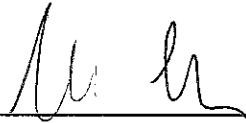


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Court Executive Officer

8/29/2014
Date

SANTA CRUZ
Court

2013-2014 and Q4
Fiscal Year and Ending Quarter

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

| | | For the month ended June | | | | | | | |
|---|--------------------|--------------------------|-----------|-----------------|--------------|-------------------|-----------------|--------------------------------------|--------------------------------------|
| | | Fiscal Year 2013/14 | | | | | | | 2012/13 |
| | Governmental Funds | | | | | Proprietary Funds | Fiduciary Funds | Total Funds (Info. Purposes Only) | Total Funds (Info. Purposes Only) |
| | General | Special Revenue | | Capital Project | Debt Service | | | | |
| | | Non-Grant | Grant | | | | | | |
| ASSETS | | | | | | | | | |
| Operations | \$ (544,966) | \$ 198,829 | \$ 0 | \$ 0 | | | \$ 84,975 | \$ (261,162) | \$ 140,752 |
| Payroll | \$ (8,392) | | | | | | \$ 0 | \$ (8,392) | \$ (3,718) |
| Jury | | | | | | | | | |
| Revolving | \$ 5,000 | | | | | | | \$ 5,000 | \$ 5,000 |
| Other | | | | | | | | | |
| Distribution | | | | | | | | | |
| Civil Filing Fees | \$ 0 | | | | | | \$ 161,663 | \$ 161,663 | \$ 144,964 |
| Trust | | | | | | | \$ (11,774) | \$ (11,774) | \$ (10,346) |
| Credit Card | | | | | | | | | |
| Cash on Hand | \$ 3,350 | | | | | | | \$ 3,350 | \$ 3,350 |
| Cash with County | | | | | | | \$ 1,160,508 | \$ 1,160,508 | \$ 2,143,936 |
| Cash Outside of the AOC | | | | | | | | | |
| Total Cash | \$ (545,009) | \$ 198,829 | \$ 0 | \$ 0 | | | \$ 1,395,373 | \$ 1,049,193 | \$ 2,423,937 |
| Short Term Investment | \$ 2,187,868 | | | | | | \$ 298,029 | \$ 2,485,897 | \$ 3,219,984 |
| Investment in Financial Institution | | | | | | | \$ 0 | \$ 0 | \$ 1,000,000 |
| Total Investments | \$ 2,187,868 | | | | | | \$ 298,029 | \$ 2,485,897 | \$ 4,219,984 |
| Accrued Revenue | \$ 1,364 | \$ 60 | | \$ 0 | | | \$ 0 | \$ 1,424 | \$ 1,768 |
| Accounts Receivable - General | | | | | | | | | |
| Dishonored Checks | | | | | | | | | |
| Due From Employee | \$ 0 | \$ 0 | \$ 0 | | | | | \$ 0 | \$ 0 |
| Civil Jury Fees | | | | | | | | | |
| Trust | | | | | | | | | |
| Due From Other Funds | \$ 77,251 | | | | | | | \$ 77,251 | \$ 171,457 |
| Due From Other Governments | \$ 43,814 | \$ 39,235 | | | | | \$ 11,944 | \$ 94,992 | \$ 39,696 |
| Due From Other Courts | | | | | | | \$ 0 | \$ 0 | \$ 0 |
| Due From State | \$ 281,758 | \$ 20,397 | \$ 43,168 | | | | | \$ 345,323 | \$ 567,098 |
| Trust Due To/From | | | | | | | | | |
| Distribution Due To/From | | | | | | | | | |
| Civil Filing Fee Due To/From | | | | | | | | | |
| General Due To/From | \$ 40 | | | | | | | \$ 40 | \$ 24,463 |
