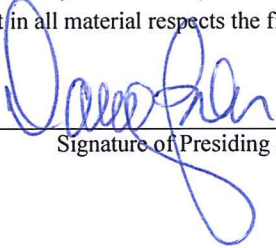


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

08/27/2021

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Date

Santa Barbara

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Court

FY 2020-21 4th Quarter

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Fiscal Year and Ending Quarter

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended June											
Fiscal Year 2020/21									2019/20		
	Governmental Funds							Total Funds <small>(Info. Purposes Only)</small>	Total Funds <small>(Info. Purposes Only)</small>		
	Special Revenue			Capital Project	Debt Service					Proprietary Funds	Fiduciary Funds
	General	Non-Grant	Grant								
<b>ASSETS</b>											
Operations	\$ (3,537,621)	\$ 3,580,690	\$ 0				\$ 100,414	\$ 143,483	\$ 233,015		
Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0		
Jury Revolving	\$ 20,000							\$ 20,000	\$ 20,000		
Other Distribution							\$ 82,723	\$ 82,723	\$ 57,157		
Civil Filing Fees							\$ 0	\$ 0	\$ 0		
Trust Credit Card							\$ (78,646)	\$ (78,646)	\$ (179,812)		
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130		
Cash with County	\$ 0							\$ 0			
Cash Outside of the JCC											
Cash Equivalents	\$ 5,924,917						\$ 6,588,537	\$ 12,513,455	\$ 10,508,712		
Total Cash and Cash Equivalents	\$ 2,414,427	\$ 3,580,690	\$ 0				\$ 6,693,028	\$ 12,688,145	\$ 10,646,201		
Short-Term Investment Investments											
Total Investments											
Accrued Revenue	\$ 3,979	\$ 2,584						\$ 6,563	\$ 23,836		
Accounts Receivable - General									\$ 0		
Dishonored Checks											
Due From Employee	\$ 8,167							\$ 8,167	\$ 78,127		
Civil Jury Fees											
Trust							\$ 0	\$ 0			
Due From Other Funds	\$ 704,035						\$ 0	\$ 704,035	\$ 385,760		
Due From Other Governments		\$ 415,918						\$ 415,918	\$ 409,622		
Due From Other Courts											
Due From State	\$ 739,972	\$ 34,639	\$ 499,618					\$ 1,274,229	\$ 592,435		
Trust Due To/From							\$ 58,151	\$ 58,151	\$ 26,655		
Distribution Due To/From							\$ 28,358	\$ 28,358	\$ 21,011		
Civil Filing Fee Due To/From											
General Due To/From	\$ 312	\$ 0						\$ 312	\$ 98		
Total Receivables	\$ 1,456,464	\$ 453,142	\$ 499,618				\$ 86,509	\$ 2,495,733	\$ 1,537,545		
Prepaid Expenses - General Salary and Travel Advances Counties	\$ 108,201							\$ 108,201	\$ 568,521		
Total Prepaid Expenses	\$ 108,201							\$ 108,201	\$ 568,521		
Other Assets											
Total Other Assets											
