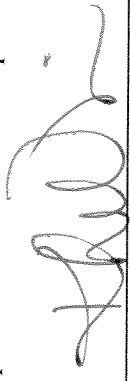


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/20/2014

Date

Lake

Court

FY14/15 Qtr1

Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Lake

 Court

 FY14/15 Qtr1

 Fiscal Year and Ending Quarter

	Positions (FTEs) Filled			
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)				
Court Employee Positions (FTEs)	29.6			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2014/15									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
Non-Grant		Grant							
ASSETS									
Operations	\$ (32,863)	\$ 88,200	\$ (59,621)				\$ 477,189	\$ 472,905	\$ 103,217
Payroll	\$ (3,823)						\$ 0	\$ (3,823)	\$ (3,194)
Jury									
Revolving									
Other									
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (533)	\$ (533)	\$ (766)
Credit Card								\$ 1,000	\$ 1,000
Cash on Hand	\$ 1,000								\$ 1,000
Cash with County									\$ 1,000
Cash Outside of the AOC									\$ 1,000
Total Cash	\$ (35,686)	\$ 88,200	\$ (59,621)				\$ 136,802	\$ 136,802	\$ 125,770
Short Term Investment									
Investment in Financial Institution	\$ 1,300,301						\$ 57,802	\$ 1,358,103	\$ 1,312,912
Total Investments	\$ 1,300,301						\$ 57,802	\$ 1,358,103	\$ 1,312,912
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 6,072	\$ 220	\$ 43,731					\$ 50,022	\$ 35,478
Dishonored Checks									
Due From Employee	\$ 296							\$ 296	\$ 0
Civil Jury Fees									\$ 0
Trust									\$ 0
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 6,158							\$ 6,158	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From									\$ 0
Distribution Due To/From									\$ 0
Civil Filing Fee Due To/From									\$ 0
General Due To/From	\$ 76							\$ 76	\$ 34
Total Receivables	\$ 12,602	\$ 220	\$ 43,731				\$ 0	\$ 56,552	\$ 35,512
Prepaid Expenses - General									\$ 0
Salary and Travel Advances									\$ 1,096
Counties									\$ 1,096
Total Prepaid Expenses									\$ 1,096
Other Assets									
Total Other Assets									
Total Assets	\$ 1,277,216	\$ 88,419	\$ (15,890)				\$ 671,260	\$ 2,021,005	\$ 1,575,546
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 66,097		\$ 0				\$ 0	\$ 66,097	\$ 23,754
Due to Other Funds	\$ 0		\$ 0				\$ 76	\$ 76	\$ 34
Due to Other Courts									
Due to State	\$ 0		\$ 0					\$ 0	\$ 0
TC145 Liability							\$ 67,132	\$ 67,132	\$ 66,158
Due to Other Governments			\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 46							\$ 46	\$ 35
Interest							\$ 48	\$ 48	\$ 13
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 66,143		\$ 0				\$ 67,255	\$ 133,398	\$ 89,993
Civil							\$ 441,640	\$ 441,640	\$ 57,256
Criminal							\$ 11,696	\$ 11,696	\$ 40,344
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 136,802	\$ 136,802	\$ 125,770
Trust Interest Payable							\$ 6,327	\$ 6,327	\$ 6,181
Miscellaneous Trust									
Total Trust Deposits							\$ 596,464	\$ 596,464	\$ 229,550
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 688							\$ 688	\$ 4,521
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 0
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 688	\$ 0	\$ 0					\$ 688	\$ 4,522
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 6,784						\$ 2,488	\$ 9,272	\$ 9,280
Jury Fees - Non-Interest							\$ 3,750	\$ 3,750	\$ 3,750
Fees - Partial Payment & Overpayment							\$ 1,302	\$ 1,302	\$ 1,990
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 6,784						\$ 7,540	\$ 14,325	\$ 15,020
Total Liabilities	\$ 73,616	\$ 0	\$ 0				\$ 671,260	\$ 744,878	\$ 339,085
Total Fund Balance	\$ 1,203,601	\$ 88,419	\$ (15,890)					\$ 1,276,130	\$ 1,236,461
Total Liabilities and Fund Balance	\$ 1,277,216	\$ 88,419	\$ (15,890)				\$ 671,260	\$ 2,021,005	\$ 1,575,546

