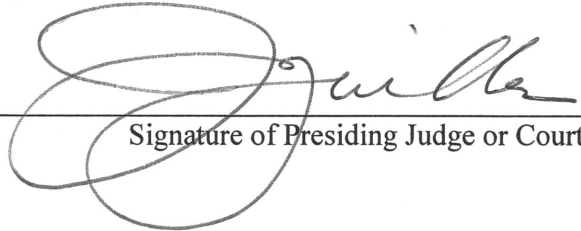


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

5/14/15

Date

Sonoma

Court

2014-2015 Q3

Fiscal Year and Ending Quarter

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March													
Fiscal Year 2014/15									2013/14				
	Governmental Funds							Total Funds	Total Funds				
		Special Revenue										(Info. Purposes Only)	(Info. Purposes Only)
		General	Non-Grant										
ASSETS													
Operations	\$ (559,753)	\$ 1,204,116	\$ (355,041)				\$ 108,086	\$ 397,407	\$ 1,989,999				
Payroll	\$ 3,863	\$ 0						\$ 3,863	\$ 0				
Jury													
Revolving	\$ 11,753							\$ 11,753	\$ 15,000				
Other													
Distribution							\$ 0	\$ 0	\$ 0				
Civil Filing Fees							\$ 0	\$ 0	\$ 0				
Trust							\$ (34,208)	\$ (34,208)	\$ (60,808)				
Credit Card													
Cash on Hand	\$ 7,950							\$ 7,950	\$ 7,950				
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0				
Cash Outside of the AOC													
Total Cash	\$ (536,187)	\$ 1,204,116	\$ (355,041)				\$ 73,877	\$ 386,765	\$ 1,952,141				
Short Term Investment	\$ 5,218,846						\$ 7,207,555	\$ 12,426,401	\$ 6,555,899				
Investment in Financial Institution	\$ 0						\$ 0	\$ 0	\$ 6,191,185				
Total Investments	\$ 5,218,846						\$ 7,207,555	\$ 12,426,401	\$ 12,747,084				
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0				
Accounts Receivable - General													
Dishonored Checks													
Due From Employee	\$ 0							\$ 0	\$ 0				
Civil Jury Fees													
Trust													
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 466,051				
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0				
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0				
Due From State	\$ (11,391)	\$ 0						\$ (11,391)	\$ 0				
Trust Due To/From							\$ 1,908	\$ 1,908	\$ 125				
Distribution Due To/From							\$ 2	\$ 2	\$ 1				
Civil Filing Fee Due To/From													
General Due To/From	\$ 38							\$ 38	\$ 660				
Total Receivables	\$ (11,352)	\$ 0	\$ 0				\$ 1,910	\$ (9,442)	\$ 466,837				
Prepaid Expenses - General	\$ 28,443							\$ 28,443	\$ 5,944				
Salary and Travel Advances													
Counties													
Total Prepaid Expenses	\$ 28,443							\$ 28,443	\$ 5,944				
Other Assets													
Total Other Assets													
Total Assets	\$ 4,699,750	\$ 1,204,116	\$ (355,041)				\$ 7,283,342	\$ 12,832,167	\$ 15,172,007				
LIABILITIES AND FUND BALANCES													
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0				
Accounts Payable - General	\$ 10,703	\$ 1,643	\$ 0				\$ 0	\$ 12,346	\$ 20,261				
Due to Other Funds	\$ 2	\$ 0	\$ 0				\$ 1,946	\$ 1,948	\$ 466,837				
Due to Other Courts													
Due to State	\$ 0							\$ 0					
TC145 Liability							\$ 600,325	\$ 600,325	\$ 630,613				
Due to Other Governments							\$ 495,250	\$ 495,250	\$ 378,433				
AB145 Due to Other Government Agency	\$ 0												
Due to Other Public Agencies													
Sales and Use Tax	\$ 0							\$ 0	\$ 0				
Interest							\$ 87	\$ 87	\$ 232				
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0				
Total Accounts Payable and Accrued Liab.	\$ 10,705	\$ 1,643	\$ 0				\$ 1,097,608	\$ 1,109,957	\$ 1,496,377				
Civil							\$ 5,688,193	\$ 5,688,193	\$ 1,153,878				
Criminal							\$ 294,899	\$ 294,899	\$ 577,905				
Unreconciled - Civil and Criminal							\$ 29,731	\$ 29,731	\$ 30,055				
Trust Held Outside of the AOC													
Trust Interest Payable							\$ 6,036	\$ 6,036	\$ 5,679				
Miscellaneous Trust													
Total Trust Deposits							\$ 6,018,858	\$ 6,018,858	\$ 1,767,517				
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0				
Benefits Payable	\$ (278,269)	\$ 0	\$ 0				\$ 0	\$ (278,269)	\$ 5,923,579				
Deferred Compensation Payable	\$ 1,310							\$ 1,310	\$ (1,310)				
Deductions Payable	\$ 201,416							\$ 201,416	\$ 21,083				
Payroll Clearing													
Total Payroll Liabilities	\$ (75,543)	\$ 0	\$ 0				\$ 0	\$ (75,543)	\$ 5,943,351				
Revenue Collected in Advance													
Liabilities For Deposits	\$ 27,837						\$ 65,371	\$ 93,208	\$ 105,829				
Jury Fees - Non-Interest							\$ 100,020	\$ 100,020	\$ 91,937				
Fees - Partial Payment & Overpayment	\$ 0						\$ 1,484	\$ 1,484	\$ 3,525				
Uncleared Collections	\$ 0							\$ 0	\$ 0				
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0				
Total Other Liabilities	\$ 27,837	\$ 0	\$ 0				\$ 166,876	\$ 194,713	\$ 201,291				
Total Liabilities	\$ (37,001)	\$ 1,643	\$ 0				\$ 7,283,342	\$ 7,247,984	\$ 9,408,537				
Total Fund Balance	\$ 4,736,751	\$ 1,202,473	\$ (355,041)					\$ 5,584,182	\$ 5,763,470				
Total Liabilities and Fund Balance	\$ 4,699,750	\$ 1,204,116	\$ (355,041)				\$ 7,283,342	\$ 12,832,167	\$ 15,172,007				

