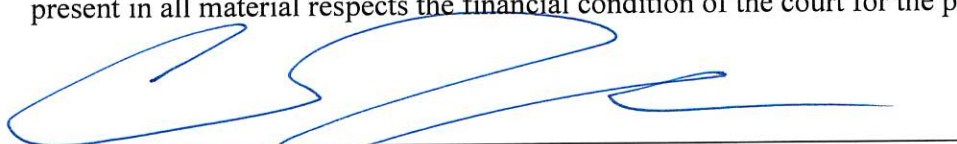


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

7/26/17

\_\_\_\_\_  
Date

ALAMEDA

\_\_\_\_\_  
Court

2016-2017 Q2

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

ALAMEDA

Court

2016-2017 Q2

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

ALAMEDA

Court

2016-2017 Q2

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	712.86	675.08	673.69		

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended December									
Fiscal Year 2016/17									2015/16
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (81,183)	\$ 388,596	\$ (1,425,129)	\$ 134,472			\$ 8,769	\$ (974,476)	\$ (1,386,105)
Payroll	\$ (46,487)	\$ 0						\$ (46,487)	\$ (12,053)
Jury Revolving	\$ 50,000							\$ 50,000	\$ 50,000
Other Distribution	\$ 0							\$ 0	\$ 0
Civil Filing Fees Trust							\$ 0	\$ 0	\$ 0
Credit Card							\$ (18,656)	\$ (18,656)	\$ (18,656)
Cash on Hand	\$ 24,215							\$ 24,215	\$ 22,415
Cash with County	\$ 11,757							\$ 11,757	\$ 36,213
Cash Outside of the JCC							\$ 25,897,707	\$ 25,897,707	\$ 20,431,241
Cash Equivalents	\$ 22,544,955						\$ 2,313,500	\$ 24,858,455	\$ 26,043,362
Total Cash and Cash Equivalents	\$ 22,503,256	\$ 388,596	\$ (1,425,129)	\$ 134,472			\$ 28,201,321	\$ 49,802,515	\$ 45,166,418
Short-Term Investment Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0		\$ 0				\$ 0	\$ 0
Accounts Receivable - General	\$ 16,912		\$ 0					\$ 16,912	\$ 61,620
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	
Civil Jury Fees Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Governments	\$ 2,237	\$ 205,821	\$ 254,944					\$ 463,003	\$ 278,950
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 102,831	\$ 0	\$ 1,109,239					\$ 1,212,070	\$ 592,010
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									\$ 0
Total Receivables	\$ 121,980	\$ 205,821	\$ 1,364,183	\$ 0			\$ 51,937	\$ 1,743,921	\$ 984,517
Prepaid Expenses - General	\$ 19,074		\$ 0					\$ 19,074	\$ 231,675
Salary and Travel Advances Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 19,074		\$ 0					\$ 19,074	\$ 231,675
Other Assets									
Total Other Assets									
Total Assets	\$ 22,644,311	\$ 594,417	\$ (60,946)	\$ 134,472			\$ 28,253,257	\$ 51,565,511	\$ 46,382,609
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 431,000
Accounts Payable - General	\$ 224,133	\$ 0	\$ 46	\$ 0			\$ 0	\$ 224,179	\$ 102,202
Due to Other Funds	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due to Other Courts									
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 0	\$ 0	\$ 0
Due to Other Governments	\$ 0		\$ 0				\$ 15,239,352	\$ 15,239,352	\$ 13,321,365
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 161							\$ 161	\$ 0
Interest							\$ 802	\$ 802	\$ 200
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 224,293	\$ 0	\$ 46	\$ 0			\$ 15,240,154	\$ 15,464,494	\$ 13,854,767
Civil							\$ 2,288,746	\$ 2,288,746	\$ 2,983,048
Criminal							\$ 0	\$ 0	\$ 0
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 10,710,292	\$ 10,710,292	\$ 7,161,813
Trust Interest Payable							\$ 5,374	\$ 5,374	\$ 2,011
Miscellaneous Trust									
Total Trust Deposits							\$ 13,004,412	\$ 13,004,412	\$ 10,146,872
Accrued Payroll	\$ 2,718,452	\$ 34,190						\$ 2,752,642	\$ 2,455,149
Benefits Payable	\$ 611,158							\$ 611,158	\$ 721
Deferred Compensation Payable	\$ 63,157							\$ 63,157	\$ 56,923
Deductions Payable	\$ 277,925							\$ 277,925	\$ 204,839
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 3,670,692	\$ 34,190						\$ 3,704,882	\$ 2,717,632
Revenue Collected in Advance	\$ (88,645)		\$ 190,846					\$ 102,202	\$ 7,063
Liabilities For Deposits	\$ 94,987						\$ 8,691	\$ 103,678	\$ 103,679
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	\$ 1,968,656
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 6,343		\$ 190,846				\$ 8,691	\$ 205,880	\$ 2,079,398
Total Liabilities	\$ 3,901,328	\$ 34,190	\$ 190,892	\$ 0			\$ 28,253,257	\$ 32,379,668	\$ 28,798,668
Total Fund Balance	\$ 18,742,983	\$ 560,227	\$ (251,838)	\$ 134,472				\$ 19,185,843	\$ 17,583,941
Total Liabilities and Fund Balance	\$ 22,644,311	\$ 594,417	\$ (60,946)	\$ 134,472			\$ 28,253,257	\$ 51,565,511	\$ 46,382,609