| Total Receivables | \$ 404,228 | \$ 59,691 | \$ 43,168 | \$ 0 | | | \$ 11,944 | \$ 519,031 | \$ 804,482 |
| Prepaid Expenses - General | | | | | | | | | |
| Salary and Travel Advances | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Counties | | | | | | | | | |
| Total Prepaid Expenses | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Other Assets | | | | | | | | | |
| Total Other Assets | | | | | | | | | |
| Total Assets | \$ 2,047,088 | \$ 258,521 | \$ 43,168 | \$ 0 | | | \$ 1,705,345 | \$ 4,054,122 | \$ 7,448,403 |
| LIABILITIES AND FUND BALANCES | | | | | | | | | |
| Accrued Liabilities | \$ 225,847 | \$ 0 | \$ 82 | | | | | \$ 225,930 | \$ 55,120 |
| Accounts Payable - General | \$ 166,960 | \$ 0 | \$ 0 | \$ 0 | | | \$ 100 | \$ 167,060 | \$ 96,706 |
| Due to Other Funds | \$ 19,909 | \$ 18,904 | \$ 38,438 | | | | \$ 40 | \$ 77,291 | \$ 195,920 |
| Due to Other Courts | | | | | | | | | |
| Due to State | \$ 4,084 | | | | | | | \$ 4,084 | \$ 4,533 |
| TC145 Liability | \$ 0 | | | | | | \$ 500,320 | \$ 500,320 | \$ 642,063 |
| Due to Other Governments | \$ 4,016 | | | | | | | \$ 4,016 | \$ 73,633 |
| AB145 Due to Other Government Agency | | | | | | | | | |
| Due to Other Public Agencies | | | | | | | | | |
| Sales and Use Tax | \$ 658 | | | | | | | \$ 658 | \$ (17) |
| Interest | | | | | | | \$ 5 | \$ 5 | \$ 15 |
| Miscellaneous Accts. Pay. and Accrued Liab. | | | | | | | | | |
| Total Accounts Payable and Accrued Liab. | \$ 421,475 | \$ 18,904 | \$ 38,521 | \$ 0 | | | \$ 500,465 | \$ 979,365 | \$ 1,067,973 |
| Civil | | | | | | | \$ 33,618 | \$ 33,618 | \$ 169,101 |
| Criminal | | | | | | | | | |
| Unreconciled - Civil and Criminal | | | | | | | | | |
| Trust Held Outside of the AOC | | | | | | | \$ 1,160,508 | \$ 1,160,508 | \$ 2,119,608 |
| Trust Interest Payable | | | | | | | | | |
| Miscellaneous Trust | | | | | | | | | |
| Total Trust Deposits | | | | | | | \$ 1,194,126 | \$ 1,194,126 | \$ 2,288,709 |
| Accrued Payroll | \$ 258,768 | \$ 4,589 | \$ 4,647 | | | | | \$ 268,004 | \$ 210,833 |
| Benefits Payable | \$ 10,026 | | | | | | \$ 0 | \$ 10,026 | \$ 999,067 |
| Deferred Compensation Payable | \$ 0 | | | | | | | \$ 0 | \$ 0 |
| Deductions Payable | \$ 534 | | | | | | | \$ 534 | \$ (229) |
| Payroll Clearing | \$ (1) | | | | | | | \$ (1) | \$ (1) |
| Total Payroll Liabilities | \$ 269,327 | \$ 4,589 | \$ 4,647 | | | | \$ 0 | \$ 278,564 | \$ 1,209,671 |
| Revenue Collected in Advance | | | \$ 0 | | | | | \$ 0 | \$ 14,500 |
| Liabilities For Deposits | \$ 12,856 | | | | | | \$ 8,102 | \$ 20,957 | \$ 10,631 |
| Jury Fees - Non-Interest | | | | | | | \$ 0 | \$ 0 | \$ 525 |
| Fees - Partial Payment & Overpayment | | | | | | | \$ 2,653 | \$ 2,653 | \$ 3,253 |
| Uncleared Collections | \$ 0 | | | | | | \$ 0 | \$ 0 | \$ (10,225) |
