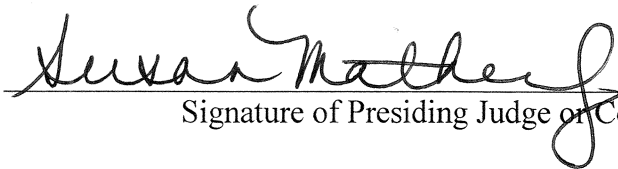


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

08/09/2013

Date

San Luis Obispo Superior Court

Court
FY2012/13 Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Luis Obispo Superior Court

Court

FY2012/13 Q4

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Luis Obispo Superior Court

Court

FY2012/13 Q4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	146	133	133	130	130

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Luis Obispo
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June									
Fiscal Year 2012/13									2011/12
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (373,284)	\$ 392,353	\$ 0				\$ 2,555,038	\$ 2,574,107	\$ (17,553)
Payroll	\$ 0							\$ 0	\$ 0
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (195,317)	\$ (195,317)	\$ 1,538,813
Credit Card									
Cash on Hand	\$ 2,950							\$ 2,950	\$ 3,010
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ (365,334)	\$ 392,353	\$ 0				\$ 2,359,721	\$ 2,386,741	\$ 1,529,270
Short Term Investment	\$ 2,807,030						\$ 287,917	\$ 3,094,947	\$ 4,894,053
Investment in Financial Institution									
Total Investments	\$ 2,807,030						\$ 287,917	\$ 3,094,947	\$ 4,894,053
Accrued Revenue	\$ 1,832	\$ 146					\$ 0	\$ 1,978	\$ 213,137
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 1,019							\$ 1,019	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 232,511							\$ 232,511	\$ 178,964
Due From Other Governments	\$ 80,224	\$ 53,684						\$ 133,908	\$ 0
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 115,246	\$ 13,028	\$ 196,478					\$ 324,753	\$ 321,164
Trust Due To/From									\$ 0
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 145,404							\$ 145,404	\$ 743
Total Receivables	\$ 576,236	\$ 66,858	\$ 196,478				\$ 0	\$ 839,572	\$ 714,008
Prepaid Expenses - General									\$ 0
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 3,017,932	\$ 459,211	\$ 196,478				\$ 2,647,638	\$ 6,321,260	\$ 7,137,331
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 181,291	\$ 33,626	\$ 264					\$ 215,181	\$ 145,232
Accounts Payable - General	\$ 27,558	\$ 12	\$ 0				\$ 20,000	\$ 47,570	\$ 3,624
Due to Other Funds	\$ 0	\$ 36,296	\$ 196,214				\$ 145,404	\$ 377,915	\$ 179,707
Due to Other Courts									
Due to State	\$ 8,397							\$ 8,397	\$ 16,385
TC145 Liability							\$ 294,390	\$ 294,390	\$ 335,181
Due to Other Governments	\$ 30,135	\$ 0					\$ 777,864	\$ 808,000	\$ 67,000
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 462							\$ 462	\$ 0
Interest							\$ 8	\$ 8	\$ 34
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 247,843	\$ 69,934	\$ 196,478				\$ 1,237,666	\$ 1,751,922	\$ 747,162
Civil							\$ 928,084	\$ 928,084	\$ 1,321,901
Criminal							\$ 425,064	\$ 425,064	\$ 165,016
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 51,850	\$ 51,850	\$ 64,530
Miscellaneous Trust									
Total Trust Deposits							\$ 1,404,998	\$ 1,404,998	\$ 1,551,448
Accrued Payroll	\$ 246,706							\$ 246,706	\$ 291,443
Benefits Payable	\$ (1,883)							\$ (1,883)	\$ 95,952
Deferred Compensation Payable	\$ 8,766							\$ 8,766	\$ 9,688
Deductions Payable	\$ 127,857							\$ 127,857	\$ 135,622
Payroll Clearing	\$ 6							\$ 6	\$ 0
Total Payroll Liabilities	\$ 381,453							\$ 381,453	\$ 532,705
Revenue Collected in Advance	\$ 170							\$ 170	
Liabilities For Deposits	\$ 2,632						\$ 1,374	\$ 4,006	\$ 37,122
Jury Fees - Non-Interest							\$ 3,600	\$ 3,600	\$ 31,131
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ (125,078)						\$ 0	\$ (125,078)	\$ (132,574)
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ (122,276)						\$ 4,974	\$ (117,302)	\$ (64,321)
Total Liabilities	\$ 507,019	\$ 69,934	\$ 196,478				\$ 2,647,638	\$ 3,421,070	\$ 2,766,994
Total Fund Balance	\$ 2,510,912	\$ 389,277	\$ 0					\$ 2,900,189	\$ 4,370,337
Total Liabilities and Fund Balance	\$ 3,017,932	\$ 459,211	\$ 196,478				\$ 2,647,638	\$ 6,321,260	\$ 7,137,331

