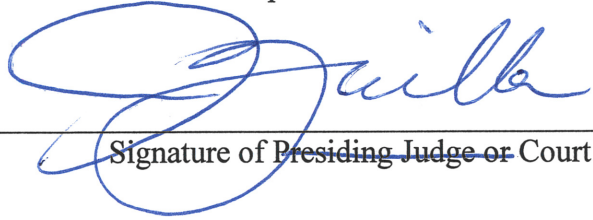


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

8/28/15

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Date

Sonoma

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Court

2014-2015 Q4

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Fiscal Year and Ending Quarter

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended June									
Fiscal Year 2014/15									2013/14
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (1,606,895)	\$ 1,308,879	\$ 0				\$ 95,285	\$ (202,731)	\$ 2,357,105
Payroll	\$ (26,593)	\$ 26,593						\$ 0	\$ 0
Jury									
Revolving	\$ 15,000							\$ 15,000	\$ 15,000
Other									
Distribution							\$ 0	\$ 0	\$ 0
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (47,449)	\$ (47,449)	\$ (45,234)
Credit Card									
Cash on Hand	\$ 7,950							\$ 7,950	\$ 7,950
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0
Cash Outside of the AOC									
Total Cash	\$ (1,610,538)	\$ 1,335,472	\$ 0				\$ 47,836	\$ (227,230)	\$ 2,334,821
Short Term Investment	\$ 5,134,271						\$ 6,843,712	\$ 11,977,982	\$ 5,139,047
Investment in Financial Institution	\$ 0						\$ 0	\$ 0	\$ 0
Total Investments	\$ 5,134,271						\$ 6,843,712	\$ 11,977,982	\$ 5,139,047
Accrued Revenue	\$ 2,581	\$ 793	\$ 0				\$ 0	\$ 3,375	\$ 3,057
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 277,419							\$ 277,419	\$ 4,833
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 231,527	\$ 0	\$ 0				\$ 0	\$ 231,527	\$ 136,518
Due From Other Governments	\$ 134,979	\$ 154,701	\$ 0					\$ 289,679	\$ 523,994
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ 358,629	\$ 29,183	\$ 197,519					\$ 585,331	\$ 376,494
Trust Due To/From							\$ 2,280	\$ 2,280	\$ 1,458
Distribution Due To/From							\$ 57,845	\$ 57,845	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 109							\$ 109	\$ 884
Total Receivables	\$ 1,005,244	\$ 184,677	\$ 197,519				\$ 60,126	\$ 1,447,566	\$ 1,047,236
Prepaid Expenses - General	\$ 21,222							\$ 21,222	\$ 104,529
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 21,222							\$ 21,222	\$ 104,529
Other Assets									
Total Other Assets									
Total Assets	\$ 4,550,199	\$ 1,520,149	\$ 197,519				\$ 6,951,673	\$ 13,219,541	\$ 8,625,633
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 220,036	\$ 20,041	\$ 3,777					\$ 243,853	\$ 480,341
Accounts Payable - General	\$ 970,910	\$ 7,898	\$ 13				\$ 0	\$ 978,821	\$ 188,829
Due to Other Funds	\$ 57,845	\$ 97,972	\$ 133,555				\$ 2,390	\$ 291,762	\$ 138,859
Due to Other Courts									
Due to State	\$ 49,179							\$ 49,179	\$ 49,179
TC145 Liability							\$ 571,841	\$ 571,841	\$ 568,179
Due to Other Governments									
AB145 Due to Other Government Agency	\$ 0						\$ 426,868	\$ 426,868	\$ 263,323
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 14	\$ 14	\$ 6
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 1,297,970	\$ 125,911	\$ 137,344				\$ 1,001,113	\$ 2,562,338	\$ 1,688,715
Civil	\$ 0						\$ 5,592,305	\$ 5,592,305	\$ 1,179,730
Criminal							\$ 203,081	\$ 203,081	\$ 680,945
Unreconciled - Civil and Criminal							\$ 29,731	\$ 29,731	\$ 30,055
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 6,135	\$ 6,135	\$ 5,933
Miscellaneous Trust									
Total Trust Deposits	\$ 0						\$ 5,831,251	\$ 5,831,251	\$ 1,896,664
Accrued Payroll	\$ 924,503	\$ 42,133	\$ 60,175					\$ 1,026,811	\$ 1,134,049
Benefits Payable	\$ 38,538	\$ 0	\$ 0				\$ 0	\$ 38,538	\$ (349,042)
Deferred Compensation Payable	\$ 0							\$ 0	\$ (1,310)
Deductions Payable	\$ (101,868)							\$ (101,868)	\$ 19,309
Payroll Clearing									
Total Payroll Liabilities	\$ 861,173	\$ 42,133	\$ 60,175				\$ 0	\$ 963,482	\$ 803,006
Revenue Collected in Advance	\$ 817,535							\$ 817,535	
Liabilities For Deposits	\$ 27,837						\$ 13,211	\$ 41,048	\$ 105,829
Jury Fees - Non-Interest	\$ 0						\$ 102,499	\$ 102,499	\$ 100,047
Fees - Partial Payment & Overpayment	\$ 0						\$ 3,599	\$ 3,599	\$ 1,958
Uncleared Collections	\$ (822)							\$ (822)	\$ (13,428)
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Other Liabilities	\$ 844,550	\$ 0	\$ 0				\$ 119,309	\$ 963,859	\$ 194,406
Total Liabilities	\$ 3,003,694	\$ 168,044	\$ 197,519				\$ 6,951,673	\$ 10,320,930	\$ 4,582,790
Total Fund Balance	\$ 1,546,505	\$ 1,352,105	\$ 0					\$ 2,898,610	\$ 4,042,843
Total Liabilities and Fund Balance	\$ 4,550,199	\$ 1,520,149	\$ 197,519				\$ 6,951,673	\$ 13,219,541	\$ 8,625,633

