$ 5,349,877	\$ 13,788,569	\$ 13,518,908
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 1,750	\$ 0	\$ 0				\$ 22,587	\$ 24,337	\$ 66,131
Due to Other Funds	\$ 0	\$ 5,793	\$ 0				\$ 411,457	\$ 417,250	\$ 530,054
Due to Other Courts									
Due to State		\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 523,240	\$ 523,240	\$ 654,787
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 3,054,043	\$ 3,054,043	\$ 3,014,432
Due to Other Public Agencies									
Sales and Use Tax	\$ 12							\$ 12	\$ 121
Interest							\$ 211	\$ 211	\$ 465
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 718							\$ 718	\$ 1,317
Total Accounts Payable and Accrued Liab.	\$ 2,480	\$ 5,793	\$ 0				\$ 4,011,538	\$ 4,019,811	\$ 4,267,307
Civil							\$ 671,904	\$ 671,904	\$ 751,103
Criminal							\$ 624,039	\$ 624,039	\$ 565,549
Unreconciled - Civil and Criminal							\$ 3,654	\$ 3,654	\$ 3,729
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 20,232	\$ 20,232	\$ 20,030
Miscellaneous Trust									
Total Trust Deposits							\$ 1,319,829	\$ 1,319,829	\$ 1,340,410
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 921,954
Benefits Payable	\$ (169,888)	\$ 0	\$ 0					\$ (169,888)	\$ (140,802)
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ (2,106)	\$ 0	\$ 0					\$ (2,106)	\$ (1,504)
Payroll Clearing	\$ 0							\$ 0	
Total Payroll Liabilities	\$ (171,994)	\$ 0	\$ 0					\$ (171,994)	\$ 779,647
Revenue Collected in Advance									
Liabilities For Deposits	\$ 26,209						\$ 10,385	\$ 36,594	\$ 45,966
Jury Fees - Non-Interest							\$ 6,262	\$ 6,262	\$ 22,243
Fees - Partial Payment & Overpayment							\$ 1,863	\$ 1,863	\$ 555
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 26,209						\$ 18,509	\$ 44,719	\$ 68,764
Total Liabilities	\$ (143,304)	\$ 5,793	\$ 0				\$ 5,349,877	\$ 5,212,365	\$ 6,456,128
Total Fund Balance	\$ 6,168,402	\$ 2,436,567	\$ (28,765)					\$ 8,576,203	\$ 7,062,780
Total Liabilities and Fund Balance	\$ 6,025,097	\$ 2,442,359	\$ (28,765)				\$ 5,349,877	\$ 13,788,569	\$ 13,518,908

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
	Fiscal Year 2013/14							2012/13			
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 8,010,047	\$ 16,413					\$ 8,026,460	\$ 20,165,948	\$ 3,795,589	\$ 16,701,566	
Improvement and Modernization Fund									\$ 0		
Judges' Compensation (45.25)	\$ 49,875						\$ 49,875	\$ 199,500	\$ 49,875	\$ 209,000	
Court Interpreter (45.45)	\$ 169,126						\$ 169,126	\$ 1,300,000	\$ 227,389	\$ 1,302,974	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 45,856						\$ 45,856	\$ 617,240	\$ 41,213	\$ 622,180	
Other Miscellaneous								\$ 1,597,662		\$ 1,148,182	
	\$ 8,274,904	\$ 16,413					\$ 8,291,317	\$ 25,880,350	\$ 4,114,047	\$ 19,983,902	
Grants											
AB 1058 Commissioner/Facilitator	\$ 0		\$ 144,295				\$ 144,295	\$ 814,937	\$ (62,488)	\$ 814,937	
Other AOC Grants								\$ 45,000	\$ (17,059)		
Non-AOC Grants	\$ 0		\$ 144,295				\$ 144,295	\$ 859,937	\$ (79,547)	\$ 814,937	
Other Financing Sources											
Interest Income	\$ (1,801)	\$ 6					\$ (1,795)	\$ 17,000	\$ 1,317	\$ 36,000	
