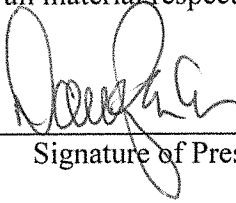


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

2/6/19

Date

Santa Barbara

Court

2018-19 1st Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2018/19									2017/18
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,268,404)	\$ 2,177,571	\$ 49,897				\$ 156,135	\$ 115,199	\$ 97,615
Payroll	\$ 1,386	\$ 0	\$ 0					\$ 1,386	\$ (28)
Jury Revolving	\$ 5,000							\$ 5,000	\$ 5,000
Other Distribution							\$ 123,971	\$ 123,971	\$ 29,438
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 181						\$ (39,987)	\$ (39,806)	\$ (95,543)
Credit Card									
Cash on Hand	\$ 7,130							\$ 7,130	\$ 7,130
Cash with County									
Cash Outside of the JCC									
Cash Equivalents	\$ 9,159,914						\$ 5,019,341	\$ 14,179,255	\$ 13,946,574
Total Cash and Cash Equivalents	\$ 6,905,207	\$ 2,177,571	\$ 49,897				\$ 5,259,460	\$ 14,392,135	\$ 13,990,185
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General			\$ 0					\$ 0	\$ 0
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 283,324	\$ 0					\$ 283,324	\$ 283,324
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 148,925					\$ 148,925	\$ 74,878
Trust Due To/From							\$ 729,677	\$ 729,677	\$ 117,018
Distribution Due To/From							\$ 50,897	\$ 50,897	\$ 18,801
Civil Filing Fee Due To/From									
General Due To/From	\$ 3,816	\$ 0						\$ 3,816	\$ 6,148
Total Receivables	\$ 3,816	\$ 283,324	\$ 148,925				\$ 780,574	\$ 1,216,639	\$ 500,168
Prepaid Expenses - General	\$ 0							\$ 0	\$ 115,938
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 115,938
Other Assets									
Total Other Assets									
Total Assets	\$ 6,909,022	\$ 2,460,894	\$ 198,823				\$ 6,040,034	\$ 15,608,773	\$ 14,606,292
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 9,850	\$ 514	\$ 0				\$ 0	\$ 10,364	\$ 10,061
Due to Other Funds	\$ 14	\$ 14,684	\$ 0				\$ 769,692	\$ 784,390	\$ 141,967
Due to Other Courts									\$ 0
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 473,418	\$ 473,418	\$ 461,241
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 2,221,881	\$ 2,221,881	\$ 2,267,916
Due to Other Public Agencies									
Sales and Use Tax	\$ 109							\$ 109	\$ 72
Interest							\$ 7,598	\$ 7,598	\$ 4,514
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 421							\$ 421	\$ 264
Total Accounts Payable and Accrued Liab.	\$ 10,393	\$ 15,198	\$ 0				\$ 3,472,588	\$ 3,498,179	\$ 2,886,034
Civil							\$ 1,501,113	\$ 1,501,113	\$ 1,242,092
Criminal							\$ 765,386	\$ 765,386	\$ 1,641,328
Unreconciled - Civil and Criminal							\$ 3,729	\$ 3,729	\$ 3,654
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 42,162	\$ 42,162	\$ 25,739
Miscellaneous Trust									
Total Trust Deposits							\$ 2,312,391	\$ 2,312,391	\$ 2,912,814
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ 174,302	\$ (54)	\$ 0					\$ 174,248	\$ 410
Deferred Compensation Payable	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Deductions Payable	\$ (345)	\$ 0	\$ 0					\$ (345)	\$ 47
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 173,957	\$ (54)	\$ 0					\$ 173,903	\$ 457
Revenue Collected in Advance	\$ 103,000		\$ 161,333					\$ 264,333	
Liabilities For Deposits	\$ 48,455						\$ 252,053	\$ 300,508	\$ 60,808
Jury Fees - Non-Interest							\$ 1,036	\$ 1,036	\$ 3,698
Fees - Partial Payment & Overpayment							\$ 1,965	\$ 1,965	\$ 150
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 114,982							\$ 114,982	\$ 114,982
Total Other Liabilities	\$ 266,437		\$ 161,333				\$ 255,055	\$ 682,824	\$ 179,637
Total Liabilities	\$ 450,788	\$ 15,144	\$ 161,333				\$ 6,040,034	\$ 6,667,298	\$ 5,978,941
Total Fund Balance	\$ 6,458,235	\$ 2,445,750	\$ 37,490					\$ 8,941,475	\$ 8,627,350
Total Liabilities and Fund Balance	\$ 6,909,022	\$ 2,460,894	\$ 198,823				\$ 6,040,034	\$ 15,608,773	\$ 14,606,292