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended June											
Fiscal Year 2014/15								2013/14			
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 21,961,242	\$ 198,704					\$ 22,159,946	\$ 21,476,113	\$ 21,356,697	\$ 20,825,470	
Improvement and Modernization Fund	\$ 72,511						\$ 72,511	\$ 72,511	\$ 139,279	\$ 124,555	
Judges' Compensation (45.25)	\$ 126,666						\$ 126,666	\$ 190,000	\$ 186,148	\$ 190,000	
Court Interpreter (45.45)	\$ 1,114,218						\$ 1,114,218	\$ 1,244,933	\$ 1,239,741	\$ 1,218,140	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 185,432						\$ 185,432	\$ 258,278	\$ 248,099	\$ 348,067	
Other Miscellaneous	\$ 1,172,049						\$ 1,172,049	\$ 1,172,049	\$ 1,172,049	\$ 1,172,049	
	<b>\$ 24,632,118</b>	<b>\$ 198,704</b>					<b>\$ 24,830,822</b>	<b>\$ 24,413,884</b>	<b>\$ 24,342,013</b>	<b>\$ 23,878,281</b>	
Grants											
AB 1058 Commissioner/Facilitator			\$ 860,467				\$ 860,467	\$ 809,537	\$ 836,333	\$ 809,537	
Other AOC Grants			\$ 122,084				\$ 122,084		\$ 41,197	\$ 41,197	
Non-AOC Grants									\$ 74,414	\$ 81,307	
			<b>\$ 982,551</b>				<b>\$ 982,551</b>	<b>\$ 809,537</b>	<b>\$ 951,944</b>	<b>\$ 932,041</b>	
Other Financing Sources											
Interest Income	\$ 15,799	\$ 2,989					\$ 18,788	\$ 20,790	\$ 21,883	\$ 23,641	
Investment Income											
Donations											
Local Fees	\$ 349,656						\$ 349,656	\$ 254,160	\$ 267,588	\$ 302,580	
Non-Fee Revenues											
Enhanced Collections		\$ 1,365,365					\$ 1,365,365	\$ 1,439,627	\$ 1,451,017	\$ 1,430,071	
Escheatment	\$ 0						\$ 0				
Prior Year Revenue	\$ 3,175						\$ 3,175	\$ 3,175	\$ 6,699		
County Program - Restricted	\$ 14,270	\$ 9,492					\$ 23,762	\$ 23,890	\$ 36,959	\$ 42,134	
Reimbursement Other	\$ 398,546						\$ 398,546	\$ 385,708	\$ 283,124	\$ 327,914	
Sale of Fixed Assets											
Other Miscellaneous	\$ 150,581						\$ 150,581	\$ 281,840	\$ 1,882	\$ 910	
	<b>\$ 932,026</b>	<b>\$ 1,377,846</b>					<b>\$ 2,309,872</b>	<b>\$ 2,409,190</b>	<b>\$ 2,069,153</b>	<b>\$ 2,127,250</b>	
<b>Total Revenues</b>	<b>\$ 25,564,145</b>	<b>\$ 1,576,550</b>	<b>\$ 982,551</b>				<b>\$ 28,123,245</b>	<b>\$ 27,632,611</b>	<b>\$ 27,363,109</b>	<b>\$ 26,937,572</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 10,674,829	\$ 467,853	\$ 596,374				\$ 11,739,056	\$ 10,923,470	\$ 12,422,224	\$ 11,266,129	
Temp Help											
Overtime	\$ 10,676	\$ 126	\$ 242				\$ 11,044		\$ 6,967		
Staff Benefits	\$ 8,500,283	\$ 481,613	\$ 443,715				\$ 9,425,611	\$ 10,788,491	\$ 8,915,280	\$ 9,633,389	
	<b>\$ 19,185,787</b>	<b>\$ 949,593</b>	<b>\$ 1,040,331</b>				<b>\$ 21,175,711</b>	<b>\$ 21,711,961</b>	<b>\$ 21,344,471</b>	<b>\$ 20,899,518</b>	