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	For the month ended September										
	Fiscal Year 2014/15							2013/14			
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds				
Non-Grant		Grant									
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 1,607,114	\$ 1,894					\$ 1,609,008	\$ 3,184,296	\$ 1,348,115	\$ 3,092,435	
Improvement and Modernization Fund	\$ (17,500)						\$ (17,500)	\$ 10,242		\$ 26,122	
Judges' Compensation (45.25)											
Court Interpreter (45.45)	\$ 7,739						\$ 7,739	\$ 81,000	\$ 10,796	\$ 81,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 14,844						\$ 14,844	\$ 78,492	\$ 4,427	\$ 156,116	
Other Miscellaneous								\$ 9,123		\$ 11,591	
	\$ 1,612,197	\$ 1,894					\$ 1,614,091	\$ 3,363,153	\$ 1,363,338	\$ 3,367,284	
Grants											
AB 1058 Commissioner/Facilitator			\$ 33,756				\$ 33,756	\$ 235,650		\$ 210,000	
Other AOC Grants			\$ 13				\$ 13	\$ 12,210		\$ 12,085	
Non-AOC Grants											
			\$ 33,769				\$ 33,769	\$ 247,860	\$ 0	\$ 222,085	
Other Financing Sources											
Interest Income	\$ 81	\$ 1					\$ 82	\$ 500	\$ 47	\$ 2,000	
Investment Income											
Donations											
Local Fees		\$ 2,038					\$ 2,038	\$ 11,000	\$ 823	\$ 6,657	
Non-Fee Revenues		\$ 463					\$ 463	\$ 1,500	\$ 16,627	\$ 18,166	
Enhanced Collections								\$ 500			
Escheatment											
Prior Year Revenue									\$ 82		
County Program - Restricted		\$ 220					\$ 220	\$ 2,000	\$ 247	\$ 2,000	
Reimbursement Other	\$ 3,667						\$ 3,667	\$ 27,745	\$ 50	\$ 28,243	
Sale of Fixed Assets											
Other Miscellaneous									\$ 1,225		
	\$ 3,748	\$ 2,722					\$ 6,469	\$ 43,245	\$ 18,102	\$ 57,066	
Total Revenues	\$ 1,615,945	\$ 4,616	\$ 33,769				\$ 1,654,329	\$ 3,854,258	\$ 1,381,440	\$ 3,646,395	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 347,256	\$ 140	\$ 23,488				\$ 370,884	\$ 1,654,811	\$ 349,308	\$ 1,525,862	
Temp Help	\$ 8,024		\$ 2,864				\$ 10,888	\$ 65,343	\$ 10,441	\$ 85,867	
Overtime	\$ 329		\$ 0				\$ 329	\$ 5,000	\$ 1,056	\$ 12,000	
Staff Benefits	\$ 113,865	\$ 43	\$ 10,313				\$ 124,221	\$ 595,103	\$ 147,972	\$ 673,566	
	\$ 469,475	\$ 183	\$ 36,665				\$ 506,323	\$ 2,320,257	\$ 508,779	\$ 2,277,295	
Operating Expenses and Equipment											
General Expense	\$ (9,189)		\$ 298				\$ (8,891)	\$ 128,377	\$ (25,572)	\$ 219,359	
Printing	\$ 1,230						\$ 1,230	\$ 10,900	\$ 610	\$ 13,000	
Telecommunications	\$ 1,856		\$ 41				\$ 1,897	\$ 13,500	\$ 2,331	\$ 19,874	
Postage	\$ 6,369		\$ 175				\$ 6,544	\$ 34,500	\$ 6,369	\$ 27,300	
Insurance	\$ 658						\$ 658	\$ 2,800		\$ 2,030	
In-State Travel	\$ 0		\$ 0				\$ 0	\$ 17,700	\$ 1,524	\$ 17,850	
Out-of-State Travel											
Training	\$ 1,299		\$ 1,090				\$ 2,389	\$ 4,000	\$ 1,232	\$ 6,000	
Security Services	\$ 35,559		\$ 2,219				\$ 37,778	\$ 165,395	\$ 33,390	\$ 173,395	
Facility Operations	\$ 11,387		\$ 494				\$ 11,881	\$ 70,079	\$ 12,112	\$ 66,480	
Utilities	\$ 188		\$ 3				\$ 191	\$ 6,000	\$ 66	\$ 2,500	
Contracted Services	\$ 106,864		\$ 3,659				\$ 110,523	\$ 897,637	\$ 119,895	\$ 873,137	
Consulting and Professional Services	\$ 35						\$ 35	\$ 19,000		\$ 31,000	
Information Technology	\$ 9,906						\$ 9,906	\$ 215,903	\$ 29,121	\$ 306,760	
Major Equipment	\$ 0						\$ 0		\$ (21,000)	\$ 32,500	
Other Items of Expense	\$ 457						\$ 457	\$ 2,000	\$ 277	\$ 2,500	
	\$ 166,620		\$ 7,979				\$ 174,599	\$ 1,587,791	\$ 160,155	\$ 1,793,685	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 10,002						\$ 10,002	\$ 31,500	\$ 5,417	\$ 50,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (4,846)		\$ 4,846				\$ 0			\$ (1)	
Prior Year Expense Adjustment	\$ (377)						\$ (377)				
	\$ 4,778		\$ 4,846				\$ 6,625	\$ 31,500	\$ 6,417	\$ 49,999	
Total Expenditures	\$ 640,873	\$ 183	\$ 48,491				\$ 690,546	\$ 3,939,548	\$ 674,348	\$ 4,120,979	
Excess (Deficit) of Revenues Over Expenditures	\$ 975,072	\$ 4,433	\$ (15,722)				\$ 963,783	\$ (285,290)	\$ 707,092	\$ (474,584)	
Operating Transfers In (Out)	\$ 168		\$ (168)				\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 228,361	\$ 83,986	\$ 0				\$ 312,347	\$ 312,347	\$ 529,368	\$ 529,368	
Ending Balance (Deficit)	\$ 1,203,801	\$ 88,419	\$ (15,890)				\$ 1,276,130	\$ 27,057	\$ 1,236,461	\$ 54,784	