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March										
Fiscal Year 2014/15									2013/14	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 6,183,251	\$ 913,674	\$ 0		\$ 78,216		\$ 7,175,141	\$ 10,831,059	\$ 7,337,874	\$ 10,404,986
Traffic & Other Infractions	\$ 466,114	\$ 49,931					\$ 516,044	\$ 748,779	\$ 394,915	\$ 540,451
Other Criminal Cases	\$ 1,016,583	\$ 79,137					\$ 1,095,720	\$ 1,647,087	\$ 997,292	\$ 1,497,818
Civil	\$ 705,517	\$ 11,976					\$ 717,493	\$ 1,073,929	\$ 716,633	\$ 1,004,542
Family & Children Services	\$ 1,426,155	\$ 106,232			\$ 31,983		\$ 1,564,371	\$ 2,388,407	\$ 1,536,373	\$ 2,240,628
Probate, Guardianship & Mental Health Services	\$ 396,798	\$ 4,267					\$ 401,065	\$ 455,631	\$ 397,745	\$ 474,910
Juvenile Dependency Services	\$ 71,635	\$ 2,455					\$ 74,090	\$ 104,628	\$ 79,912	\$ 116,729
Juvenile Delinquency Services	\$ 78,341	\$ 65,073					\$ 143,414	\$ 196,829	\$ 225,788	\$ 360,240
Other Court Operations	\$ 916,144	\$ 1,047,497	\$ 75				\$ 1,963,716	\$ 5,353,775	\$ 1,601,873	\$ 2,323,352
Court Interpreters	\$ 818,794	\$ 162,812					\$ 981,606	\$ 1,245,274	\$ 999,395	\$ 1,415,564
Jury Services	\$ 104,895	\$ 73,083	\$ 140,521				\$ 318,499	\$ 430,746	\$ 385,430	\$ 753,731
Security		\$ 261,603					\$ 261,603		\$ 276,283	\$ 402,280
Trial Court Operations Program	\$ 12,184,226	\$ 2,777,741	\$ 140,596		\$ 110,200		\$ 15,212,763	\$ 24,476,144	\$ 14,949,514	\$ 21,535,231
Enhanced Collections	\$ 704,238	\$ 191,726			\$ 118,211		\$ 1,014,175	\$ 1,439,625	\$ 999,291	\$ 1,430,072
Other Non-Court Operations		\$ 8,000					\$ 8,000		\$ 7,000	\$ 12,000
Non-Court Operations Program	\$ 704,238	\$ 199,726			\$ 118,211		\$ 1,022,175	\$ 1,439,625	\$ 1,006,291	\$ 1,442,072
Executive Office	\$ 488,796	\$ 12,474					\$ 501,270	\$ 668,315	\$ 439,986	\$ 627,981
Fiscal Services	\$ 406,576	\$ 67,416			\$ (228,411)		\$ 245,581	\$ 348,335	\$ 401,387	\$ 689,394
Human Resources	\$ 261,270	\$ 23,866	\$ 500,000				\$ 785,136	\$ 1,598,021	\$ 1,622,417	\$ 2,199,525
Business & Facilities Services	\$ 229,887	\$ 29,105					\$ 258,992	\$ 371,571	\$ 294,982	\$ 446,617
Information Technology	\$ 838,673	\$ 111,667					\$ 950,340	\$ 1,468,850	\$ 819,074	\$ 3,445,327
Court Administration Program	\$ 2,225,203	\$ 244,527	\$ 500,000		\$ (228,411)		\$ 2,741,319	\$ 4,455,092	\$ 3,577,847	\$ 7,408,844
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 15,113,667	\$ 3,221,994	\$ 640,596		\$ 0		\$ 18,976,256	\$ 30,370,861	\$ 19,533,652	\$ 30,386,147