Operating Expenses and Equipment											
General Expense	\$ 318,264	\$ 6,060	\$ 6,095				\$ 330,420	\$ 333,174	\$ 441,423	\$ 386,689	
Printing	\$ 80,740	\$ 7,244	\$ 151				\$ 88,135	\$ 95,760	\$ 114,117	\$ 117,620	
Telecommunications	\$ 140,895	\$ 8,380	\$ 5,553				\$ 154,828	\$ 138,239	\$ 138,440	\$ 138,045	
Postage	\$ 151,149	\$ 15,453					\$ 166,602	\$ 182,870	\$ 176,286	\$ 203,190	
Insurance	\$ 6,937	\$ 368	\$ 248				\$ 7,554	\$ 8,330	\$ 8,326	\$ 7,300	
In-State Travel	\$ 17,351		\$ 3,044				\$ 20,395	\$ 23,750	\$ 24,452	\$ 22,638	
Out-of-State Travel	\$ 41,369						\$ 41,369	\$ 189,000			
Training	\$ 24,084						\$ 24,084	\$ 15,150	\$ 10,233	\$ 16,085	
Security Services	\$ 374,367						\$ 374,367	\$ 396,000	\$ 387,472	\$ 399,792	
Facility Operations	\$ 608,001	\$ 4,244					\$ 612,245	\$ 774,137	\$ 687,674	\$ 712,512	
Utilities	\$ 74						\$ 74	\$ 140	\$ 143	\$ 31,602	
Contracted Services	\$ 2,111,682	\$ 266,433	\$ 39,213				\$ 2,417,328	\$ 1,529,501	\$ 1,496,409	\$ 1,652,111	
Consulting and Professional Services	\$ 678,703	\$ 46,572	\$ 27,488				\$ 752,763	\$ 1,017,229	\$ 1,060,930	\$ 1,019,005	
Information Technology	\$ 497,258	\$ 1,983	\$ 1,669				\$ 500,910	\$ 2,548,270	\$ 434,046	\$ 2,500,310	
Major Equipment	\$ 202,423						\$ 202,423	\$ 6,000	\$ 215,076	\$ 180,000	
Other Items of Expense	\$ 2,679						\$ 2,679	\$ 3,220	\$ 3,203	\$ 2,590	
	<b>\$ 5,255,976</b>	<b>\$ 356,737</b>	<b>\$ 83,462</b>				<b>\$ 5,696,175</b>	<b>\$ 7,260,770</b>	<b>\$ 5,198,232</b>	<b>\$ 7,389,489</b>	
Special Items of Expense											
Grand Jury	\$ 2,063						\$ 2,063	\$ 2,180	\$ 2,175	\$ 2,150	
Jury Costs	\$ 173,529						\$ 173,529	\$ 195,950	\$ 195,953	\$ 294,990	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 2,220,000						\$ 2,220,000	\$ 1,200,000	\$ 1,600,000	\$ 1,800,000	
Capital Costs											
Internal Cost Recovery	\$ (312,539)	\$ 152,125	\$ 160,414				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	<b>\$ 2,083,053</b>	<b>\$ 152,125</b>	<b>\$ 160,414</b>				<b>\$ 2,395,592</b>	<b>\$ 1,398,130</b>	<b>\$ 1,798,127</b>	<b>\$ 2,097,140</b>	
<b>Total Expenditures</b>	<b>\$ 26,524,816</b>	<b>\$ 1,458,455</b>	<b>\$ 1,284,207</b>				<b>\$ 29,267,478</b>	<b>\$ 30,370,861</b>	<b>\$ 28,340,830</b>	<b>\$ 30,386,147</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ (960,671)	\$ 118,095	\$ (301,656)				\$ (1,144,232)	\$ (2,738,250)	\$ (977,720)	\$ (3,448,575)	
Operating Transfers In (Out)	\$ (301,656)		\$ 301,656				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,808,832	\$ 1,234,010	\$ 0				\$ 4,042,843	\$ 4,042,843	\$ 5,020,563	\$ 5,020,563	
Ending Balance (Deficit)	\$ 1,546,505	\$ 1,352,105	\$ 0				\$ 2,898,610	\$ 1,304,593	\$ 4,042,843	\$ 1,571,988	

