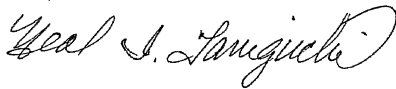


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Neal Taniguchi
I am approving this document
Redwood City, CA
2020-12-30 08:00:43

12/30/2020

Signature of Presiding Judge or Court Executive

Date

San Mateo

Court

FY2021-Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

San Mateo

Court

FY2021-Q1

Fiscal Year and Ending Quarter

FOOTNOTES

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

San Mateo

 Court
 FY2021-Q1

 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	283.25	252.50			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended September							2019/20	
	Fiscal Year 2020/21								
	Governmental Funds					Proprietary Funds	Fiduciary Funds		Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Project	Debt Service					
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,810,161)	\$ 3,258,782	\$ (106,232)			\$ 0	\$ 193,584	\$ 535,974	\$ 227,293
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution							\$ 240,428	\$ 240,428	\$ (2,861,482)
Civil Filing Fees							\$ 0	\$ 0	\$ 929,086
Trust							\$ (1,667,676)	\$ (1,667,676)	\$ (1,094,081)
Credit Card									
Cash on Hand	\$ 5,728							\$ 5,728	\$ 7,028
Cash with County	\$ 1,568,509	\$ 0				\$ 0	\$ 0	\$ 1,568,509	\$ 399,179
Cash Outside of the JCC									
Cash Equivalents	\$ 2,786,947						\$ 14,196,870	\$ 16,983,817	\$ 29,976,641
Total Cash and Cash Equivalents	\$ 1,551,023	\$ 3,258,782	\$ (106,232)			\$ 0	\$ 12,963,205	\$ 17,666,779	\$ 27,563,663
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee									
Civil Jury Fees									\$ 0
Trust							\$ 216,251	\$ 216,251	
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 245,642	\$ 0	\$ 19,529					\$ 265,171	\$ 264,398
Due From Other Courts						\$ 0	\$ 0	\$ 0	\$ 0
Due From State	\$ 13,385	\$ 0	\$ 368,665					\$ 382,050	\$ 4,960
Trust Due To/From							\$ 490,567	\$ 490,567	\$ 319,068
Distribution Due To/From							\$ 363	\$ 363	\$ 1,218
Civil Filing Fee Due To/From									
General Due To/From	\$ 0	\$ 0						\$ 0	\$ 41,064
Total Receivables	\$ 259,027	\$ 0	\$ 388,194			\$ 0	\$ 707,181	\$ 1,354,402	\$ 630,709
Prepaid Expenses - General	\$ 6,182,610							\$ 6,182,610	\$ 5,807,486
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 6,182,610							\$ 6,182,610	\$ 5,807,486
Other Assets									
Total Other Assets									
Total Assets	\$ 7,992,661	\$ 3,258,782	\$ 281,962			\$ 0	\$ 13,670,386	\$ 25,203,791	\$ 34,001,861
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 10,722	\$ 0	\$ 0				\$ 8,315	\$ 19,037	\$ 146,595
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 490,930	\$ 490,930	\$ 361,350
Due to Other Courts									
Due to State									\$ 0
TC145 Liability							\$ 702,827	\$ 702,827	\$ 929,086
Due to Other Governments	\$ 6,400		\$ 0					\$ 6,400	\$ 0
AB145 Due to Other Government Agency							\$ 2,163,654	\$ 2,163,654	\$ 3,390,214
Due to Other Public Agencies									
Sales and Use Tax									\$ 342
Interest							\$ 531	\$ 531	\$ 39,361
Miscellaneous Accts. Pay. and Accrued Liab.									\$ 0
Total Accounts Payable and Accrued Liab.	\$ 17,122	\$ 0	\$ 0				\$ 3,366,258	\$ 3,383,379	\$ 4,866,950
Civil							\$ 5,409,968	\$ 5,409,968	\$ 7,061,123
Criminal							\$ 2,728,578	\$ 2,728,578	\$ 7,102,970
Unreconciled - Civil and Criminal							\$ 1,518,504	\$ 1,518,504	\$ 1,518,504
Trust Held Outside of the JCC									\$ 0
Trust Interest Payable							\$ 461,131	\$ 461,131	\$ 414,745
Miscellaneous Trust									
Total Trust Deposits							\$ 10,118,181	\$ 10,118,181	\$ 16,097,344
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									\$ 0
Total Payroll Liabilities	\$ 0							\$ 0	\$ 0
Revenue Collected in Advance	\$ 0	\$ 0	\$ 0			\$ 0		\$ 0	\$ 0
Liabilities For Deposits	\$ 89,778		\$ 0				\$ 22,760	\$ 112,538	\$ 112,538
Jury Fees - Non-Interest							\$ 158,158	\$ 158,158	\$ 147,467
Fees - Partial Payment & Overpayment							\$ 5,029	\$ 5,029	\$ 5,133
Uncleared Collections	\$ 8,249						\$ 0	\$ 8,249	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 98,027	\$ 0	\$ 0			\$ 0	\$ 185,947	\$ 283,974	\$ 265,138
Total Liabilities	\$ 115,148	\$ 0	\$ 0			\$ 0	\$ 13,670,386	\$ 13,785,634	\$ 21,229,431
Total Fund Balance	\$ 7,877,513	\$ 3,258,782	\$ 281,962			\$ 0		\$ 11,418,257	\$ 12,772,430
Total Liabilities and Fund Balance	\$ 7,992,661	\$ 3,258,782	\$ 281,962			\$ 0	\$ 13,670,386	\$ 25,203,791	\$ 34,001,861