| Other Miscellaneous Liabilities | | | | | | | | | |
| Total Other Liabilities | \$ 12,856 | | \$ 0 | | | | \$ 10,754 | \$ 23,610 | \$ 18,684 |
| Total Liabilities | \$ 703,657 | \$ 23,493 | \$ 43,168 | \$ 0 | | | \$ 1,705,345 | \$ 2,475,664 | \$ 4,585,036 |
| Total Fund Balance | \$ 1,343,430 | \$ 235,028 | \$ 0 | \$ 0 | | | | \$ 1,578,458 | \$ 2,863,367 |
| Total Liabilities and Fund Balance | \$ 2,047,088 | \$ 258,521 | \$ 43,168 | \$ 0 | | | \$ 1,705,345 | \$ 4,054,122 | \$ 7,448,403 |

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

| For the month ended June | | | | | | | | | | | |
|--|--------------------|-----------------|-------------|------------------|--------------|-------------------|-----------------|--------------------------------------|----------------------------|--------------------------------------|--------------------------|
| Fiscal Year 2013/14 | | | | | | | | | | 2012/13 | |
| | Governmental Funds | | | | | Proprietary Funds | Fiduciary Funds | Total Funds (Info. Purposes Only) | Current Budget (Annual) | Total Funds (Info. Purposes Only) | Final Budget (Annual) |
| | General | Special Revenue | | Capital Projects | Debt Service | | | | | | |
| | | Non-Grant | Grant | | | | | | | | |
| REVENUES | | | | | | | | | | | |
| State Financing Sources | | | | | | | | | | | |
| Trial Court Trust Fund | \$ 11,108,286 | \$ 122,642 | | | | | \$ 11,230,928 | \$ 11,722,245 | \$ 11,007,912 | \$ 11,087,863 | |
| Improvement and Modernization Fund | \$ 35,288 | | | | | | \$ 35,288 | \$ 43,143 | \$ 45,088 | \$ 43,144 | |
| Judges' Compensation (45.25) | | | | | | | | | | | |
| Court Interpreter (45.45) | \$ 742,457 | | | | | | \$ 742,457 | \$ 828,551 | \$ 740,542 | \$ 650,000 | |
| Civil Coordination Reimbursement (45.55) | | | | | | | | | | | |
| MOU Reimbursements (45.10 and General) | \$ 193,821 | | | | | | \$ 193,821 | \$ 203,335 | \$ 197,659 | \$ 170,215 | |
| Other Miscellaneous | \$ 203,557 | | | | | | \$ 203,557 | \$ 203,557 | \$ 381,568 | \$ 381,568 | |
| | \$ 12,283,409 | \$ 122,642 | | | | | \$ 12,406,051 | \$ 13,000,831 | \$ 12,372,769 | \$ 12,332,790 | |
| Grants | | | | | | | | | | | |
| AB 1058 Commissioner/Facilitator | | | \$ 322,313 | | | | \$ 322,313 | \$ 270,940 | \$ 273,884 | \$ 282,044 | |
| Other AOC Grants | | | \$ 29,000 | | | | \$ 29,000 | \$ 63,798 | \$ 24,936 | \$ 29,000 | |
| Non-AOC Grants | | | | | | | | | | | |
| | | | \$ 351,313 | | | | \$ 351,313 | \$ 334,738 | \$ 298,820 | \$ 311,044 | |
| Other Financing Sources | | | | | | | | | | | |
| Interest Income | \$ 9,221 | \$ 154 | | | | | \$ 9,375 | \$ 10,862 | \$ 10,862 | \$ 10,700 | |
| Investment Income | | | | | | | | | | | |
| Donations | | | | | | | | | | | |
