

**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

*Annie Long CEO*

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Signature of ~~Presiding Judge~~ or Court Executive

10/31/2016

\_\_\_\_\_  
Date

ALPINE

\_\_\_\_\_  
Court

2016-2017 1ST

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

ALPINE

Court

2016-2017 1ST

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

ALPINE  


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 Court  
 2016-2017 1ST  


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 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	4	4			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alpine  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended September							Full FY	
	Fiscal Year 2016/17							2015/16	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
<b>ASSETS</b>									
Operations	\$ 173	\$ 8,380	\$ 0				\$ 1,801	\$ 10,354	\$ 11,928
Payroll	\$ (1,832)						\$ 1,483	\$ (349)	\$ 0
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution							\$ 0	\$ 0	\$ (294)
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (1,728)	\$ (1,728)	\$ (1,772)
Credit Card									
Cash on Hand									
Cash with County									
Cash Outside of the JCC	\$ 0						\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 338,911						\$ 110,250	\$ 449,161	\$ 329,064
<b>Total Cash and Cash Equivalents</b>	<b>\$ 337,251</b>	<b>\$ 8,380</b>	<b>\$ 0</b>				<b>\$ 111,806</b>	<b>\$ 457,438</b>	<b>\$ 338,927</b>
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0						\$ 0	\$ 344
Accounts Receivable - General	\$ 0							\$ 0	\$ 0
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust									
Due From Other Funds									
Due From Other Governments	\$ 0							\$ 0	\$ 28,491
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0						\$ 0	\$ 1,240
Trust Due To/From							\$ 200	\$ 200	\$ 0
Distribution Due To/From									\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 83							\$ 83	\$ 32
<b>Total Receivables</b>	<b>\$ 83</b>	<b>\$ 0</b>					<b>\$ 200</b>	<b>\$ 283</b>	<b>\$ 30,107</b>
Prepaid Expenses - General									
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>									
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 337,335</b>	<b>\$ 8,380</b>	<b>\$ 0</b>				<b>\$ 112,006</b>	<b>\$ 457,721</b>	<b>\$ 369,034</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0							\$ 0	\$ 4,267
Accounts Payable - General	\$ 5,304						\$ 0	\$ 5,304	\$ 6,362
Due to Other Funds	\$ 0						\$ 283	\$ 283	\$ 32
Due to Other Courts									
Due to State							\$ 2,095	\$ 2,095	\$ 1,176
TC145 Liability									
Due to Other Governments	\$ 0							\$ 0	\$ 626
AB145 Due to Other Government Agency							\$ (22,955)	\$ (22,955)	\$ (22,059)
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 25	\$ 25	\$ 0
Miscellaneous Accts. Pay. and Accrued Liab.									
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 5,304</b>						<b>\$ (20,552)</b>	<b>\$ (15,247)</b>	<b>\$ (9,596)</b>
Civil							\$ 961	\$ 961	\$ 961
Criminal							\$ 119,293	\$ 119,293	\$ 112,047
Unreconciled - Civil and Criminal							\$ 12,054	\$ 12,054	\$ 12,054
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 17	\$ 17	\$ 12
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 132,325</b>	<b>\$ 132,325</b>	<b>\$ 125,074</b>
Accrued Payroll	\$ 0							\$ 0	\$ 10,606
Benefits Payable	\$ (7,768)							\$ (7,768)	\$ (7,768)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 562							\$ 562	\$ 0
Payroll Clearing	\$ 0							\$ 0	\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ (7,207)</b>							<b>\$ (7,207)</b>	<b>\$ 2,837</b>
Revenue Collected in Advance									
Liabilities For Deposits									
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment							\$ 233	\$ 233	\$ 233
Uncleared Collections									
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>							<b>\$ 233</b>	<b>\$ 233</b>	<b>\$ 233</b>
<b>Total Liabilities</b>	<b>\$ (1,902)</b>						<b>\$ 112,006</b>	<b>\$ 110,104</b>	<b>\$ 118,548</b>
<b>Total Fund Balance</b>	<b>\$ 339,237</b>	<b>\$ 8,380</b>	<b>\$ 0</b>					<b>\$ 347,617</b>	<b>\$ 250,486</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 337,335</b>	<b>\$ 8,380</b>	<b>\$ 0</b>				<b>\$ 112,006</b>	<b>\$ 457,721</b>	<b>\$ 369,034</b>

