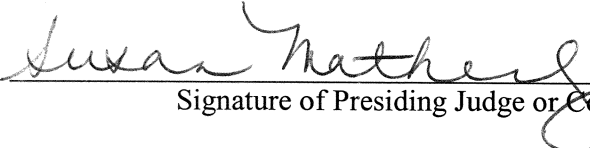


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/29/2012

Date

San Luis Obispo

Court
FY2012-13 1st Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Luis Obispo

Court

FY2012-13 1st Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	145	133			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Luis Obispo
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Sep									
Fiscal Year 2012/13								2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (218,098)	\$ 154,136	\$ (31,118)				\$ 1,163,600	\$ 1,068,520	\$ (126,824)
Payroll	\$ 0							\$ 0	\$ 0
Jury								\$ 5,000	\$ 5,000
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (43,367)	\$ (43,367)	\$ 2,118,833
Credit Card									
Cash on Hand	\$ 3,010							\$ 3,010	\$ 3,670
Cash with County									
Cash Outside of the AOC									
Total Cash	\$ (210,088)	\$ 154,136	\$ (31,118)				\$ 1,120,232	\$ 1,033,163	\$ 2,000,679
Short Term Investment	\$ 3,942,450						\$ 379,075	\$ 4,321,524	\$ 3,562,074
Investment in Financial Institution									
Total Investments	\$ 3,942,450						\$ 379,075	\$ 4,321,524	\$ 3,562,074
Accrued Revenue	\$ 0	\$ 67,545					\$ 0	\$ 67,545	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ (1,431)							\$ (1,431)	\$ 1,240
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 5,166	\$ 0	\$ 29,401					\$ 34,567	\$ 64,622
Trust Due To/From									\$ 0
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 438							\$ 438	\$ 718
Total Receivables	\$ 4,172	\$ 67,545	\$ 29,401				\$ 0	\$ 101,118	\$ 66,580
Prepaid Expenses - General									\$ 0
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 3,736,534	\$ 221,681	\$ (1,717)				\$ 1,499,307	\$ 5,455,805	\$ 5,629,333
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 3,267	\$ 0	\$ 0				\$ 0	\$ 3,267	\$ 900
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 438	\$ 438	\$ 718
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 386,825	\$ 386,825	\$ 291,746
Due to Other Governments	\$ 0	\$ 0						\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 3,089
Interest							\$ 95	\$ 95	\$ 241
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 3,267	\$ 0	\$ 0				\$ 387,358	\$ 390,624	\$ 296,694
Civil							\$ 745,797	\$ 745,797	\$ 1,369,118
Criminal							\$ 287,780	\$ 287,780	\$ 606,056
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 63,604	\$ 63,604	\$ 70,797
Miscellaneous Trust									
Total Trust Deposits							\$ 1,097,180	\$ 1,097,180	\$ 2,045,972
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable	\$ (1,292)							\$ (1,292)	\$ (16,824)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 0
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ (1,292)							\$ (1,292)	\$ (16,824)
Revenue Collected in Advance									
Liabilities For Deposits	\$ 3,848						\$ 3,957	\$ 7,805	\$ 56,837
Jury Fees - Non-Interest							\$ 10,813	\$ 10,813	\$ 20,100
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 3,848						\$ 14,769	\$ 18,618	\$ 76,937
Total Liabilities	\$ 5,824	\$ 0	\$ 0				\$ 1,499,307	\$ 1,505,130	\$ 2,402,778
Fund Balance - Nonspendable									
Fund Balance - Restricted	\$ 0	\$ 238,153						\$ 238,153	\$ 1,209,561
Fund Balance - Committed	\$ 4,132,184							\$ 4,132,184	\$ 0
Fund Balance - Assigned	\$ 0							\$ 0	\$ 2,497,372
Fund Balance - Unassigned	\$ 0	\$ 0	\$ 0					\$ 0	\$ (172,869)
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ (401,473)	\$ (16,472)	\$ (1,717)					\$ (419,662)	\$ (307,509)
Total Fund Balance	\$ 3,730,711	\$ 221,681	\$ (1,717)					\$ 3,950,675	\$ 3,226,554
Total Liabilities and Fund Balance	\$ 3,736,534	\$ 221,681	\$ (1,717)				\$ 1,499,307	\$ 5,455,805	\$ 5,629,333