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2018/19										2017/18	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 10,339,643	\$ 17,345					\$ 10,356,988	\$ 23,772,005	\$ 10,337,601	\$ 22,018,247	
Improvement and Modernization Fund								\$ 57,012	\$ 22,684	\$ 56,713	
Judges' Compensation (0150019)	\$ 49,875						\$ 49,875	\$ 199,500	\$ 49,875	\$ 199,500	
Court Interpreter (0150037)	\$ 452,342						\$ 452,342	\$ 2,167,624	\$ 324,199	\$ 1,908,447	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ (77,416)						\$ (77,416)	\$ 950,454	\$ 45,469	\$ 1,649,425	
Other Miscellaneous								\$ 1,597,662		\$ 1,597,662	
	\$ 10,764,444	\$ 17,345					\$ 10,781,789	\$ 28,744,257	\$ 10,779,828	\$ 27,429,994	
Grants											
AB 1058 Commissioner/Facilitator			\$ 54,846				\$ 54,846	\$ 861,134		\$ 840,129	
Other Judicial Council Grants			\$ 78,832				\$ 78,832	\$ 256,984	\$ 17,512	\$ 284,150	
Non-Judicial Council Grants											
			\$ 133,677				\$ 133,677	\$ 1,118,118	\$ 17,512	\$ 1,124,279	
Other Financing Sources											
Interest Income	\$ 8,167	\$ 336					\$ 8,503	\$ 103,850	\$ 5,243	\$ 50,000	
Investment Income											
Donations											
Local Fees	\$ 11,152	\$ 15,282					\$ 26,434	\$ 194,855	\$ 50,467	\$ 207,065	
Non-Fee Revenues								\$ 125,000		\$ 125,000	
Enhanced Collections		\$ 8,478					\$ 8,478	\$ 809,678	\$ 36,385	\$ 1,021,755	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 44,730					\$ 44,730	\$ 1,311,020	\$ 47,364	\$ 1,369,132	
Reimbursement Other	\$ 15,051						\$ 15,051	\$ 74,250	\$ 20,199	\$ 93,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 19,651						\$ 19,651	\$ 19,860	\$ 464	\$ 9,000	
	\$ 54,020	\$ 68,827					\$ 122,847	\$ 2,638,513	\$ 160,122	\$ 2,874,952	
Total Revenues	\$ 10,818,464	\$ 86,172	\$ 133,677				\$ 11,038,314	\$ 32,500,888	\$ 10,957,461	\$ 31,429,225	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,391,365	\$ 178,195	\$ 69,629				\$ 2,639,190	\$ 16,707,902	\$ 2,611,237	\$ 15,568,092	
Temp Help	\$ 29,722	\$ 7,946					\$ 37,668	\$ 284,114	\$ 65,607	\$ 699,154	
Overtime	\$ 5,162	\$ 2,179	\$ 122				\$ 7,462	\$ 100,000	\$ 49,733	\$ 3,651	
Staff Benefits	\$ 1,750,436	\$ 134,793	\$ 40,456				\$ 1,925,685	\$ 9,308,411	\$ 1,872,409	\$ 8,310,906	
	\$ 4,176,685	\$ 323,113	\$ 110,207				\$ 4,610,005	\$ 26,400,427	\$ 4,598,986	\$ 24,581,803	
Operating Expenses and Equipment											
General Expense	\$ 109,817	\$ 4,809	\$ 63				\$ 114,690	\$ 832,540	\$ 128,603	\$ 704,379	
Printing	\$ 3,610						\$ 3,610	\$ 102,866	\$ 17,307	\$ 125,560	
Telecommunications	\$ 45,174	\$ 284					\$ 45,458	\$ 245,624	\$ 41,461	\$ 187,400	
Postage	\$ (1,539)	\$ 3,907	\$ 71				\$ 2,439	\$ 98,639	\$ 1,297	\$ 86,085	
Insurance								\$ 12,850		\$ 19,267	
In-State Travel	\$ 111						\$ 111	\$ 59,736	\$ 6,788	\$ 41,608	
Out-of-State Travel	\$ 2,925						\$ 2,925	\$ 9,100	\$ 1,264	\$ 7,497	
Training	\$ 2,375						\$ 2,375	\$ 14,550	\$ 6,845	\$ 17,239	
Security Services	\$ 112,971		\$ 322				\$ 113,292	\$ 730,375	\$ 78,562	\$ 589,006	
Facility Operations	\$ 54,895	\$ 2,110					\$ 57,005	\$ 538,622	\$ 108,931	\$ 663,851	
Utilities											
Contracted Services	\$ 316,086	\$ 39,972	\$ (14,609)				\$ 341,449	\$ 2,347,172	\$ 346,616	\$ 3,240,003	
Consulting and Professional Services	\$ 17,269	\$ 1,056	\$ 33				\$ 18,358	\$ 96,409	\$ 7,768	\$ 119,158	
Information Technology	\$ 8,083	\$ 33					\$ 8,116	\$ 433,158	\$ 58,900	\$ 614,536	
Major Equipment	\$ 16,215						\$ 16,215	\$ 433,287	\$ 2,685	\$ 63,101	
Other Items of Expense	\$ 4,937		\$ 100				\$ 5,037	\$ 35,950	\$ 5,911	\$ 25,883	
	\$ 692,928	\$ 52,171	\$ (14,020)				\$ 731,080	\$ 5,990,878	\$ 812,938	\$ 6,504,573	
Special Items of Expense											
Grand Jury	\$ 0						\$ 0	\$ 6,000	\$ 940	\$ 12,000	
Jury Costs	\$ 88,328						\$ 88,328	\$ 193,000	\$ 34,028	\$ 181,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery								\$ 1		\$ 0	
Prior Year Expense Adjustment	\$ (6,298)						\$ (6,298)				
	\$ 82,030						\$ 82,030	\$ 199,001	\$ 34,968	\$ 193,000	
Total Expenditures	\$ 4,951,644	\$ 375,284	\$ 96,187				\$ 5,423,115	\$ 32,590,306	\$ 5,446,892	\$ 31,279,376	
Excess (Deficit) of Revenues Over Expenditures	\$ 5,866,821	\$ (289,112)	\$ 37,490				\$ 5,615,199	\$ (89,418)	\$ 5,510,570	\$ 149,849	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 591,414	\$ 2,734,862	\$ 0				\$ 3,326,276	\$ 3,326,276	\$ 3,116,780	\$ 3,116,780	
Ending Balance (Deficit)	\$ 6,458,235	\$ 2,445,750	\$ 37,490				\$ 8,941,475	\$ 3,236,858	\$ 8,627,350	\$ 3,266,629	

