

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/6/19

Date

ALAMEDA

Court

FY 2019-2020 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

FY 2019-2020 Q1

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

ALAMEDA

Court

FY 2019-2020 Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	749.1	683.2			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended June								
	Fiscal Year 2019/20							2018/19	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
Non-Grant		Grant							
ASSETS									
Operations	\$ 786,057	\$ 5,123,435	\$ (1,511,502)	\$ 0		\$ 452,783	\$ 4,850,773	\$ (2,038,987)	
Payroll	\$ (1,347,585)	\$ (15,649)					\$ (1,363,234)	\$ 0	
Jury									
Revolving	\$ 300,000						\$ 300,000	\$ 50,000	
Other	\$ 0						\$ 0	\$ 0	
Distribution							\$ (3,719,396)	\$ (3,719,396)	
Civil Filing Fees							\$ 2,269,171	\$ 2,269,171	
Trust							\$ 2,845,898	\$ (2,583,656)	
Credit Card									
Cash on Hand	\$ 20,090						\$ 20,090	\$ 20,090	
Cash with County	\$ 29,752						\$ 29,752	\$ (37,316)	
Cash Outside of the JCC							\$ 0	\$ 0	
Cash Equivalents	\$ 27,707,131						\$ 28,184,700	\$ 35,434,490	
Total Cash and Cash Equivalents	\$ 27,495,445	\$ 5,107,786	\$ (1,511,502)	\$ 0		\$ 30,033,156	\$ 61,124,885	\$ 31,064,980	
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 86,667	
Accounts Receivable - General	\$ 16,794		\$ 0				\$ 16,794	\$ 0	
Dishonored Checks									
Due From Employee	\$ 0						\$ 0	\$ 14,740	
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	
Due From Other Funds	\$ 0						\$ 0	\$ 1,680,976	
Due From Other Governments	\$ 6,987	\$ 117,195	\$ 379,953				\$ 504,134	\$ 746,872	
Due From Other Courts							\$ 0	\$ 0	
Due From State	\$ 23,624	\$ 0	\$ 893,477				\$ 917,101	\$ 2,878,003	
Trust Due To/From							\$ 1,257,699	\$ 1,749,389	
Distribution Due To/From							\$ 258,554	\$ 212,331	
Civil Filing Fee Due To/From									
General Due To/From	\$ 19,138	\$ 42					\$ 19,179	\$ 402,168	
Total Receivables	\$ 66,542	\$ 117,237	\$ 1,273,429			\$ 1,568,190	\$ 3,025,398	\$ 7,823,083	
Prepaid Expenses - General	\$ 0		\$ 0				\$ 0	\$ 0	
Salary and Travel Advances									
Counties	\$ 0						\$ 0	\$ 0	
Total Prepaid Expenses	\$ 0		\$ 0				\$ 0	\$ 0	
Other Assets									
Total Other Assets									
Total Assets	\$ 27,561,987	\$ 5,225,023	\$ (238,073)	\$ 0		\$ 31,601,346	\$ 64,150,283	\$ 38,888,063	
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0				\$ 0	\$ 894,189	
Accounts Payable - General	\$ 77,508	\$ 6,295	\$ 1,928	\$ 0		\$ 6,801,703	\$ 6,887,434	\$ 70,134	
Due to Other Funds	\$ 485	\$ 0	\$ 0			\$ 1,534,947	\$ 1,535,432	\$ 4,044,864	
Due to Other Courts									
Due to State	\$ 0						\$ 0	\$ (215,875)	
TC145 Liability							\$ 2,269,211	\$ 2,109,799	
Due to Other Governments	\$ 0						\$ 0	\$ 32,046	
AB145 Due to Other Government Agency							\$ 1,567,887	\$ 1,492,166	
Due to Other Public Agencies									
Sales and Use Tax	\$ 1,458						\$ 1,458	\$ 1,438	
Interest							\$ 33,629	\$ 3,117	
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0						\$ 0	\$ 0	
Total Accounts Payable and Accrued Liab.	\$ 79,451	\$ 6,295	\$ 1,928	\$ 0		\$ 12,207,378	\$ 12,295,052	\$ 8,431,877	
Civil							\$ 10,595,705	\$ 10,846,105	
Criminal							\$ 6,258,314	\$ 6,762,356	
Unreconciled - Civil and Criminal							\$ 478,373	\$ 418,574	
Trust Held Outside of the JCC							\$ 0	\$ 0	
Trust Interest Payable							\$ 445,149	\$ 385,157	
Miscellaneous Trust									
Total Trust Deposits						\$ 17,777,541	\$ 17,777,541	\$ 18,412,192	
Accrued Payroll	\$ 0	\$ 0					\$ 0	\$ 1,579,419	
Benefits Payable	\$ 31,540						\$ 31,540	\$ 24,794	
Deferred Compensation Payable	\$ 0						\$ 0	\$ 0	
Deductions Payable	\$ 702,023	\$ (2,827)					\$ 699,196	\$ 42,160	
Payroll Clearing	\$ 0						\$ 0	\$ 428	
Total Payroll Liabilities	\$ 733,563	\$ (2,827)					\$ 730,736	\$ 1,646,801	
Revenue Collected in Advance	\$ 98,372	\$ 3,676,028	\$ 122,474				\$ 3,896,873	\$ 216,839	
Liabilities For Deposits	\$ 170,409	\$ 6,692	\$ 295				\$ 682,261	\$ 830,756	
Jury Fees - Non-Interest							\$ 504,864		
Fees - Partial Payment & Overpayment							\$ 55,619	\$ 57,828	
Uncleared Collections							\$ 0	\$ 1	
Other Miscellaneous Liabilities							\$ 1,055,943	\$ 892,126	
Total Other Liabilities	\$ 268,781	\$ 3,682,720	\$ 122,769			\$ 1,616,427	\$ 5,690,697	\$ 1,997,549	
Total Liabilities	\$ 1,081,795	\$ 3,686,188	\$ 124,697	\$ 0		\$ 31,601,346	\$ 36,494,026	\$ 30,488,420	
Total Fund Balance	\$ 26,480,192	\$ 1,538,835	\$ (362,770)	\$ 0			\$ 27,656,257	\$ 8,399,643	
Total Liabilities and Fund Balance	\$ 27,561,987	\$ 5,225,023	\$ (238,073)	\$ 0		\$ 31,601,346	\$ 64,150,283	\$ 38,888,063	