Investment Income											
Donations											
Local Fees	\$ 101,747						\$ 101,747	\$ 215,000	\$ 100,379	\$ 571,000	
Non-Fee Revenues	\$ 1						\$ 1	\$ 155,000	\$ 17,036	\$ 141,000	
Enhanced Collections		\$ 86,293					\$ 86,293	\$ 998,026	\$ 185,865	\$ 982,200	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 138,374					\$ 138,374	\$ 1,096,197	\$ 121,170	\$ 1,060,300	
Reimbursement Other	\$ 14,783						\$ 14,783	\$ 48,500	\$ 9,411	\$ 50,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 18,117						\$ 18,117	\$ 8,000	\$ 1,230	\$ 10,000	
	\$ 132,847	\$ 224,672					\$ 357,520	\$ 2,537,723	\$ 436,429	\$ 2,650,500	
<b>Total Revenues</b>	<b>\$ 8,407,751</b>	<b>\$ 241,085</b>	<b>\$ 144,295</b>				<b>\$ 9,793,132</b>	<b>\$ 27,278,010</b>	<b>\$ 4,470,926</b>	<b>\$ 23,649,339</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 2,890,079	\$ 189,841	\$ 98,662				\$ 3,178,583	\$ 15,411,221	\$ 3,988,185	\$ 16,605,353	
Temp Help	\$ 169,732	\$ 19,433					\$ 189,165	\$ 1,050,000	\$ 160,986	\$ 478,365	
Overtime	\$ 1,225	\$ 216					\$ 1,441		\$ 4,546		
Staff Benefits	\$ 1,540,144	\$ 111,624	\$ 50,760				\$ 1,702,527	\$ 8,138,916	\$ 1,978,404	\$ 8,364,540	
	\$ 4,601,180	\$ 321,114	\$ 149,422				\$ 5,071,716	\$ 24,600,137	\$ 6,132,121	\$ 25,448,258	
Operating Expenses and Equipment											
General Expense	\$ 102,141	\$ 29,963	\$ 427				\$ 132,530	\$ 830,270	\$ 127,621	\$ 663,638	
Printing	\$ 13,719	\$ 63					\$ 13,783	\$ 90,000	\$ 14,399	\$ 112,154	
Telecommunications	\$ 34,194	\$ 262	\$ 84				\$ 34,540	\$ 225,000	\$ 34,752	\$ 171,466	
Postage	\$ 35,509	\$ 3,044	\$ 11				\$ 38,564	\$ 179,900	\$ 55,138	\$ 153,163	
Insurance								\$ 18,058		\$ 16,839	
In-State Travel	\$ 1,869	\$ 1,059	\$ 18				\$ 2,946	\$ 34,350	\$ 3,498	\$ 34,418	
Out-of-State Travel	\$ 164						\$ 164				
Training			\$ 870				\$ 870	\$ 15,000	\$ 1,350	\$ 9,343	
Security Services	\$ 118,752		\$ 12,442				\$ 131,195	\$ 845,500	\$ 139,621	\$ 1,642,846	
Facility Operations	\$ 80,838	\$ 1,576					\$ 82,414	\$ 413,150	\$ 93,796	\$ 450,517	
Utilities		\$ (455)					\$ (455)	\$ 4,000	\$ 472	\$ 4,862	
Contracted Services	\$ 236,613	\$ 24,474	\$ 8,029				\$ 269,115	\$ 1,542,434	\$ 247,093	\$ 1,863,757	
Consulting and Professional Services	\$ 6,426	\$ 1,638	\$ 103				\$ 8,167	\$ 108,340	\$ 23,895	\$ 104,370	
Information Technology	\$ 105,899	\$ 3,641	\$ 1,065				\$ 110,606	\$ 761,603	\$ 99,399	\$ 755,132	
Major Equipment								\$ 2,000		\$ 17,840	
Other Items of Expense	\$ 7,637		\$ 590				\$ 8,227	\$ 37,000	\$ 4,324	\$ 24,339	
	\$ 743,763	\$ 65,265	\$ 23,639				\$ 832,666	\$ 5,106,605	\$ 845,366	\$ 6,224,584	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 51,200						\$ 51,200	\$ 200,500	\$ 41,165	\$ 243,120	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery											\$ 164,002
Prior Year Expense Adjustment	\$ (15)						\$ (15)				
	\$ 51,185						\$ 51,185	\$ 200,500	\$ 41,165	\$ 407,122	
