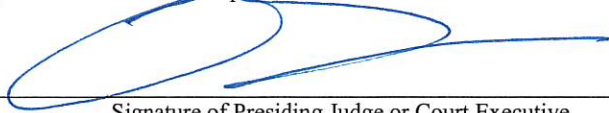


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

9/8/17

Date

ALAMEDA

Court

2016-2017 Q4

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

2016-2017 Q4

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

ALAMEDA

Court

2016-2017 Q4

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	712.86	675.08	673.69	663.44	642.74

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June									
Fiscal Year 2016/17									2015/16
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
		Special Revenue							
		General	Non-Grant						
ASSETS									
Operations	\$ (1,400,147)	\$ 847,394	\$ 20,858	\$ 135,595			\$ 736,524	\$ 340,224	\$ 174,026
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury									
Revolving	\$ 75,000							\$ 75,000	\$ 50,000
Other	\$ 0							\$ 0	\$ 0
Distribution							\$ 466,109	\$ 466,109	
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (2,536,458)	\$ (2,536,458)	\$ (18,946)
Credit Card									
Cash on Hand	\$ 23,715							\$ 23,715	\$ 24,215
Cash with County	\$ 4,506							\$ 4,506	\$ (12,395)
Cash Outside of the JCC				\$ 0			\$ 224,160	\$ 224,160	\$ 18,022,980
Cash Equivalents	\$ 10,554,923						\$ 26,443,346	\$ 36,998,269	\$ 11,277,785
Total Cash and Cash Equivalents	\$ 9,257,998	\$ 847,394	\$ 20,858	\$ 135,595			\$ 25,333,681	\$ 35,595,525	\$ 29,517,665
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 66,543	\$ 1,522	\$ 24	\$ 501				\$ 68,590	\$ 58,091
Accounts Receivable - General	\$ 15,970		\$ 0					\$ 15,970	\$ 69,079
Dishonored Checks									
Due From Employee	\$ 54,250							\$ 54,250	\$ 8,525
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 1,575,120	\$ 0	\$ 0					\$ 1,575,120	\$ (1,867,552)
Due From Other Governments	\$ 63,433	\$ 196,956	\$ 444,214					\$ 704,602	\$ 1,430,168
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 2,018,520	\$ 341,608	\$ 943,699					\$ 3,303,828	\$ 3,631,781
Trust Due To/From							\$ 700,893	\$ 700,893	
Distribution Due To/From							\$ 301,918	\$ 301,918	
Civil Filing Fee Due To/From									
General Due To/From	\$ 7,220	\$ 2,731						\$ 9,951	\$ 0
Total Receivables	\$ 3,801,056	\$ 542,818	\$ 1,387,937	\$ 501			\$ 1,054,748	\$ 6,787,059	\$ 3,382,029
Prepaid Expenses - General	\$ 583		\$ 0					\$ 583	\$ 19,074
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 583		\$ 0					\$ 583	\$ 19,074
Other Assets									
Total Other Assets									