Total Assets	\$ 3,979,092	\$ 4,033,832	\$ 499,618				\$ 6,779,537	\$ 15,292,079	\$ 12,752,267		
<b>LIABILITIES AND FUND BALANCES</b>											
Accrued Liabilities	\$ 205,730	\$ 28,790	\$ 17,383					\$ 251,902	\$ 632,273		
Accounts Payable - General	\$ 21,371	\$ 758	\$ 0				\$ 0	\$ 22,129	\$ 19,770		
Due to Other Funds	\$ 1	\$ 239,746	\$ 482,232				\$ 68,876	\$ 790,855	\$ 433,525		
Due to Other Courts									\$ 0		
Due to State	\$ 30,256	\$ 17,944						\$ 48,200	\$ 110,048		
TC145 Liability							\$ 395,239	\$ 395,239	\$ 313,791		
Due to Other Governments	\$ 94,962	\$ 178,500	\$ 3					\$ 273,466	\$ 204,113		
AB145 Due to Other Government Agency							\$ 2,085,624	\$ 2,085,624	\$ 1,370,284		
Due to Other Public Agencies											
Sales and Use Tax	\$ 0							\$ 0	\$ 99		
Interest							\$ 2	\$ 2	\$ 26		
Miscellaneous Accts. Pay. and Accrued Liab.	\$ (475)							\$ (475)	\$ (472)		
Total Accounts Payable and Accrued Liab.	\$ 351,845	\$ 465,738	\$ 499,618				\$ 2,549,741	\$ 3,866,942	\$ 3,083,458		
Civil							\$ 2,412,407	\$ 2,412,407	\$ 2,359,419		
Criminal							\$ 1,730,233	\$ 1,730,233	\$ 372,725		
Unreconciled - Civil and Criminal							\$ 3,729	\$ 3,729	\$ 3,729		
Trust Held Outside of the JCC											
Trust Interest Payable							\$ 54,769	\$ 54,769	\$ 58,957		
Miscellaneous Trust											
Total Trust Deposits							\$ 4,201,138	\$ 4,201,138	\$ 2,794,831		
Accrued Payroll	\$ 1,621,423	\$ 0	\$ 0					\$ 1,621,423	\$ 1,156,050		
Benefits Payable	\$ 51,543	\$ 0	\$ 0					\$ 51,543	\$ 78,616		
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0		
Deductions Payable	\$ 346	\$ 0	\$ 0					\$ 346	\$ 0		
Payroll Clearing									\$ 0		
Total Payroll Liabilities	\$ 1,673,312	\$ 0	\$ 0					\$ 1,673,312	\$ 1,234,666		
Revenue Collected in Advance	\$ 36,147	\$ 351,121	\$ 0					\$ 387,268	\$ 308,637		
Liabilities For Deposits	\$ 66,533						\$ 26,717	\$ 93,250	\$ 85,543		
Jury Fees - Non-Interest							\$ 586	\$ 586	\$ 586		
Fees - Partial Payment & Overpayment							\$ 1,355	\$ 1,355	\$ 1,405		
Uncleared Collections	\$ 0							\$ 0	\$ 0		
Other Miscellaneous Liabilities	\$ 0	\$ 0						\$ 0	\$ 0		
Total Other Liabilities	\$ 102,680	\$ 351,121	\$ 0				\$ 28,658	\$ 482,459	\$ 396,171		
Total Liabilities	\$ 2,127,837	\$ 816,859	\$ 499,618				\$ 6,779,537	\$ 10,223,851	\$ 7,509,126		
Total Fund Balance	\$ 1,851,255	\$ 3,216,973	\$ 0					\$ 5,068,228	\$ 5,243,142		
Total Liabilities and Fund Balance	\$ 3,979,092	\$ 4,033,832	\$ 499,618				\$ 6,779,537	\$ 15,292,079	\$ 12,752,267		