Superior Court of California, County of San Luis Obispo
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep											
Fiscal Year 2012/13										2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 2,588,414	\$ 5,392					\$ 2,593,806	\$ 10,519,428	\$ 3,190,622	\$ 13,716,723	
Trial Court Improvement Fund								\$ 165,428	\$ 0	\$ 35,408	
Judicial Administration Efficiency & Mod Fund									\$ (1,655)	\$ 91,060	
Judges' Compensation (45.25)	\$ 22,500						\$ 22,500	\$ 82,500	\$ 22,500	\$ 90,000	
Court Interpreter (45.45)	\$ 76,277						\$ 76,277	\$ 380,596	\$ 92,289	\$ 487,888	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 33,582						\$ 33,582	\$ 291,942	\$ (29,653)	\$ 1,416,252	
Other Miscellaneous								\$ 249,107		\$ 247,336	
	\$ 2,720,773	\$ 5,392					\$ 2,726,165	\$ 11,689,001	\$ 3,274,103	\$ 16,084,667	
Grants											
AB 1058 Commissioner/Facilitator								\$ 378,370	\$ (5,979)	\$ 381,008	
Other AOC Grants			\$ 0				\$ 0	\$ 19,573		\$ 19,139	
Non-AOC Grants											
			\$ 0				\$ 0	\$ 397,943	\$ (5,979)	\$ 400,147	
Other Financing Sources											
Interest Income	\$ (2,599)	\$ 24					\$ (2,575)	\$ 3,700	\$ 809	\$ 22,125	
Investment Income											
Donations											
Local Fees	\$ 43,043	\$ 16,951					\$ 59,994	\$ 684,100	\$ 52,754	\$ 646,800	
Non-Fee Revenues	\$ 0						\$ 0	\$ 45,000	\$ 15,942	\$ 83,300	
Enhanced Collections		\$ 68,836					\$ 68,836	\$ 370,700	\$ 3,854	\$ 475,540	
Escheatment	\$ 0						\$ 0				
Prior Year Revenue											
County Program - Restricted		\$ 9,945					\$ 9,945	\$ 50,000	\$ 9,442	\$ 60,000	
Reimbursement Other	\$ 0						\$ 0	\$ 233,324	\$ 3,314	\$ 43,500	
Sale of Fixed Assets											
Other Miscellaneous											
	\$ 40,444	\$ 95,756					\$ 136,200	\$ 1,386,824	\$ 86,115	\$ 1,331,265	
Total Revenues	\$ 2,761,218	\$ 101,148	\$ 0				\$ 2,862,366	\$ 13,473,768	\$ 3,354,239	\$ 17,816,079	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,868,199	\$ 27,513					\$ 1,895,712	\$ 8,164,923	\$ 2,011,218	\$ 8,859,299	
Temp Help								\$ 129,105	\$ 23,357		
Overtime											
Staff Benefits	\$ 1,063,905	\$ 11,465					\$ 1,075,371	\$ 5,193,919	\$ 1,089,520	\$ 5,445,788	
	\$ 2,932,104	\$ 38,978					\$ 2,971,083	\$ 13,487,947	\$ 3,124,095	\$ 14,305,087	
Operating Expenses and Equipment											
General Expense	\$ 66,864		\$ 867				\$ 67,731	\$ 455,900	\$ 107,446	\$ 514,376	
Printing	\$ 13,145	\$ 196					\$ 13,340	\$ 89,500	\$ 16,038	\$ 107,900	
Telecommunications	\$ 3,194						\$ 3,194	\$ 120,385	\$ 936	\$ 149,811	
Postage	\$ 9,690						\$ 9,690	\$ 104,500	\$ 12,107	\$ 126,300	
Insurance								\$ 5,000	\$ 153	\$ 5,000	
In-State Travel	\$ 262		\$ 850				\$ 1,112	\$ 1,850	\$ 4,139	\$ 5,865	
Out-of-State Travel											
Training								\$ 200		\$ 3,725	
Security Services	\$ 863						\$ 863	\$ 244,076	\$ 18,829	\$ 3,300	
Facility Operations	\$ 22,347						\$ 22,347	\$ 137,600	\$ 15,477	\$ 114,370	
Utilities	\$ 0						\$ 0	\$ 5,000	\$ 792	\$ 9,000	
Contracted Services	\$ 89,098	\$ 76,246	\$ 0				\$ 165,344	\$ 1,142,473	\$ 220,187	\$ 1,412,344	
Consulting and Professional Services	\$ 12,804						\$ 12,804	\$ 607,086	\$ 95,073	\$ 985,000	
Information Technology	\$ 299	\$ 2,200					\$ 2,499	\$ 77,375	\$ 5,673	\$ 146,125	
Major Equipment	\$ 0						\$ 0	\$ 7,500		\$ 30,000	
Other Items of Expense	\$ 514						\$ 514	\$ 8,700	\$ 2,339	\$ 10,675	
	\$ 219,080	\$ 78,641	\$ 1,717				\$ 299,438	\$ 3,007,145	\$ 499,189	\$ 3,623,791	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 11,507						\$ 11,507	\$ 95,000	\$ 38,463	\$ 123,100	
Judgements, Settlements and Claims										\$ 150	
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery									\$ 0	\$ 74,224	
Prior Year Expense Adjustment											
	\$ 11,507						\$ 11,507	\$ 95,000	\$ 38,463	\$ 197,474	
Total Expenditures	\$ 3,162,691	\$ 117,620	\$ 1,717				\$ 3,282,028	\$ 16,590,092	\$ 3,661,748	\$ 18,126,352	
Excess (Deficit) of Revenues Over Expenditures	\$ (401,473)	\$ (16,472)	\$ (1,717)				\$ (419,662)	\$ (3,116,324)	\$ (307,509)	\$ (310,273)	
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 4,132,184	\$ 238,153	\$ 0				\$ 4,370,337	\$ 4,370,337	\$ 3,534,064	\$ 3,534,064	
Ending Balance (Deficit)	\$ 3,730,711	\$ 221,681	\$ (1,717)				\$ 3,950,675	\$ 1,254,013	\$ 3,226,554	\$ 3,223,791	

