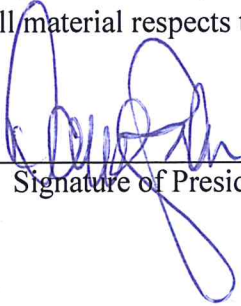


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

08/25/2022

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Date

Santa Barbara

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Court

FY 2021-22 Quarter 4

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Fiscal Year and Ending Quarter

## QUARTERLY FINANCIAL STATEMENT FOOTNOTES

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Court

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Fiscal Year and Ending Quarter

### FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

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Court

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Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended June									
Fiscal Year 2021/22									2020/21
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (4,628,966)	\$ 4,544,489	\$ 0				\$ 109,059	\$ 24,582	\$ 143,483
Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Jury Revolving	\$ 20,000							\$ 20,000	\$ 20,000
Other Distribution							\$ 121,623	\$ 121,623	\$ 82,723
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust Credit Card							\$ (85,779)	\$ (85,779)	\$ (78,646)
Cash on Hand	\$ 7,430							\$ 7,430	\$ 7,130
Cash with County									\$ 0
Cash Outside of the JCC									
Cash Equivalents	\$ 8,354,390						\$ 5,893,740	\$ 14,248,129	\$ 12,513,455
Total Cash and Cash Equivalents	\$ 3,752,853	\$ 4,544,489	\$ 0				\$ 6,038,644	\$ 14,335,986	\$ 12,688,145
Short-Term Investment Investments									
Total Investments									
Accrued Revenue	\$ 9,340	\$ 8,223						\$ 17,563	\$ 6,563
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 9,930							\$ 9,930	\$ 8,167
Civil Jury Fees									
Trust									\$ 0
Due From Other Funds	\$ 409,986						\$ 0	\$ 409,986	\$ 704,035
Due From Other Governments		\$ 113,691						\$ 113,691	\$ 415,918
Due From Other Courts									
Due From State	\$ 516,830	\$ 34,341	\$ 348,863					\$ 900,035	\$ 1,274,229
Trust Due To/From							\$ 206,542	\$ 206,542	\$ 58,151
Distribution Due To/From							\$ 39,762	\$ 39,762	\$ 28,358
Civil Filing Fee Due To/From									
General Due To/From	\$ 3,842	\$ 0						\$ 3,842	\$ 312
Total Receivables	\$ 949,928	\$ 156,255	\$ 348,863				\$ 246,305	\$ 1,701,351	\$ 2,495,733
Prepaid Expenses - General	\$ 83,338							\$ 83,338	\$ 108,201
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 83,338							\$ 83,338	\$ 108,201
Other Assets									
Total Other Assets									
Total Assets	\$ 4,786,120	\$ 4,700,744	\$ 348,863				\$ 6,284,948	\$ 16,120,675	\$ 15,292,079
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 404,887	\$ 25,524	\$ 14,902					\$ 445,313	\$ 251,902
Accounts Payable - General	\$ 18,130	\$ 509	\$ 0				\$ 0	\$ 18,639	\$ 22,129
Due to Other Funds	\$ 103	\$ 83,530	\$ 332,157				\$ 244,343	\$ 660,132	\$ 790,855
Due to Other Courts									
Due to State	\$ 448,040	\$ 4,542						\$ 452,582	\$ 48,200
TC145 Liability							\$ 349,605	\$ 349,605	\$ 395,239
Due to Other Governments	\$ 57,996	\$ 1,123,090	\$ 1,805					\$ 1,182,890	\$ 273,466
AB145 Due to Other Government Agency							\$ 2,024,428	\$ 2,024,428	\$ 2,085,624
Due to Other Public Agencies									
Sales and Use Tax	\$ 5							\$ 5	\$ 0
Interest							\$ 249	\$ 249	\$ 2
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 118							\$ 118	\$ (475)
Total Accounts Payable and Accrued Liab.	\$ 929,279	\$ 1,237,194	\$ 348,863				\$ 2,618,625	\$ 5,133,962	\$ 3,866,942
Civil							\$ 2,026,529	\$ 2,026,529	\$ 2,412,407
Criminal							\$ 1,545,844	\$ 1,545,844	\$ 1,730,233
Unreconciled - Civil and Criminal							\$ 3,729	\$ 3,729	\$ 3,729
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 53,743	\$ 53,743	\$ 54,769
Miscellaneous Trust									
Total Trust Deposits							\$ 3,629,846	\$ 3,629,846	\$ 4,201,138
Accrued Payroll	\$ 1,666,605	\$ 0	\$ 0					\$ 1,666,605	\$ 1,621,423
Benefits Payable	\$ 134	\$ 0	\$ 0					\$ 134	\$ 51,543
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ 346	\$ 0	\$ 0					\$ 346	\$ 346
Payroll Clearing	\$ (2,538)							\$ (2,538)	
Total Payroll Liabilities	\$ 1,664,547	\$ 0	\$ 0					\$ 1,664,547	\$ 1,673,312
Revenue Collected in Advance	\$ 529,637	\$ 104,152	\$ 0					\$ 633,789	\$ 387,268
Liabilities For Deposits	\$ 68,256						\$ 33,170	\$ 101,426	\$ 93,250
Jury Fees - Non-Interest							\$ 1,517	\$ 1,517	\$ 586
Fees - Partial Payment & Overpayment							\$ 1,790	\$ 1,790	\$ 1,355
Uncleared Collections									\$ 0
Other Miscellaneous Liabilities	\$ 0							\$ 0	\$ 0
Total Other Liabilities	\$ 597,893	\$ 104,152	\$ 0				\$ 36,477	\$ 738,522	\$ 482,459
Total Liabilities	\$ 3,191,720	\$ 1,341,347	\$ 348,863				\$ 6,284,948	\$ 11,166,877	\$ 10,223,851
Total Fund Balance	\$ 1,594,401	\$ 3,359,398	\$ 0					\$ 4,953,798	\$ 5,068,228
Total Liabilities and Fund Balance	\$ 4,786,120	\$ 4,700,744	\$ 348,863				\$ 6,284,948	\$ 16,120,675	\$ 15,292,079

