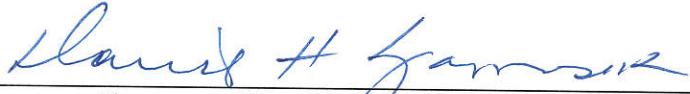


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

Superior Court – Santa Clara

Court

FY 2015-16 4th Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Superior Court – Santa Clara

Court

FY 2015-16 4th Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

1	
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Superior Court – Santa Clara

Court

FY 2015-16 4th Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	770.08	637.49	634.46	633.44	623.03

¹ The Authorized Positions should reflect the amount submitted on the court’s Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended June									
Fiscal Year 2015/16									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	2014/15 Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (4,132,189)	\$ 2,432,492	\$ 9,460	\$ 1,758,234			\$ 623,473	\$ 691,470	\$ 890,174
Payroll									
Jury									
Revolving									
Other	\$ 0							\$ 0	\$ 0
Distribution									
Civil Filing Fees							\$ 236,859	\$ 236,859	\$ 341,012
Trust							\$ 0	\$ 0	\$ 0
Credit Card							\$ (502,970)	\$ (502,970)	\$ (346,573)
Cash on Hand	\$ 14,810							\$ 15,860	\$ 14,810
Cash with County	\$ 4,849,343						\$ 1,050	\$ 4,849,343	\$ 3,849,768
Cash Outside of the JCC							\$ 0	\$ 0	\$ 0
Cash Equivalents	\$ 1,792,242						\$ 0	\$ 0	\$ 0
Total Cash and Cash Equivalents	\$ 2,524,206	\$ 2,432,492	\$ 9,460	\$ 1,758,234			\$ 30,052,114	\$ 31,844,358	\$ 36,672,802
Short-Term Investment									
Investments									
Total Investments									
Accrued Revenue	\$ 152,595	\$ 1,815	\$ 0	\$ 1,158				\$ 155,569	\$ 168,132
Accounts Receivable - General	\$ 28,450	\$ 24,250	\$ 1,242,448					\$ 1,295,148	\$ 927,089
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 29,073							\$ 29,073	\$ 9,525
Trust									
Due From Other Funds	\$ 2,040,672			\$ 0			\$ 0	\$ 2,040,672	\$ 2,665,470
Due From Other Governments	\$ 133,188	\$ 827,151	\$ 48,307				\$ 0	\$ 1,008,646	\$ 1,756,000
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Due From State	\$ 1,834,322	\$ 113,397	\$ 7,832				\$ 0	\$ 1,955,551	\$ 1,643,316
Trust Due To/From							\$ 0	\$ 0	\$ 0
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 0
General Due To/From	\$ 52,595	\$ 20,093						\$ 72,688	\$ 50,467
