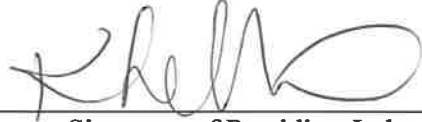


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

08/15/2017

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Date

LAKE

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Court

FY16/17 QTR4

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Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

LAKE

Court

FY16/17 QTR4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		30.9	30.9	29.9	29.9

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Lake  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended June											
Fiscal Year 2016/17											
2015/16											
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 3,351,315	\$ 21,552					\$ 3,372,867	\$ 3,364,190	\$ 3,304,633	\$ 3,266,874	
Improvement and Modernization Fund	\$ 8,623						\$ 8,623	\$ 8,622	\$ 8,623	\$ 8,622	
Judges' Compensation (0150019)											
Court Interpreter (0150037)	\$ 124,281						\$ 124,281	\$ 68,500	\$ 85,426	\$ 68,000	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 75,399						\$ 75,399	\$ 95,791	\$ 75,924	\$ 95,291	
Other Miscellaneous	\$ 9,123						\$ 9,123	\$ 9,123	\$ 9,123	\$ 9,123	
	\$ 3,568,741	\$ 21,552					\$ 3,590,293	\$ 3,548,226	\$ 3,483,730	\$ 3,447,910	
Grants											
AB 1058 Commissioner/Facilitator			\$ 248,027				\$ 248,027	\$ 250,715	\$ 251,638	\$ 251,624	
Other Judicial Council Grants			\$ 97,293				\$ 97,293	\$ 93,077	\$ 104,088	\$ 194,855	
Non-Judicial Council Grants											
			\$ 345,320				\$ 345,320	\$ 343,792	\$ 355,728	\$ 446,479	
Other Financing Sources											
Interest Income	\$ 5,611	\$ 982					\$ 6,592	\$ 2,000	\$ 3,874	\$ 2,000	
Investment Income											
Donations											
Local Fees		\$ 11,995					\$ 11,995	\$ 9,500	\$ 10,068	\$ 11,500	
Non-Fee Revenues	\$ 3,111	\$ 1,203					\$ 4,314	\$ 1,500	\$ 2,992	\$ 1,500	
Enhanced Collections											
Escheatment	\$ 6,989						\$ 6,989	\$ 7,305			
Prior Year Revenue	\$ 765						\$ 765		\$ 1,248		
County Program - Restricted		\$ 1,386					\$ 1,386	\$ 1,300	\$ 1,033	\$ 1,300	
Reimbursement Other	\$ 44,690						\$ 44,690	\$ 44,000	\$ 43,608	\$ 40,500	
Sale of Fixed Assets											
Other Miscellaneous	\$ 401						\$ 401		\$ 880		
	\$ 61,547	\$ 15,565					\$ 77,112	\$ 66,605	\$ 83,701	\$ 56,800	
<b>Total Revenues</b>	<b>\$ 3,630,289</b>	<b>\$ 37,117</b>	<b>\$ 345,320</b>				<b>\$ 4,012,728</b>	<b>\$ 3,955,623</b>	<b>\$ 3,903,157</b>	<b>\$ 3,051,189</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 1,616,587	\$ 13,445	\$ 134,509				\$ 1,764,540	\$ 1,802,694	\$ 1,758,901	\$ 1,788,958	
Temp Help	\$ 32,537		\$ 64				\$ 32,601	\$ 35,000	\$ 31,495	\$ 40,000	
Overtime	\$ 1,288						\$ 1,288	\$ 5,000	\$ 645	\$ 5,000	
Staff Benefits	\$ 658,316	\$ 3,064	\$ 55,863				\$ 715,043	\$ 755,331	\$ 638,673	\$ 644,049	
	\$ 2,306,728	\$ 16,509	\$ 190,236				\$ 2,513,473	\$ 2,598,025	\$ 2,428,714	\$ 2,478,007	
Operating Expenses and Equipment											
General Expense	\$ 89,562		\$ 2,842				\$ 92,404	\$ 90,521	\$ 106,860	\$ 107,621	
Printing	\$ 10,238						\$ 10,238	\$ 9,926	\$ 9,575	\$ 10,126	
Telecommunications	\$ 11,742		\$ 524				\$ 12,266	\$ 12,700	\$ 12,153	\$ 14,500	
Postage	\$ 23,953		\$ 826				\$ 24,779	\$ 29,500	\$ 24,927	\$ 30,900	
Insurance	\$ 1,678						\$ 1,678	\$ 2,050	\$ 1,549	\$ 2,050	
In-State Travel	\$ 6,341		\$ 1,318				\$ 7,659	\$ 9,900	\$ 10,431	\$ 7,700	
Out-of-State Travel											
Training	\$ 1,165		\$ 440				\$ 1,605	\$ 3,000	\$ 2,288	\$ 4,500	
Security Services	\$ 154,546		\$ 2,918				\$ 157,464	\$ 162,600	\$ 159,338	\$ 164,500	
Facility Operations	\$ 63,566		\$ 1,662				\$ 65,228	\$ 68,050	\$ 68,167	\$ 70,480	
Utilities	\$ 3,071		\$ 11				\$ 3,082	\$ 4,000	\$ 3,077	\$ 4,500	
Contracted Services	\$ 819,111	\$ 735	\$ 119,035				\$ 938,880	\$ 920,412	\$ 886,419	\$ 1,053,499	
Consulting and Professional Services	\$ 11,760						\$ 11,760	\$ 14,000	\$ 13,327	\$ 14,000	
Information Technology	\$ 203,000		\$ 0				\$ 203,000	\$ 265,688	\$ 124,658	\$ 232,500	
Major Equipment									\$ 35,010		
Other Items of Expense	\$ 1,832						\$ 1,832	\$ 2,000	\$ 465	\$ 2,000	
	\$ 1,401,564	\$ 735	\$ 129,575				\$ 1,531,874	\$ 1,594,357	\$ 1,458,242	\$ 1,718,876	
Special Items of Expense											
Grand Jury	\$ 0						\$ 0				
Jury Costs	\$ 26,122						\$ 26,122	\$ 47,500	\$ 30,213	\$ 47,500	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (38,048)		\$ 38,048				\$ 0		\$ 0		
Prior Year Expense Adjustment	\$ 151						\$ 151		\$ (1,422)		
	\$ (11,775)		\$ 38,048				\$ 26,273	\$ 47,500	\$ 28,791	\$ 47,500	
<b>Total Expenditures</b>	<b>\$ 3,696,517</b>	<b>\$ 17,243</b>	<b>\$ 357,880</b>				<b>\$ 4,071,620</b>	<b>\$ 4,239,882</b>	<b>\$ 3,914,747</b>	<b>\$ 4,244,383</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ (66,229)	\$ 19,874	\$ (12,540)				\$ (58,894)	\$ (284,259)	\$ (11,591)	\$ (293,194)	
Operating Transfers In (Out)	\$ (12,540)		\$ 12,540				\$ 0		\$ 0		
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 192,616	\$ 127,424	\$ 0				\$ 320,040	\$ 320,040	\$ 331,630	\$ 331,630	
Ending Balance (Deficit)	\$ 113,847	\$ 147,298	\$ 0				\$ 261,146	\$ 35,781	\$ 320,040	\$ 38,436	

