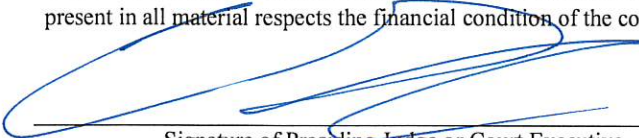


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

12/5/16

Date

ALAMEDA

Court
2016-2017 Q1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

ALAMEDA

Court

2016-2017 Q1

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

ALAMEDA

Court

2016-2017 Q1

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	712.86	675.08			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended September									
Fiscal Year 2016/17									2015/16
	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 857,503	\$ 704,113	\$ (1,352,768)	\$ 134,266			\$ 436,086	\$ 779,201	\$ 394,669
Payroll	\$ (7,851)	\$ 0						\$ (7,851)	\$ (45,624)
Jury Revolving	\$ 100,000							\$ 100,000	\$ 150,000
Other Distribution	\$ 0							\$ 0	\$ 0
Civil Filing Fees Trust							\$ 0	\$ 0	\$ 0
Credit Card							\$ (18,656)	\$ (18,656)	\$ (38,156)
Cash on Hand	\$ 24,215							\$ 24,215	\$ 22,415
Cash with County	\$ 41,595							\$ 41,595	\$ 47,033
Cash Outside of the JCC							\$ 23,423,190	\$ 23,423,190	\$ 18,412,603
Cash Equivalents	\$ 28,832,394						\$ 4,157,040	\$ 32,989,433	\$ 35,087,674
Total Cash and Cash Equivalents	\$ 29,847,855	\$ 704,113	\$ (1,352,768)	\$ 134,266			\$ 27,997,660	\$ 57,331,126	\$ 54,030,614
Short-Term Investment Investments									
Total Investments									
Accrued Revenue	\$ 0	\$ 0		\$ 0				\$ 0	\$ 0
Accounts Receivable - General	\$ 132,230	\$ 0	\$ 1,132,325					\$ 1,264,555	\$ 923,268
Dishonored Checks								\$ 0	
Due From Employee	\$ 0							\$ 0	
Civil Jury Fees Trust							\$ 51,937	\$ 51,937	\$ 51,937
Due From Other Funds	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									\$ 0
Total Receivables	\$ 132,230	\$ 0	\$ 1,132,325	\$ 0			\$ 51,937	\$ 1,316,491	\$ 975,205
Prepaid Expenses - General	\$ 19,074		\$ 0					\$ 19,074	\$ 246,838
Salary and Travel Advances Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 19,074		\$ 0					\$ 19,074	\$ 246,838
Other Assets									
Total Other Assets									
Total Assets	\$ 29,999,160	\$ 704,113	\$ (220,443)	\$ 134,266			\$ 28,049,596	\$ 58,666,692	\$ 55,252,657
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 1,085,565
Accounts Payable - General	\$ 109,144	\$ 0	\$ (3,222)	\$ 0			\$ 0	\$ 105,922	\$ 109,420
Due to Other Funds	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due to Other Courts									
Due to State	\$ 0	\$ 0						\$ 0	\$ 0
TC145 Liability							\$ 2,553,510	\$ 2,553,510	\$ 2,096,868
Due to Other Governments	\$ 3,401,321		\$ 0				\$ 15,797,623	\$ 19,198,944	\$ 16,778,948
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 387	\$ 387	\$ 66
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 3,510,464	\$ 0	\$ (3,222)	\$ 0			\$ 18,351,521	\$ 21,858,763	\$ 20,070,867
Civil							\$ 2,007,691	\$ 2,007,691	\$ 3,294,286
Criminal							\$ 0	\$ 0	\$ 0
Unreconciled - Civil and Criminal									
Trust Held Outside of the JCC							\$ 7,677,503	\$ 7,677,503	\$ 6,567,802
Trust Interest Payable							\$ 4,190	\$ 4,190	\$ 1,946
Miscellaneous Trust									
Total Trust Deposits							\$ 9,689,384	\$ 9,689,384	\$ 9,864,034
Accrued Payroll	\$ 4,029,357	\$ 53,010						\$ 4,082,367	\$ 3,564,780
Benefits Payable	\$ (8,602)							\$ (8,602)	\$ (417)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 21,053							\$ 21,053	\$ (2,161)
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 4,041,807	\$ 53,010						\$ 4,094,817	\$ 3,562,201
Revenue Collected in Advance	\$ (73,119)		\$ 183,129					\$ 110,010	\$ 18,568
Liabilities For Deposits	\$ 94,988						\$ 8,691	\$ 103,679	\$ 103,679
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0							\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 21,869		\$ 183,129				\$ 8,691	\$ 213,690	\$ 122,247
Total Liabilities	\$ 7,574,141	\$ 53,010	\$ 179,908	\$ 0			\$ 28,049,596	\$ 35,856,655	\$ 33,619,348
Total Fund Balance	\$ 22,425,019	\$ 651,103	\$ (400,351)	\$ 134,266				\$ 22,810,037	\$ 21,633,309
Total Liabilities and Fund Balance	\$ 29,999,160	\$ 704,113	\$ (220,443)	\$ 134,266			\$ 28,049,596	\$ 58,666,692	\$ 55,252,657