Superior Court of California, County of San Luis Obispo
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June											
Fiscal Year 2012/13										2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 10,865,448	\$ 149,653					\$ 11,015,101	\$ 10,519,428	\$ 13,872,132	\$ 13,716,723	
Trial Court Improvement Fund	\$ 23,518						\$ 23,518	\$ 165,428	\$ 35,408	\$ 35,408	
Judicial Administration Efficiency & Mod Fund									\$ 86,915	\$ 91,060	
Judges' Compensation (45.25)	\$ 86,875						\$ 86,875	\$ 82,500	\$ 87,390	\$ 90,000	
Court Interpreter (45.45)	\$ 325,425						\$ 325,425	\$ 380,596	\$ 418,059	\$ 487,888	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 975,620						\$ 975,620	\$ 291,942	\$ 1,289,353	\$ 1,416,252	
Other Miscellaneous	\$ 364,696						\$ 364,696	\$ 249,107	\$ 249,107	\$ 247,336	
	\$ 12,641,582	\$ 149,653					\$ 12,791,235	\$ 11,689,001	\$ 16,038,363	\$ 16,084,667	
Grants											
AB 1058 Commissioner/Facilitator			\$ 372,324				\$ 372,324	\$ 378,370	\$ 379,689	\$ 381,008	
Other AOC Grants			\$ 29,685				\$ 29,685	\$ 19,573	\$ 19,573	\$ 19,139	
Non-AOC Grants											
			\$ 402,008				\$ 402,008	\$ 397,943	\$ 399,262	\$ 400,147	
Other Financing Sources											
Interest Income	\$ 9,144	\$ 747					\$ 9,891	\$ 3,700	\$ 19,670	\$ 22,125	
Investment Income											
Donations											
Local Fees	\$ 494,804	\$ 151,323					\$ 646,126	\$ 684,100	\$ 679,569	\$ 646,800	
Non-Fee Revenues	\$ 41,443						\$ 41,443	\$ 45,000	\$ 49,941	\$ 83,300	
Enhanced Collections		\$ 367,827					\$ 367,827	\$ 370,700	\$ 365,776	\$ 475,540	
Escheatment	\$ 72,164						\$ 72,164		\$ 1,400		
Prior Year Revenue											
County Program - Restricted		\$ 50,766					\$ 50,766	\$ 50,000	\$ 50,405	\$ 60,000	
Reimbursement Other	\$ 135,932	\$ 94,606					\$ 230,538	\$ 233,324	\$ 45,954	\$ 43,500	
Sale of Fixed Assets									\$ 353,103		
Other Miscellaneous	\$ 13						\$ 13				
	\$ 753,499	\$ 665,269					\$ 1,418,768	\$ 1,386,824	\$ 1,565,818	\$ 1,331,265	
Total Revenues	\$ 13,395,082	\$ 814,922	\$ 402,008				\$ 14,612,012	\$ 13,473,768	\$ 18,003,443	\$ 17,816,079	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 7,963,355	\$ 147,260	\$ 213,989				\$ 8,324,604	\$ 8,164,923	\$ 8,869,448	\$ 8,859,299	
Temp Help								\$ 129,105	\$ 47,859		
Overtime	\$ 60						\$ 60				
Staff Benefits	\$ 4,622,624	\$ 67,907	\$ 154,782				\$ 4,845,313	\$ 5,193,919	\$ 5,046,823	\$ 5,445,788	