Superior Court of California, County of Lake  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended June							2015/16	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Fiscal Year 2016/17									
	Governmental Funds					Proprietary Funds	Fiduciary Funds			
General	Special Revenue		Capital Project	Debt Service						
		Non-Grant	Grant							
<b>ASSETS</b>										
Operations	\$ (180,209)	\$ 141,649	\$ 0				\$ 6,803	\$ (31,757)	\$ (31,465)	
Payroll	\$ 0						\$ 0	\$ 0	\$ (700)	
Jury										
Revolving										
Other										
Distribution										
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust							\$ (2,033)	\$ (2,033)	\$ (3,621)	
Credit Card										
Cash on Hand	\$ 1,000							\$ 1,000	\$ 1,000	
Cash with County										
Cash Outside of the JCC							\$ 129,742	\$ 129,742	\$ 123,078	
Cash Equivalents	\$ 336,168						\$ 138,431	\$ 474,599	\$ 881,050	
<b>Total Cash and Cash Equivalents</b>	<b>\$ 156,959</b>	<b>\$ 141,649</b>	<b>\$ 0</b>				<b>\$ 272,943</b>	<b>\$ 571,551</b>	<b>\$ 969,342</b>	
Short-Term Investment										
Investments										
<b>Total Investments</b>										
Accrued Revenue	\$ 3,046	\$ 230					\$ 0	\$ 3,276	\$ 758	
Accounts Receivable - General	\$ 4,500	\$ 1,832						\$ 6,332	\$ 5,428	
Dishonored Checks										
Due From Employee	\$ 1,443							\$ 1,443	\$ 1,437	
Civil Jury Fees										
Trust										
Due From Other Funds	\$ 52,545							\$ 52,545	\$ 43,153	
Due From Other Governments	\$ 0							\$ 0	\$ 3,558	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State	\$ 31,879	\$ 3,587	\$ 74,758					\$ 110,224	\$ 94,654	
Trust Due To/From							\$ 0	\$ 0		
Distribution Due To/From										
Civil Filing Fee Due To/From										
General Due To/From	\$ 25							\$ 25	\$ 62	
<b>Total Receivables</b>	<b>\$ 93,440</b>	<b>\$ 5,649</b>	<b>\$ 74,758</b>				<b>\$ 0</b>	<b>\$ 173,847</b>	<b>\$ 149,048</b>	
Prepaid Expenses - General										
Salary and Travel Advances	\$ 0							\$ 0	\$ 0	
Counties										
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 0</b>	
Other Assets										
<b>Total Other Assets</b>										
<b>Total Assets</b>	<b>\$ 250,399</b>	<b>\$ 147,298</b>	<b>\$ 74,758</b>				<b>\$ 272,943</b>	<b>\$ 745,398</b>	<b>\$ 1,118,390</b>	
<b>LIABILITIES AND FUND BALANCES</b>										
Accrued Liabilities	\$ 26,792		\$ 29					\$ 26,820	\$ 57,046	
Accounts Payable - General	\$ 57,498		\$ 0				\$ 0	\$ 57,498	\$ 51,235	
Due to Other Funds	\$ 0		\$ 52,545				\$ 25	\$ 52,571	\$ 43,215	
Due to Other Courts	\$ 63		\$ 5,970					\$ 6,033	\$ 2,876	
Due to State	\$ 5,473							\$ 5,473	\$ 4,771	
TC145 Liability							\$ 68,416	\$ 68,416	\$ 56,246	
Due to Other Governments	\$ 75		\$ 16,214					\$ 16,289	\$ 17,289	
AB145 Due to Other Government Agency										
Due to Other Public Agencies										
Sales and Use Tax	\$ 437							\$ 437	\$ 458	
Interest							\$ 34	\$ 34	\$ 18	
Miscellaneous Accts. Pay. and Accrued Liab.										
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 90,337</b>		<b>\$ 74,758</b>				<b>\$ 68,475</b>	<b>\$ 233,570</b>	<b>\$ 233,155</b>	
Civil							\$ 25,515	\$ 25,515	\$ 28,379	
Criminal							\$ 39,543	\$ 39,543	\$ 341,926	
Unreconciled - Civil and Criminal										
Trust Held Outside of the JCC							\$ 129,742	\$ 129,742	\$ 123,078	
Trust Interest Payable							\$ 6,438	\$ 6,438	\$ 6,256	
Miscellaneous Trust										
<b>Total Trust Deposits</b>							<b>\$ 201,239</b>	<b>\$ 201,239</b>	<b>\$ 497,639</b>	
Accrued Payroll	\$ 41,170	\$ 0						\$ 41,170	\$ 48,058	
Benefits Payable	\$ 2,485							\$ 2,485	\$ 5,128	
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0	
Deductions Payable	\$ 0							\$ 0	\$ 22	
Payroll Clearing	\$ 0							\$ 0	\$ 0	
<b>Total Payroll Liabilities</b>	<b>\$ 43,655</b>	<b>\$ 0</b>						<b>\$ 43,655</b>	<b>\$ 53,206</b>	
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0	
Liabilities For Deposits	\$ 2,560						\$ 1,707	\$ 4,267	\$ 11,475	
Jury Fees - Non-Interest							\$ 0	\$ 0	\$ 600	
Fees - Partial Payment & Overpayment							\$ 1,522	\$ 1,522	\$ 2,276	
Uncleared Collections										
Other Miscellaneous Liabilities										
<b>Total Other Liabilities</b>	<b>\$ 2,560</b>						<b>\$ 3,229</b>	<b>\$ 5,789</b>	<b>\$ 14,351</b>	
<b>Total Liabilities</b>	<b>\$ 136,551</b>	<b>\$ 0</b>	<b>\$ 74,758</b>				<b>\$ 272,943</b>	<b>\$ 484,252</b>	<b>\$ 798,351</b>	
<b>Total Fund Balance</b>	<b>\$ 113,847</b>	<b>\$ 147,298</b>	<b>\$ 0</b>					<b>\$ 261,148</b>	<b>\$ 320,040</b>	
<b>Total Liabilities and Fund Balance</b>	<b>\$ 250,399</b>	<b>\$ 147,298</b>	<b>\$ 74,758</b>				<b>\$ 272,943</b>	<b>\$ 745,398</b>	<b>\$ 1,118,390</b>	