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2020/21											
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds					Fiduciary Funds
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 15,409,541	\$ 33,097					\$ 15,442,638	\$ 36,634,208	\$ 16,547,621	\$ 36,989,770	
Improvement and Modernization Fund	\$ 63,357						\$ 63,357	\$ 981,661	\$ (43,787)	\$ 141,223	
Judges' Compensation (0150019)	\$ 66,501						\$ 66,501	\$ 256,500	\$ 64,125	\$ 256,500	
Court Interpreter (0150037)	\$ 204,182						\$ 204,182	\$ 2,551,928	\$ 134,786	\$ 2,639,591	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ (4,866)	\$ 2,869,194					\$ 2,864,328	\$ 6,246,253	\$ 24,225	\$ 1,752,961	
Other Miscellaneous							\$ 2,411,112			\$ 2,411,113	
	\$ 15,738,716	\$ 2,902,290					\$ 18,641,006	\$ 49,081,662	\$ 16,726,970	\$ 44,191,158	
Grants											
AB 1058 Commissioner/Facilitator								\$ 524,957	\$ (59,655)	\$ 696,448	
Other Judicial Council Grants			\$ 310,496				\$ 310,496	\$ 391,745	\$ 838,075	\$ 4,326,790	
Non-Judicial Council Grants								\$ 415,000	\$ 0	\$ 318,581	
			\$ 310,496				\$ 310,496	\$ 1,331,702	\$ 878,420	\$ 5,343,819	
Other Financing Sources											
Interest Income	\$ 594	\$ 66					\$ 660	\$ 350,000	\$ 38,489	\$ 285,483	
Investment Income											
Donations											
Local Fees	\$ 18,362	\$ 33,216					\$ 51,577	\$ 445,560	\$ 37,228	\$ 450,471	
Non-Fee Revenues								\$ 9,100	\$ (3,095)	\$ 11,120	
Enhanced Collections		\$ 440					\$ 440	\$ 9,500	\$ 1,675	\$ 13,164	
Escheatment								\$ 3,000		\$ 9,000	
Prior Year Revenue	\$ 2,289						\$ 2,289				
County Program - Restricted		\$ 9,311					\$ 9,311	\$ 90,042	\$ 23,349	\$ 136,042	
Reimbursement Other	\$ 94,532						\$ 94,532	\$ 1,095,000	\$ (15,064)	\$ 626,493	
Sale of Fixed Assets											
Other Miscellaneous	\$ 2,514						\$ 2,514		\$ 1,097	\$ 8,311	
	\$ 118,291	\$ 43,033					\$ 161,324	\$ 2,002,202	\$ 83,678	\$ 1,540,084	
Total Revenues	\$ 15,857,007	\$ 2,945,323	\$ 310,496				\$ 19,112,926	\$ 52,416,866	\$ 17,689,087	\$ 51,075,061	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 5,304,871	\$ 30,912	\$ 17,877				\$ 5,353,460	\$ 24,999,645	\$ 5,599,142	\$ 24,871,072	
Temp Help	\$ 39,091						\$ 39,091	\$ 18,720	\$ 18,084	\$ 36,000	
Overtime	\$ 7,690						\$ 7,690	\$ 50,000	\$ 31,540	\$ 75,000	