Superior Court of California, County of San Luis Obispo
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Sep										
Fiscal Year 2012/13									2011/12	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,068,923	\$ 50,299					\$ 1,119,222	\$ 4,600,515	\$ 1,131,419	\$ 5,213,950
Traffic & Other Infractions	\$ 99,890	\$ 36,264					\$ 136,154	\$ 667,467	\$ 163,110	\$ 746,255
Other Criminal Cases	\$ 455,898	\$ 13,856					\$ 469,754	\$ 2,302,225	\$ 498,497	\$ 2,193,008
Civil	\$ 317,262	\$ 25,442					\$ 342,704	\$ 1,612,432	\$ 375,647	\$ 1,401,354
Family & Children Services	\$ 322,585	\$ 36,371					\$ 358,956	\$ 1,631,630	\$ 383,228	\$ 2,145,368
Probate, Guardianship & Mental Health Services	\$ 66,177	\$ 160					\$ 66,337	\$ 336,966	\$ 68,986	\$ 343,404
Juvenile Dependency Services		\$ 2,800					\$ 2,800	\$ 89,095	\$ 4,000	\$ 8,000
Juvenile Delinquency Services									\$ 18,083	
Other Court Operations	\$ 59,996						\$ 59,996	\$ 345,248	\$ 69,069	\$ 464,975
Court Interpreters	\$ 38,038	\$ 24,961					\$ 63,000	\$ 380,596	\$ 86,578	\$ 487,888
Jury Services	\$ 57,044	\$ 13,214	\$ 11,507				\$ 81,765	\$ 297,073	\$ 92,952	\$ 432,364
Security		\$ 1,073					\$ 1,073	\$ 245,076	\$ 18,958	\$ 4,700
Trial Court Operations Program	\$ 2,485,813	\$ 204,440	\$ 11,507				\$ 2,701,760	\$ 12,508,323	\$ 2,910,527	\$ 13,441,266
Enhanced Collections	\$ 38,978	\$ 20,564					\$ 59,542	\$ 370,700	\$ 58,909	\$ 484,290
Other Non-Court Operations		\$ 148					\$ 148		\$ 142	
Non-Court Operations Program	\$ 38,978	\$ 20,712					\$ 59,690	\$ 370,700	\$ 59,051	\$ 484,290
Executive Office	\$ 138,594	\$ 162					\$ 138,757	\$ 671,267	\$ 136,359	\$ 660,415
Fiscal Services	\$ 69,289	\$ 13,591					\$ 82,881	\$ 448,537	\$ 89,485	\$ 443,862
Human Resources	\$ 77,012	\$ 1,783					\$ 78,795	\$ 398,353	\$ 123,027	\$ 401,974
Business & Facilities Services		\$ 52,215					\$ 52,215	\$ 531,275	\$ 95,766	\$ 645,350
Information Technology	\$ 161,396	\$ 6,535					\$ 167,931	\$ 1,661,637	\$ 247,533	\$ 2,049,195
Court Administration Program	\$ 446,292	\$ 74,286					\$ 520,577	\$ 3,711,069	\$ 692,170	\$ 4,200,796
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 2,971,083	\$ 299,438	\$ 11,507				\$ 3,282,028	\$ 16,590,092	\$ 3,661,748	\$ 18,126,352