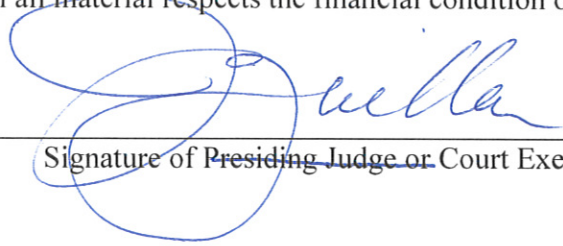


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/15/14

Date

Sonoma

Court

2014-2015 Q1

Fiscal Year and Ending Quarter

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September
 Fiscal Year 2014/15

2013/14

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (999,636)	\$ 1,134,110	\$ (255,618)				\$ 2,019,902	\$ 1,898,758	\$ 2,844,163
Payroll	\$ 0	\$ 0						\$ 0	\$ 11,366
Jury									
Revolving	\$ 15,000							\$ 15,000	\$ 15,000
Other									
Distribution							\$ 0	\$ 0	\$ 0
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (59,047)	\$ (59,047)	\$ (133,533)
Credit Card									
Cash on Hand	\$ 7,950							\$ 7,950	\$ 8,000
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0
Cash Outside of the AOC									
Total Cash	\$ (976,686)	\$ 1,134,110	\$ (255,618)				\$ 1,960,855	\$ 1,862,661	\$ 2,744,996
Short Term Investment	\$ 9,608,144						\$ 790,456	\$ 10,398,600	\$ 9,418,233
Investment in Financial Institution	\$ 0						\$ 0	\$ 0	\$ 4,609,788
Total Investments	\$ 9,608,144						\$ 790,456	\$ 10,398,600	\$ 14,028,021
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 466,051
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ (11,391)	\$ 0						\$ (11,391)	\$ 0
Trust Due To/From							\$ 1,140	\$ 1,140	\$ 95,520
Distribution Due To/From									\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 651							\$ 651	\$ 1,297
Total Receivables	\$ (10,740)	\$ 0	\$ 0				\$ 1,140	\$ (9,600)	\$ 562,868
Prepaid Expenses - General	\$ 56,464							\$ 56,464	\$ 16,583
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 56,464							\$ 56,464	\$ 16,583
Other Assets									
Total Other Assets									
Total Assets	\$ 8,677,182	\$ 1,134,110	\$ (255,618)				\$ 2,752,451	\$ 12,308,125	\$ 17,352,468
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 176,995	\$ 14,563	\$ 4,395				\$ 2,570	\$ 198,523	\$ 185,862
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 1,791	\$ 1,791	\$ 562,868
Due to Other Courts									
Due to State	\$ 0							\$ 0	
TC145 Liability							\$ 561,434	\$ 561,434	\$ 574,261
Due to Other Governments									
AB145 Due to Other Government Agency	\$ 0						\$ 318,471	\$ 318,471	\$ 381,247
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 199	\$ 199	\$ 172
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 176,995	\$ 14,563	\$ 4,395				\$ 884,465	\$ 1,080,418	\$ 1,704,409
Civil							\$ 1,423,514	\$ 1,423,514	\$ 1,906,713
Criminal							\$ 224,820	\$ 224,820	\$ 656,027
Unreconciled - Civil and Criminal							\$ 29,731	\$ 29,731	\$ 30,055
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 6,168	\$ 6,168	\$ 5,563
Miscellaneous Trust									
Total Trust Deposits							\$ 1,684,233	\$ 1,684,233	\$ 2,598,358
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (248,280)						\$ 0	\$ (248,280)	\$ 4,363,162
Deferred Compensation Payable	\$ 0							\$ 0	\$ (1,310)
Deductions Payable	\$ 25,739							\$ 25,739	\$ 19,455
Payroll Clearing									
Total Payroll Liabilities	\$ (222,541)	\$ 0	\$ 0				\$ 0	\$ (222,541)	\$ 4,381,307
Revenue Collected in Advance									
Liabilities For Deposits	\$ 38,477						\$ 66,843	\$ 105,320	\$ 107,020
Jury Fees - Non-Interest							\$ 115,389	\$ 115,389	\$ 91,703
Fees - Partial Payment & Overpayment							\$ 1,522	\$ 1,522	\$ 3,236
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Other Liabilities	\$ 38,477	\$ 0	\$ 0				\$ 183,754	\$ 222,231	\$ 201,960
Total Liabilities	\$ (7,069)	\$ 14,563	\$ 4,395				\$ 2,752,451	\$ 2,764,341	\$ 8,886,034
Total Fund Balance	\$ 8,684,250	\$ 1,119,547	\$ (260,013)					\$ 9,543,784	\$ 8,466,433
Total Liabilities and Fund Balance	\$ 8,677,182	\$ 1,134,110	\$ (255,618)				\$ 2,752,451	\$ 12,308,125	\$ 17,352,468