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

		For the month ended June										
		Fiscal Year 2020/21							2019/20			
		Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		General	Special Revenue		Capital Projects	Debt Service						
				Non-Grant			Grant					
<b>REVENUES</b>												
State Financing Sources												
Trial Court Trust Fund		\$ 22,848,053	\$ 205,424					\$ 23,053,477	\$ 22,614,806	\$ 24,710,891	\$ 24,307,489	
Improvement and Modernization Fund		\$ 57,012						\$ 57,012	\$ 57,012		\$ 57,012	
Judges' Compensation (0150019)		\$ 199,500						\$ 199,500	\$ 199,500	\$ 199,500	\$ 199,500	
Court Interpreter (0150037)		\$ 1,677,768						\$ 1,677,768	\$ 2,071,133	\$ 2,059,677	\$ 2,289,803	
Civil Coordination Reimbursement (0150091)												
MOU Reimbursements (0150010 and General)		\$ 1,058,645						\$ 1,058,645	\$ 946,353	\$ 900,910	\$ 1,152,183	
Other Miscellaneous		\$ 1,597,661						\$ 1,597,661	\$ 1,597,661	\$ 1,597,661	\$ 1,597,661	
		<b>\$ 27,438,639</b>	<b>\$ 205,424</b>					<b>\$ 27,644,063</b>	<b>\$ 27,486,465</b>	<b>\$ 29,468,638</b>	<b>\$ 29,603,648</b>	
Grants												
AB 1058 Commissioner/Facilitator				\$ 651,523				\$ 651,523	\$ 668,192	\$ 769,517	\$ 778,568	
Other Judicial Council Grants				\$ 345,073				\$ 345,073	\$ 284,136	\$ 410,557	\$ 402,176	
Non-Judicial Council Grants												
				<b>\$ 996,596</b>				<b>\$ 996,596</b>	<b>\$ 952,328</b>	<b>\$ 1,180,074</b>	<b>\$ 1,180,744</b>	
Other Financing Sources												
Interest Income		\$ 32,140	\$ 15,885					\$ 48,025	\$ 108,160	\$ 206,260	\$ 160,000	
Investment Income												
Donations												
Local Fees		\$ 35,173	\$ 54,224					\$ 89,397	\$ 102,400	\$ 112,215	\$ 135,235	
Non-Fee Revenues										\$ 125,000	\$ 125,000	
Enhanced Collections			\$ 842,008					\$ 842,008	\$ 1,074,728	\$ 1,114,044	\$ 1,195,202	
Escheatment												
Prior Year Revenue		\$ 175,137	\$ (39,157)	\$ 0				\$ 135,980				
County Program - Restricted			\$ 187,901					\$ 187,901	\$ 166,482	\$ 667,393	\$ 1,295,852	
Reimbursement Other		\$ 30,886	\$ 808,137					\$ 839,023	\$ 1,008,817	\$ 266,126	\$ 797,846	
Sale of Fixed Assets										\$ 1,501		
Other Miscellaneous		\$ 76,748						\$ 76,748	\$ 66,060	\$ 75,267	\$ 83,000	
		<b>\$ 350,084</b>	<b>\$ 1,868,998</b>	<b>\$ 0</b>				<b>\$ 2,219,082</b>	<b>\$ 2,526,647</b>	<b>\$ 2,567,806</b>	<b>\$ 3,792,135</b>	
<b>Total Revenues</b>		<b>\$ 27,788,723</b>	<b>\$ 2,074,422</b>	<b>\$ 996,596</b>				<b>\$ 30,859,740</b>	<b>\$ 30,965,440</b>	<b>\$ 33,216,518</b>	<b>\$ 34,576,527</b>	
<b>EXPENDITURES</b>												
Personal Services												
Salaries - Permanent		\$ 13,632,724	\$ 370,763	\$ 341,768				\$ 14,345,256	\$ 15,664,322	\$ 14,792,292	\$ 17,168,923	
Temp Help		\$ 57,237		\$ 5,898				\$ 63,135	\$ 138,963	\$ 53,742	\$ 252,134	
Overtime		\$ 20,960	\$ 0					\$ 20,960		\$ 64,579	\$ 54,500	
Staff Benefits		\$ 9,871,780	\$ 301,597	\$ 244,937				\$ 10,418,314	\$ 9,870,293	\$ 10,838,736	\$ 9,620,781	