<b>Total Expenditures</b>	<b>\$ 5,396,128</b>	<b>\$ 386,379</b>	<b>\$ 173,060</b>				<b>\$ 5,955,567</b>	<b>\$ 29,907,242</b>	<b>\$ 7,018,642</b>	<b>\$ 32,079,964</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 3,011,623	\$ (145,293)	\$ (28,765)				\$ 2,837,565	\$ (2,629,232)	\$ (2,547,714)	\$ (8,430,625)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,156,779	\$ 2,581,860	\$ 0				\$ 5,738,638	\$ 5,738,638	\$ 9,610,494	\$ 9,599,471	
Ending Balance (Deficit)	\$ 6,168,402	\$ 2,436,567	\$ (28,765)				\$ 8,576,203	\$ 3,109,406	\$ 7,062,780	\$ 1,168,846	

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2013/14										
2012/13										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 1,566,479	\$ 127,298				\$ (48)	\$ 1,693,729	\$ 8,406,947	\$ 1,900,779	\$ 8,520,375
Traffic & Other Infractions	\$ 199,230	\$ 13,784				\$ 33	\$ 213,015	\$ 1,101,825	\$ 285,662	\$ 1,367,077
Other Criminal Cases	\$ 459,274	\$ 36,361					\$ 495,668	\$ 2,576,432	\$ 628,101	\$ 2,584,963
Civil	\$ 330,965	\$ 43,512					\$ 374,477	\$ 1,790,966	\$ 463,114	\$ 2,043,874
Family & Children Services	\$ 244,378	\$ 3,120					\$ 247,498	\$ 1,253,462	\$ 302,721	\$ 1,404,378
Probate, Guardianship & Mental Health Services	\$ 119,364	\$ 5,755					\$ 125,118	\$ 572,397	\$ 138,759	\$ 635,938
Juvenile Dependency Services	\$ 7,267						\$ 7,267	\$ 203,245	\$ 20,477	\$ 253,133
Juvenile Delinquency Services	\$ 43,411	\$ 8,379					\$ 51,790	\$ 132,565	\$ 90,796	\$ 138,956
Other Court Operations	\$ 588,153	\$ 142,565					\$ 730,718	\$ 3,241,209	\$ 829,966	\$ 3,581,871
Court Interpreters	\$ 217,833	\$ 63,858	\$ 51,200				\$ 281,691	\$ 1,429,760	\$ 307,384	\$ 1,483,505
Jury Services	\$ 82,252	\$ 39,232					\$ 172,683	\$ 805,327	\$ 174,766	\$ 778,938
Security		\$ 131,579					\$ 131,579	\$ 886,175	\$ 201,696	\$ 1,685,955
<b>Trial Court Operations Program</b>	<b>\$ 3,858,607</b>	<b>\$ 615,442</b>	<b>\$ 51,200</b>			<b>\$ (15)</b>	<b>\$ 4,525,234</b>	<b>\$ 22,400,310</b>	<b>\$ 5,344,242</b>	<b>\$ 24,478,965</b>
Enhanced Collections	\$ 62,182	\$ 47,631					\$ 109,812	\$ 998,026	\$ 190,788	\$ 977,199
Other Non-Court Operations	\$ 188,528	\$ 4,921					\$ 193,449	\$ 929,907	\$ 208,128	\$ 943,284
<b>Non-Court Operations Program</b>	<b>\$ 250,709</b>	<b>\$ 52,552</b>					<b>\$ 303,261</b>	<b>\$ 1,927,933</b>	<b>\$ 398,916</b>	<b>\$ 1,920,483</b>
Executive Office	\$ 205,928	\$ 6,511					\$ 212,440	\$ 769,896	\$ 183,922	\$ 755,991
Fiscal Services	\$ 276,559	\$ 15,100				\$ 0	\$ 291,658	\$ 1,426,414	\$ 331,202	\$ 1,472,157
Human Resources	\$ 141,249	\$ 20,556					\$ 161,804	\$ 698,643	\$ 208,047	\$ 693,386
Business & Facilities Services		\$ 4,071					\$ 4,071	\$ 121,658	\$ 4,627	\$ 280,616
Information Technology	\$ 338,664	\$ 118,434					\$ 457,098	\$ 2,562,388	\$ 547,687	\$ 2,578,366
<b>Court Administration Program</b>	<b>\$ 962,400</b>	<b>\$ 164,672</b>				<b>\$ 0</b>	<b>\$ 1,127,071</b>	<b>\$ 5,578,999</b>	<b>\$ 1,275,484</b>	<b>\$ 5,680,516</b>
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0			
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 5,071,716</b>	<b>\$ 832,666</b>	<b>\$ 51,200</b>			<b>\$ (15)</b>	<b>\$ 5,955,567</b>	<b>\$ 29,907,242</b>	<b>\$ 7,018,642</b>	<b>\$ 32,079,964</b>