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended September									
	Fiscal Year 2014/15								2013/14	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,763,521	\$ 199,668	\$ 0		\$ 25,635		\$ 1,988,825	\$ 10,831,059	\$ 2,092,207	\$ 10,404,986
Traffic & Other Infractions	\$ 127,563	\$ 12,880					\$ 140,443	\$ 748,779	\$ 113,974	\$ 540,451
Other Criminal Cases	\$ 291,772	\$ 18,054					\$ 309,826	\$ 1,647,087	\$ 295,592	\$ 1,497,818
Civil	\$ 200,543	\$ 7,671					\$ 208,214	\$ 1,073,929	\$ 196,892	\$ 1,004,542
Family & Children Services	\$ 400,016	\$ 22,698			\$ 10,509		\$ 433,223	\$ 2,388,407	\$ 471,442	\$ 2,240,628
Probate, Guardianship & Mental Health Services	\$ 113,112	\$ 1,298					\$ 114,410	\$ 455,631	\$ 116,579	\$ 474,910
Juvenile Dependency Services	\$ 20,678	\$ 158					\$ 20,836	\$ 104,628	\$ 23,318	\$ 116,729
Juvenile Delinquency Services	\$ 21,264	\$ 15,352					\$ 36,615	\$ 196,829	\$ 67,964	\$ 360,240
Other Court Operations	\$ 317,032	\$ 258,863	\$ 0				\$ 575,894	\$ 5,353,775	\$ 495,800	\$ 2,323,352
Court Interpreters	\$ 225,794	\$ 47,738					\$ 273,532	\$ 1,245,274	\$ 275,261	\$ 1,415,564
Jury Services	\$ 29,574	\$ 26,565	\$ 29,597				\$ 85,736	\$ 430,746	\$ 132,827	\$ 753,731
Security		\$ 88,002					\$ 88,002		\$ 84,722	\$ 402,280
Trial Court Operations Program	\$ 3,510,868	\$ 698,947	\$ 29,597		\$ 36,144		\$ 4,275,557	\$ 24,476,144	\$ 4,366,578	\$ 21,535,231
Enhanced Collections	\$ 224,575	\$ 49,584			\$ 41,793		\$ 315,953	\$ 1,439,625	\$ 293,289	\$ 1,430,072
Other Non-Court Operations		\$ 1,000					\$ 1,000		\$ 2,000	\$ 12,000
Non-Court Operations Program	\$ 224,575	\$ 50,584			\$ 41,793		\$ 316,953	\$ 1,439,625	\$ 295,289	\$ 1,442,072
Executive Office	\$ 133,851	\$ 6,991					\$ 140,842	\$ 668,315	\$ 133,483	\$ 627,981
Fiscal Services	\$ 119,175	\$ 22,084			\$ (77,938)		\$ 63,321	\$ 348,335	\$ 131,564	\$ 689,394
Human Resources	\$ 78,464	\$ 3,805	\$ 100,000				\$ 182,269	\$ 1,598,021	\$ 245,277	\$ 2,199,525
Business & Facilities Services	\$ 65,814	\$ 5,968					\$ 71,783	\$ 371,571	\$ 75,846	\$ 446,617
Information Technology	\$ 251,932	\$ 19,456					\$ 271,388	\$ 1,468,850	\$ 201,799	\$ 3,445,327
Court Administration Program	\$ 649,236	\$ 58,304	\$ 100,000		\$ (77,938)		\$ 729,602	\$ 4,455,092	\$ 787,968	\$ 7,408,844
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 4,384,679	\$ 807,836	\$ 129,597		\$ 0		\$ 5,322,112	\$ 30,370,861	\$ 5,449,835	\$ 30,386,147