Superior Court of California, County of Sonoma
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended March											
Fiscal Year 2014/15										2013/14	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 16,143,196	\$ 98,180					\$ 16,241,376	\$ 21,476,113	\$ 16,004,209	\$ 20,825,470	
Improvement and Modernization Fund	\$ 44,777						\$ 44,777	\$ 72,511	\$ 0	\$ 124,555	
Judges' Compensation (45.25)	\$ 149,625						\$ 149,625	\$ 190,000	\$ 149,625	\$ 190,000	
Court Interpreter (45.45)	\$ 769,734						\$ 769,734	\$ 1,244,933	\$ 892,495	\$ 1,218,140	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 150,955						\$ 150,955	\$ 258,278	\$ 189,910	\$ 348,067	
Other Miscellaneous	\$ 1,172,049						\$ 1,172,049	\$ 1,172,049	\$ 1,172,049	\$ 1,172,049	
	\$ 18,430,336	\$ 98,180					\$ 18,528,516	\$ 24,413,884	\$ 18,408,288	\$ 23,878,281	
Grants											
AB 1058 Commissioner/Facilitator			\$ 471,472				\$ 471,472	\$ 809,537	\$ 501,173	\$ 809,537	
Other AOC Grants			\$ 0				\$ 0		\$ 0	\$ 41,197	
Non-AOC Grants									\$ 74,414	\$ 81,307	
			\$ 471,472				\$ 471,472	\$ 809,537	\$ 575,586	\$ 932,041	
Other Financing Sources											
Interest Income	\$ 9,481	\$ 1,452					\$ 10,933	\$ 20,790	\$ 13,510	\$ 23,641	
Investment Income											
Donations											
Local Fees	\$ 224,621						\$ 224,621	\$ 254,160	\$ 146,661	\$ 302,580	
Non-Fee Revenues											
Enhanced Collections		\$ 940,371					\$ 940,371	\$ 1,439,627	\$ 977,484	\$ 1,430,071	
Escheatment	\$ 0						\$ 0		\$ 6,699		
Prior Year Revenue	\$ 3,175						\$ 3,175	\$ 3,175	\$ 6,322	\$ 42,134	
County Program - Restricted	\$ 0	\$ 5,885					\$ 5,885	\$ 23,890	\$ 141,496	\$ 327,914	
Reimbursement Other	\$ 234,964						\$ 234,964	\$ 385,708	\$ 513	\$ 910	
Sale of Fixed Assets											
Other Miscellaneous	\$ 97,660						\$ 97,660	\$ 281,840			
	\$ 569,900	\$ 947,708					\$ 1,517,608	\$ 2,409,190	\$ 1,292,684	\$ 2,127,250	
Total Revenues	\$ 19,000,236	\$ 1,045,888	\$ 471,472				\$ 20,517,596	\$ 27,632,611	\$ 20,276,558	\$ 26,937,572	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 7,638,251	\$ 341,989	\$ 376,162				\$ 8,356,402	\$ 10,923,470	\$ 8,786,480	\$ 11,266,129	
Temp Help											
Overtime	\$ 4,549						\$ 4,549		\$ 4,773		
Staff Benefits	\$ 6,102,409	\$ 362,249	\$ 288,058				\$ 6,752,716	\$ 10,788,491	\$ 6,372,082	\$ 9,633,389	
	\$ 13,745,209	\$ 704,238	\$ 664,220				\$ 15,113,667	\$ 21,711,961	\$ 15,163,335	\$ 20,899,518	
Operating Expenses and Equipment											
General Expense	\$ 177,504	\$ 3,749	\$ 4,400				\$ 185,653	\$ 333,174	\$ 181,386	\$ 386,689	