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

	For the month ended June										
	Fiscal Year 2019/20							2018/19			
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
General	Special Revenue		Capital Projects	Debt Service							
	Non-Grant	Grant									
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 51,083,327	\$ 151,575					\$ 51,234,902	\$ 83,258,735	\$ 79,945,225	\$ 79,111,015	
Improvement and Modernization Fund	\$ 205,329						\$ 205,329	\$ 418,149	\$ 150,538	\$ 389,286	
Judges' Compensation (0150019)	\$ 288,960						\$ 288,960	\$ 712,500	\$ 690,351	\$ 712,500	
Court Interpreter (0150037)	\$ 2,008,567						\$ 2,008,567	\$ 5,000,000	\$ 5,331,521	\$ 5,001,429	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 499,889						\$ 499,889	\$ 1,411,140	\$ 1,476,389	\$ 1,410,827	
Other Miscellaneous								\$ 3,102,046	\$ 3,102,046	\$ 3,102,046	
	\$ 54,086,072	\$ 151,575					\$ 54,237,647	\$ 93,902,570	\$ 90,696,070	\$ 89,727,103	
Grants											
AB 1058 Commissioner/Facilitator			\$ 690,553				\$ 690,553	\$ 1,987,128	\$ 1,638,091	\$ 1,693,597	
Other Judicial Council Grants			\$ 10,304				\$ 10,304	\$ 565,649	\$ 527,266	\$ 571,377	
Non-Judicial Council Grants			\$ 379,953				\$ 379,953	\$ 2,073,972	\$ 1,855,598	\$ 1,856,825	
			\$ 1,080,810				\$ 1,080,810	\$ 4,626,749	\$ 4,020,954	\$ 4,121,799	
Other Financing Sources											
Interest Income	\$ 181,852	\$ 12,011					\$ 193,863	\$ 1,098,256	\$ 811,940	\$ 727,766	
Investment Income											
Donations											
Local Fees	\$ 653,315	\$ 28,768					\$ 682,083	\$ 3,074,947	\$ 3,016,963	\$ 3,075,674	
Non-Fee Revenues	\$ 81,836						\$ 81,836	\$ 98,825	\$ 98,825	\$ 98,825	
Enhanced Collections		\$ 832,839					\$ 832,839	\$ 2,686,324	\$ 2,831,321	\$ 2,829,421	
Escheatment								\$ 1,015,000	\$ 43,382	\$ 43,382	
Prior Year Revenue											
County Program - Restricted		\$ 119,317					\$ 119,317	\$ 613,482	\$ 418,592	\$ 491,334	
Reimbursement Other	\$ 40,712						\$ 40,712	\$ 213,067	\$ 170,279	\$ 197,559	
Sale of Fixed Assets											
Other Miscellaneous	\$ (9,744)						\$ (9,744)	\$ 75,777	\$ 75,297	\$ 55,768	
	\$ 947,972	\$ 992,935					\$ 1,940,907	\$ 8,875,678	\$ 7,466,598	\$ 7,519,729	
Total Revenues	\$ 55,034,044	\$ 1,144,510	\$ 1,080,810				\$ 57,259,364	\$ 107,404,997	\$ 102,183,622	\$ 101,368,631	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 19,175,201	\$ 212,129	\$ 687,421				\$ 20,074,752	\$ 55,371,652	\$ 51,969,418	\$ 52,035,302	
Temp Help	\$ 250,874		\$ 5,856				\$ 256,730	\$ 315,122	\$ 956,718	\$ 440,437	
Overtime	\$ 5,975						\$ 5,975	\$ 29,000	\$ 34,700	\$ 31,585	