Superior Court of California, County of Sonoma
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

For the month ended September											
Fiscal Year 2014/15										2013/14	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 10,383,253	\$ 14,450					\$ 10,397,703	\$ 21,476,113	\$ 8,476,506	\$ 20,825,470	
Improvement and Modernization Fund	\$ (74,724)						\$ (74,724)	\$ 72,511	\$ (1,814)	\$ 124,555	
Judges' Compensation (45.25)	\$ 49,875						\$ 49,875	\$ 190,000	\$ 49,875	\$ 190,000	
Court Interpreter (45.45)	\$ 240,089						\$ 240,089	\$ 1,244,933	\$ 274,713	\$ 1,218,140	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 41,511						\$ 41,511	\$ 258,278	\$ 73,375	\$ 348,067	
Other Miscellaneous								\$ 1,172,049		\$ 1,172,049	
	\$ 10,640,004	\$ 14,450					\$ 10,654,454	\$ 24,413,884	\$ 8,872,655	\$ 23,878,281	
Grants											
AB 1058 Commissioner/Facilitator			\$ (20,567)				\$ (20,567)	\$ 809,537	\$ (128,067)	\$ 809,537	
Other AOC Grants			\$ 0				\$ 0		\$ (41,788)	\$ 41,197	
Non-AOC Grants									\$ 90	\$ 81,307	
			\$ (20,567)				\$ (20,567)	\$ 809,537	\$ (169,765)	\$ 932,041	
Other Financing Sources											
Interest Income	\$ 667	\$ 2					\$ 669	\$ 20,790	\$ 1,341	\$ 23,641	
Investment Income											
Donations											
Local Fees	\$ 36,105						\$ 36,105	\$ 254,160	\$ 24,371	\$ 302,580	
Non-Fee Revenues											
Enhanced Collections		\$ 201,679					\$ 201,679	\$ 1,439,627	\$ 163,339	\$ 1,430,071	
Escheatment								\$ 3,175			
Prior Year Revenue								\$ 1,066	\$ 23,890	\$ 1,061	
County Program - Restricted	\$ 0	\$ 1,066					\$ 1,066	\$ 23,890	\$ 1,061	\$ 42,134	
Reimbursement Other	\$ (52,103)						\$ (52,103)	\$ 385,708	\$ 2,404	\$ 327,914	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,751						\$ 1,751	\$ 281,840	\$ 298	\$ 910	
	\$ (13,580)	\$ 202,747					\$ 189,167	\$ 2,409,190	\$ 192,815	\$ 2,127,250	
Total Revenues	\$ 10,626,424	\$ 217,197	\$ (20,567)				\$ 10,823,054	\$ 27,632,611	\$ 8,895,706	\$ 26,937,572	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,194,339	\$ 108,614	\$ 109,770				\$ 2,412,723	\$ 10,923,470	\$ 2,544,238	\$ 11,266,129	
Temp Help											
Overtime	\$ 1,197						\$ 1,197		\$ 1,284		
Staff Benefits	\$ 1,770,348	\$ 115,962	\$ 84,450				\$ 1,970,760	\$ 10,788,491	\$ 1,993,004	\$ 9,633,389	
	\$ 3,965,884	\$ 224,575	\$ 194,220				\$ 4,384,679	\$ 21,711,961	\$ 4,538,526	\$ 20,899,518	
Operating Expenses and Equipment											
General Expense	\$ 49,233	\$ 683	\$ 1,338				\$ 51,254	\$ 333,174	\$ 41,282	\$ 386,689	