Superior Court of California, County of Santa Barbara  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended June											
Fiscal Year 2021/22										2020/21	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 25,972,593	\$ 206,201					\$ 26,178,794	\$ 26,085,628	\$ 23,053,477	\$ 22,614,806	
Improvement and Modernization Fund	\$ 36,345						\$ 36,345	\$ 256,890	\$ 57,012	\$ 57,012	
Judges' Compensation (0150019)	\$ 194,789						\$ 194,789	\$ 199,500	\$ 199,500	\$ 199,500	
Court Interpreter (0150037)	\$ 2,074,592						\$ 2,074,592	\$ 2,071,133	\$ 1,677,768	\$ 2,071,133	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 957,973	\$ 599,170					\$ 1,557,143	\$ 1,096,322	\$ 1,058,645	\$ 946,353	
Other Miscellaneous	\$ 1,597,661	\$ 1,121,093					\$ 2,718,754	\$ 2,818,754	\$ 1,597,661	\$ 1,597,661	
	\$ 30,833,953	\$ 1,926,464					\$ 32,760,416	\$ 32,528,227	\$ 27,644,063	\$ 27,486,465	
Grants											
AB 1058 Commissioner/Facilitator			\$ 708,969				\$ 708,969	\$ 785,851	\$ 651,523	\$ 668,192	
Other Judicial Council Grants	\$ 87,265		\$ 38,472				\$ 125,737	\$ 139,277	\$ 345,073	\$ 284,136	
Non-Judicial Council Grants											
	\$ 87,265		\$ 747,441				\$ 834,705	\$ 925,128	\$ 996,596	\$ 952,328	
Other Financing Sources											
Interest Income	\$ 26,919	\$ 12,242					\$ 39,161	\$ 79,200	\$ 48,025	\$ 108,160	
Investment Income											
Donations											
Local Fees	\$ 33,494	\$ 62,816					\$ 96,310	\$ 68,555	\$ 89,397	\$ 102,400	
Non-Fee Revenues											
Enhanced Collections		\$ 1,000,768					\$ 1,000,768	\$ 1,111,228	\$ 842,008	\$ 1,074,728	
Escheatment											
Prior Year Revenue	\$ 42,569	\$ (283,324)					\$ (240,755)		\$ 135,980		
County Program - Restricted		\$ 222,507					\$ 222,507	\$ 218,180	\$ 187,901	\$ 166,482	
Reimbursement Other	\$ 69,150	\$ 0					\$ 69,150	\$ 351,121	\$ 839,023	\$ 1,008,817	
Sale of Fixed Assets											
Other Miscellaneous	\$ 83,715						\$ 83,715	\$ 76,745	\$ 76,748	\$ 66,060	
	\$ 255,846	\$ 1,015,010					\$ 1,270,856	\$ 1,905,029	\$ 2,219,082	\$ 2,526,647	
<b>Total Revenues</b>	\$ 31,177,064	\$ 2,941,473	\$ 747,441				\$ 34,865,978	\$ 35,358,384	\$ 30,859,740	\$ 30,965,440	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 15,315,541	\$ 482,485	\$ 317,109				\$ 16,115,135	\$ 17,804,754	\$ 14,345,256	\$ 15,664,322	
Temp Help	\$ 282,103		\$ 3,390				\$ 285,493	\$ 557,456	\$ 63,135	\$ 138,963	
Overtime	\$ 173,412	\$ 0	\$ 0				\$ 173,412		\$ 20,960		
Staff Benefits	\$ 9,485,500	\$ 324,166	\$ 268,568				\$ 10,078,234	\$ 10,049,209	\$ 10,418,314	\$ 9,870,293	
