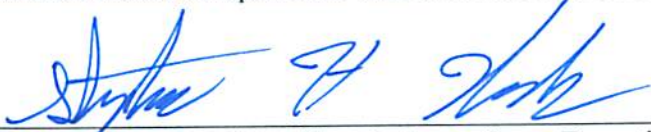


## QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

11-14-16

\_\_\_\_\_  
Date

Contra Costa

\_\_\_\_\_  
Court

2016-2017 Quarter 1

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Contra Costa

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Court

2016-2017 Quarter 1

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Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	344.25	323.82			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended September							2015/16	
	Fiscal Year 2016/17								
	Governmental Funds							Total Funds	Total Funds
	Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	(Info. Purposes Only)	(Info. Purposes Only)
	General	Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (1,137,382)	\$ 2,650,187	\$ (557,825)				\$ 354,697	\$ 1,309,677	\$ 1,083,178
Payroll	\$ 2,962							\$ 2,962	\$ 538,089
Jury								\$ 50,000	\$ 50,000
Revolving	\$ 50,000								
Other									
Distribution							\$ (282,064)	\$ (282,064)	\$ 179,706
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust			\$ 0				\$ (424,373)	\$ (424,373)	\$ (152,836)
Credit Card								\$ 7,878	\$ 8,953
Cash on Hand	\$ 7,878								
Cash with County									
Cash Outside of the JCC									
Cash Equivalents	\$ 13,109,053						\$ 13,109,535	\$ 26,218,588	\$ 26,449,649
<b>Total Cash and Cash Equivalents</b>	<b>\$ 12,032,511</b>	<b>\$ 2,650,187</b>	<b>\$ (557,825)</b>				<b>\$ 12,757,795</b>	<b>\$ 26,887,669</b>	<b>\$ 28,134,733</b>
Short-Term Investment Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 308		\$ 409,833					\$ 410,141	\$ 0
Dishonored Checks								\$ 10,102	\$ 10,537
Due From Employee	\$ 10,102								
Civil Jury Fees							\$ 8,941	\$ 8,941	\$ 8,941
Trust							\$ 0	\$ 0	\$ 0
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Courts	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Trust Due To/From							\$ 0	\$ 0	\$ 0
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From	\$ 65,425							\$ 65,425	\$ 16,694
General Due To/From									
<b>Total Receivables</b>	<b>\$ 75,835</b>	<b>\$ 0</b>	<b>\$ 409,833</b>				<b>\$ 8,941</b>	<b>\$ 484,608</b>	<b>\$ 36,171</b>
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties								\$ 0	\$ 0
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>								
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 12,108,346</b>	<b>\$ 2,650,187</b>	<b>\$ (147,992)</b>				<b>\$ 12,766,736</b>	<b>\$ 27,377,277</b>	<b>\$ 28,170,910</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 29,286	\$ 0	\$ 0				\$ 550	\$ 29,836	\$ 27,293
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 65,425	\$ 65,425	\$ 18,694
Due to Other Courts	\$ 0							\$ 0	\$ 0
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 0	\$ 0	\$ 0
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 8,284,665	\$ 8,284,665	\$ 8,126,815
Due to Other Public Agencies								\$ 393	\$ 4,478
Sales and Use Tax	\$ 393	\$ 0	\$ 0				\$ 2,873	\$ 2,873	\$ 527
Interest								\$ 0	\$ 0
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 29,679</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 8,353,513</b>	<b>\$ 8,389,192</b>	<b>\$ 8,178,604</b>
Civil							\$ 3,327,998	\$ 3,327,998	\$ 3,475,204
Criminal							\$ 309,807	\$ 309,807	\$ 424,211
Unreconciled - Civil and Criminal							\$ 33,219	\$ 33,219	\$ 33,219
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 48,700	\$ 48,700	\$ 45,435
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 3,719,724</b>	<b>\$ 3,719,724</b>	<b>\$ 3,978,069</b>
Accrued Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Benefits Payable	\$ 35,173	\$ 782						\$ 35,956	\$ (61,614)
Deferred Compensation Payable	\$ (1,025)							\$ (1,025)	\$ (56)
Deductions Payable	\$ 955,941	\$ 5,982						\$ 961,922	\$ 685,971
Payroll Clearing	\$ 0							\$ 0	\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 980,089</b>	<b>\$ 6,764</b>						<b>\$ 996,853</b>	<b>\$ 624,302</b>
Revenue Collected in Advance	\$ 0		\$ 0					\$ 0	\$ 50,081
Liabilities For Deposits	\$ 150,488		\$ 0				\$ 666,487	\$ 836,975	\$ 800,033
Jury Fees - Non-Interest							\$ 4,200	\$ 4,200	\$ 4,200
Fees - Partial Payment & Overpayment							\$ 2,812	\$ 2,812	\$ 3,374
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 150,488</b>		<b>\$ 0</b>				<b>\$ 693,499</b>	<b>\$ 849,987</b>	<b>\$ 887,608</b>
<b>Total Liabilities</b>	<b>\$ 1,170,256</b>	<b>\$ 6,764</b>	<b>\$ 0</b>				<b>\$ 12,766,736</b>	<b>\$ 13,943,758</b>	<b>\$ 14,759,844</b>
<b>Total Fund Balance</b>	<b>\$ 10,938,090</b>	<b>\$ 2,643,423</b>	<b>\$ (147,992)</b>					<b>\$ 13,433,521</b>	<b>\$ 13,431,268</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 12,108,346</b>	<b>\$ 2,650,187</b>	<b>\$ (147,992)</b>				<b>\$ 12,766,736</b>	<b>\$ 27,377,277</b>	<b>\$ 28,170,910</b>