Superior Court of California, County of Santa Barbara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September
 Fiscal Year 2018/19

2017/18

	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 1,445,050	\$ 140,422				\$ (6,298)	\$ 1,579,174	\$ 8,955,966	\$ 1,564,664	\$ 9,482,900
Traffic & Other Infractions	\$ 199,733	\$ 9,816					\$ 209,549	\$ 945,957	\$ 233,329	\$ 879,717
Other Criminal Cases	\$ 404,909	\$ 32,788					\$ 437,698	\$ 2,683,224	\$ 441,879	\$ 2,544,913
Civil	\$ 389,417	\$ 63,300	\$ 0				\$ 452,717	\$ 2,566,493	\$ 398,059	\$ 2,032,682
Family & Children Services	\$ 245,916	\$ 20,968					\$ 266,884	\$ 1,421,270	\$ 264,787	\$ 1,390,372
Probate, Guardianship & Mental Health Services	\$ 70,143	\$ 8,231					\$ 78,374	\$ 439,508	\$ 72,759	\$ 472,145
Juvenile Dependency Services	\$ 12,297	\$ 247					\$ 12,544	\$ 97,846	\$ 20,233	\$ 73,539
Juvenile Delinquency Services	\$ 64,518	\$ 7,828					\$ 72,346	\$ 345,933	\$ 59,309	\$ 326,014
Other Court Operations	\$ 463,190	\$ 37,159					\$ 500,349	\$ 3,383,956	\$ 611,422	\$ 3,280,339
Court Interpreters	\$ 285,022	\$ 128,355					\$ 413,377	\$ 2,167,624	\$ 353,541	\$ 1,908,447
Jury Services	\$ 88,708	\$ 11,162	\$ 88,328				\$ 188,198	\$ 709,510	\$ 170,476	\$ 763,139
Security		\$ 116,236					\$ 116,236	\$ 826,732	\$ 75,564	\$ 657,546
Trial Court Operations Program	\$ 3,668,904	\$ 576,511	\$ 88,328			\$ (6,298)	\$ 4,327,446	\$ 24,544,019	\$ 4,266,023	\$ 23,811,753
Enhanced Collections	\$ 64,840	\$ 50,697					\$ 115,537	\$ 809,678	\$ 150,981	\$ 1,020,467
Other Non-Court Operations	\$ 220,053	\$ 2,893					\$ 222,946	\$ 1,623,333	\$ 193,923	\$ 1,228,101
Non-Court Operations Program	\$ 284,893	\$ 53,590					\$ 338,483	\$ 2,433,011	\$ 344,905	\$ 2,248,568
Executive Office	\$ 68,194	\$ 7,560					\$ 75,755	\$ 743,987	\$ 83,633	\$ 729,498
Fiscal Services	\$ 197,781	\$ 10,984					\$ 208,765	\$ 1,168,567	\$ 213,849	\$ 1,089,815
Human Resources	\$ 90,229	\$ 28,171					\$ 118,400	\$ 670,135	\$ 102,788	\$ 603,593
Business & Facilities Services		\$ (28,863)					\$ (28,863)	\$ 177,624	\$ 800	\$ 364,066
Information Technology	\$ 300,004	\$ 83,126					\$ 383,129	\$ 2,852,963	\$ 434,894	\$ 2,432,083
Court Administration Program	\$ 656,208	\$ 100,979					\$ 757,187	\$ 5,613,276	\$ 835,964	\$ 5,219,055
Expenditures Not Distributed or Posted to a Program	\$ 0						\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 4,610,005	\$ 731,080	\$ 88,328			\$ (6,298)	\$ 5,423,115	\$ 32,590,306	\$ 5,446,892	\$ 31,279,376