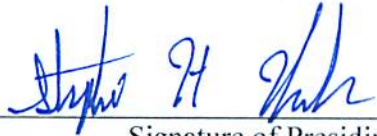


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

11-24-14

Date

Contra Costa  
Court

14-15 Q1

Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Contra Costa  
 Court

FY 2014-2015 1<sup>st</sup> Quarter  
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	333.80	313.6			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September									
Fiscal Year 2014/15									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
Non-Grant		Grant							
<b>ASSETS</b>									
Operations	\$ (2,049,780)	\$ 1,993,638	\$ (528,553)				\$ 6,039,448	\$ 5,454,752	\$ 5,169,951
Payroll	\$ 3,494							\$ 3,494	\$ (13,036)
Jury									
Revolving	\$ 50,000							\$ 50,000	\$ 50,000
Other									
Distribution							\$ 68,715	\$ 68,715	\$ 19,388
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (658,506)	\$ (658,506)	\$ (325,568)
Credit Card									
Cash on Hand	\$ 9,156							\$ 9,156	\$ 9,046
Cash with County									
Cash Outside of the AOC									
<b>Total Cash</b>	<b>\$ (1,987,130)</b>	<b>\$ 1,993,638</b>	<b>\$ (528,553)</b>				<b>\$ 5,449,657</b>	<b>\$ 4,927,611</b>	<b>\$ 4,899,763</b>
Short Term Investment	\$ 14,288,033						\$ 7,853,957	\$ 22,139,989	\$ 23,430,807
Investment in Financial Institution									
<b>Total Investments</b>	<b>\$ 14,288,033</b>						<b>\$ 7,853,957</b>	<b>\$ 22,139,989</b>	<b>\$ 23,430,807</b>
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 0		\$ 60,777					\$ 60,777	\$ 1,238,572
Dishonored Checks									
Due From Employee	\$ 6,387							\$ 6,387	\$ 5,232
Civil Jury Fees									
Trust							\$ 165	\$ 165	\$ 165
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Trust Due To/From									
Distribution Due To/From							\$ 0	\$ 0	\$ 20
Civil Filing Fee Due To/From									
General Due To/From	\$ 14,058							\$ 14,058	\$ 10,094
<b>Total Receivables</b>	<b>\$ 20,445</b>	<b>\$ 0</b>	<b>\$ 60,777</b>				<b>\$ 165</b>	<b>\$ 81,368</b>	<b>\$ 1,252,083</b>
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 0</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 12,319,347</b>	<b>\$ 1,993,638</b>	<b>\$ (487,777)</b>				<b>\$ 13,303,778</b>	<b>\$ 27,149,988</b>	<b>\$ 29,582,872</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 51,170	\$ 0	\$ 0				\$ 660	\$ 51,830	\$ 10,821
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 14,058	\$ 14,058	\$ 10,114
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 0	\$ 0	\$ 1,478,379
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 8,232,236	\$ 8,232,236	\$ 5,080,523
Due to Other Public Agencies									
Sales and Use Tax	\$ 54	\$ 0	\$ 0					\$ 54	\$ 575
Interest							\$ 666	\$ 668	\$ 727
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 51,224</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 8,247,819</b>	<b>\$ 8,289,843</b>	<b>\$ 6,570,139</b>
Civil							\$ 3,153,319	\$ 3,153,319	\$ 3,562,044
Criminal							\$ 806,038	\$ 806,038	\$ 667,718
Unreconciled - Civil and Criminal							\$ 438,114	\$ 438,114	\$ 438,114
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 81,019	\$ 81,019	\$ 87,328
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 4,478,490</b>	<b>\$ 4,478,490</b>	<b>\$ 4,765,202</b>
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ (51,118)	\$ 782						\$ (50,336)	\$ 62,107
Deferred Compensation Payable	\$ (61)							\$ (61)	\$ 418
Deductions Payable	\$ 727,644	\$ 5,982						\$ 733,626	\$ 677,020
Payroll Clearing	\$ 0							\$ 0	\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 676,466</b>	<b>\$ 6,764</b>						<b>\$ 683,230</b>	<b>\$ 739,548</b>
Revenue Collected in Advance	\$ 1,282		\$ 14,850					\$ 16,132	\$ 16,132
Liabilities For Deposits	\$ 109,326		\$ 0				\$ 568,425	\$ 677,761	\$ 260,472
Jury Fees - Non-Interest							\$ 9,150	\$ 9,150	\$ 10,500
Fees - Partial Payment & Overpayment							\$ 94	\$ 94	\$ 709
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 110,609</b>		<b>\$ 14,850</b>				<b>\$ 577,669</b>	<b>\$ 703,127</b>	<b>\$ 287,813</b>
<b>Total Liabilities</b>	<b>\$ 838,299</b>	<b>\$ 6,764</b>	<b>\$ 14,850</b>				<b>\$ 13,303,778</b>	<b>\$ 14,163,890</b>	<b>\$ 12,381,700</b>
<b>Total Fund Balance</b>	<b>\$ 11,481,048</b>	<b>\$ 1,986,874</b>	<b>\$ (482,828)</b>					<b>\$ 12,985,298</b>	<b>\$ 17,220,972</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 12,319,347</b>	<b>\$ 1,993,638</b>	<b>\$ (487,777)</b>				<b>\$ 13,303,778</b>	<b>\$ 27,149,988</b>	<b>\$ 29,582,872</b>