Total Assets	\$ 13,059,636	\$ 1,390,211	\$ 1,408,795	\$ 136,096			\$ 26,388,429	\$ 42,383,167	\$ 32,918,768
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 306,181	\$ 204,274	\$ 10,231					\$ 520,685	\$ 1,398,333
Accounts Payable - General	\$ 354,592	\$ 0	\$ 6,019	\$ 0			\$ 0	\$ 360,610	\$ 209,803
Due to Other Funds	\$ 232	\$ 193,125	\$ 1,381,995				\$ 1,012,528	\$ 2,587,880	\$ (1,867,552)
Due to Other Courts									
Due to State	\$ 5,716	\$ 0						\$ 5,716	\$ 60,654
TC145 Liability							\$ 2,346,293	\$ 2,346,293	\$ 2,229,260
Due to Other Governments	\$ 3,660,842		\$ 0				\$ 224,160	\$ 3,885,001	\$ 15,086,683
AB145 Due to Other Government Agency							\$ 1,623,027	\$ 1,623,027	
Due to Other Public Agencies									
Sales and Use Tax	\$ 1,126							\$ 1,126	\$ 0
Interest							\$ 744	\$ 744	\$ 477
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 4,375							\$ 4,375	\$ 4,200
Total Accounts Payable and Accrued Liab.	\$ 4,333,063	\$ 397,399	\$ 1,398,245	\$ 0			\$ 5,206,751	\$ 11,335,458	\$ 17,121,857
Civil							\$ 11,572,371	\$ 11,572,371	\$ 2,056,604
Criminal							\$ 6,025,957	\$ 6,025,957	\$ 0
Unreconciled - Civil and Criminal							\$ 499,617	\$ 499,617	
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 6,541,503
Trust Interest Payable							\$ 24,882	\$ 24,882	\$ 3,507
Miscellaneous Trust									
Total Trust Deposits							\$ 18,122,826	\$ 18,122,826	\$ 8,601,614
Accrued Payroll	\$ 2,678,283	\$ 35,854						\$ 2,714,137	\$ 2,955,365
Benefits Payable	\$ (11,574)							\$ (11,574)	\$ 366,183
Deferred Compensation Payable	\$ 0							\$ 0	\$ 66,406
Deductions Payable	\$ 71,395							\$ 71,395	\$ 39,857
Payroll Clearing	\$ 832							\$ 832	\$ 451
Total Payroll Liabilities	\$ 2,738,936	\$ 35,854						\$ 2,774,790	\$ 3,428,262
Revenue Collected in Advance	\$ (127,135)		\$ 10,550					\$ (116,585)	\$ 17,079
Liabilities For Deposits	\$ 94,987						\$ 484,204	\$ 579,191	\$ 103,679
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 11,807	\$ 11,807	
Uncleared Collections	\$ 0							\$ 0	\$ (66,406)
Other Miscellaneous Liabilities							\$ 2,562,840	\$ 2,562,840	
Total Other Liabilities	\$ (32,148)		\$ 10,550				\$ 3,058,851	\$ 3,037,254	\$ 54,352
Total Liabilities	\$ 7,039,852	\$ 433,253	\$ 1,408,795	\$ 0			\$ 26,388,429	\$ 35,270,328	\$ 29,206,085
Total Fund Balance	\$ 6,019,784	\$ 956,958	\$ 0	\$ 136,096				\$ 7,112,839	\$ 3,712,683
Total Liabilities and Fund Balance	\$ 13,059,636	\$ 1,390,211	\$ 1,408,795	\$ 136,096			\$ 26,388,429	\$ 42,383,167	\$ 32,918,768