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended September											
Fiscal Year 2016/17										2015/16	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 37,241,535	\$ 84,612					\$ 37,326,147	\$ 80,789,517	\$ 37,327,125	\$ 80,437,305	
Improvement and Modernization Fund	\$ 144,384						\$ 144,384	\$ 399,411	\$ (4,965)	\$ 448,828	
Judges' Compensation (45.25)	\$ 178,125						\$ 178,125	\$ 712,500	\$ 178,125	\$ 712,500	
Court Interpreter (45.45)	\$ 1,148,224						\$ 1,148,224	\$ 4,500,000	\$ 953,398	\$ 4,619,267	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 84,518						\$ 84,518	\$ 852,208	\$ 124,879	\$ 760,784	
Other Miscellaneous								\$ 3,102,047		\$ 3,102,047	
	\$ 38,796,786	\$ 84,612					\$ 38,881,398	\$ 90,355,683	\$ 38,578,562	\$ 90,080,731	
Grants											
AB 1058 Commissioner/Facilitator	\$ (134,146)		\$ 118,385				\$ (15,761)	\$ 1,911,945	\$ 46,046	\$ 2,040,344	
Other Judicial Council Grants			\$ 80,742				\$ 80,742	\$ 947,115	\$ 62,748	\$ 981,531	
Non-Judicial Council Grants			\$ 82,177				\$ 82,177	\$ 1,798,208	\$ 98,655	\$ 1,097,023	
	\$ (134,146)		\$ 281,305				\$ 147,159	\$ 4,657,268	\$ 207,450	\$ 4,118,898	
Other Financing Sources											
Interest Income	\$ 1,942	\$ 148		\$ 21			\$ 2,110	\$ 78,130	\$ 416	\$ 63,770	
Investment Income											
Donations											
Local Fees	\$ 583,601	\$ 20,582					\$ 604,182	\$ 2,601,500	\$ 468,450	\$ 2,706,350	
Non-Fee Revenues								\$ 150,000		\$ 152,000	
Enhanced Collections		\$ 487,458					\$ 487,458	\$ 3,000,000	\$ 515,073	\$ 3,550,000	
Escheatment											
Prior Year Revenue											
County Program - Restricted		\$ 0					\$ 0	\$ 1,132,483	\$ 0	\$ 1,086,609	
Reimbursement Other	\$ 19,971						\$ 19,971	\$ 142,926	\$ 30,443	\$ 129,500	
Sale of Fixed Assets											
Other Miscellaneous	\$ (8,196)						\$ (8,196)	\$ 39,000	\$ (13,627)	\$ 49,000	
	\$ 597,318	\$ 508,188		\$ 21			\$ 1,105,526	\$ 7,144,039	\$ 1,000,756	\$ 7,737,229	
Total Revenues	\$ 39,259,958	\$ 592,800	\$ 281,305	\$ 21			\$ 40,134,083	\$ 102,156,990	\$ 39,786,767	\$ 101,936,858	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 11,688,739	\$ 191,928	\$ 355,712				\$ 12,236,380	\$ 49,231,624	\$ 12,465,872	\$ 48,855,169	
Temp Help	\$ 356,247	\$ 766	\$ 10,385				\$ 367,397	\$ 1,425,558	\$ 366,258	\$ 1,245,594	
Overtime	\$ 106,254	\$ 1,935					\$ 108,189		\$ 109,606	\$ 134,721	
Staff Benefits	\$ 5,309,684	\$ 82,206	\$ 153,536				\$ 5,545,426	\$ 31,976,595	\$ 7,264,853	\$ 28,921,629	