| Local Fees | \$ 181,124 | \$ 112,235 | | | | | \$ 293,359 | \$ 376,580 | \$ 364,574 | \$ 370,050 | |
| Non-Fee Revenues | | | | | | | | | | | |
| Enhanced Collections | | \$ 215,239 | | | | | \$ 215,239 | \$ 245,290 | \$ 276,082 | \$ 320,987 | |
| Escheatment | | | | | | | | | \$ 46,931 | \$ 40,450 | |
| Prior Year Revenue | | | | | | | | | | | |
| County Program - Restricted | | \$ 54,211 | | | | | \$ 54,211 | \$ 53,772 | \$ 55,299 | \$ 54,000 | |
| Reimbursement Other | \$ 180,068 | | | | | | \$ 180,068 | \$ 220,000 | \$ 223,455 | \$ 229,416 | |
| Sale of Fixed Assets | | | | | | | | | | | |
| Other Miscellaneous | \$ 17,061 | | | | | | \$ 17,061 | \$ 15,801 | \$ 21,403 | \$ 16,415 | |
| | \$ 387,474 | \$ 381,838 | | | | | \$ 769,312 | \$ 922,305 | \$ 998,606 | \$ 1,042,018 | |
| Total Revenues | \$ 12,670,883 | \$ 504,480 | \$ 351,313 | | | | \$ 13,526,676 | \$ 14,257,874 | \$ 13,670,195 | \$ 13,685,852 | |
| EXPENDITURES | | | | | | | | | | | |
| Personal Services | | | | | | | | | | | |
| Salaries - Permanent | \$ 8,277,301 | \$ 140,944 | \$ 216,556 | | | | \$ 8,634,800 | \$ 8,845,653 | \$ 7,589,776 | \$ 7,872,140 | |
| Temp Help | \$ 1,533 | | | | | | \$ 1,533 | | \$ 1,962 | | |
| Overtime | \$ 13,598 | | | | | | \$ 13,598 | | \$ 16,189 | \$ 2,750 | |
| Staff Benefits | \$ 3,722,923 | \$ 82,727 | \$ 97,952 | | | | \$ 3,903,602 | \$ 4,246,503 | \$ 3,668,904 | \$ 3,646,065 | |
| | \$ 12,015,356 | \$ 223,671 | \$ 314,508 | | | | \$ 12,553,535 | \$ 13,092,156 | \$ 11,276,832 | \$ 11,520,955 | |
| Operating Expenses and Equipment | | | | | | | | | | | |
| General Expense | \$ 270,464 | | \$ 966 | | | | \$ 271,429 | \$ 311,406 | \$ 268,293 | \$ 285,330 | |
| Printing | \$ 76,979 | | | | | | \$ 76,979 | \$ 71,579 | \$ 54,054 | \$ 84,826 | |
| Telecommunications | \$ 96,428 | | | | | | \$ 96,428 | \$ 118,700 | \$ 85,546 | \$ 111,025 | |
| Postage | \$ 54,379 | \$ 11,356 | | | | | \$ 65,735 | \$ 89,551 | \$ 87,492 | \$ 85,406 | |
| Insurance | \$ 6,029 | | | | | | \$ 6,029 | \$ 5,175 | \$ 5,147 | \$ 4,825 | |
| In-State Travel | \$ 22,728 | | \$ 2,775 | | | | \$ 25,503 | \$ 32,145 | \$ 19,534 | \$ 28,199 | |
| Out-of-State Travel | | | | | | | | | | \$ 3,000 | |
| Training | \$ 9,638 | | \$ 1,200 | | | | \$ 10,838 | \$ 17,085 | \$ 14,404 | \$ 26,122 | |
| Security Services | \$ 300 | | | | | | \$ 300 | \$ 300 | \$ 300 | \$ 300 | |
| Facility Operations | \$ 375,685 | | | \$ 75,000 | | | \$ 450,685 | \$ 655,826 | \$ 675,981 | \$ 488,900 | |
| Utilities | | | | | | | | | | | |
| Contracted Services | \$ 519,834 | \$ 45,000 | \$ 29,000 | | | | \$ 593,834 | \$ 651,722 | \$ 578,870 | \$ 630,715 | |
| Consulting and Professional Services | \$ 11,760 | | | | | | \$ 11,760 | \$ 23,765 | \$ 15,535 | \$ 15,000 | |