		<b>\$ 23,582,701</b>	<b>\$ 672,360</b>	<b>\$ 592,603</b>				<b>\$ 24,847,664</b>	<b>\$ 25,673,578</b>	<b>\$ 25,749,349</b>	<b>\$ 27,096,338</b>	
Operating Expenses and Equipment												
General Expense		\$ 601,290	\$ 6,048	\$ 19,951				\$ 627,288	\$ 760,809	\$ 732,179	\$ 608,410	
Printing		\$ 51,575						\$ 51,575	\$ 53,480	\$ 97,854	\$ 103,035	
Telecommunications		\$ 249,953	\$ 1,629	\$ 238				\$ 251,820	\$ 260,635	\$ 246,603	\$ 239,695	
Postage		\$ 136,407	\$ 4,218	\$ 276				\$ 140,901	\$ 111,650	\$ 82,616	\$ 159,816	
Insurance		\$ 22,841		\$ 121				\$ 22,962	\$ 15,700	\$ 17,264	\$ 15,165	
In-State Travel		\$ 5,616						\$ 5,616	\$ 16,245	\$ 18,366	\$ 40,551	
Out-of-State Travel									\$ 4,000	\$ 8,174	\$ 8,010	
Training		\$ 10,327						\$ 10,327	\$ 11,400	\$ 27,527	\$ 26,900	
Security Services		\$ 551,123		\$ 82,957				\$ 634,080	\$ 917,470	\$ 639,055	\$ 888,206	
Facility Operations		\$ 965,720	\$ 8,208	\$ 81,400				\$ 1,055,327	\$ 473,040	\$ 350,449	\$ 780,320	
Utilities												
Contracted Services		\$ 1,061,868	\$ 1,160,334	\$ 89,038				\$ 2,311,240	\$ 2,904,444	\$ 2,438,340	\$ 3,311,674	
Consulting and Professional Services		\$ 117,601	\$ 887	\$ 57				\$ 118,544	\$ 93,204	\$ 105,894	\$ 99,490	
Information Technology		\$ 710,463	\$ 7,873	\$ 1,666				\$ 720,002	\$ 826,135	\$ 1,400,553	\$ 1,644,443	
Major Equipment		\$ 274,348	\$ 0	\$ 144,693				\$ 419,041	\$ 465,014	\$ 850,426	\$ 678,391	
Other Items of Expense		\$ 22,716		\$ 1,495				\$ 24,212	\$ 31,873	\$ 33,166	\$ 42,519	
		<b>\$ 4,781,848</b>	<b>\$ 1,189,197</b>	<b>\$ 421,891</b>				<b>\$ 6,392,936</b>	<b>\$ 6,945,099</b>	<b>\$ 7,048,466</b>	<b>\$ 8,646,625</b>	
Special Items of Expense												
Grand Jury		\$ 0						\$ 0	\$ 660	\$ 645		
Jury Costs		\$ 21,664						\$ 21,664	\$ 136,000	\$ 126,319	\$ 255,410	
Judgements, Settlements and Claims												
Debt Service												
Other												
Capital Costs												
Internal Cost Recovery		\$ (116,759)		\$ 116,759				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment		\$ (227,537)	\$ (99)	\$ 25				\$ (227,611)				
		<b>\$ (322,631)</b>	<b>\$ (99)</b>	<b>\$ 116,784</b>				<b>\$ (205,947)</b>	<b>\$ 136,660</b>	<b>\$ 126,964</b>	<b>\$ 255,410</b>	
<b>Total Expenditures</b>		<b>\$ 28,041,917</b>	<b>\$ 1,861,458</b>	<b>\$ 1,131,278</b>				<b>\$ 31,034,654</b>	<b>\$ 32,755,337</b>	<b>\$ 32,924,779</b>	<b>\$ 35,998,373</b>	
Excess (Deficit) of Revenues Over Expenditures		\$ (253,195)	\$ 212,964	\$ (134,683)				\$ (174,913)	\$ (1,789,897)	\$ 291,740	\$ (1,421,846)	
Operating Transfers In (Out)		\$ (134,683)		\$ 134,683				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)												
Beginning Balance (Deficit)		\$ 2,239,132	\$ 3,004,010	\$ 0				\$ 5,243,142	\$ 5,243,142	\$ 4,951,402	\$ 4,951,402	
Ending Balance (Deficit)		\$ 1,851,255	\$ 3,216,973	\$ 0				\$ 5,068,228	\$ 3,453,245	\$ 5,243,142	\$ 3,529,556	