Total Receivables	\$ 4,270,895	\$ 986,708	\$ 1,298,586	\$ 1,158			\$ 0	\$ 6,557,347	\$ 7,219,999
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 6,795,101	\$ 3,419,200	\$ 1,308,047	\$ 1,759,392			\$ 30,410,526	\$ 43,692,265	\$ 48,641,993
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 608,467	\$ 50,005	\$ 51,378					\$ 709,850	\$ 718,187
Accounts Payable - General	\$ 477,212	\$ 4,057	\$ 146,543	\$ 0			\$ 0	\$ 627,811	\$ 610,812
Due to Other Funds	\$ 947,459	\$ 205,226	\$ 887,988				\$ 72,688	\$ 2,113,361	\$ 2,715,937
Due to Other Courts									\$ 0
Due to State	\$ 1,321,550	\$ 1,240						\$ 1,322,790	\$ 61,235
TC145 Liability								\$ 1,807,384	\$ 1,900,300
Due to Other Governments	\$ 129,426	\$ 646,264	\$ 70,502				\$ 846,192	\$ 88,920	\$ 88,920
AB145 Due to Other Government Agency	\$ 0						\$ 5,164,857	\$ 5,164,857	\$ 4,680,641
Due to Other Public Agencies									
Sales and Use Tax	\$ 1,754							\$ 1,754	\$ 659
Interest							\$ 365	\$ 365	\$ 60
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 3,485,868	\$ 906,791	\$ 1,156,411	\$ 0			\$ 7,045,294	\$ 12,594,364	\$ 10,776,750
Civil									
Criminal							\$ 19,250,725	\$ 19,250,725	\$ 22,111,931
Unreconciled - Civil and Criminal							\$ 3,979,426	\$ 3,979,426	\$ 2,449,814
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 0	\$ 0	\$ 0
Miscellaneous Trust							\$ 24,038	\$ 24,038	\$ 10,101
Total Trust Deposits							\$ 23,254,189	\$ 23,254,189	\$ 24,571,846
Accrued Payroll	\$ 2,605,210							\$ 2,605,210	\$ 2,204,250
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable									
Payroll Clearing									
Total Payroll Liabilities	\$ 2,605,210							\$ 2,605,210	\$ 2,204,250
Revenue Collected in Advance	\$ 0		\$ 151,636					\$ 151,636	\$ 4,305,110
Liabilities For Deposits	\$ 44,209	\$ 932					\$ 111,548	\$ 156,688	\$ 142,981
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0						\$ (505)	\$ (505)	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 44,209	\$ 932	\$ 151,636	\$ 0			\$ 111,043	\$ 307,820	\$ 4,448,090
Total Liabilities	\$ 6,135,286	\$ 907,723	\$ 1,308,047	\$ 0			\$ 30,410,526	\$ 38,761,582	\$ 42,000,937
Total Fund Balance	\$ 659,815	\$ 2,511,476	\$ 0	\$ 1,759,392				\$ 4,930,683	\$ 6,641,056
Total Liabilities and Fund Balance	\$ 6,795,101	\$ 3,419,200	\$ 1,308,047	\$ 1,759,392			\$ 30,410,526	\$ 43,692,265	\$ 48,641,993