Staff Benefits	\$ 3,601,229	\$ 13,947	\$ 10,856				\$ 3,626,031	\$ 15,368,055	\$ 3,304,449	\$ 15,070,677	
	\$ 8,952,881	\$ 44,859	\$ 28,533				\$ 9,028,273	\$ 40,436,420	\$ 8,953,215	\$ 40,052,749	
Operating Expenses and Equipment											
General Expense	\$ 190,660		\$ 0				\$ 190,660	\$ 872,289	\$ 85,467	\$ 868,987	
Printing	\$ 2,864						\$ 2,864	\$ 74,300	\$ 11,726	\$ 68,544	
Telecommunications	\$ 58,057	\$ 0					\$ 58,057	\$ 341,043	\$ 29,667	\$ 255,770	
Postage	\$ 14,396						\$ 14,396	\$ 205,881	\$ 11,641	\$ 212,839	
Insurance								\$ 10,900		\$ 8,753	
In-State Travel	\$ 987						\$ 987	\$ 23,450	\$ 12,506	\$ 77,482	
Out-of-State Travel									\$ 5,947	\$ 1,500	
Training	\$ 60						\$ 60	\$ 4,785	\$ 2,365		
Security Services											
Facility Operations	\$ 14,065						\$ 14,065	\$ 291,945	\$ 12,400	\$ 243,956	
Utilities											
Contracted Services	\$ 607,445	\$ (113,281)	\$ 0				\$ 494,164	\$ 9,536,212	\$ 524,121	\$ 5,251,531	
Consulting and Professional Services	\$ 7,928	\$ 66,084					\$ 74,012	\$ 1,444,831	\$ 47,872	\$ 4,216,216	
Information Technology	\$ 86,374	\$ 158,207					\$ 244,581	\$ 849,840	\$ 346,367	\$ 1,060,790	
Major Equipment								\$ 262,000	\$ 4,011	\$ 40,000	
Other Items of Expense	\$ 0						\$ 0	\$ 6,620	\$ 0	\$ 7,500	
	\$ 982,836	\$ 111,010	\$ 0				\$ 1,093,846	\$ 13,924,096	\$ 1,094,090	\$ 12,313,868	
Special Items of Expense											
Grand Jury								\$ 1,330	\$ 891	\$ 900	
Jury Costs	\$ 16,635						\$ 16,635	\$ 250,892	\$ 61,574	\$ 215,180	
Judgements, Settlements and Claims									\$ 78,500		
Debt Service											
Other								\$ 475	\$ 60	\$ 37,500	
Capital Costs											
Internal Cost Recovery	\$ (8,972)	\$ 8,972					\$ 0				
Prior Year Expense Adjustment	\$ 903						\$ 903				
	\$ 8,566	\$ 8,972					\$ 17,538	\$ 252,697	\$ 141,024	\$ 253,580	
Total Expenditures	\$ 9,944,283	\$ 164,840	\$ 28,533				\$ 10,137,657	\$ 54,613,213	\$ 10,198,329	\$ 52,620,197	
Excess (Deficit) of Revenues Over Expenditures	\$ 5,912,724	\$ 2,780,483	\$ 281,962				\$ 8,975,169	\$ (2,197,647)	\$ 7,500,738	\$ (1,545,136)	
Operating Transfers In (Out)											
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,964,789	\$ 478,299	\$ 0			\$ 0	\$ 2,443,088	\$ 2,443,088	\$ 5,271,692	\$ 5,271,692	
Ending Balance (Deficit)	\$ 7,877,513	\$ 3,258,782	\$ 281,962			\$ 0	\$ 11,418,257	\$ 245,441	\$ 12,772,430	\$ 3,726,556	

