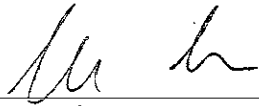


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of

\_\_\_\_\_  
Court Executive

11/18/2014

\_\_\_\_\_  
Date

Santa Cruz Superior Court

\_\_\_\_\_  
Court

2014-2015 and Q1

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Santa Cruz Superior  
 Court

2014-2015 Q1  
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	126.70	119.40			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Santa Cruz Superior  
Court

2014-2015 Q1  
Fiscal Year and Ending Quarter

**FOOTNOTES**

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Superior Court of California, County of Santa Cruz  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September  
 Fiscal Year 2014/15

2013/14

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (325,484)	\$ 271,103	\$ (77,589)	\$ 0			\$ 107,979	\$ (23,991)	\$ 165,527
Payroll	\$ (2,355)						\$ 0	\$ (2,355)	\$ (3,333)
Jury									
Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other									
Distribution									
Civil Filing Fees	\$ 0						\$ 9,526	\$ 9,526	\$ (14,062)
Trust							\$ (15,668)	\$ (15,668)	\$ (9,652)
Credit Card									
Cash on Hand	\$ 3,350							\$ 3,350	\$ 3,350
Cash with County							\$ 1,625,580	\$ 1,625,580	\$ 2,472,509
Cash Outside of the AOC									
Total Cash	\$ (319,489)	\$ 271,103	\$ (77,589)	\$ 0			\$ 1,727,417	\$ 1,601,442	\$ 2,619,339
Short Term Investment	\$ 4,604,076						\$ 374,233	\$ 4,978,309	\$ 5,296,344
Investment in Financial Institution									\$ 1,000,000
Total Investments	\$ 4,604,076						\$ 374,233	\$ 4,978,309	\$ 6,296,344
Accrued Revenue	\$ 0	\$ 0		\$ 0			\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 759	\$ 0	\$ 0					\$ 759	\$ 116
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0					\$ 11,944	\$ 11,944	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 1,752					\$ 1,752	\$ 44,664
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From	\$ 35							\$ 35	\$ 117
Total Receivables	\$ 794	\$ 0	\$ 1,752	\$ 0			\$ 11,944	\$ 14,490	\$ 44,896
Prepaid Expenses - General									
Salary and Travel Advances									
Counties									
Total Prepaid Expenses									
Other Assets									
Total Other Assets									
Total Assets	\$ 4,285,381	\$ 271,103	\$ (75,837)	\$ 0			\$ 2,113,594	\$ 6,594,241	\$ 8,960,580
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 7,231	\$ 0	\$ 0	\$ 0			\$ 0	\$ 7,231	\$ 106,082
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 35	\$ 35	\$ 117
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 195
TC145 Liability	\$ 0						\$ 456,115	\$ 456,115	\$ 416,835
Due to Other Governments	\$ 0							\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 658							\$ 658	\$ (17)
Interest							\$ 5	\$ 5	\$ 14
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 7,889	\$ 0	\$ 0	\$ 0			\$ 456,154	\$ 464,043	\$ 523,226
Civil							\$ 21,406	\$ 21,406	\$ 131,138
Criminal									
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 1,625,580	\$ 1,625,580	\$ 2,472,509
Trust Interest Payable							\$ 0	\$ 0	
Miscellaneous Trust									
Total Trust Deposits							\$ 1,646,986	\$ 1,646,986	\$ 2,603,647
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 2,268
Benefits Payable	\$ (5,461)							\$ (5,461)	\$ 990,245
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 1,189							\$ 1,189	\$ 1,135
Payroll Clearing	\$ (3)							\$ (3)	\$ (3)
Total Payroll Liabilities	\$ (4,275)	\$ 0	\$ 0					\$ (4,275)	\$ 993,645
Revenue Collected in Advance									\$ 14,500
Liabilities For Deposits	\$ 12,856						\$ 8,102	\$ 20,957	\$ 10,631
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 275
Fees - Partial Payment & Overpayment							\$ 2,353	\$ 2,353	\$ 4,073
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 12,856						\$ 10,454	\$ 23,310	\$ 29,478
Total Liabilities	\$ 16,470	\$ 0	\$ 0	\$ 0			\$ 2,113,594	\$ 2,130,064	\$ 4,149,996
Total Fund Balance	\$ 4,268,911	\$ 271,103	\$ (75,837)	\$ 0				\$ 4,464,177	\$ 4,810,584
Total Liabilities and Fund Balance	\$ 4,285,381	\$ 271,103	\$ (75,837)	\$ 0			\$ 2,113,594	\$ 6,594,241	\$ 8,960,580