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended June													
Fiscal Year 2015/16													
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	2014/15			
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds			Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds	Final Budget
		Non-Grant	Grant									(Info. Purposes Only)	(Annual)
REVENUES													
State Financing Sources													
Trial Court Trust Fund	\$ 77,354,405	\$ 625,232					\$ 77,979,637	\$ 78,045,349	\$ 78,204,388	\$ 79,733,098			
Improvement and Modernization Fund	\$ 258,149						\$ 258,149	\$ 238,520	\$ 702,890	\$ 723,082			
Judges' Compensation (45.25)	\$ 726,112						\$ 726,112	\$ 710,000	\$ 713,125	\$ 710,000			
Court Interpreter (45.45)	\$ 3,918,303						\$ 3,918,303	\$ 4,000,000	\$ 4,153,500	\$ 4,000,000			
Civil Coordination Reimbursement (45.55)													
MOU Reimbursements (45.10 and General)	\$ 870,158						\$ 870,158	\$ 840,693	\$ 843,632	\$ 800,693			
Other Miscellaneous	\$ 2,309,487						\$ 2,309,487	\$ 2,309,467	\$ 2,309,467	\$ 2,309,467			
	\$ 85,436,594	\$ 625,232					\$ 86,061,826	\$ 86,144,029	\$ 86,927,002	\$ 88,276,340			
Grants													
AB 1058 Commissioner/Facilitator	\$ 0		\$ 2,735,419				\$ 2,735,419	\$ 2,718,528	\$ 2,780,941	\$ 2,606,208			
Other Judicial Council Grants	\$ 1		\$ 629,992				\$ 629,992	\$ 891,454	\$ 970,182	\$ 475,775			
Non-Judicial Council Grants	\$ 1		\$ 1,122,545				\$ 1,122,546	\$ 1,271,415	\$ 974,056	\$ 1,365,465			
	\$ 1		\$ 4,487,957				\$ 4,487,958	\$ 4,881,397	\$ 4,725,179	\$ 4,447,448			
Other Financing Sources													
Interest Income	\$ 80,023	\$ 10,604		\$ 5,713			\$ 96,340	\$ 60,000	\$ 59,036	\$ 50,000			
Investment Income													
Donations		\$ 22,288					\$ 22,288	\$ 40,000	\$ 38,290	\$ 50,000			
Local Fees	\$ 1,486,219	\$ 223,874					\$ 1,710,093	\$ 1,852,000	\$ 1,650,844	\$ 1,497,000			
Non-Fee Revenues													
Enhanced Collections		\$ 724,921					\$ 724,921	\$ 685,000	\$ 684,521	\$ 665,000			
Escheatment	\$ (3,981)	\$ 441					\$ (3,540)	\$ 17,000	\$ (156,764)	\$ 60,000			
Prior Year Revenue													
County Program - Restricted		\$ 346,131					\$ 346,131	\$ 346,126	\$ 342,564	\$ 322,502			
Reimbursement Other	\$ 160,585	\$ 1,600,153	\$ 175,794				\$ 1,996,532	\$ 3,226,156	\$ 4,879,557	\$ 2,900,589			
Sale of Fixed Assets													
Other Miscellaneous	\$ 1,734,257						\$ 1,734,257	\$ 1,620,903	\$ 1,621,216	\$ 1,613,753			
	\$ 3,457,103	\$ 2,988,413	\$ 175,794	\$ 5,713			\$ 6,627,023	\$ 7,847,185	\$ 9,339,027	\$ 7,158,844			
Total Revenues	\$ 88,893,698	\$ 3,613,645	\$ 4,663,751	\$ 5,713			\$ 97,176,807	\$ 98,872,611	\$ 100,991,208	\$ 99,882,632			
EXPENDITURES													
Personal Services													
Salaries - Permanent	\$ 44,198,041	\$ 911,198	\$ 1,706,003				\$ 46,815,242	\$ 40,386,205	\$ 49,292,412	\$ 40,031,646			
Temp Help	\$ 628,123	\$ 63,823	\$ 27,014				\$ 618,959	\$ 341,171	\$ 441,832	\$ 953,848			
Overtime	\$ 173,918	\$ 3,854					\$ 177,772	\$ 25,000	\$ 22,941	\$ 25,000			