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June											
Fiscal Year 2016/17									2015/16		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 78,133,671	\$ 621,236		\$ 2,000,000			\$ 80,754,907	\$ 80,088,351	\$ 81,645,561	\$ 80,437,305	
Improvement and Modernization Fund	\$ 394,508						\$ 394,508	\$ 394,975	\$ 294,827	\$ 448,828	
Judges' Compensation (0150019)	\$ 686,655						\$ 686,655	\$ 712,500	\$ 681,449	\$ 712,500	
Court Interpreter (0150037)	\$ 4,781,845						\$ 4,781,845	\$ 4,686,100	\$ 4,564,912	\$ 4,619,267	
Civil Coordination Reimbursement (0150091)											
MOU Reimbursements (0150010 and General)	\$ 716,119						\$ 716,119	\$ 760,174	\$ 845,523	\$ 760,784	
Other Miscellaneous	\$ 3,102,047						\$ 3,102,047	\$ 3,102,047	\$ 3,102,047	\$ 3,102,047	
	\$ 87,814,844	\$ 621,236		\$ 2,000,000			\$ 90,436,080	\$ 89,744,147	\$ 91,134,319	\$ 90,080,731	
Grants											
AB 1058 Commissioner/Facilitator	\$ 0		\$ 1,964,875				\$ 1,964,875	\$ 1,995,797	\$ 2,122,675	\$ 2,040,344	
Other Judicial Council Grants			\$ 771,070				\$ 771,070	\$ 819,429	\$ 844,378	\$ 981,531	
Non-Judicial Council Grants			\$ 1,373,891				\$ 1,373,891	\$ 1,478,546	\$ 1,068,066	\$ 1,097,023	
	\$ 0		\$ 4,109,836				\$ 4,109,836	\$ 4,293,772	\$ 4,035,119	\$ 4,118,898	
Other Financing Sources											
Interest Income	\$ 165,263	\$ 6,564		\$ 1,850			\$ 173,677	\$ 157,838	\$ 78,879	\$ 63,770	
Investment Income											
Donations											
Local Fees	\$ 3,143,271	\$ 118,382					\$ 3,261,653	\$ 3,117,123	\$ 3,328,609	\$ 2,706,350	
Non-Fee Revenues	\$ 98,825						\$ 98,825	\$ 98,825	\$ 147,089	\$ 152,000	
Enhanced Collections		\$ 3,018,626					\$ 3,018,626	\$ 3,041,163	\$ 3,551,578	\$ 3,550,000	
Escheatment											
Prior Year Revenue		\$ (77,306)					\$ (77,306)				
County Program - Restricted		\$ 913,666					\$ 913,666	\$ 960,451	\$ 896,922	\$ 1,086,609	
Reimbursement Other	\$ 158,634						\$ 158,634	\$ 176,611	\$ 195,913	\$ 129,500	
Sale of Fixed Assets											
Other Miscellaneous	\$ 49,894						\$ 49,894	\$ 32,503	\$ 26,868	\$ 49,000	
	\$ 3,615,887	\$ 3,979,933		\$ 1,850			\$ 7,597,670	\$ 7,584,514	\$ 8,225,858	\$ 7,737,229	
Total Revenues	\$ 91,430,731	\$ 4,601,169	\$ 4,109,836	\$ 2,001,850			\$ 102,143,586	\$ 101,622,433	\$ 103,395,296	\$ 101,936,858	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 45,463,021	\$ 896,090	\$ 2,267,386				\$ 48,626,496	\$ 49,027,392	\$ 50,319,066	\$ 48,855,169	
Temp Help	\$ 1,155,107	\$ 2,871	\$ 84,745				\$ 1,242,724	\$ 1,116,333	\$ 1,296,047	\$ 1,245,594	
Overtime	\$ 118,019	\$ 774					\$ 118,793		\$ 310,210	\$ 134,721	
Staff Benefits	\$ 27,736,592	\$ 396,172	\$ 960,824				\$ 29,093,588	\$ 30,272,507	\$ 29,936,047	\$ 28,921,629	