Superior Court of California, County of Alameda  
Trial Court Operations Fund  
Statement of Revenues, Expenditures and Changes in Fund Balances  
(Unaudited)

For the month ended December										
Fiscal Year 2016/17								2015/16		
	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects						
Non-Grant		Grant								
<b>REVENUES</b>										
State Financing Sources										
Trial Court Trust Fund	\$ 51,471,699	\$ 232,604				\$ 51,704,303	\$ 80,088,351	\$ 49,747,057	\$ 80,437,305	
Improvement and Modernization Fund	\$ 11,960					\$ 11,960	\$ 394,975	\$ (187)	\$ 448,828	
Judges' Compensation (0150019)	\$ 356,250					\$ 356,250	\$ 712,500	\$ 356,250	\$ 712,500	
Court Interpreter (0150037)	\$ 2,258,092					\$ 2,258,092	\$ 4,686,100	\$ 2,022,132	\$ 4,619,267	
Civil Coordination Reimbursement (0150091)										
MOU Reimbursements (0150010 and General)	\$ 327,864					\$ 327,864	\$ 760,174	\$ 456,419	\$ 760,784	
Other Miscellaneous	\$ 3,102,047					\$ 3,102,047	\$ 3,102,047	\$ 3,102,047	\$ 3,102,047	
	\$ 57,527,912	\$ 232,604				\$ 57,760,516	\$ 89,744,147	\$ 55,683,718	\$ 90,080,731	
Grants										
AB 1058 Commissioner/Facilitator	\$ 0		\$ 790,484			\$ 790,484	\$ 1,995,797	\$ 427,658	\$ 2,040,344	
Other Judicial Council Grants			\$ 208,463			\$ 208,463	\$ 819,429	\$ 63,856	\$ 981,531	
Non-Judicial Council Grants			\$ 418,938			\$ 418,938	\$ 1,478,546	\$ 231,721	\$ 1,097,023	
	\$ 0		\$ 1,417,885			\$ 1,417,885	\$ 4,293,772	\$ 723,235	\$ 4,118,898	
Other Financing Sources										
Interest Income	\$ 29,911	\$ 1,627		\$ 226		\$ 31,764	\$ 157,838	\$ 18,430	\$ 63,770	
Investment Income										
Donations										
Local Fees	\$ 1,118,535	\$ 41,078				\$ 1,159,612	\$ 3,117,123	\$ 1,103,617	\$ 2,706,350	
Non-Fee Revenues	\$ 98,825					\$ 98,825	\$ 98,825	\$ 105,086	\$ 152,000	
Enhanced Collections		\$ 970,404				\$ 970,404	\$ 3,041,163	\$ 1,361,669	\$ 3,550,000	
Escheatment										
Prior Year Revenue		\$ (77,306)				\$ (77,306)				
County Program - Restricted		\$ 214,845				\$ 214,845	\$ 960,451	\$ 194,076	\$ 1,086,609	
Reimbursement Other	\$ 38,277					\$ 38,277	\$ 176,611	\$ 91,200	\$ 129,500	
Sale of Fixed Assets										
Other Miscellaneous	\$ (2,652)					\$ (2,652)	\$ 32,503	\$ 373,234	\$ 49,000	
	\$ 1,282,896	\$ 1,150,647		\$ 226		\$ 2,433,769	\$ 7,584,514	\$ 3,247,311	\$ 7,737,229	
<b>Total Revenues</b>	<b>\$ 58,810,808</b>	<b>\$ 1,383,251</b>	<b>\$ 1,417,885</b>	<b>\$ 226</b>		<b>\$ 61,612,170</b>	<b>\$ 101,622,433</b>	<b>\$ 59,654,264</b>	<b>\$ 101,936,858</b>	
<b>EXPENDITURES</b>										
Personal Services										
Salaries - Permanent	\$ 23,423,920	\$ 390,978	\$ 801,807			\$ 24,616,705	\$ 49,027,392	\$ 24,702,212	\$ 48,855,169	
Temp Help	\$ 663,501	\$ 2,546	\$ 22,754			\$ 688,801	\$ 1,116,333	\$ 654,436	\$ 1,245,594	