Superior Court of California, County of Santa Barbara  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended June										
Fiscal Year 2020/21									2019/20	

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 8,140,904	\$ 596,560					\$ 8,737,464	\$ 8,911,781	\$ 8,610,694	\$ 9,893,736
Traffic & Other Infractions	\$ 978,162	\$ 51,207					\$ 1,029,369	\$ 819,063	\$ 1,126,236	\$ 917,654
Other Criminal Cases	\$ 2,204,557	\$ 249,980					\$ 2,454,536	\$ 2,799,715	\$ 2,471,913	\$ 3,005,154
Civil	\$ 2,279,838	\$ 393,795	\$ 0			\$ (194)	\$ 2,673,440	\$ 2,485,318	\$ 2,630,763	\$ 2,390,523
Family & Children Services	\$ 1,328,387	\$ 428,017			\$ 0	\$ (49,892)	\$ 1,706,512	\$ 1,762,164	\$ 1,957,697	\$ 2,096,021
Probate, Guardianship & Mental Health Services	\$ 349,824	\$ 42,258					\$ 392,081	\$ 674,488	\$ 532,923	\$ 763,304
Juvenile Dependency Services	\$ 4,062	\$ 4,432					\$ 8,494	\$ 62,729	\$ 37,367	\$ 181,201
Juvenile Delinquency Services	\$ 363,672	\$ 35,661					\$ 399,333	\$ 420,493	\$ 477,243	\$ 318,080
Other Court Operations	\$ 2,260,879	\$ 244,480					\$ 2,505,359	\$ 2,693,982	\$ 2,973,374	\$ 2,966,684
Court Interpreters	\$ 1,605,991	\$ 179,861					\$ 1,785,852	\$ 2,243,943	\$ 2,073,180	\$ 2,319,626
Jury Services	\$ 432,528	\$ 138,423	\$ 21,664				\$ 592,616	\$ 628,926	\$ 791,078	\$ 826,154
Security		\$ 642,420					\$ 642,420	\$ 933,600	\$ 754,410	\$ 906,266
Trial Court Operations Program	\$ 19,948,803	\$ 3,007,095	\$ 21,664		\$ 0	\$ (50,086)	\$ 22,927,477	\$ 24,436,202	\$ 24,436,879	\$ 26,584,403
Enhanced Collections	\$ 569,918	\$ 418,058				\$ (210,441)	\$ 777,535	\$ 1,074,728	\$ 1,249,940	\$ 1,195,202
Other Non-Court Operations	\$ 28,184	\$ 841,545				\$ (33,537)	\$ 836,192	\$ 1,038,342	\$ 709,584	\$ 2,050,315
Non-Court Operations Program	\$ 598,101	\$ 1,259,603				\$ (243,978)	\$ 1,613,727	\$ 2,113,070	\$ 1,959,525	\$ 3,245,517
Executive Office	\$ 666,793	\$ 21,756					\$ 688,549	\$ 651,757	\$ 708,899	\$ 529,407
Fiscal Services	\$ 1,154,691	\$ 75,370	\$ 0			\$ (791)	\$ 1,229,270	\$ 1,159,198	\$ 1,320,254	\$ 1,143,753
Human Resources	\$ 689,013	\$ 103,257				\$ 67,244	\$ 859,514	\$ 746,716	\$ 804,739	\$ 737,893
Business & Facilities Services		\$ 415,630					\$ 415,630	\$ 289,100	\$ 178,090	\$ 250,509
Information Technology	\$ 1,790,263	\$ 1,510,224	\$ 0				\$ 3,300,487	\$ 3,359,294	\$ 3,516,394	\$ 3,506,891
Court Administration Program	\$ 4,300,760	\$ 2,126,238	\$ 0			\$ 66,453	\$ 6,493,450	\$ 6,206,065	\$ 6,528,375	\$ 6,168,453
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 24,847,664	\$ 6,392,936	\$ 21,664		\$ 0	\$ (227,611)	\$ 31,034,654	\$ 32,755,337	\$ 32,924,779	\$ 35,998,373

## Constraints on Fiscal Year-End Fund Balance - Summary

Santa Barbara

Classification	Info Only	Info Only	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project	Debt Service			
				Non-Grant	Grant					
Nonspendable	108,201	-	108,201	-	-	-	-	-	-	108,201
Restricted	134,014	-	134,014	3,048,176	-	-	-	-	-	3,182,190
Committed	1,020,553	-	1,020,553	168,798	-	-	-	-	-	1,189,351
Assigned	588,487	-	588,487	-	-	-	-	-	-	588,487
Unassigned	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>\$ 1,851,255</b>	<b>\$ -</b>	<b>\$ 1,851,255</b>	<b>\$ 3,216,973</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 5,068,228</b>