Printing	\$ 12,507	\$ 1,620	\$ 7				\$ 14,134	\$ 95,760	\$ 14,104	\$ 117,620	
Telecommunications	\$ 18,192	\$ 1,012	\$ 670				\$ 19,874	\$ 138,239	\$ 25,388	\$ 138,045	
Postage	\$ 25,196	\$ 3,726					\$ 28,922	\$ 182,870	\$ 32,867	\$ 203,190	
Insurance	\$ 658						\$ 658	\$ 8,330		\$ 7,300	
In-State Travel	\$ 629						\$ 629	\$ 23,750	\$ 3,932	\$ 22,638	
Out-of-State Travel								\$ 189,000			
Training	\$ 615						\$ 615	\$ 15,150	\$ 618	\$ 16,085	
Security Services	\$ 88,002						\$ 88,002	\$ 396,000	\$ 83,822	\$ 399,792	
Facility Operations	\$ 68,395	\$ 1,055					\$ 69,450	\$ 774,137	\$ 72,819	\$ 712,512	
Utilities	\$ 41						\$ 41	\$ 140	\$ 33	\$ 31,602	
Contracted Services	\$ 245,918	\$ 54,447	\$ 6,396				\$ 306,761	\$ 1,529,501	\$ 266,464	\$ 1,652,111	
Consulting and Professional Services	\$ 1,095	\$ 2,336	\$ 305				\$ 3,736	\$ 1,017,229	\$ 142,231	\$ 1,019,005	
Information Technology	\$ 222,336	\$ 412	\$ 366				\$ 223,114	\$ 2,548,270	\$ 15,278	\$ 2,500,310	
Major Equipment								\$ 6,000		\$ 180,000	
Other Items of Expense	\$ 646						\$ 646	\$ 3,220	\$ 528	\$ 2,590	
	\$ 733,463	\$ 65,292	\$ 9,081				\$ 807,836	\$ 7,260,770	\$ 699,365	\$ 7,389,489	
Special Items of Expense											
Grand Jury	\$ 0						\$ 0	\$ 2,180	\$ 0	\$ 2,150	
Jury Costs	\$ 29,597						\$ 29,597	\$ 195,950	\$ 61,944	\$ 294,990	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 100,000						\$ 100,000	\$ 1,200,000	\$ 150,000	\$ 1,800,000	
Capital Costs											
Internal Cost Recovery	\$ (77,938)	\$ 41,793	\$ 36,144				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 51,659	\$ 41,793	\$ 36,144				\$ 129,597	\$ 1,398,130	\$ 211,944	\$ 2,097,140	
Total Expenditures	\$ 4,751,006	\$ 331,660	\$ 239,446				\$ 5,322,112	\$ 30,370,861	\$ 5,449,835	\$ 30,386,147	
Excess (Deficit) of Revenues Over Expenditures	\$ 5,875,418	\$ (114,463)	\$ (260,013)				\$ 5,500,942	\$ (2,738,250)	\$ 3,445,871	\$ (3,448,575)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,808,832	\$ 1,234,010	\$ 0				\$ 4,042,843	\$ 4,042,843	\$ 5,020,563	\$ 5,020,563	
Ending Balance (Deficit)	\$ 8,684,250	\$ 1,119,547	\$ (260,013)				\$ 9,543,784	\$ 1,304,593	\$ 8,466,433	\$ 1,571,988	

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Sonoma
Court

2014-2015 Q1
Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Sonoma
 Court

2014-2015 Q1
 Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	201.95	161.75			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.