| Information Technology | \$ 343,572 | \$ 0 | | | | | \$ 343,572 | \$ 507,160 | \$ 427,488 | \$ 396,250 | |
| Major Equipment | \$ 166,097 | | | | | | \$ 166,097 | \$ 199,250 | \$ 47,063 | | |
| Other Items of Expense | \$ 4,790 | | | | | | \$ 4,790 | \$ 6,050 | \$ 2,983 | \$ 5,140 | |
| | \$ 1,958,683 | \$ 56,356 | \$ 33,940 | \$ 75,000 | | | \$ 2,123,979 | \$ 2,689,714 | \$ 2,282,690 | \$ 2,165,038 | |
| Special Items of Expense | | | | | | | | | | | |
| Grand Jury | \$ 651 | | | | | | \$ 651 | | | | |
| Jury Costs | \$ 133,899 | | | | | | \$ 133,899 | \$ 136,000 | \$ 135,894 | \$ 117,000 | |
| Judgements, Settlements and Claims | | | | | | | | | | | |
| Debt Service | | | | | | | | | | | |
| Other | \$ (478) | | | | | | \$ (478) | | \$ 1,000,599 | | |
| Capital Costs | | | | | | | | | | | |
| Internal Cost Recovery | \$ (94,686) | \$ 22,532 | \$ 72,154 | | | | \$ 0 | \$ 0 | \$ 0 | \$ 0 | |
| Prior Year Expense Adjustment | | | | | | | | | \$ (750,000) | | |
| | \$ 39,386 | \$ 22,532 | \$ 72,154 | | | | \$ 134,072 | \$ 136,000 | \$ 386,493 | \$ 117,000 | |
| Total Expenditures | \$ 14,013,424 | \$ 302,559 | \$ 420,602 | \$ 75,000 | | | \$ 14,811,585 | \$ 15,917,870 | \$ 13,946,016 | \$ 13,802,993 | |
| Excess (Deficit) of Revenues Over Expenditures | \$ (1,342,541) | \$ 201,921 | \$ (69,289) | \$ (75,000) | | | \$ (1,284,909) | \$ (1,659,996) | \$ (275,821) | \$ (117,141) | |
| Operating Transfers In (Out) | \$ (177,167) | \$ 32,878 | \$ 69,289 | \$ 75,000 | | | \$ 0 | \$ 0 | \$ 0 | \$ 0 | |
| Fund Balance (Deficit) | | | | | | | | | | | |
| Beginning Balance (Deficit) | \$ 2,863,139 | \$ 228 | \$ 0 | \$ 0 | | | \$ 2,863,367 | \$ 2,863,367 | \$ 3,139,188 | \$ 3,139,188 | |
| Ending Balance (Deficit) | \$ 1,343,430 | \$ 235,028 | \$ 0 | \$ 0 | | | \$ 1,578,458 | \$ 1,203,371 | \$ 2,863,367 | \$ 3,022,047 | |

Superior Court of California, County of Santa Cruz
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

| For the month ended June | | | | | | | | | | |
|---|-------------------|----------------------------------|--------------------------|---------------|------------------------|-------------------------------|----------------------|-------------------------|----------------------|-----------------------|
| Fiscal Year 2013/14 | | | | | | | | | 2012/13 | |
| | Personal Services | Operating Expenses and Equipment | Special Items of Expense | Capital Costs | Internal Cost Recovery | Prior Year Expense Adjustment | Total Actual Expense | Current Budget (Annual) | Total Actual Expense | Final Budget (Annual) |
| PROGRAM EXPENDITURES: | | | | | | | | | | |
| Judges & Courtroom Support | \$ 4,040,030 | \$ 504,842 | | | | | \$ 4,544,872 | \$ 4,446,346 | \$ 3,862,190 | \$ 3,800,063 |