Superior Court of California, County of Alpine  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2016/17											
2015/16											
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 269,172	\$ 340					\$ 269,512	\$ 570,774	\$ 308,418	\$ 605,134	\$ 167
Improvement and Modernization Fund								\$ 167			
Judges' Compensation (45.25)								\$ 350	\$ 0		
Court Interpreter (45.45)											
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 760						\$ 760	\$ 34,540	\$ 1,520	\$ 34,691	\$ 20,340
Other Miscellaneous								\$ 20,340			
	\$ 269,932	\$ 340					\$ 270,272	\$ 626,171	\$ 309,938	\$ 660,332	
Grants											
AB 1058 Commissioner/Facilitator											
Other Judicial Council Grants											
Non-Judicial Council Grants											
Other Financing Sources											
Interest Income	\$ 71	\$ 1					\$ 72	\$ 1,930	\$ 6	\$ 1,535	
Investment Income											
Donations											
Local Fees											
Non-Fee Revenues											
Enhanced Collections	\$ (17,256)						\$ (17,256)	\$ 17,256	\$ 0	\$ 17,258	
Escheatment											
Prior Year Revenue											
County Program - Restricted											
Reimbursement Other											
Sale of Fixed Assets	\$ (11,235)						\$ (11,235)	\$ 11,325	\$ 0	\$ 12,500	
Other Miscellaneous	\$ (28,420)	\$ 1					\$ (28,419)	\$ 30,511	\$ 6	\$ 31,293	
<b>Total Revenues</b>	<b>\$ 241,512</b>	<b>\$ 341</b>					<b>\$ 241,853</b>	<b>\$ 656,682</b>	<b>\$ 309,944</b>	<b>\$ 691,625</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 67,276						\$ 67,276	\$ 237,442	\$ 45,655	\$ 285,513	
Temp Help										\$ 31,200	
Overtime									\$ 2,495		
Staff Benefits	\$ 48,435						\$ 48,435	\$ 214,799	\$ 26,961	\$ 185,137	
	\$ 115,710						\$ 115,710	\$ 452,241	\$ 75,111	\$ 501,850	
Operating Expenses and Equipment											
General Expense	\$ 5,659						\$ 5,659	\$ 39,183	\$ 30,796	\$ 30,251	
Printing	\$ 233						\$ 233	\$ 500	\$ 750		
Telecommunications	\$ 3,486						\$ 3,486	\$ 12,400	\$ 2,923	\$ 12,700	
Postage	\$ 1,062						\$ 1,062	\$ 4,550	\$ 1,005	\$ 2,700	
Insurance	\$ 0						\$ 0	\$ 1,650	\$ 2,300		
In-State Travel	\$ 172						\$ 172	\$ 5,550	\$ 1,289	\$ 8,200	
Out-of-State Travel											
Training								\$ 500		\$ 600	
Security Services											
Facility Operations	\$ 7,590						\$ 7,590	\$ 89,690	\$ 3,963	\$ 17,285	
Utilities											
Contracted Services	\$ 6,534						\$ 6,534	\$ 41,120	\$ 5,083	\$ 39,553	
Consulting and Professional Services											
Information Technology	\$ 4,031						\$ 4,031	\$ 46,500	\$ 2,401	\$ 74,000	
Major Equipment								\$ 34,100	\$ 89	\$ 33,011	
Other Items of Expense	\$ 222						\$ 222	\$ 2,720	\$ 182	\$ 4,300	
	\$ 28,989						\$ 28,989	\$ 278,463	\$ 47,731	\$ 225,650	
Special Items of Expense											
Grand Jury	\$ 22						\$ 22				
Jury Costs								\$ 1,500		\$ 550	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 0						\$ 0				
Capital Costs											
Internal Cost Recovery											
Prior Year Expense Adjustment											
	\$ 22						\$ 22	\$ 1,500		\$ 550	
<b>Total Expenditures</b>	<b>\$ 144,722</b>						<b>\$ 144,722</b>	<b>\$ 732,204</b>	<b>\$ 122,843</b>	<b>\$ 728,050</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 96,790	\$ 341					\$ 97,131	\$ (75,522)	\$ 187,101	\$ (36,425)	
Operating Transfers In (Out)											
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 242,447	\$ 8,039	\$ 0				\$ 250,486	\$ 250,486	\$ 284,631	\$ 284,631	
Ending Balance (Deficit)	\$ 339,237	\$ 8,380	\$ 0				\$ 347,617	\$ 174,964	\$ 471,731	\$ 248,206	

Superior Court of California, County of Alpine  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended September									
	Fiscal Year 2016/17								2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 71,945	\$ 14,385					\$ 86,330	\$ 339,320	\$ 58,259	\$ 313,823
Traffic & Other Infractions										
Other Criminal Cases										
Civil										\$ 100
Family & Children Services		\$ 1,520					\$ 1,520		\$ 2,280	\$ 9,120
Probate, Guardianship & Mental Health Services										
Juvenile Dependency Services										
Juvenile Delinquency Services										
Other Court Operations	\$ 1,582						\$ 1,582		\$ 1,582	\$ 100
Court Interpreters								\$ 300	\$ 131	
Jury Services	\$ 3,704						\$ 3,704	\$ 15,768		\$ 14,550
Security								\$ 1,600	\$ 89	
Trial Court Operations Program	\$ 77,232	\$ 15,905					\$ 93,136	\$ 356,988	\$ 62,341	\$ 337,693
Enhanced Collections	\$ 12,663						\$ 12,663	\$ 83,691	\$ 5,472	\$ 60,641
Other Non-Court Operations							\$ 22			
Non-Court Operations Program	\$ 12,663						\$ 12,686	\$ 83,691	\$ 5,472	\$ 60,641
Executive Office	\$ 3,704						\$ 3,704	\$ 71,343		\$ 103,661
Fiscal Services	\$ 15,686	\$ 614	\$ 0				\$ 16,300	\$ 18,268	\$ 12,313	\$ 68,407
Human Resources	\$ 6,426						\$ 6,426	\$ 25,124	\$ 6,208	\$ 46,385
Business & Facilities Services		\$ 10,724					\$ 10,724	\$ 131,790	\$ 5,369	\$ 23,635
Information Technology		\$ 1,746					\$ 1,746	\$ 45,000	\$ 31,141	\$ 87,628
Court Administration Program	\$ 25,816	\$ 13,084	\$ 0				\$ 38,900	\$ 291,525	\$ 55,031	\$ 329,716
Expenditures Not Distributed or Posted to a Program									\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 115,710</b>	<b>\$ 28,989</b>	<b>\$ 22</b>				<b>\$ 144,722</b>	<b>\$ 732,204</b>	<b>\$ 122,843</b>	<b>\$ 728,050</b>