Superior Court of California, County of Lake  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended June									
	Fiscal Year 2016/17							2015/16		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 99,690	\$ 83,192					\$ 182,882	\$ 189,154	\$ 235,391	\$ 308,332
Traffic & Other Infractions	\$ 10,296						\$ 10,296		\$ 10,427	
Other Criminal Cases	\$ 34,433	\$ 109,803			\$ 5,764		\$ 150,000	\$ 115,657	\$ 126,141	\$ 211,803
Civil		\$ 82,087					\$ 82,087	\$ 79,000	\$ 78,694	\$ 79,500
Family & Children Services	\$ 193,657	\$ 217,109			\$ 32,285		\$ 443,050	\$ 444,354	\$ 437,276	\$ 411,390
Probate, Guardianship & Mental Health Services		\$ 70,871					\$ 70,871	\$ 71,000	\$ 64,187	\$ 62,000
Juvenile Dependency Services		\$ 6,076					\$ 6,076	\$ 3,210	\$ 30,766	\$ 3,600
Juvenile Delinquency Services		\$ 12,000					\$ 12,000	\$ 12,000	\$ 6,000	\$ 12,000
Other Court Operations	\$ 1,594,907						\$ 1,594,907	\$ 1,785,959	\$ 1,531,105	\$ 1,668,853
Court Interpreters	\$ 11,601	\$ 125,238					\$ 136,839	\$ 68,500	\$ 100,506	\$ 68,000
Jury Services		\$ 18,641	\$ 26,122				\$ 44,763	\$ 47,500	\$ 30,213	\$ 47,500
Security		\$ 161,647					\$ 161,647	\$ 166,229	\$ 197,385	\$ 172,744
Trial Court Operations Program	\$ 1,944,583	\$ 886,664	\$ 26,122		\$ 38,048		\$ 2,895,417	\$ 2,982,563	\$ 2,848,091	\$ 3,045,722
Enhanced Collections										
Other Non-Court Operations	\$ 514	\$ 3,038	\$ 0				\$ 3,552	\$ 1,880	\$ 3,748	\$ 3,764
Non-Court Operations Program	\$ 514	\$ 3,038	\$ 0				\$ 3,552	\$ 1,880	\$ 3,748	\$ 3,764
Executive Office	\$ 322,024	\$ 153			\$ (5,824)		\$ 316,352	\$ 222,204	\$ 297,708	\$ 205,436
Fiscal Services	\$ 58,132	\$ 6,999			\$ (4,675)		\$ 60,457	\$ 130,470	\$ 61,447	\$ 118,393
Human Resources	\$ 80,678	\$ 5,258			\$ (1,431)		\$ 84,505	\$ 62,359	\$ 55,163	\$ 58,650
Business & Facilities Services		\$ 186,721			\$ (7,193)	\$ 151	\$ 179,680	\$ 202,430	\$ 199,314	\$ 224,958
Information Technology	\$ 107,542	\$ 443,040			\$ (18,925)		\$ 531,657	\$ 637,976	\$ 449,276	\$ 587,460
Court Administration Program	\$ 568,376	\$ 642,172			\$ (38,048)	\$ 151	\$ 1,172,651	\$ 1,255,439	\$ 1,062,908	\$ 1,194,897
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 2,513,473</b>	<b>\$ 1,531,874</b>	<b>\$ 26,122</b>		<b>\$ 0</b>	<b>\$ 151</b>	<b>\$ 4,071,620</b>	<b>\$ 4,239,882</b>	<b>\$ 3,914,747</b>	<b>\$ 4,244,383</b>