Superior Court of California, County of San Mateo
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2020/21								2019/20		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 3,546,237	\$ 98,757					\$ 3,644,994	\$ 16,155,059	\$ 3,641,925	\$ 17,138,996
Traffic & Other Infractions	\$ 266,771	\$ 55,117					\$ 321,888	\$ 1,522,418	\$ 315,649	\$ 505,169
Other Criminal Cases	\$ 854,574	\$ 5,471					\$ 860,045	\$ 4,115,113	\$ 827,974	\$ 11,906,140
Civil	\$ 831,556	\$ 23,880					\$ 855,436	\$ 4,074,032	\$ 852,806	\$ 2,304,180
Family & Children Services	\$ 726,948	\$ 17,375					\$ 744,323	\$ 3,618,507	\$ 753,137	\$ 1,903,057
Probate, Guardianship & Mental Health Services	\$ 336,306	\$ 1,040					\$ 337,346	\$ 1,781,721	\$ 309,767	\$ 1,080,828
Juvenile Dependency Services	\$ 5,150	\$ 311,497					\$ 316,647	\$ 1,429,181	\$ 1,136	\$ 11,467
Juvenile Delinquency Services	\$ 107,066	\$ 165					\$ 107,231		\$ 113,450	\$ 14,050
Other Court Operations										
Court Interpreters	\$ 287,500	\$ 161,696					\$ 449,196	\$ 2,697,664	\$ 561,694	\$ 3,842,672
Jury Services	\$ 128,750	\$ 11,971	\$ 16,635				\$ 157,356	\$ 962,954	\$ 196,071	\$ 525,968
Security									\$ 0	
Trial Court Operations Program	\$ 7,090,859	\$ 686,969	\$ 16,635				\$ 7,794,462	\$ 36,356,649	\$ 7,573,609	\$ 39,232,527
Enhanced Collections		\$ 380					\$ 380		\$ 3,623	
Other Non-Court Operations									\$ 891	
Non-Court Operations Program		\$ 380					\$ 380		\$ 4,514	
Executive Office	\$ 596,842	\$ (18,382)			\$ 0		\$ 578,460	\$ 8,714,402	\$ 804,008	\$ 3,911,312
Fiscal Services	\$ 471,628	\$ (11,828)					\$ 459,799	\$ 2,157,553	\$ 479,360	\$ 2,412,398
Human Resources	\$ 140,534	\$ 715					\$ 141,250	\$ 1,016,575	\$ 177,492	\$ 1,114,857
Business & Facilities Services	\$ 161,498	\$ 20,497				\$ 903	\$ 182,898	\$ 1,769,750	\$ 185,525	\$ 1,766,066
Information Technology	\$ 564,912	\$ 415,495					\$ 980,408	\$ 4,598,284	\$ 963,823	\$ 4,183,037
Court Administration Program	\$ 1,935,414	\$ 406,497			\$ 0	\$ 903	\$ 2,342,814	\$ 18,256,564	\$ 2,610,207	\$ 13,387,870
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 9,026,273	\$ 1,093,846	\$ 16,635		\$ 0	\$ 903	\$ 10,137,657	\$ 54,613,213	\$ 10,188,329	\$ 52,620,197