Overtime	\$ 113,342	\$ 774				\$ 114,116		\$ 179,052	\$ 134,721	
Staff Benefits	\$ 13,539,534	\$ 172,316	\$ 352,351			\$ 14,064,201	\$ 30,272,507	\$ 14,255,637	\$ 28,921,629	
	\$ 37,740,298	\$ 566,614	\$ 1,176,911			\$ 39,483,823	\$ 80,416,232	\$ 39,791,337	\$ 79,157,113	
Operating Expenses and Equipment										
General Expense	\$ 598,686	\$ (277)	\$ (2,249)			\$ 596,160	\$ 2,065,291	\$ 1,205,293	\$ 2,566,292	
Printing	\$ 40,036		\$ 46			\$ 40,082	\$ 330,294	\$ 132,864	\$ 333,315	
Telecommunications	\$ 180,584					\$ 180,584	\$ 478,365	\$ 222,551	\$ 432,380	
Postage	\$ 329,852		\$ 38			\$ 329,890	\$ 640,744	\$ 330,657	\$ 602,050	
Insurance	\$ 600					\$ 600	\$ 25,670	\$ 25,910	\$ 28,000	
In-State Travel	\$ 35,915		\$ 8,653			\$ 44,567	\$ 136,290	\$ 86,895	\$ 138,862	
Out-of-State Travel	\$ 3,189					\$ 3,189	\$ 23,283			
Training	\$ 15,842		\$ 3,672			\$ 19,514	\$ 75,827	\$ 79,570	\$ 480,963	
Security Services	\$ 11,362					\$ 11,362	\$ 288,212	\$ 1,439	\$ 67,800	
Facility Operations	\$ 645,768					\$ 645,768	\$ 3,390,024	\$ 1,016,406	\$ 3,152,822	
Utilities										
Contracted Services	\$ 2,262,803	\$ 1,044,956	\$ 283,245			\$ 3,591,003	\$ 9,856,300	\$ 4,161,694	\$ 11,606,873	
Consulting and Professional Services	\$ 316,415					\$ 316,415	\$ 1,060,811	\$ 656,228	\$ 1,366,155	
Information Technology	\$ 589,720					\$ 589,720	\$ 1,837,147	\$ 1,241,583	\$ 1,776,812	
Major Equipment	\$ 4,630					\$ 4,630	\$ 28,653	\$ 537,834	\$ 524,852	
Other Items of Expense	\$ 27,138		\$ 55,423			\$ 82,561	\$ 230,983	\$ 77,046	\$ 159,473	
	\$ 5,062,539	\$ 1,044,679	\$ 348,828			\$ 6,456,046	\$ 20,467,894	\$ 9,775,970	\$ 23,236,649	
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 290,499					\$ 290,499	\$ 631,641	\$ 379,057	\$ 615,000	
Judgements, Settlements and Claims										
Debt Service										
Other										
Capital Costs							\$ 2,000,000		\$ 2,000,000	
Internal Cost Recovery	\$ (171,714)	\$ 28,766	\$ 142,948			\$ 0	\$ 0	\$ 0	\$ 10,901	
Prior Year Expense Adjustment	\$ (91,358)					\$ (91,358)		\$ (9,000)		
	\$ 27,427	\$ 28,766	\$ 142,948			\$ 199,141	\$ 2,631,641	\$ 370,057	\$ 2,625,901	
<b>Total Expenditures</b>	<b>\$ 42,830,264</b>	<b>\$ 1,640,058</b>	<b>\$ 1,668,687</b>	<b>\$ 226</b>		<b>\$ 46,139,010</b>	<b>\$ 103,515,767</b>	<b>\$ 49,937,363</b>	<b>\$ 105,019,663</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 15,980,544	\$ (256,807)	\$ (250,803)	\$ 226		\$ 15,473,160	\$ (1,893,334)	\$ 9,716,901	\$ (3,082,805)	
Operating Transfers In (Out)	\$ 1,036		\$ (1,036)			\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 2,761,403	\$ 817,034	\$ 0	\$ 134,246		\$ 3,712,683	\$ 3,712,683	\$ 7,867,040	\$ 7,867,040	
Ending Balance (Deficit)	\$ 18,742,983	\$ 560,227	\$ (251,838)	\$ 134,472		\$ 19,185,843	\$ 1,819,349	\$ 17,583,941	\$ 4,784,235	