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
	Fiscal Year 2016/17							2015/16			
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info Purposes Only)	Current Budget (Annual)	Total Funds (Info Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources								\$ 19,352,150	\$ 40,972,072	\$ 20,171,996	\$ 44,771,973
Trial Court Trust Fund	\$ 19,321,932	\$ 30,218						\$ 0	\$ 138,461	\$ (138,461)	\$ 138,460
Improvement and Modernization Fund	\$ 0							\$ 90,249	\$ 357,382	\$ 90,897	\$ 348,455
Judges' Compensation (45.25)	\$ 80,249							\$ 202,963	\$ 2,174,305	\$ 318,868	\$ 1,720,834
Court Interpreter (45.45)	\$ 292,963							\$ 515,449	\$ 3,449,361	\$ 583,320	\$ 4,069,985
Civil Coordination Reimbursement (45.55)	\$ 515,449								\$ 1,398,191		\$ 1,398,192
MOU Reimbursements (45.10 and General)											
Other Miscellaneous											
	\$ 20,220,594	\$ 30,218						\$ 20,250,812	\$ 48,487,772	\$ 21,028,420	\$ 52,445,870
Grants											
AB 1056 Commission/Facilitator			\$ 121,788					\$ 121,788	\$ 1,377,106	\$ (1,182,881)	\$ 1,357,041
Other Judicial Council Grants			\$ (84,821)					\$ (84,821)	\$ 497,520	\$ (245,454)	\$ 485,129
Non-Judicial Council Grants								\$ 38,967	\$ 1,874,626	\$ (1,591,731)	\$ 1,842,170
			\$ 38,967								
Other Financing Sources											
Interest Income	\$ 5,883	\$ 161						\$ 6,045	\$ 55,368	\$ 882	\$ 31,258
Investment Income								\$ 3,000			
Donations		\$ 3,000						\$ 132,165	\$ 1,480,792	\$ 52,175	\$ 1,223,676
Local Fees	\$ 37,808	\$ 94,359						\$ 14,905	\$ 338,835		
Non-Fee Revenues	\$ 14,905							\$ 7,449	\$ 2,935,692	\$ 0	\$ 2,077,000
Enhanced Collections		\$ 7,449							\$ 200,000		
Escheatment										\$ (7,500)	
Prior Year Revenue								\$ (88,888)	\$ 453,825	\$ 5,054	\$ 613,058
County Program - Restricted	\$ (81,115)	\$ (7,773)						\$ 20,066	\$ 114,527	\$ (2,444)	\$ 320,072
Reimbursement Other	\$ 20,066							\$ 6,964	\$ 2,270	\$ 17,759	\$ 131,452
Sale of Fixed Assets	\$ 6,964										
Other Miscellaneous								\$ 24,510	\$ 97,197		
	\$ 24,510	\$ 97,197						\$ 121,708	\$ 5,881,127	\$ 65,928	\$ 4,398,540
<b>Total Revenues</b>	<b>\$ 20,245,103</b>	<b>\$ 127,415</b>	<b>\$ 38,967</b>					<b>\$ 20,408,484</b>	<b>\$ 55,943,523</b>	<b>\$ 19,600,818</b>	<b>\$ 68,584,593</b>
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 5,403,835	\$ 20,458	\$ 82,368					\$ 5,506,478	\$ 24,685,058	\$ 4,681,138	\$ 28,215,855
Temp Help	\$ 42,694		\$ 11,477					\$ 54,171	\$ 47,575		
Overtime	\$ 57,327							\$ 57,327	\$ 275,000	\$ 56,362	\$ 275,000
Staff Benefits	\$ 3,483,258	\$ 12,108	\$ 45,431					\$ 3,540,796	\$ 18,884,021	\$ 3,435,830	\$ 18,817,974