	\$ 25,256,556	\$ 806,650	\$ 589,067				\$ 26,652,273	\$ 28,411,419	\$ 24,847,664	\$ 25,673,578	
Operating Expenses and Equipment											
General Expense	\$ 727,750	\$ 6,412	\$ 5,095				\$ 739,257	\$ 699,607	\$ 627,288	\$ 760,809	
Printing	\$ 131,416		\$ 23				\$ 131,439	\$ 67,448	\$ 51,575	\$ 53,480	
Telecommunications	\$ 302,128	\$ 1,596	\$ 193				\$ 303,917	\$ 280,936	\$ 251,820	\$ 260,635	
Postage	\$ 146,360	\$ 8,681	\$ 528				\$ 155,569	\$ 103,070	\$ 140,901	\$ 111,650	
Insurance	\$ 25,512		\$ 165				\$ 25,677	\$ 27,150	\$ 22,962	\$ 15,700	
In-State Travel	\$ 15,935						\$ 15,935	\$ 23,806	\$ 5,616	\$ 16,245	
Out-of-State Travel	\$ 3,399						\$ 3,399	\$ 0		\$ 4,000	
Training	\$ 29,494						\$ 29,494	\$ 20,400	\$ 10,327	\$ 11,400	
Security Services	\$ 705,556		\$ 38,700				\$ 744,256	\$ 841,620	\$ 634,080	\$ 917,470	
Facility Operations	\$ 399,291	\$ 9,090	\$ 3,791				\$ 412,172	\$ 609,327	\$ 1,055,327	\$ 473,040	
Utilities											
Contracted Services	\$ 2,230,592	\$ 2,169,457	\$ 29,787				\$ 4,429,836	\$ 4,104,934	\$ 2,311,240	\$ 2,904,444	
Consulting and Professional Services	\$ 43,618	\$ 1,138	\$ 60				\$ 44,816	\$ 66,768	\$ 118,544	\$ 93,204	
Information Technology	\$ 843,235	\$ 79,348	\$ 476				\$ 923,059	\$ 856,975	\$ 720,002	\$ 826,135	
Major Equipment	\$ 141,040						\$ 141,040	\$ 82,066	\$ 419,041	\$ 465,014	
Other Items of Expense	\$ 11,840		\$ 1,587				\$ 13,427	\$ 18,300	\$ 24,212	\$ 31,873	
	\$ 5,757,165	\$ 2,275,722	\$ 80,406				\$ 8,113,293	\$ 7,802,407	\$ 6,392,936	\$ 6,945,099	
Special Items of Expense											
Grand Jury	\$ 25,241						\$ 25,241	\$ 0	\$ 0	\$ 660	
Jury Costs	\$ 96,759						\$ 96,759	\$ 247,000	\$ 21,664	\$ 136,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (117,813)		\$ 117,813				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 92,842						\$ 92,842		\$ (227,611)		
	\$ 97,029		\$ 117,813				\$ 214,842	\$ 247,000	\$ (205,947)	\$ 136,660	
<b>Total Expenditures</b>	\$ 31,110,749	\$ 3,082,373	\$ 787,286				\$ 34,980,408	\$ 36,460,826	\$ 31,034,654	\$ 32,755,337	
Excess (Deficit) of Revenues Over Expenditures	\$ 66,314	\$ (140,899)	\$ (39,845)				\$ (114,430)	\$ (1,102,442)	\$ (174,913)	\$ (1,789,897)	
Operating Transfers In (Out)	\$ (323,169)	\$ 283,324	\$ 39,845				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,851,255	\$ 3,216,973	\$ 0				\$ 5,068,228	\$ 5,068,228	\$ 5,243,142	\$ 5,243,142	
Ending Balance (Deficit)	\$ 1,594,401	\$ 3,359,398	\$ 0				\$ 4,953,798	\$ 3,965,786	\$ 5,068,228	\$ 3,453,245	