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2014/15											
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	2013/14	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds			Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 18,811,369	\$ 27,677						\$ 18,839,046	\$ 41,248,128	\$ 15,974,314	\$ 40,211,704
Improvement and Modernization Fund	\$ 78,396							\$ 78,396	\$ 138,481	\$ 0	\$ 138,481
Judges' Compensation (45.25)	\$ 92,625							\$ 92,625	\$ 370,500	\$ 92,625	\$ 370,500
Court Interpreter (45.45)	\$ 318,458							\$ 318,458	\$ 1,665,869	\$ 120,075	\$ 1,300,000
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 354,849							\$ 354,849	\$ 4,435,670	\$ 599,713	\$ 4,729,055
Other Miscellaneous									\$ 1,396,191		\$ 1,396,191
	\$ 19,655,697	\$ 27,677						\$ 19,683,374	\$ 49,254,817	\$ 16,768,726	\$ 48,145,911
Grants											
AB 1058 Commissioner/Facilitator			\$ (439,263)					\$ (439,263)	\$ 1,357,041	\$ (174,557)	\$ 1,357,041
Other AOC Grants			\$ (31,405)					\$ (31,405)	\$ 325,121	\$ (1,137)	\$ 325,121
Non-AOC Grants											
			\$ (470,669)					\$ (470,669)	\$ 1,682,162	\$ (175,694)	\$ 1,682,162
Other Financing Sources											
Interest Income	\$ 1,867	\$ 9						\$ 1,876	\$ 44,404	\$ 2,114	\$ 51,019
Investment Income											
Donations											
Local Fees	\$ 15,402	\$ 74,355						\$ 89,757	\$ 1,024,638	\$ 168,760	\$ 1,263,060
Non-Fee Revenues											
Enhanced Collections		\$ 217,819						\$ 217,819	\$ 1,977,000	\$ 893,181	\$ 2,840,000
Escheatment											
Prior Year Revenue	\$ (20,697)							\$ (20,697)		\$ 20,697	
County Program - Restricted		\$ 20,937						\$ 20,937	\$ 940,704	\$ (14,896)	\$ 744,441
Reimbursement Other	\$ 21,261							\$ 21,261	\$ 282,450	\$ 28,100	\$ 180,842
Sale of Fixed Assets											
Other Miscellaneous	\$ (3,139)							\$ (3,139)	\$ 181,452	\$ 9,965	\$ 31,452
	\$ 14,694	\$ 313,120						\$ 327,814	\$ 4,430,846	\$ 1,105,970	\$ 4,910,214
<b>Total Revenues</b>	\$ 19,870,391	\$ 340,797	\$ (470,669)					\$ 19,540,519	\$ 65,387,825	\$ 17,717,002	\$ 54,738,587
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 4,294,107	\$ 39,280						\$ 4,333,388	\$ 23,577,117	\$ 4,441,798	\$ 22,335,178
Temp Help	\$ 93,485							\$ 93,485	\$ 55,281	\$ 415,155	\$ 2,381,760
Overtime	\$ 47,297							\$ 47,297	\$ 164,878	\$ 44,976	\$ 110,000
Staff Benefits	\$ 3,498,504	\$ 27,936						\$ 3,526,440	\$ 18,107,347	\$ 3,421,954	\$ 17,314,042