	\$ 74,472,739	\$ 1,295,906	\$ 3,312,956				\$ 79,081,601	\$ 80,416,232	\$ 81,861,370	\$ 79,157,113	
Operating Expenses and Equipment											
General Expense	\$ 1,766,933	\$ 1,081	\$ 10,948				\$ 1,778,962	\$ 2,065,291	\$ 2,246,859	\$ 2,566,292	
Printing	\$ 274,413		\$ 4,488				\$ 278,901	\$ 330,294	\$ 351,211	\$ 333,315	
Telecommunications	\$ 515,055						\$ 515,055	\$ 478,365	\$ 517,876	\$ 432,380	
Postage	\$ 549,420		\$ 86				\$ 549,506	\$ 640,744	\$ 610,592	\$ 602,050	
Insurance	\$ 25,670						\$ 25,670	\$ 25,670	\$ 25,910	\$ 28,000	
In-State Travel	\$ 83,968	\$ 31	\$ 12,607				\$ 96,606	\$ 136,290	\$ 175,780	\$ 138,862	
Out-of-State Travel	\$ 12,587		\$ 6,726				\$ 19,314	\$ 23,283	\$ 1,454		
Training	\$ 53,554		\$ 9,437				\$ 62,991	\$ 75,827	\$ 414,046	\$ 480,963	
Security Services	\$ 285,340						\$ 285,340	\$ 288,212	\$ 92,354	\$ 67,800	
Facility Operations	\$ 2,257,497	\$ 1,100					\$ 2,258,597	\$ 3,390,024	\$ 2,241,693	\$ 3,152,822	
Utilities											
Contracted Services	\$ 4,650,355	\$ 3,091,976	\$ 637,242				\$ 8,379,574	\$ 9,856,300	\$ 11,152,548	\$ 11,606,873	
Consulting and Professional Services	\$ 931,969	\$ 128					\$ 932,096	\$ 1,060,811	\$ 1,287,657	\$ 1,366,155	
Information Technology	\$ 1,752,087	\$ 0	\$ 2,750				\$ 1,754,837	\$ 1,837,147	\$ 2,885,768	\$ 1,776,812	
Major Equipment	\$ 4,771						\$ 4,771	\$ 28,653	\$ 822,325	\$ 524,852	
Other Items of Expense	\$ 43,242		\$ 151,032				\$ 194,274	\$ 230,983	\$ 139,882	\$ 159,473	
	\$ 13,206,863	\$ 3,094,315	\$ 835,316				\$ 17,136,494	\$ 20,467,894	\$ 22,965,955	\$ 23,236,649	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 616,693						\$ 616,693	\$ 631,641	\$ 731,328	\$ 615,000	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 0						\$ 0				
Capital Costs				\$ 2,000,000			\$ 2,000,000	\$ 2,000,000	\$ 2,000,000	\$ 2,000,000	
Internal Cost Recovery	\$ (431,722)	\$ 71,023	\$ 360,699				\$ 0	\$ 0	\$ 0	\$ 10,901	
Prior Year Expense Adjustment	\$ (103,461)		\$ 12,104				\$ (91,358)		\$ (9,000)		
	\$ 81,509	\$ 71,023	\$ 372,803	\$ 2,000,000			\$ 2,525,335	\$ 2,631,641	\$ 2,722,328	\$ 2,625,901	
Total Expenditures	\$ 87,761,111	\$ 4,461,245	\$ 4,521,075	\$ 2,000,000			\$ 98,743,430	\$ 103,515,767	\$ 107,549,652	\$ 105,019,663	
Excess (Deficit) of Revenues Over Expenditures	\$ 3,669,620	\$ 139,925	\$ (411,239)	\$ 1,850			\$ 3,400,156	\$ (1,893,334)	\$ (4,154,356)	\$ (3,082,805)	
Operating Transfers In (Out)	\$ (411,239)		\$ 411,239				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,761,403	\$ 817,034	\$ 0	\$ 134,246			\$ 3,712,683	\$ 3,712,683	\$ 7,867,040	\$ 7,867,040	
Ending Balance (Deficit)	\$ 6,019,784	\$ 956,958	\$ 0	\$ 136,096			\$ 7,112,839	\$ 1,819,349	\$ 3,712,683	\$ 4,784,235	