Staff Benefits	\$ 28,660,515	\$ 2,263,233	\$ 1,525,846				\$ 32,449,593	\$ 42,187,696	\$ 36,515,959	\$ 39,999,941			
	\$ 73,660,596	\$ 3,242,108	\$ 3,258,863				\$ 80,061,567	\$ 82,940,072	\$ 86,273,144	\$ 81,010,435			
Operating Expenses and Equipment													
General Expense	\$ 1,541,653	\$ 138,078	\$ 46,792				\$ 1,726,524	\$ 1,730,404	\$ 1,532,430	\$ 1,714,093			
Printing	\$ 121,955		\$ 40				\$ 121,995	\$ 125,000	\$ 106,468	\$ 154,000			
Telecommunications	\$ 947,121		\$ 426				\$ 947,547	\$ 650,000	\$ 729,739	\$ 680,000			
Postage	\$ 368,595		\$ 6				\$ 368,601	\$ 364,000	\$ 363,672	\$ 422,000			
Insurance	\$ 34,379						\$ 34,379	\$ 41,000	\$ 40,536	\$ 47,000			
In-State Travel	\$ 89,498	\$ 3,025	\$ 31,133				\$ 123,655	\$ 121,100	\$ 93,716	\$ 133,200			
Out-of-State Travel	\$ 8,679		\$ 19,856				\$ 28,536	\$ 22,500	\$ 12,500	\$ 10,868			
Training	\$ 81,108		\$ 12,674				\$ 93,782	\$ 104,999	\$ 105,592	\$ 113,000			
Security Services	\$ 111,081		\$ 357,001				\$ 468,082	\$ 357,001	\$ 315,958	\$ 315,958			
Facility Operations	\$ 1,264,853						\$ 1,264,853	\$ 1,344,156	\$ 1,261,130	\$ 1,371,100			
Utilities	\$ 178,798						\$ 178,798	\$ 185,000	\$ 184,586	\$ 165,000			
Contracted Services	\$ 5,933,753	\$ 1,301,517	\$ 1,108,484				\$ 8,343,754	\$ 9,598,887	\$ 7,423,628	\$ 6,458,813			
Consulting and Professional Services	\$ 2,102,707						\$ 2,102,707	\$ 2,059,595	\$ 1,899,677	\$ 1,948,527			
Information Technology	\$ 340,560	\$ 322,146	\$ 1,608				\$ 664,314	\$ 843,000	\$ 972,438	\$ 2,895,773			
Major Equipment	\$ 131,171	\$ 66,287					\$ 217,438	\$ 217,438	\$ 101,307	\$ 101,307			
Other Items of Expense	\$ 61,563						\$ 61,563	\$ 53,500	\$ 51,753	\$ 58,000			
	\$ 13,317,475	\$ 1,851,032	\$ 1,578,020				\$ 16,746,528	\$ 17,600,142	\$ 15,195,129	\$ 16,487,332			
Special Items of Expense													
Grand Jury	\$ 0	\$ 3,923					\$ 3,923	\$ 6,000	\$ 6,325	\$ 5,000			
Jury Costs	\$ 697,399	\$ 103,243					\$ 800,642	\$ 738,500	\$ 737,469	\$ 725,000			
Judgements, Settlements and Claims	\$ 22,757						\$ 22,757						
Debt Service													
Other	\$ 1,251,763						\$ 1,251,763						
Capital Costs													
Internal Cost Recovery	\$ (507,205)		\$ 507,205				\$ 0	\$ 1,750,000	\$ (1,750,000)	\$ 3,500,000			
Prior Year Expense Adjustment								\$ 0	\$ 0	\$ 0			
	\$ 1,464,714	\$ 107,166	\$ 507,205				\$ 2,079,085	\$ 2,494,500	\$ (405,132)	\$ 4,230,000			
Total Expenditures	\$ 88,342,785	\$ 5,200,308	\$ 5,344,088				\$ 98,887,179	\$ 103,034,714	\$ 100,056,936	\$ 101,727,767			
Excess (Deficit) of Revenues Over Expenditures	\$ 550,913	\$ (1,586,661)	\$ (680,337)	\$ 5,713			\$ (1,710,372)	\$ (4,162,103)	\$ 934,272	\$ (1,845,135)			
Operating Transfers In (Out)	\$ (1,090,520)	\$ 410,183	\$ 680,337				\$ 0	\$ 0	\$ 0	\$ 0			
Fund Balance (Deficit)													
Beginning Balance (Deficit)	\$ 1,199,422	\$ 3,687,955	\$ 0	\$ 1,753,679			\$ 6,641,056	\$ 6,641,056	\$ 5,706,784	\$ 5,706,784			
Ending Balance (Deficit)	\$ 659,815	\$ 2,511,476	\$ 0	\$ 1,759,392			\$ 4,930,683	\$ 2,478,953	\$ 6,641,056	\$ 3,861,649			