	\$ 7,933,394	\$ 67,216						\$ 8,000,800	\$ 41,904,623	\$ 8,323,831	\$ 42,121,000
Operating Expenses and Equipment											
General Expense	\$ 188,339	\$ 952						\$ 189,291	\$ 853,148	\$ 154,378	\$ 1,121,194
Printing	\$ 9,635							\$ 9,635	\$ 233,800	\$ 11,070	\$ 314,000
Telecommunications	\$ 68,950	\$ 1,119						\$ 70,110	\$ 522,964	\$ 13,428	\$ 620,700
Postage	\$ 4,648	\$ 98						\$ 4,746	\$ 177,391	\$ 5,288	\$ 274,260
Insurance									\$ 38,288		\$ 28,000
In-State Travel	\$ 22,560		\$ 1,671					\$ 24,232	\$ 129,261	\$ 23,518	\$ 120,950
Out-of-State Travel									\$ 2,550	\$ 0	\$ 2,550
Training	\$ 390		\$ 630					\$ 1,020	\$ 7,705	\$ 1,947	\$ 52,750
Security Services	\$ 771							\$ 771	\$ 12,609	\$ 811	\$ 13,500
Facility Operations	\$ 204,557							\$ 204,557	\$ 767,032	\$ 69,617	\$ 534,100
Utilities	\$ 2,141							\$ 2,141	\$ 26,500		\$ 26,520
Contracted Services	\$ 1,171,317	\$ 678,954	\$ 7,924					\$ 1,858,195	\$ 8,183,071	\$ 1,587,149	\$ 8,836,680
Consulting and Professional Services	\$ 7,909							\$ 7,909	\$ 11,810	\$ 595	\$ 18,000
Information Technology	\$ 709,221	\$ 21,913	\$ 1,733					\$ 732,867	\$ 3,322,142	\$ 763,604	\$ 7,621,760
Major Equipment	\$ 1,114,413							\$ 1,114,413	\$ 1,173,214	\$ 0	\$ 921,662
Other Items of Expense	\$ 218							\$ 218	\$ 48,500	\$ 372	\$ 45,500
	\$ 3,505,108	\$ 703,037	\$ 11,958					\$ 4,220,103	\$ 15,607,795	\$ 3,031,778	\$ 20,353,348
Special Items of Expense											
Grand Jury		\$ 4,287						\$ 4,287	\$ 15,000	\$ 1,393	\$ 7,000
Jury Costs	\$ 114,502							\$ 114,502	\$ 766,000	\$ 188,066	\$ 850,000
Judgments, Settlements and Claims											
Debt Service											
Other	\$ 0							\$ 0			
Capital Costs											
Internal Cost Recovery	\$ (11,917)	\$ 11,917						\$ 0		\$ 0	\$ 1
Prior Year Expense Adjustment											
	\$ 102,585	\$ 16,204						\$ 118,789	\$ 781,000	\$ 187,460	\$ 657,001
<b>Total Expenditures</b>	\$ 11,541,088	\$ 788,457	\$ 11,958					\$ 12,339,501	\$ 69,193,418	\$ 11,543,118	\$ 63,331,347
Excess (Deficit) of Revenues Over Expenditures	\$ 8,129,305	\$ (445,660)	\$ (482,626)					\$ 7,201,018	\$ (2,825,793)	\$ 6,173,883	\$ (8,592,460)
Operating Transfers In (Out)									\$ 0		\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 3,351,744	\$ 2,432,534	\$ 0					\$ 5,784,278	\$ 5,784,278	\$ 11,047,089	\$ 11,047,089
Ending Balance (Deficit)	\$ 11,481,049	\$ 1,986,874	\$ (482,626)					\$ 12,685,286	\$ 2,958,485	\$ 17,220,972	\$ 2,454,629