	\$ 17,460,924	\$ 276,834	\$ 519,633				\$ 18,257,392	\$ 82,633,777	\$ 20,206,588	\$ 79,157,113	
Operating Expenses and Equipment											
General Expense	\$ 191,624	\$ 945	\$ (3,022)				\$ 189,547	\$ 2,740,749	\$ 655,149	\$ 2,566,292	
Printing	\$ (29,881)						\$ (29,881)	\$ 244,081	\$ 62,201	\$ 333,315	
Telecommunications	\$ 23,263						\$ 23,263	\$ 470,104	\$ 95,920	\$ 432,380	
Postage	\$ 177,115		\$ 38				\$ 177,154	\$ 468,776	\$ 272,108	\$ 602,050	
Insurance	\$ 600						\$ 600	\$ 26,050	\$ 600	\$ 28,000	
In-State Travel	\$ 10,611		\$ 2,361				\$ 12,972	\$ 144,485	\$ 26,018	\$ 138,862	
Out-of-State Travel	\$ 1,243						\$ 1,243	\$ 14,104			
Training	\$ 6,340		\$ 3,512				\$ 9,853	\$ 108,947	\$ 36,272	\$ 480,963	
Security Services	\$ 10,430						\$ 10,430	\$ 270,365	\$ 329	\$ 67,800	
Facility Operations	\$ 404,609						\$ 404,609	\$ 1,866,230	\$ 525,248	\$ 3,152,822	
Utilities											
Contracted Services	\$ 888,249	\$ 469,436	\$ 71,923				\$ 1,429,609	\$ 10,048,044	\$ 2,346,828	\$ 11,606,873	
Consulting and Professional Services	\$ 139,799						\$ 139,799	\$ 586,656	\$ 319,383	\$ 1,366,155	
Information Technology	\$ 282,544						\$ 282,544	\$ 1,659,862	\$ 1,067,289	\$ 1,776,812	
Major Equipment	\$ (23,882)						\$ (23,882)	\$ 316,500	\$ 182,370	\$ 524,852	
Other Items of Expense	\$ 7,590		\$ 19,896				\$ 27,486	\$ 155,867	\$ 40,521	\$ 159,473	
	\$ 2,090,254	\$ 470,382	\$ 94,709				\$ 2,655,344	\$ 19,120,820	\$ 5,630,236	\$ 23,236,649	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 123,993						\$ 123,993	\$ 737,503	\$ 192,673	\$ 615,000	
Judgements, Settlements and Claims											
Debt Service											
Other								\$ 2,000,000		\$ 2,000,000	
Capital Costs								\$ 0	\$ 0	\$ 10,901	
Internal Cost Recovery	\$ (78,828)	\$ 11,514	\$ 67,314				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment									\$ (9,000)		
	\$ 45,165	\$ 11,514	\$ 67,314				\$ 123,993	\$ 2,737,503	\$ 183,673	\$ 2,625,901	
Total Expenditures	\$ 19,596,342	\$ 758,730	\$ 681,656				\$ 21,036,728	\$ 104,492,100	\$ 26,020,498	\$ 105,019,663	
Excess (Deficit) of Revenues Over Expenditures	\$ 19,663,615	\$ (165,931)	\$ (400,351)	\$ 21			\$ 19,097,354	\$ (2,335,110)	\$ 13,766,269	\$ (3,082,805)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 2,761,403	\$ 817,034	\$ 0	\$ 134,246			\$ 3,712,683	\$ 3,712,683	\$ 7,867,040	\$ 7,867,040	
Ending Balance (Deficit)	\$ 22,425,019	\$ 651,103	\$ (400,351)	\$ 134,266			\$ 22,810,037	\$ 1,377,573	\$ 21,633,309	\$ 4,784,235	