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 26,392,199	\$ 1,413,907	\$ 0			\$ (1,534)	\$ 27,804,572	\$ 26,909,412	\$ 28,714,246	\$ 28,125,744
Traffic & Other Infractions	\$ 4,154,405	\$ 590,409				\$ (158)	\$ 4,744,656	\$ 5,156,787	\$ 5,776,327	\$ 5,831,513
Other Criminal Cases	\$ 6,656,569	\$ 905,116	\$ 35,608				\$ 7,597,293	\$ 7,966,826	\$ 8,512,194	\$ 8,938,692
Civil	\$ 4,084,089	\$ 73,719				\$ (202)	\$ 4,157,605	\$ 5,085,029	\$ 6,685,083	\$ 7,672,217
Family & Children Services	\$ 8,295,404	\$ 656,670				\$ (3,058)	\$ 8,949,016	\$ 9,904,017	\$ 8,841,507	\$ 8,871,019
Probate, Guardianship & Mental Health Services	\$ 1,810,151						\$ 1,810,151	\$ 1,938,291	\$ 2,110,389	\$ 2,309,313
Juvenile Dependency Services	\$ 74,269	\$ 223,336					\$ 297,605	\$ 359,674	\$ 491	
Juvenile Delinquency Services	\$ 1,271,265	\$ 11,828					\$ 1,283,094	\$ 1,424,545	\$ 1,448,422	\$ 2,475,961
Other Court Operations	\$ 1,907,458	\$ 376,126				\$ (250)	\$ 2,283,333	\$ 2,470,743	\$ 3,417,093	\$ 2,703,690
Court Interpreters	\$ 3,651,250	\$ 893,561				\$ (287)	\$ 4,544,524	\$ 4,910,316	\$ 4,474,469	\$ 4,521,256
Jury Services	\$ 646,448	\$ 732,950	\$ 581,085				\$ 1,960,483	\$ 1,951,237	\$ 2,229,704	\$ 1,905,582
Security	\$ 2,696,794	\$ 398,052					\$ 3,094,846	\$ 2,679,484	\$ 2,853,722	\$ 3,242,436
Trial Court Operations Program	\$ 61,640,301	\$ 6,275,674	\$ 616,693			\$ (5,490)	\$ 68,527,179	\$ 70,756,361	\$ 75,063,648	\$ 76,597,423
Enhanced Collections	\$ 260,627	\$ 2,591,922			\$ 71,023		\$ 2,923,572	\$ 3,000,480	\$ 3,553,909	\$ 3,000,000
Other Non-Court Operations	\$ 1,086,944	\$ 880					\$ 1,087,824	\$ 1,098,315	\$ 889,713	\$ 1,051,609
Non-Court Operations Program	\$ 1,347,571	\$ 2,592,802			\$ 71,023		\$ 4,011,396	\$ 4,098,795	\$ 4,443,621	\$ 4,051,609
Executive Office	\$ 1,007,608	\$ 94,125			\$ (10,817)	\$ (36)	\$ 1,090,880	\$ 1,071,923	\$ 2,007,217	\$ 1,699,799
Fiscal Services	\$ 2,133,369	\$ 1,003,602			\$ (19,666)	\$ (20)	\$ 3,117,286	\$ 3,601,697	\$ 6,255,312	\$ 3,256,297
Human Resources	\$ 8,071,602	\$ 716,964			\$ (11,092)	\$ (4,216)	\$ 8,773,258	\$ 8,553,712	\$ 2,250,887	\$ 1,846,417
Business & Facilities Services	\$ 487,529	\$ 3,109,409		\$ 2,000,000		\$ (15,239)	\$ 5,581,699	\$ 6,801,060	\$ 5,543,827	\$ 6,534,219
Information Technology	\$ 4,393,621	\$ 3,343,919			\$ (29,449)	\$ (66,357)	\$ 7,641,734	\$ 8,632,219	\$ 11,985,141	\$ 11,033,899
Court Administration Program	\$ 16,093,729	\$ 8,268,018		\$ 2,000,000	\$ (71,023)	\$ (85,868)	\$ 26,204,856	\$ 28,660,611	\$ 28,042,383	\$ 24,370,631
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 79,081,601	\$ 17,136,494	\$ 616,693	\$ 2,000,000	\$ 0	\$ (91,358)	\$ 98,743,430	\$ 103,515,767	\$ 107,549,652	\$ 105,019,663

Constraints on Fiscal Year-End Fund Balance - Summary

Select your court from the drop-down list on the Fund Balance Designation Detail (excluding GC 77203) worksheet.

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-	-	
Restricted	-	-	-	956,958	-	-	-	-	956,958	
Committed	5,725,564	-	5,725,564	-	-	-	-	-	5,725,564	
Assigned	-	-	-	-	-	136,096	-	-	136,096	
Unassigned	-	294,221	294,221	-	-	-	-	-	294,221	
Total	\$ 5,725,564	\$ 294,221	\$ 6,019,785	\$ 956,958	\$ -	\$ 136,096	\$ -	\$ -	\$ 7,112,839	