Superior Court of California, County of Santa Cruz  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2014/15									2013/14	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 823,858	\$ 60,212					\$ 884,069	\$ 4,258,595	\$ 931,357	\$ 4,446,346
Traffic & Other Infractions	\$ 152,896	\$ 10,548					\$ 163,443	\$ 792,916	\$ 92,751	\$ 717,657
Other Criminal Cases	\$ 166,074	\$ 18,434					\$ 184,508	\$ 869,721	\$ 206,053	\$ 1,170,057
Civil	\$ 200,200	\$ 4,546					\$ 204,746	\$ 942,110	\$ 175,100	\$ 912,976
Family & Children Services	\$ 147,394	\$ 9,702					\$ 157,096	\$ 588,822	\$ 138,591	\$ 1,214,590
Probate, Guardianship & Mental Health Services	\$ 71,935	\$ 370					\$ 72,305	\$ 344,536	\$ 70,631	\$ 359,605
Juvenile Dependency Services	\$ 40,206						\$ 40,206	\$ 195,481	\$ 41,458	\$ 105,921
Juvenile Delinquency Services		\$ 265					\$ 265	\$ 196	\$ 525	\$ 116,245
Other Court Operations	\$ 160,298	\$ 5,407					\$ 165,705	\$ 795,795	\$ 137,324	\$ 668,714
Court Interpreters	\$ 162,073	\$ 5,027					\$ 167,100	\$ 779,580	\$ 170,290	\$ 949,138
Jury Services	\$ 31,389	\$ 26,163	\$ 36,557				\$ 94,110	\$ 361,089	\$ 86,206	\$ 397,554
Security								\$ 11,185	\$ 760	\$ 300
Trial Court Operations Program	\$ 1,956,322	\$ 140,674	\$ 36,557				\$ 2,133,553	\$ 9,940,026	\$ 2,051,046	\$ 11,059,104
Enhanced Collections	\$ 41,782				\$ 3,496		\$ 45,278	\$ 215,239	\$ 30,246	\$ 251,253
Other Non-Court Operations	\$ 111,765	\$ 670					\$ 112,435	\$ 818,569	\$ 186,116	\$ 960,961
Non-Court Operations Program	\$ 153,547	\$ 670			\$ 3,496		\$ 157,713	\$ 1,033,808	\$ 216,361	\$ 1,212,214
Executive Office	\$ 69,556	\$ 870					\$ 70,426	\$ 268,541	\$ 69,734	\$ 324,116
Fiscal Services	\$ 212,914	\$ 2,551			\$ (3,496)		\$ 211,969	\$ 765,911	\$ 104,342	\$ 364,887
Human Resources	\$ 48,993	\$ 772					\$ 49,765	\$ 238,040	\$ 105,415	\$ 542,887
Business & Facilities Services	\$ (4,523)	\$ (62,444)					\$ (66,967)	\$ 408,170	\$ 122,633	\$ 1,130,741
Information Technology	\$ 148,085	\$ 161,457					\$ 309,542	\$ 2,889,765	\$ 236,868	\$ 1,283,921
Court Administration Program	\$ 475,024	\$ 103,206			\$ (3,496)		\$ 574,734	\$ 4,570,427	\$ 638,993	\$ 3,646,552
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 2,584,893	\$ 244,550	\$ 36,557		\$ 0		\$ 2,866,001	\$ 15,544,261	\$ 2,906,400	\$ 15,917,870