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2014/15								2013/14		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 2,499,959	\$ 210,857					\$ 2,710,815	\$ 15,221,986	\$ 2,838,182	\$ 14,794,300
Traffic & Other Infractions	\$ 378,641	\$ 20,746					\$ 399,387	\$ 2,057,646	\$ 372,263	\$ 1,773,456
Other Criminal Cases	\$ 574,285	\$ 27,045					\$ 601,330	\$ 3,181,675	\$ 668,061	\$ 2,942,955
Civil	\$ 841,821	\$ 12,467					\$ 854,288	\$ 4,672,298	\$ 890,167	\$ 3,733,168
Family & Children Services	\$ 878,889	\$ 7,705					\$ 886,594	\$ 5,747,566	\$ 989,700	\$ 5,244,877
Probate, Guardianship & Mental Health Services	\$ 278,965	\$ 835					\$ 279,800	\$ 1,245,022	\$ 303,323	\$ 1,208,784
Juvenile Dependency Services		\$ 793,610					\$ 793,610	\$ 3,132,151	\$ 799,676	\$ 3,144,151
Juvenile Delinquency Services								\$ 15,843		\$ 91,060
Other Court Operations	\$ 698,386	\$ 35,532					\$ 733,919	\$ 4,092,076	\$ 776,165	\$ 7,260,886
Court Interpreters	\$ 324,955	\$ 44,414					\$ 369,370	\$ 1,665,869	\$ 342,897	\$ 1,477,921
Jury Services	\$ 116,981	\$ 17,369	\$ 114,502				\$ 248,852	\$ 1,367,385	\$ 362,750	\$ 1,597,026
Security		\$ 682,523					\$ 682,523	\$ 1,011,909	\$ 6,205	\$ 1,004,562
<b>Trial Court Operations Program</b>	<b>\$ 6,592,883</b>	<b>\$ 1,853,103</b>	<b>\$ 114,502</b>				<b>\$ 8,560,488</b>	<b>\$ 43,395,583</b>	<b>\$ 8,345,232</b>	<b>\$ 44,271,146</b>
Enhanced Collections	\$ 67,216	\$ 699,509			\$ 11,917		\$ 778,642	\$ 3,463,672	\$ 883,227	\$ 4,008,641
Other Non-Court Operations		\$ 28,491	\$ 4,287				\$ 32,778	\$ 140,588	\$ 27,770	\$ 120,000
<b>Non-Court Operations Program</b>	<b>\$ 67,216</b>	<b>\$ 728,000</b>	<b>\$ 4,287</b>		<b>\$ 11,917</b>		<b>\$ 811,420</b>	<b>\$ 3,604,260</b>	<b>\$ 910,997</b>	<b>\$ 4,128,641</b>
Executive Office	\$ 232,853	\$ 567			\$ (1,454)		\$ 231,965	\$ 1,447,005	\$ 176,427	\$ 1,110,752
Fiscal Services	\$ 397,158	\$ 61,412	\$ 0		\$ (2,986)		\$ 455,584	\$ 1,448,441	\$ 584,353	\$ 1,310,801
Human Resources	\$ 399,974	\$ 16,383			\$ (1,069)		\$ 415,287	\$ 1,035,949	\$ 367,407	\$ 895,081
Business & Facilities Services	\$ 100,076	\$ 257,112			\$ (3,707)		\$ 353,481	\$ 1,822,379	\$ 238,305	\$ 2,003,273
Information Technology	\$ 210,450	\$ 1,303,526			\$ (2,701)		\$ 1,511,275	\$ 5,439,801	\$ 920,397	\$ 9,613,653
<b>Court Administration Program</b>	<b>\$ 1,340,510</b>	<b>\$ 1,639,000</b>	<b>\$ 0</b>		<b>\$ (11,917)</b>		<b>\$ 2,967,593</b>	<b>\$ 11,193,575</b>	<b>\$ 2,286,889</b>	<b>\$ 14,933,560</b>
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 8,000,609</b>	<b>\$ 4,220,102</b>	<b>\$ 118,789</b>		<b>\$ 0</b>		<b>\$ 12,339,501</b>	<b>\$ 58,193,418</b>	<b>\$ 11,543,118</b>	<b>\$ 63,331,347</b>