	\$ 8,988,913	\$ 32,565	\$ 139,294					\$ 9,158,773	\$ 42,004,674	\$ 8,200,905	\$ 43,363,632
Operating Expenses and Equipment											
General Expense	\$ 254,089	\$ 3,197	\$ 202					\$ 257,488	\$ 1,434,056	\$ 400,939	\$ 1,233,217
Printing	\$ 36,455							\$ 38,455	\$ 238,408	\$ 15,079	\$ 272,197
Telecommunications	\$ 1,642	\$ 0						\$ 1,642	\$ 408,000	\$ 4,583	\$ 340,310
Postage	\$ 11,668							\$ 11,668	\$ 254,696	\$ 3,859	\$ 177,391
Insurance									\$ 31,546		\$ 37,124
In-State Travel	\$ 9,789		\$ 1,187					\$ 10,956	\$ 33,550	\$ 3,784	\$ 35,495
Out-of-State Travel	\$ 1,069							\$ 1,069			\$ 2,550
Training	\$ 782	\$ 2,220	\$ 975					\$ 3,957	\$ 8,712	\$ 26,175	\$ 43,704
Security Services	\$ 2,614							\$ 2,614	\$ 1,504	\$ 734	\$ 7,609
Facility Operations	\$ 72,241	\$ 0						\$ 72,241	\$ 621,708	\$ 433,142	\$ 1,004,944
Utilities	\$ 2,807							\$ 2,807	\$ 22,880	\$ 381	\$ 28,500
Contracted Services	\$ 1,120,980	\$ 220,675	\$ 14,971					\$ 1,359,635	\$ 8,728,649	\$ 1,020,606	\$ 8,822,754
Consulting and Professional Services	\$ 3,110	\$ 0						\$ 3,110	\$ 1,773,828	\$ 22,045	\$ 62,810
Information Technology	\$ 48,247	\$ 17,813	\$ 2,191					\$ 68,051	\$ 1,194,250	\$ 715,252	\$ 4,049,840
Major Equipment	\$ 8,532							\$ 8,532	\$ 276,175	\$ 160,387	\$ 724,846
Other Items of Expense	\$ 3,147							\$ 3,147	\$ 50,500	\$ 108	\$ 48,500
	\$ 1,574,940	\$ 243,704	\$ 19,527					\$ 1,838,170	\$ 15,074,162	\$ 3,788,011	\$ 16,858,491
Special Items of Expense											
Grand Jury									\$ 2,200	\$ 0	\$ 15,000
Jury Costs	\$ 108,205	\$ 9,329						\$ 117,534	\$ 700,000	\$ 139,998	\$ 900,000
Judgements, Settlements and Claims											
Debt Service									\$ 0	\$ 250,000	\$ 0
Other	\$ 0										\$ 350,000
Capital Costs											
Internal Cost Recovery	\$ (32,984)	\$ 8,826	\$ 28,138					\$ 0	\$ 0	\$ 0	\$ 1
Prior Year Expense Adjustment											
	\$ 75,241	\$ 18,155	\$ 28,138					\$ 117,534	\$ 952,200	\$ 139,998	\$ 1,205,001
<b>Total Expenditures</b>	<b>\$ 10,637,094</b>	<b>\$ 282,424</b>	<b>\$ 184,959</b>					<b>\$ 11,114,478</b>	<b>\$ 68,030,491</b>	<b>\$ 12,048,915</b>	<b>\$ 61,468,324</b>
Excess (Deficit) of Revenues Over Expenditures	\$ 9,608,009	\$ (165,009)	\$ (147,992)					\$ 9,295,008	\$ (2,086,930)	\$ 7,453,703	\$ (2,575,729)
Operating Transfers In (Out)										\$ 0	\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,330,081	\$ 2,808,433	\$ 0					\$ 4,138,513	\$ 4,138,513	\$ 5,977,566	\$ 5,977,566
Ending Balance (Deficit)	\$ 10,938,090	\$ 2,643,423	\$ (147,992)					\$ 13,433,521	\$ 2,051,577	\$ 13,491,266	\$ 3,401,837