	\$ 12,586,039	\$ 215,167	\$ 368,771				\$ 13,169,977	\$ 13,487,947	\$ 13,964,129	\$ 14,305,087	
Operating Expenses and Equipment											
General Expense	\$ 463,681	\$ 2,057	\$ 4,395				\$ 470,133	\$ 455,900	\$ 523,728	\$ 514,376	
Printing	\$ 82,735	\$ 942					\$ 83,677	\$ 89,500	\$ 97,075	\$ 107,900	
Telecommunications	\$ 108,013						\$ 108,013	\$ 120,385	\$ 129,848	\$ 149,811	
Postage	\$ 106,684	\$ 2,000					\$ 108,684	\$ 104,500	\$ 103,996	\$ 126,300	
Insurance	\$ 5,526						\$ 5,526	\$ 5,000	\$ 5,003	\$ 5,000	
In-State Travel	\$ 2,640		\$ 2,306				\$ 4,946	\$ 1,850	\$ 9,365	\$ 5,865	
Out-of-State Travel											
Training	\$ 510						\$ 510	\$ 200	\$ 205	\$ 3,725	
Security Services	\$ 2,573	\$ 2,035					\$ 4,608	\$ 244,076	\$ 2,373	\$ 3,300	
Facility Operations	\$ 111,222	\$ 31,074					\$ 142,296	\$ 137,600	\$ 127,944	\$ 114,370	
Utilities	\$ 9,901						\$ 9,901	\$ 5,000	\$ 2,674	\$ 9,000	
Contracted Services	\$ 851,566	\$ 396,708	\$ 29,685				\$ 1,277,958	\$ 1,142,473	\$ 1,228,259	\$ 1,412,344	
Consulting and Professional Services	\$ 129,015						\$ 129,015	\$ 607,086	\$ 743,492	\$ 985,000	
Information Technology	\$ 333,791	\$ 13,200					\$ 346,991	\$ 77,375	\$ 81,168	\$ 146,125	
Major Equipment	\$ 122,626						\$ 122,626	\$ 7,500	\$ 43,562	\$ 30,000	
Other Items of Expense	\$ 6,904						\$ 6,904	\$ 8,700	\$ 9,408	\$ 10,675	
	\$ 2,337,387	\$ 448,016	\$ 36,385				\$ 2,821,788	\$ 3,007,145	\$ 3,108,100	\$ 3,623,791	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 90,394						\$ 90,394	\$ 95,000	\$ 94,841	\$ 123,100	
Judgements, Settlements and Claims									\$ 100	\$ 150	
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (75,828)	\$ 1,887	\$ 73,941				\$ 0		\$ 0	\$ 74,224	
Prior Year Expense Adjustment									\$ 0		
	\$ 14,566	\$ 1,887	\$ 73,941				\$ 90,394	\$ 95,000	\$ 94,941	\$ 197,474	
Total Expenditures	\$ 14,937,992	\$ 665,071	\$ 479,097				\$ 16,082,159	\$ 16,590,092	\$ 17,167,170	\$ 18,126,352	
Excess (Deficit) of Revenues Over Expenditures	\$ (1,542,910)	\$ 149,851	\$ (77,089)				\$ (1,470,147)	\$ (3,116,324)	\$ 836,273	\$ (310,273)	
Operating Transfers In (Out)	\$ (78,362)	\$ 1,273	\$ 77,089				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 4,132,184	\$ 238,153	\$ 0				\$ 4,370,337	\$ 4,370,337	\$ 3,534,064	\$ 3,534,064	
Ending Balance (Deficit)	\$ 2,510,912	\$ 389,277	\$ 0				\$ 2,900,189	\$ 1,254,013	\$ 4,370,337	\$ 3,223,791	