	Fund 1000/110001	1000/120001	1000/120003	1000/120021	1000/180004	1000/190100	1000/1910581	1000/1910591	1000/1910801	Overall Result
	General Fund - TCTF	General Fund - NTCTF	Small Claims Ad.	Special Revenue Fund	2% Automation	JCC Grant Fund	1058 FLF Program	1058 CSC Program	Substance Abuse Focu	
Beginning Fund Balance	\$ -154,346.46	-38,269.25	-1,880.63	-43,723.18	-81,819.98	0.00	0.00	0.00	0.00	-320,039.60
Trial Court Revenue Sources	\$ -3,372,621.61	-3,908.70		-14,757.48	-20,974.18					-3,412,261.97
Trial Court Reimbursements	\$ -244,598.06	-8,394.88	-1,385.82			-345,320.05				-599,698.81
Prior Year Revenue	\$ -765.33									-765.33
Revenue Total	\$ -3,617,985.00	-12,303.58	-1,385.82	-14,757.48	-20,974.18	-345,320.05				-4,012,726.11
Personal Services	\$ 2,306,728.00		513.70	15,994.82	190,236.03					2,513,472.55
Operating Expenses and Equipment	\$ 1,401,456.37	108.00		734.50		129,575.23				1,531,874.10
Special Items of Expense	\$ 21,755.30	4,366.88								26,122.18
Internal Cost Recovery	\$ -38,048.44					38,048.44				0.00
Prior Year Expense Adjustments	\$ 151.06									151.06
Expense Total	\$ 3,692,042.29	4,474.88	513.70	16,729.32		357,859.70				4,071,619.89
Operating Transfers In	\$ 682.99									682.99
Operating Transfers Out	\$	11,856.66				-12,539.65				-682.99
Other Financial Sources Total	\$ 682.99	11,856.66				-12,539.65				0.00
Ending Fund Balance	\$ -79,606.18	-34,241.29	-2,752.75	-41,751.34	-102,794.16	0.00	0.00	0.00	0.00	-261,145.72