Printing	\$ 54,197	\$ 5,414	\$ 108				\$ 59,720	\$ 95,760	\$ 79,945	\$ 117,620	
Telecommunications	\$ 76,615	\$ 4,493	\$ 2,965				\$ 84,073	\$ 138,239	\$ 93,490	\$ 138,045	
Postage	\$ 103,704	\$ 11,760					\$ 115,463	\$ 182,870	\$ 117,823	\$ 203,190	
Insurance	\$ 6,937	\$ 368	\$ 248				\$ 7,554	\$ 8,330	\$ 8,326	\$ 7,300	
In-State Travel	\$ 7,576		\$ 1,923				\$ 9,500	\$ 23,750	\$ 16,459	\$ 22,638	
Out-of-State Travel	\$ 12,216						\$ 12,216	\$ 189,000			
Training	\$ 2,280						\$ 2,280	\$ 15,150	\$ 7,427	\$ 16,085	
Security Services	\$ 252,332						\$ 252,332	\$ 396,000	\$ 273,864	\$ 399,792	
Facility Operations	\$ 373,707	\$ 3,189					\$ 376,895	\$ 774,137	\$ 418,652	\$ 712,512	
Utilities	\$ 74						\$ 74	\$ 140	\$ 95	\$ 31,602	
Contracted Services	\$ 1,007,571	\$ 197,863	\$ 26,540				\$ 1,231,974	\$ 1,529,501	\$ 1,008,387	\$ 1,652,111	
Consulting and Professional Services	\$ 357,302	\$ 26,637	\$ 14,321				\$ 398,260	\$ 1,017,229	\$ 675,276	\$ 1,019,005	
Information Technology	\$ 475,122	\$ 1,504	\$ 1,588				\$ 478,214	\$ 2,548,270	\$ 33,561	\$ 2,500,310	
Major Equipment	\$ 5,855						\$ 5,855	\$ 6,000		\$ 180,000	
Other Items of Expense	\$ 1,930						\$ 1,930	\$ 3,220	\$ 2,364	\$ 2,590	
	\$ 2,914,923	\$ 254,977	\$ 52,093				\$ 3,221,994	\$ 7,260,770	\$ 2,917,056	\$ 7,389,489	
Special Items of Expense											
Grand Jury	\$ 75						\$ 75	\$ 2,180	\$ 1,113	\$ 2,150	
Jury Costs	\$ 140,521						\$ 140,521	\$ 195,950	\$ 152,148	\$ 294,990	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 500,000						\$ 500,000	\$ 1,200,000	\$ 1,300,000	\$ 1,800,000	
Capital Costs											
Internal Cost Recovery	\$ (228,411)	\$ 118,211	\$ 110,200				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 412,185	\$ 118,211	\$ 110,200				\$ 640,596	\$ 1,398,130	\$ 1,453,261	\$ 2,097,140	
Total Expenditures	\$ 17,072,317	\$ 1,077,426	\$ 826,513				\$ 18,976,256	\$ 30,370,861	\$ 19,533,652	\$ 30,386,147	
Excess (Deficit) of Revenues Over Expenditures	\$ 1,927,918	\$ (31,538)	\$ (355,041)				\$ 1,541,340	\$ (2,738,250)	\$ 742,907	\$ (3,448,575)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,808,832	\$ 1,234,010	\$ 0				\$ 4,042,843	\$ 4,042,843	\$ 5,020,563	\$ 5,020,563	
Ending Balance (Deficit)	\$ 4,736,751	\$ 1,202,473	\$ (355,041)				\$ 5,584,182	\$ 1,304,593	\$ 5,763,470	\$ 1,571,988	

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Sonoma
Court

2014-2015 Q3
Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Sonoma
 Court

2014-2015 Q3
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	201.95	161.75	169.95	166.45	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.