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2014/15										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 19,801	\$ 22,222					\$ 42,023	\$ 171,639	\$ 113,693	\$ 218,115
Traffic & Other Infractions	\$ 2,668						\$ 2,668		\$ 10,279	\$ 34,000
Other Criminal Cases	\$ 11,148	\$ 10,800					\$ 21,948	\$ 49,790	\$ 78,029	\$ 84,000
Civil	\$ 607	\$ 18,277					\$ 18,884	\$ 82,500	\$ 20,046	\$ 390,176
Family & Children Services	\$ 46,009	\$ 37,055			\$ 4,846		\$ 87,911	\$ 470,564	\$ 77,061	\$ 50,000
Probate, Guardianship & Mental Health Services		\$ 13,606					\$ 13,606	\$ 60,425	\$ 13,780	\$ 5,000
Juvenile Dependency Services		\$ 363					\$ 363	\$ 3,210	\$ 2,197	\$ 11,065
Juvenile Delinquency Services		\$ 13					\$ 13	\$ 12,000	\$ 357	\$ 1,609,082
Other Court Operations	\$ 311,353						\$ 311,353	\$ 1,600,260	\$ 192,498	\$ 81,000
Court Interpreters	\$ 1,732	\$ 13,897	\$ 10,002				\$ 15,629	\$ 81,000	\$ 18,335	\$ 56,324
Jury Services		\$ 0					\$ 10,002	\$ 31,500	\$ 5,417	\$ 186,615
Security		\$ 38,091					\$ 38,091	\$ 180,751	\$ 33,884	
Trial Court Operations Program	\$ 393,318	\$ 154,323	\$ 10,002		\$ 4,846		\$ 562,489	\$ 2,743,639	\$ 585,576	\$ 2,725,377
Enhanced Collections										
Other Non-Court Operations	\$ 183	\$ 2,133					\$ 2,316	\$ 2,500	\$ 935	\$ 1,000
Non-Court Operations Program	\$ 183	\$ 2,133					\$ 2,316	\$ 2,500	\$ 935	\$ 1,000
Executive Office	\$ 46,525						\$ 45,787	\$ 189,139	\$ 41,717	\$ 167,317
Fiscal Services	\$ 30,353	\$ 1,006			\$ (738)	\$ (377)	\$ 30,400	\$ 151,352	\$ 30,934	\$ 143,589
Human Resources	\$ 9,549	\$ 50			\$ (582)		\$ 9,423	\$ 19,975	\$ 9,487	\$ 31,865
Business & Facilities Services		\$ 7,180			\$ (176)		\$ 6,273	\$ 243,638	\$ (9,646)	\$ 259,403
Information Technology	\$ 26,396	\$ 9,906			\$ (907)		\$ 33,859	\$ 589,305	\$ 35,343	\$ 792,428
Court Administration Program	\$ 112,822	\$ 18,142			\$ (2,443)	\$ (377)	\$ 125,741	\$ 1,193,409	\$ 107,837	\$ 1,394,602
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 506,323	\$ 174,598	\$ 10,002		\$ 0	\$ (377)	\$ 690,546	\$ 3,939,548	\$ 674,348	\$ 4,120,979