Superior Court of California, County of San Luis Obispo
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
Fiscal Year 2012/13									2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 4,810,113	\$ 251,708			\$ 15,978		\$ 5,077,799	\$ 4,600,515	\$ 5,275,653	\$ 5,213,950
Traffic & Other Infractions	\$ 352,866	\$ 188,010					\$ 540,876	\$ 667,467	\$ 715,042	\$ 746,255
Other Criminal Cases	\$ 2,111,598	\$ 163,196					\$ 2,274,793	\$ 2,302,225	\$ 2,184,420	\$ 2,193,008
Civil	\$ 1,325,962	\$ 53,563					\$ 1,379,525	\$ 1,612,432	\$ 1,568,929	\$ 1,401,354
Family & Children Services	\$ 1,527,447	\$ 184,565			\$ (15,978)		\$ 1,696,034	\$ 1,631,630	\$ 1,733,916	\$ 2,145,368
Probate, Guardianship & Mental Health Services	\$ 244,696	\$ 634					\$ 245,329	\$ 336,966	\$ 342,498	\$ 343,404
Juvenile Dependency Services		\$ 5,400					\$ 5,400	\$ 89,095	\$ 15,054	\$ 8,000
Juvenile Delinquency Services									\$ (1,397)	
Other Court Operations	\$ 163,566	\$ 38					\$ 163,604	\$ 345,248	\$ 329,617	\$ 464,975
Court Interpreters	\$ 246,438	\$ 88,582					\$ 335,020	\$ 380,596	\$ 438,528	\$ 487,888
Jury Services	\$ 165,702	\$ 100,539	\$ 90,394				\$ 356,635	\$ 297,073	\$ 383,232	\$ 432,364
Security	\$ 11,026	\$ 8,414					\$ 19,440	\$ 245,076	\$ 89,370	\$ 4,700
Trial Court Operations Program	\$ 10,959,413	\$ 1,044,649	\$ 90,394		\$ 0		\$ 12,094,456	\$ 12,508,323	\$ 13,074,863	\$ 13,441,266
Enhanced Collections	\$ 156,300	\$ 211,527					\$ 367,827	\$ 370,700	\$ 365,776	\$ 484,290
Other Non-Court Operations		\$ 4,075					\$ 4,075		\$ 5,973	
Non-Court Operations Program	\$ 156,300	\$ 215,602					\$ 371,902	\$ 370,700	\$ 371,750	\$ 484,290
Executive Office	\$ 644,186	\$ 1,885					\$ 646,071	\$ 671,267	\$ 640,298	\$ 660,415
Fiscal Services	\$ 354,338	\$ 94,894					\$ 449,232	\$ 448,537	\$ 430,386	\$ 443,862
Human Resources	\$ 292,146	\$ 10,360					\$ 302,506	\$ 398,353	\$ 393,898	\$ 401,974
Business & Facilities Services		\$ 498,898			\$ 0		\$ 498,898	\$ 531,275	\$ 571,192	\$ 645,350
Information Technology	\$ 763,594	\$ 955,501					\$ 1,719,094	\$ 1,661,637	\$ 1,684,783	\$ 2,049,195
Court Administration Program	\$ 2,054,264	\$ 1,561,537			\$ 0		\$ 3,615,801	\$ 3,711,069	\$ 3,720,557	\$ 4,200,796
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 13,169,977	\$ 2,821,788	\$ 90,394		\$ 0		\$ 16,082,159	\$ 16,590,092	\$ 17,167,170	\$ 18,126,352

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - San Luis Obispo
as of June 30, 2013

Classification	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service			
		Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-
Restricted	-	389,277	-	-	-	-	-	389,277
Committed	-	-	-	-	-	-	-	-
Assigned	2,510,912	-	-	-	-	-	-	2,510,912
Unassigned	-	-	-	-	-	N/A	N/A	-
Total	\$ 2,510,912	\$ 389,277	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,900,189