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended June

Fiscal Year 2021/22

2020/21

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 8,369,055	\$ 559,417				\$ 74,622	\$ 9,003,093	\$ 10,040,573	\$ 8,737,464	\$ 8,911,781
Traffic & Other Infractions	\$ 990,812	\$ 56,008					\$ 1,046,821	\$ 905,890	\$ 1,029,369	\$ 819,063
Other Criminal Cases	\$ 2,464,181	\$ 349,365					\$ 2,813,547	\$ 2,911,585	\$ 2,454,536	\$ 2,799,715
Civil	\$ 2,645,554	\$ 455,859	\$ 25,241				\$ 3,126,655	\$ 2,664,273	\$ 2,673,440	\$ 2,485,318
Family & Children Services	\$ 1,497,755	\$ 265,319			\$ 0	\$ 42,569	\$ 1,805,643	\$ 1,664,998	\$ 1,706,512	\$ 1,762,164
Probate, Guardianship & Mental Health Services	\$ 420,189	\$ 41,329					\$ 461,517	\$ 922,158	\$ 392,081	\$ 674,488
Juvenile Dependency Services	\$ 90,180	\$ 4,420					\$ 94,600	\$ 182,035	\$ 8,494	\$ 62,729
Juvenile Delinquency Services	\$ 359,505	\$ 32,892					\$ 392,398	\$ 291,613	\$ 399,333	\$ 420,493
Other Court Operations	\$ 2,402,483	\$ 279,447					\$ 2,681,929	\$ 2,463,716	\$ 2,505,359	\$ 2,693,982
Court Interpreters	\$ 1,675,778	\$ 1,255,546					\$ 2,931,324	\$ 2,880,012	\$ 1,785,852	\$ 2,243,943
Jury Services	\$ 578,617	\$ 157,643	\$ 96,759				\$ 833,020	\$ 740,933	\$ 592,616	\$ 628,926
Security		\$ 761,819					\$ 761,819	\$ 847,884	\$ 642,420	\$ 933,600
Trial Court Operations Program	\$ 21,494,109	\$ 4,219,065	\$ 122,000		\$ 0	\$ 117,190	\$ 25,952,365	\$ 26,515,670	\$ 22,927,477	\$ 24,436,202
Enhanced Collections	\$ 597,509	\$ 410,289					\$ 1,007,798	\$ 1,111,228	\$ 777,535	\$ 1,074,728
Other Non-Court Operations	\$ 18,721	\$ 1,721,402					\$ 1,740,123	\$ 1,572,214	\$ 836,192	\$ 1,038,342
Non-Court Operations Program	\$ 616,230	\$ 2,131,691					\$ 2,747,921	\$ 2,683,442	\$ 1,613,727	\$ 2,113,070
Executive Office	\$ 741,916	\$ 21,787	\$ 0				\$ 763,703	\$ 833,260	\$ 688,549	\$ 651,757
Fiscal Services	\$ 1,262,424	\$ 105,866					\$ 1,368,289	\$ 1,219,199	\$ 1,229,270	\$ 1,159,198
Human Resources	\$ 645,596	\$ 185,321				\$ 1,012	\$ 831,929	\$ 792,834	\$ 859,514	\$ 746,716
Business & Facilities Services		\$ 153,384				\$ (25,360)	\$ 128,024	\$ 985,643	\$ 415,630	\$ 289,100
Information Technology	\$ 1,891,998	\$ 1,296,179					\$ 3,188,177	\$ 3,430,778	\$ 3,300,487	\$ 3,359,294
Court Administration Program	\$ 4,541,933	\$ 1,762,537	\$ 0			\$ (24,348)	\$ 6,280,122	\$ 7,261,714	\$ 6,493,450	\$ 6,206,065
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 26,652,273	\$ 8,113,293	\$ 122,000		\$ 0	\$ 92,842	\$ 34,980,408	\$ 36,460,826	\$ 31,034,654	\$ 32,755,337

## 2021-22 Constraints on Fiscal Year-End Fund Balance - Summary

Select your court from the drop-down list on the Fund Balance Designation Detail (excluding GC 77203) worksheet.

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	83,338	-	83,338	-	-	-	-	-	83,338	
Restricted	-	-	-	3,261,368	-	-	-	-	3,261,368	
Committed	772,519	-	772,519	98,030	-	-	-	-	870,549	
Assigned	738,544	-	738,544	-	-	-	-	-	738,544	
Unassigned	-	-	-	-	-	-	-	-	-	
<b>Total</b>	<b>\$ 1,594,401</b>	<b>\$ -</b>	<b>\$ 1,594,401</b>	<b>\$ 3,359,398</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 4,953,798</b>	