Superior Court of California, County of Contra Costa  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2016/17								2015/16		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 2,915,765	\$ 202,463					\$ 3,118,228	\$ 14,584,699	\$ 2,744,035	\$ 14,989,248
Traffic & Other Infractions	\$ 327,289	\$ 26,623					\$ 353,912	\$ 1,838,042	\$ 305,489	\$ 2,094,965
Other Criminal Cases	\$ 577,865	\$ 18,632					\$ 596,497	\$ 2,521,882	\$ 624,286	\$ 3,757,586
Civil	\$ 792,891	\$ 944					\$ 793,835	\$ 3,718,408	\$ 848,980	\$ 4,313,278
Family & Children Services	\$ 1,203,902	\$ 7,561			\$ 20,195		\$ 1,231,658	\$ 5,719,144	\$ 972,119	\$ 5,856,723
Probate, Guardianship & Mental Health Services	\$ 321,678	\$ 1,771					\$ 323,450	\$ 1,275,702	\$ 296,545	\$ 1,200,910
Juvenile Dependency Services		\$ 690,679					\$ 690,679	\$ 2,755,337	\$ 774,252	\$ 3,150,151
Juvenile Delinquency Services										
Other Court Operations	\$ 773,734	\$ 26,082			\$ 5,943		\$ 805,759	\$ 4,089,579	\$ 737,032	\$ 3,441,607
Court Interpreters	\$ 371,510	\$ 105,723					\$ 477,233	\$ 2,206,107	\$ 352,133	\$ 1,899,204
Jury Services	\$ 132,634	\$ 27,415	\$ 117,534				\$ 277,582	\$ 1,454,800	\$ 256,858	\$ 1,471,099
Security		\$ 44,269					\$ 44,269	\$ 278,427	\$ 110,588	\$ 355,086
Trial Court Operations Program	\$ 7,417,267	\$ 1,152,161	\$ 117,534		\$ 26,138		\$ 8,713,100	\$ 40,442,127	\$ 8,022,296	\$ 42,329,837
Enhanced Collections	\$ 47,928	\$ 241,477			\$ 10,046		\$ 299,451	\$ 2,953,639	\$ 912,909	\$ 3,475,974
Other Non-Court Operations		\$ 30,362					\$ 30,362	\$ 14,684	\$ 148,110	\$ 148,110
Non-Court Operations Program	\$ 47,928	\$ 271,840			\$ 10,046		\$ 329,814	\$ 2,968,323	\$ 927,593	\$ 3,624,084
Executive Office	\$ 207,543	\$ 191			\$ (6,616)		\$ 201,118	\$ 1,061,617	\$ 186,526	\$ 1,161,109
Fiscal Services	\$ 597,459	\$ 50,676	\$ 0		\$ 282		\$ 648,417	\$ 3,717,438	\$ 536,451	\$ 2,284,801
Human Resources	\$ 502,641	\$ 21,551			\$ (19,593)		\$ 504,599	\$ 2,998,822	\$ 503,236	\$ 3,067,703
Business & Facilities Services	\$ 113,036	\$ 202,975			\$ (3,098)		\$ 312,913	\$ 1,761,516	\$ 589,536	\$ 2,206,005
Information Technology	\$ 272,898	\$ 138,776			\$ (7,159)		\$ 404,516	\$ 5,095,302	\$ 1,281,276	\$ 6,788,785
Court Administration Program	\$ 1,693,577	\$ 414,170	\$ 0		\$ (36,184)		\$ 2,071,563	\$ 14,634,695	\$ 3,097,026	\$ 15,506,403
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 9,158,773</b>	<b>\$ 1,838,170</b>	<b>\$ 117,534</b>		<b>\$ 0</b>		<b>\$ 11,114,476</b>	<b>\$ 58,030,481</b>	<b>\$ 12,046,915</b>	<b>\$ 61,460,324</b>