| Traffic & Other Infractions | \$ 388,235 | \$ 32,853 | | | | | \$ 421,089 | \$ 717,657 | \$ 457,651 | \$ 603,462 |
| Other Criminal Cases | \$ 858,938 | \$ 111,810 | | | | | \$ 970,749 | \$ 1,170,057 | \$ 852,012 | \$ 944,536 |
| Civil | \$ 820,838 | \$ 67,341 | | | | | \$ 888,179 | \$ 912,976 | \$ 866,639 | \$ 898,577 |
| Family & Children Services | \$ 694,981 | \$ 84,054 | | | \$ 72,154 | | \$ 851,190 | \$ 1,214,590 | \$ 727,233 | \$ 1,050,392 |
| Probate, Guardianship & Mental Health Services | \$ 352,831 | \$ 4,134 | | | | | \$ 356,966 | \$ 359,605 | \$ 319,864 | \$ 332,695 |
| Juvenile Dependency Services | \$ 210,408 | \$ 76 | | | | | \$ 210,484 | \$ 105,921 | \$ 193,620 | \$ 97,451 |
| Juvenile Delinquency Services | \$ 1,128 | \$ 1,461 | | | | | \$ 2,588 | \$ 116,245 | \$ 2,924 | \$ 117,325 |
| Other Court Operations | \$ 515,726 | \$ 60,190 | | | | | \$ 575,916 | \$ 668,714 | \$ 496,825 | \$ 463,865 |
| Court Interpreters | \$ 801,571 | \$ 32,474 | | | | | \$ 834,044 | \$ 949,138 | \$ 777,175 | \$ 763,086 |
| Jury Services | \$ 161,150 | \$ 76,884 | \$ 133,899 | | | | \$ 371,933 | \$ 397,554 | \$ 346,723 | \$ 342,921 |
| Security | | \$ 11,185 | | | | | \$ 11,185 | \$ 300 | \$ 272 | \$ 800 |
| Trial Court Operations Program | \$ 8,845,838 | \$ 987,303 | \$ 133,899 | | \$ 72,154 | | \$ 10,039,194 | \$ 11,059,104 | \$ 8,903,127 | \$ 9,415,173 |
| Enhanced Collections | \$ 181,351 | \$ 11,356 | | | \$ 22,532 | | \$ 215,239 | \$ 251,253 | \$ 239,100 | \$ 233,290 |
| Other Non-Court Operations | \$ 770,263 | \$ 84,377 | \$ 651 | | | | \$ 855,291 | \$ 960,961 | \$ 1,190,754 | \$ 740,817 |
| Non-Court Operations Program | \$ 951,614 | \$ 95,733 | \$ 651 | | \$ 22,532 | | \$ 1,070,529 | \$ 1,212,214 | \$ 1,429,854 | \$ 974,107 |
| Executive Office | \$ 305,833 | \$ 4,204 | | | | | \$ 310,037 | \$ 324,116 | \$ 271,267 | \$ 289,174 |
| Fiscal Services | \$ 693,487 | \$ 66,947 | \$ (478) | | \$ (94,686) | | \$ 665,270 | \$ 364,887 | \$ 501,902 | \$ 661,859 |
| Human Resources | \$ 342,364 | \$ 23,605 | | | | | \$ 365,969 | \$ 542,887 | \$ 478,511 | \$ 256,504 |
| Business & Facilities Services | \$ 668,579 | \$ 427,795 | | | | | \$ 1,096,375 | \$ 1,130,741 | \$ 1,181,757 | \$ 1,106,764 |
| Information Technology | \$ 745,819 | \$ 518,393 | | | | | \$ 1,264,212 | \$ 1,283,921 | \$ 1,179,598 | \$ 1,099,412 |
| Court Administration Program | \$ 2,756,083 | \$ 1,040,944 | \$ (478) | | \$ (94,686) | | \$ 3,701,862 | \$ 3,646,552 | \$ 3,613,035 | \$ 3,413,713 |
| Expenditures Not Distributed or Posted to a Program | | | | | | | | | \$ 0 | \$ 0 |
| Prior Year Adjustments Not Posted to a Program | | | | | | | | | | |
| Total | \$ 12,553,535 | \$ 2,123,979 | \$ 134,072 | | \$ 0 | | \$ 14,811,585 | \$ 15,917,870 | \$ 13,946,016 | \$ 13,802,993 |