Staff Benefits	\$ 10,069,049	\$ 111,775	\$ 321,682				\$ 10,502,505	\$ 31,991,180	\$ 27,517,911	\$ 30,708,004	
	\$ 29,501,099	\$ 323,904	\$ 1,014,959				\$ 30,839,962	\$ 87,706,954	\$ 80,478,747	\$ 83,215,328	
Operating Expenses and Equipment											
General Expense	\$ 749,615	\$ 262	\$ 21,423				\$ 771,301	\$ 1,567,423	\$ 2,422,311	\$ 1,451,858	
Printing	\$ 106,779		\$ 558				\$ 107,337	\$ 437,855	\$ 436,536	\$ 439,753	
Telecommunications	\$ 131,197		\$ 1,328				\$ 132,525	\$ 489,406	\$ 418,539	\$ 499,492	
Postage	\$ 241,131		\$ 0				\$ 241,131	\$ 546,834	\$ 533,773	\$ 547,205	
Insurance	\$ 40,656						\$ 40,656	\$ 33,000	\$ 32,397	\$ 32,397	
In-State Travel	\$ 23,888		\$ 9,975				\$ 33,864	\$ 140,848	\$ 131,033	\$ 145,800	
Out-of-State Travel	\$ 360		\$ 4,042				\$ 4,403	\$ 9,839	\$ 22,938	\$ 41,794	
Training	\$ 37,107		\$ 11,490				\$ 48,597	\$ 178,740	\$ 125,967	\$ 176,613	
Security Services	\$ 267						\$ 267	\$ 24,075	\$ 9,167	\$ 24,075	
Facility Operations	\$ 892,075						\$ 892,075	\$ 1,343,672	\$ 3,607,466	\$ 529,958	
Utilities											
Contracted Services	\$ 2,275,324	\$ 1,007,535	\$ 252,483				\$ 3,535,341	\$ 7,930,228	\$ 8,909,415	\$ 7,758,017	
Consulting and Professional Services	\$ 119,551						\$ 119,551	\$ 550,000	\$ 697,769	\$ 800,000	
Information Technology	\$ 906,820						\$ 906,820	\$ 3,017,531	\$ 1,438,146	\$ 1,484,444	
Major Equipment			\$ 6,870				\$ 6,870	\$ 35,000	\$ 84,892	\$ 35,000	
Other Items of Expense	\$ 16,645		\$ 17,763				\$ 34,408	\$ 173,759	\$ 183,432	\$ 160,855	
	\$ 5,541,416	\$ 1,007,797	\$ 325,932				\$ 6,875,145	\$ 16,478,210	\$ 19,053,781	\$ 14,127,261	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 281,666						\$ 281,666	\$ 549,622	\$ 569,784	\$ 531,075	
Judgements, Settlements and Claims	\$ 5,977						\$ 5,977		\$ 0	\$ 0	
Debt Service											
Other								\$ 423,332		\$ 0	
Capital Costs								\$ 2,162,500	\$ 4,662,500	\$ 2,162,500	
Internal Cost Recovery	\$ (126,361)	\$ 23,672	\$ 102,688				\$ 0	\$ 0	\$ 0	\$ 64,990	
Prior Year Expense Adjustment									\$ (216,680)		
	\$ 161,282	\$ 23,672	\$ 102,688				\$ 287,642	\$ 3,135,454	\$ 5,015,604	\$ 2,758,565	
Total Expenditures	\$ 35,203,796	\$ 1,355,374	\$ 1,443,580				\$ 38,002,750	\$ 107,320,618	\$ 104,548,132	\$ 100,101,154	
Excess (Deficit) of Revenues Over Expenditures	\$ 19,830,248	\$ (210,864)	\$ (362,770)				\$ 19,256,614	\$ 84,379	\$ (2,364,510)	\$ 1,267,477	
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 6,649,944	\$ 1,749,699	\$ 0	\$ 0			\$ 8,399,643	\$ 8,399,643	\$ 10,764,153	\$ 10,764,153	
Ending Balance (Deficit)	\$ 26,480,192	\$ 1,538,835	\$ (362,770)	\$ 0			\$ 27,656,257	\$ 8,484,022	\$ 8,399,643	\$ 12,031,630	