Superior Court of California, County of Santa Cruz  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2014/15										2013/14	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 5,377,002	\$ 9,434					\$ 5,386,436	\$ 11,724,594	\$ 4,522,669	\$ 11,722,245	
Improvement and Modernization Fund								\$ 35,288		\$ 43,143	
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 178,139						\$ 178,139	\$ 707,780	\$ 165,884	\$ 828,551	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 39,418						\$ 39,418	\$ 196,778	\$ 21,543	\$ 203,335	
Other Miscellaneous								\$ 203,557		\$ 203,557	
	\$ 5,594,559	\$ 9,434					\$ 5,603,993	\$ 12,867,997	\$ 4,710,096	\$ 13,000,831	
Grants											
AB 1058 Commissioner/Facilitator			\$ 5,536				\$ 5,536	\$ 332,686	\$ 15,814	\$ 270,940	
Other AOC Grants								\$ 34,000		\$ 63,798	
Non-AOC Grants											
			\$ 5,536				\$ 5,536	\$ 366,686	\$ 15,814	\$ 334,738	
Other Financing Sources											
Interest Income	\$ 59	\$ 4					\$ 63	\$ 9,313	\$ 171	\$ 10,862	
Investment Income											
Donations											
Local Fees	\$ 35,711	\$ 8,808					\$ 44,519	\$ 293,359	\$ 30,010	\$ 376,580	
Non-Fee Revenues											
Enhanced Collections		\$ 32,418					\$ 32,418	\$ 215,239	\$ 30,122	\$ 245,290	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 38,548					\$ 38,548	\$ 47,441	\$ 48,042	\$ 53,772	
Reimbursement Other	\$ 18,350						\$ 18,350	\$ 149,293	\$ 9,100	\$ 220,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 8,292						\$ 8,292	\$ 16,475	\$ 10,262	\$ 15,801	
	\$ 62,412	\$ 79,779					\$ 142,191	\$ 731,120	\$ 127,707	\$ 922,305	
Total Revenues	\$ 5,656,971	\$ 89,213	\$ 5,536				\$ 5,751,720	\$ 13,965,803	\$ 4,853,617	\$ 14,257,874	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 1,619,124	\$ 28,293	\$ 56,831				\$ 1,704,248	\$ 7,844,950	\$ 1,709,497	\$ 8,845,653	
Temp Help	\$ 1,962						\$ 1,962				
Overtime	\$ 990						\$ 990		\$ 2,568		
Staff Benefits	\$ 835,402	\$ 18,181	\$ 24,111				\$ 877,694	\$ 3,959,452	\$ 824,972	\$ 4,246,503	
	\$ 2,457,478	\$ 46,474	\$ 80,942				\$ 2,584,893	\$ 11,804,402	\$ 2,537,037	\$ 13,092,156	
Operating Expenses and Equipment											
General Expense	\$ 35,326		\$ 316				\$ 35,643	\$ 220,575	\$ 57,629	\$ 311,406	
Printing	\$ 15,279						\$ 15,279	\$ 78,103	\$ 13,543	\$ 71,579	
Telecommunications	\$ 15,215						\$ 15,215	\$ 98,009	\$ 14,827	\$ 118,700	
Postage	\$ 21,041						\$ 21,041	\$ 88,451	\$ 17,203	\$ 89,551	
Insurance	\$ 329						\$ 329	\$ 6,030		\$ 5,175	
In-State Travel	\$ 3,881						\$ 3,881	\$ 25,883	\$ 4,752	\$ 32,145	
Out-of-State Travel											
Training	\$ 1,195		\$ 115				\$ 1,310	\$ 5,888	\$ 1,704	\$ 17,085	
Security Services								\$ 300	\$ 75	\$ 300	
Facility Operations	\$ (76,833)						\$ (76,833)	\$ 453,096	\$ (15,964)	\$ 655,826	
Utilities											
Contracted Services	\$ 72,672	\$ 3,167					\$ 75,839	\$ 581,718	\$ 92,641	\$ 651,722	
Consulting and Professional Services	\$ 1,925						\$ 1,925	\$ 11,760	\$ 3,115	\$ 23,765	
Information Technology	\$ 149,277						\$ 149,277	\$ 2,002,491	\$ 80,943	\$ 507,160	
Major Equipment	\$ 1,118						\$ 1,118	\$ 30,661	\$ 79,101	\$ 199,250	
Other Items of Expense	\$ 526						\$ 526	\$ 1,995	\$ 251	\$ 6,050	
	\$ 240,952	\$ 3,167	\$ 431				\$ 244,550	\$ 3,604,960	\$ 349,821	\$ 2,689,714	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 36,557						\$ 36,557	\$ 134,899	\$ 19,542	\$ 136,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (3,496)	\$ 3,496					\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 33,061	\$ 3,496					\$ 36,557	\$ 134,899	\$ 19,542	\$ 136,000	
Total Expenditures	\$ 2,731,490	\$ 53,137	\$ 81,373				\$ 2,866,001	\$ 15,544,261	\$ 2,906,400	\$ 15,917,870	
Excess (Deficit) of Revenues Over Expenditures	\$ 2,925,481	\$ 36,075	\$ (75,837)				\$ 2,885,719	\$ (1,578,458)	\$ 1,947,217	\$ (1,659,996)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,343,430	\$ 235,028	\$ 0	\$ 0			\$ 1,578,458	\$ 1,578,458	\$ 2,863,367	\$ 2,863,367	
Ending Balance (Deficit)	\$ 4,268,911	\$ 271,103	\$ (75,837)	\$ 0			\$ 4,464,177	\$ 0	\$ 4,810,584	\$ 1,203,371	