Superior Court of California, County of Alameda  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended December										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 13,140,600	\$ 606,025				\$ (1,534)	\$ 13,745,091	\$ 26,909,412	\$ 13,332,317	\$ 28,125,744
Traffic & Other Infractions	\$ 2,046,518	\$ 222,948				\$ (158)	\$ 2,269,308	\$ 5,156,787	\$ 2,909,809	\$ 5,831,513
Other Criminal Cases	\$ 3,237,938	\$ 372,798	\$ 15,311				\$ 3,626,047	\$ 7,966,826	\$ 3,631,758	\$ 8,938,692
Civil	\$ 2,021,149	\$ 18,545				\$ (202)	\$ 2,039,492	\$ 5,085,029	\$ 2,498,808	\$ 7,672,217
Family & Children Services	\$ 4,155,743	\$ 173,806				\$ (3,058)	\$ 4,326,491	\$ 9,904,017	\$ 4,038,390	\$ 8,871,019
Probate, Guardianship & Mental Health Services	\$ 985,145						\$ 985,145	\$ 1,938,291	\$ 1,019,840	\$ 2,309,313
Juvenile Dependency Services	\$ 81	\$ 121,780					\$ 121,861	\$ 359,674		
Juvenile Delinquency Services	\$ 669,073	\$ 3,004					\$ 672,076	\$ 1,424,545	\$ 706,437	\$ 2,475,961
Other Court Operations	\$ 979,059	\$ 213,943				\$ (250)	\$ 1,192,752	\$ 2,470,743	\$ 1,781,954	\$ 2,703,690
Court Interpreters	\$ 1,863,004	\$ 343,566				\$ (287)	\$ 2,206,283	\$ 4,910,316	\$ 2,113,242	\$ 4,521,256
Jury Services	\$ 313,701	\$ 322,341	\$ 275,188				\$ 911,230	\$ 1,951,237	\$ 1,156,258	\$ 1,905,582
Security	\$ 1,358,589	\$ 50,885					\$ 1,409,474	\$ 2,679,484	\$ 1,381,027	\$ 3,242,436
Trial Court Operations Program	\$ 30,770,600	\$ 2,449,640	\$ 290,499			\$ (5,490)	\$ 33,505,250	\$ 70,756,361	\$ 34,569,839	\$ 76,597,423
Enhanced Collections	\$ 142,335	\$ 833,454			\$ 28,766		\$ 1,004,555	\$ 3,000,480	\$ 1,302,274	\$ 3,000,000
Other Non-Court Operations	\$ 470,091						\$ 470,091	\$ 1,098,315	\$ 397,856	\$ 1,051,609
Non-Court Operations Program	\$ 612,426	\$ 833,454			\$ 28,766		\$ 1,474,646	\$ 4,098,795	\$ 1,700,130	\$ 4,051,609
Executive Office	\$ 500,336	\$ 63,375			\$ (4,478)	\$ (36)	\$ 559,197	\$ 1,071,923	\$ 752,019	\$ 1,699,799
Fiscal Services	\$ 1,143,602	\$ 440,913			\$ (8,256)	\$ (20)	\$ 1,576,239	\$ 3,601,697	\$ 4,695,587	\$ 3,256,297
Human Resources	\$ 3,966,180	\$ 189,629			\$ (4,331)	\$ (4,216)	\$ 4,147,262	\$ 8,553,712	\$ 906,312	\$ 1,846,417
Business & Facilities Services	\$ 230,420	\$ 962,518				\$ (15,239)	\$ 1,177,699	\$ 6,801,060	\$ 1,603,710	\$ 6,534,219
Information Technology	\$ 2,260,258	\$ 1,516,516			\$ (11,701)	\$ (66,357)	\$ 3,698,716	\$ 8,632,219	\$ 5,709,768	\$ 11,033,899
Court Administration Program	\$ 8,100,796	\$ 3,172,951			\$ (28,766)	\$ (85,868)	\$ 11,159,113	\$ 28,660,611	\$ 13,667,394	\$ 24,370,631
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 39,483,823	\$ 6,456,046	\$ 290,499		\$ 0	\$ (91,358)	\$ 46,139,010	\$ 103,515,767	\$ 49,937,363	\$ 105,019,663