Superior Court of California, County of Sonoma  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended June										
Fiscal Year 2014/15									2013/14	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 8,597,855	\$ 1,437,861	\$ 0		\$ 105,288		\$ 10,141,004	\$ 10,831,059	\$ 10,477,711	\$ 10,404,986
Traffic & Other Infractions	\$ 648,917	\$ 62,377					\$ 711,294	\$ 748,779	\$ 587,774	\$ 540,451
Other Criminal Cases	\$ 1,593,830	\$ 133,808			\$ 11,350		\$ 1,738,988	\$ 1,647,087	\$ 1,489,147	\$ 1,497,818
Civil	\$ 994,961	\$ 14,720					\$ 1,009,680	\$ 1,073,929	\$ 1,041,242	\$ 1,004,542
Family & Children Services	\$ 2,028,377	\$ 151,207			\$ 43,775		\$ 2,223,360	\$ 2,388,407	\$ 2,183,027	\$ 2,240,628
Probate, Guardianship & Mental Health Services	\$ 563,284	\$ 6,682					\$ 569,966	\$ 455,631	\$ 560,342	\$ 474,910
Juvenile Dependency Services	\$ 101,316	\$ 2,266					\$ 103,582	\$ 104,628	\$ 116,161	\$ 116,729
Juvenile Delinquency Services	\$ 146,964	\$ 102,745					\$ 249,710	\$ 196,829	\$ 325,595	\$ 360,240
Other Court Operations	\$ 1,181,932	\$ 2,080,592	\$ 2,063				\$ 3,264,587	\$ 5,353,775	\$ 2,681,236	\$ 2,323,352
Court Interpreters	\$ 1,152,860	\$ 272,071					\$ 1,424,931	\$ 1,245,274	\$ 1,398,747	\$ 1,415,564
Jury Services	\$ 152,208	\$ 89,774	\$ 173,529				\$ 415,512	\$ 430,746	\$ 614,806	\$ 753,731
Security		\$ 488,715					\$ 488,715		\$ 389,891	\$ 402,280
Trial Court Operations Program	\$ 17,162,504	\$ 4,842,819	\$ 175,592		\$ 160,414		\$ 22,341,328	\$ 24,476,144	\$ 21,865,678	\$ 21,535,231
Enhanced Collections	\$ 949,593	\$ 263,647			\$ 152,125		\$ 1,365,365	\$ 1,439,625	\$ 1,451,017	\$ 1,430,072
Other Non-Court Operations		\$ 12,000					\$ 12,000		\$ 12,000	\$ 12,000
Non-Court Operations Program	\$ 949,593	\$ 275,647			\$ 152,125		\$ 1,377,365	\$ 1,439,625	\$ 1,463,017	\$ 1,442,072
Executive Office	\$ 691,663	\$ 16,987					\$ 708,649	\$ 668,315	\$ 636,871	\$ 627,981
Fiscal Services	\$ 566,539	\$ 143,034			\$ (312,539)		\$ 397,035	\$ 348,335	\$ 588,541	\$ 689,394
Human Resources	\$ 332,818	\$ 54,924	\$ 2,220,000				\$ 2,607,742	\$ 1,598,021	\$ 2,046,782	\$ 2,199,525
Business & Facilities Services	\$ 335,162	\$ 41,406					\$ 376,567	\$ 371,571	\$ 412,290	\$ 446,617
Information Technology	\$ 1,137,433	\$ 321,359					\$ 1,458,792	\$ 1,468,850	\$ 1,327,651	\$ 3,445,327
Court Administration Program	\$ 3,063,614	\$ 577,709	\$ 2,220,000		\$ (312,539)		\$ 5,548,784	\$ 4,455,092	\$ 5,012,134	\$ 7,408,844
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 21,175,711	\$ 5,696,175	\$ 2,395,592		\$ 0		\$ 29,267,478	\$ 30,370,861	\$ 28,340,830	\$ 30,386,147

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Sonoma  
Court

2014-2015 Q4  
Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Sonoma  
 Court

2014-2015 Q4  
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	201.95	161.75	169.95	166.45	168.95

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

### Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Sonoma

Classification	Info Only	Info Only	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project	Debt Service			
				Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-	-	-
Restricted	-	-	-	1,352,105	-	-	-	-	-	<b>1,352,105</b>
Committed	1,259,683	286,822	1,546,505	-	-	-	-	-	-	<b>1,546,505</b>
Assigned	-	-	-	-	-	-	-	-	-	-
Unassigned	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>\$ 1,259,683</b>	<b>\$ 286,822</b>	<b>\$ 1,546,505</b>	<b>\$ 1,352,105</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,898,610</b>