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended June									
	Fiscal Year 2019/20							2018/19		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 10,683,062	\$ 505,608	\$ 5,977				\$ 11,194,646	\$ 32,609,380	\$ 29,933,677	\$ 31,633,707
Traffic & Other Infractions	\$ 1,281,878	\$ 252,674					\$ 1,534,552	\$ 4,583,793	\$ 4,204,312	\$ 4,321,467
Other Criminal Cases	\$ 3,139,049	\$ 309,922					\$ 3,448,971	\$ 9,864,120	\$ 8,497,660	\$ 8,666,799
Civil	\$ 1,808,986	\$ 3,472					\$ 1,812,459	\$ 4,867,884	\$ 4,460,479	\$ 4,353,870
Family & Children Services	\$ 3,916,814	\$ 209,297					\$ 4,126,111	\$ 12,726,898	\$ 10,969,347	\$ 11,012,634
Probate, Guardianship & Mental Health Services	\$ 869,798	\$ 4,149					\$ 873,947	\$ 2,607,636	\$ 2,458,854	\$ 2,779,568
Juvenile Dependency Services	\$ 89,738	\$ 78,203					\$ 167,942	\$ 1,046,941	\$ 431,240	\$ 459,346
Juvenile Delinquency Services	\$ 392,709	\$ 535					\$ 393,244	\$ 626,202	\$ 1,108,843	\$ 1,235,121
Other Court Operations	\$ 516,011	\$ 26,458					\$ 542,469	\$ 1,278,735	\$ 1,515,653	\$ 1,284,422
Court Interpreters	\$ 1,504,910	\$ 548,582					\$ 2,053,492	\$ 5,478,355	\$ 5,525,717	\$ 5,601,680
Jury Services	\$ 278,733	\$ 306,014	\$ 281,666				\$ 866,414	\$ 1,868,864	\$ 1,839,116	\$ 1,869,075
Security	\$ 1,116,901	\$ 107,904					\$ 1,224,804	\$ 3,563,087	\$ 3,673,714	\$ 3,169,721
Trial Court Operations Program	\$ 25,598,589	\$ 2,352,819	\$ 287,642				\$ 28,239,051	\$ 81,121,895	\$ 74,618,613	\$ 76,387,410
Enhanced Collections	\$ 128,559	\$ 907,542			\$ 23,346		\$ 1,059,447	\$ 2,783,887	\$ 2,837,939	\$ 2,340,000
Other Non-Court Operations	\$ 172,072						\$ 172,072	\$ 569,730	\$ 387,726	\$ 450,182
Non-Court Operations Program	\$ 300,631	\$ 907,542			\$ 23,346		\$ 1,231,519	\$ 3,353,617	\$ 3,225,666	\$ 2,790,182
Executive Office	\$ 312,209	\$ 62,382			\$ (1,634)		\$ 372,957	\$ 1,824,835	\$ 927,435	\$ 1,141,074
Fiscal Services	\$ 1,247,462	\$ 672,819			\$ (7,004)		\$ 1,913,278	\$ 4,324,006	\$ 3,748,230	\$ 4,285,267
Human Resources	\$ 1,028,328	\$ 1,108,959			\$ (3,502)		\$ 2,133,785	\$ 2,692,552	\$ 4,399,047	\$ 4,036,480
Business & Facilities Services	\$ 302,691	\$ 887,885					\$ 1,190,576	\$ 4,996,274	\$ 9,450,720	\$ 3,862,833
Information Technology	\$ 2,050,053	\$ 882,737			\$ (11,206)		\$ 2,921,584	\$ 9,007,439	\$ 8,178,421	\$ 7,597,908
Court Administration Program	\$ 4,940,742	\$ 3,614,784			\$ (23,346)		\$ 8,532,180	\$ 22,845,106	\$ 26,703,853	\$ 20,923,562
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 30,839,962	\$ 6,875,145	\$ 287,642		\$ 0		\$ 38,002,750	\$ 107,320,618	\$ 104,548,132	\$ 100,101,154