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended September										
Fiscal Year 2016/17									2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 6,859,059	\$ 249,368					\$ 7,108,428	\$ 29,709,222	\$ 6,643,527	\$ 28,125,744
Traffic & Other Infractions	\$ 1,044,369	\$ 126,084					\$ 1,170,452	\$ 5,755,971	\$ 1,466,861	\$ 5,831,513
Other Criminal Cases	\$ 1,713,054	\$ 74,253					\$ 1,787,307	\$ 8,735,454	\$ 1,762,916	\$ 8,938,692
Civil	\$ 1,008,137	\$ 347					\$ 1,008,484	\$ 5,641,878	\$ 1,233,697	\$ 7,672,217
Family & Children Services	\$ 2,091,204	\$ 44,705					\$ 2,135,909	\$ 11,019,715	\$ 2,007,619	\$ 8,871,019
Probate, Guardianship & Mental Health Services	\$ 502,425						\$ 502,425	\$ 2,180,765	\$ 475,954	\$ 2,309,313
Juvenile Dependency Services	\$ 40	\$ 55,604					\$ 55,644	\$ 456,986		
Juvenile Delinquency Services	\$ 356,823						\$ 356,823	\$ 1,536,608	\$ 384,031	\$ 2,475,961
Other Court Operations	\$ 546,459	\$ 80,819					\$ 627,278	\$ 2,890,264	\$ 1,113,588	\$ 2,703,690
Court Interpreters	\$ 968,484	\$ 140,761					\$ 1,109,245	\$ 5,225,709	\$ 1,028,599	\$ 4,521,256
Jury Services	\$ 151,850	\$ 108,892	\$ 123,993				\$ 384,734	\$ 2,035,316	\$ 771,582	\$ 1,905,582
Security	\$ 704,236	\$ 24,014					\$ 728,250	\$ 2,839,464	\$ 702,962	\$ 3,242,436
Trial Court Operations Program	\$ 15,946,141	\$ 904,846	\$ 123,993				\$ 16,974,980	\$ 78,027,352	\$ 17,591,336	\$ 76,597,423
Enhanced Collections	\$ 56,749	\$ 419,217			\$ 11,514		\$ 487,480	\$ 3,000,480	\$ 515,073	\$ 3,000,000
Other Non-Court Operations	\$ 236,444						\$ 236,444	\$ 1,097,483	\$ 206,232	\$ 1,051,609
Non-Court Operations Program	\$ 293,193	\$ 419,217			\$ 11,514		\$ 723,924	\$ 4,097,963	\$ 721,306	\$ 4,051,609
Executive Office	\$ (240,983)	\$ 41,591			\$ (1,890)		\$ (201,282)	\$ 1,373,656	\$ 379,161	\$ 1,699,799
Fiscal Services	\$ 669,408	\$ 126,623			\$ (3,598)		\$ 792,433	\$ 3,511,967	\$ 736,250	\$ 3,256,297
Human Resources	\$ 284,070	\$ 33,582			\$ (1,570)		\$ 316,081	\$ 2,259,483	\$ 2,038,068	\$ 1,846,417
Business & Facilities Services	\$ 117,239	\$ 527,719					\$ 644,959	\$ 5,748,067	\$ 712,222	\$ 6,534,219
Information Technology	\$ 1,188,323	\$ 601,766			\$ (4,455)		\$ 1,785,634	\$ 9,473,612	\$ 3,842,155	\$ 11,033,899
Court Administration Program	\$ 2,018,058	\$ 1,331,281			\$ (11,514)		\$ 3,337,825	\$ 22,366,785	\$ 7,707,856	\$ 24,370,631
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 18,257,392	\$ 2,655,344	\$ 123,993		\$ 0		\$ 21,036,728	\$ 104,492,100	\$ 26,020,498	\$ 105,019,663