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended June										
Fiscal Year 2015/16										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	2014/15 Total Actual Expense	2014/15 Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 21,825,438	\$ 1,930,965								
Traffic & Other Infractions	\$ 3,845,825	\$ 46,285				\$ (218,793)	\$ 23,537,609	\$ 25,969,295	\$ 26,087,138	\$ 24,737,675
Other Criminal Cases	\$ 8,842,991	\$ 2,061,133					\$ 3,892,110	\$ 4,618,813	\$ 4,587,453	\$ 5,444,042
Civil	\$ 8,024,397	\$ 356,206				\$ 2,109	\$ 10,906,233	\$ 10,799,433	\$ 12,277,319	\$ 11,163,023
Family & Children Services	\$ 10,582,253	\$ 2,652,378					\$ 8,380,603	\$ 8,368,301	\$ 9,611,711	\$ 8,611,160
Probate, Guardianship & Mental Health Services	\$ 2,812,470	\$ 75,601	\$ 10,064			\$ 218,793	\$ 13,463,488	\$ 13,474,461	\$ 14,284,363	\$ 13,110,289
Juvenile Dependency Services	\$ 517,755	\$ 110,304					\$ 2,888,071	\$ 3,002,569	\$ 3,209,803	\$ 2,999,808
Juvenile Delinquency Services	\$ 570,402	\$ 220,054				\$ 0	\$ 628,060	\$ 636,838	\$ 781,207	\$ 487,387
Other Court Operations	\$ 354,953	\$ 15,447					\$ 790,457	\$ 695,501	\$ 763,650	\$ 622,348
Court Interpreters	\$ 3,352,679	\$ 983,651	\$ 12,693				\$ 370,399	\$ 368,374	\$ 424,205	\$ 201,716
Jury Services	\$ 603,122	\$ 157,489	\$ 697,399				\$ 4,349,022	\$ 4,607,924	\$ 4,683,982	\$ 4,142,988
Security		\$ 233,101					\$ 1,458,010	\$ 1,105,410	\$ 1,411,551	\$ 1,098,427
Trial Court Operations Program	\$ 61,332,285	\$ 8,842,614	\$ 720,156			\$ 2,109	\$ 70,897,164	\$ 73,646,919	\$ 78,241,354	\$ 72,618,863
Enhanced Collections										
Other Non-Court Operations	\$ 1,777,479	\$ 144,034	\$ 107,166				\$ 2,028,679	\$ 2,323,487	\$ 2,447,702	\$ 2,160,297
Non-Court Operations Program	\$ 1,777,479	\$ 144,034	\$ 107,166				\$ 2,028,679	\$ 2,323,487	\$ 2,447,702	\$ 2,160,297
Executive Office	\$ 2,911,053	\$ 264,257	\$ 1,763				\$ 3,174,965	\$ 2,971,456	\$ 3,098,540	\$ 1,792,257
Fiscal Services	\$ 3,423,771	\$ 2,143,658				\$ (2,109)	\$ 5,567,429	\$ 5,172,567	\$ 5,147,088	\$ 4,757,110
Human Resources	\$ 4,207,356	\$ 99,721	\$ 1,250,000				\$ 5,557,077	\$ 1,773,977	\$ 1,534,782	\$ 1,657,288
Business & Facilities Services	\$ 1,890,528	\$ 1,491,808					\$ 3,382,336	\$ 6,828,338	\$ 1,690,763	\$ 9,159,477
Information Technology	\$ 4,519,095	\$ 3,760,435					\$ 8,279,530	\$ 10,317,970	\$ 7,896,707	\$ 9,582,475
Court Administration Program	\$ 16,951,803	\$ 7,759,879	\$ 1,251,763			\$ (2,109)	\$ 25,961,337	\$ 27,064,308	\$ 19,367,880	\$ 26,948,607
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 80,061,567	\$ 16,746,528	\$ 2,079,085			\$ 0	\$ 98,887,179	\$ 103,034,714	\$ 100,056,936	\$ 101,727,767

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Santa Clara

Classification	Info Only	Info Only	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	
	General TCTF	General Non-TCTF	General	Special Revenue		Capital Project				Debt Service
				Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-	-	
Restricted	-	-	-	2,511,476	-	-	-	-	2,511,476	
Committed	409,713	62,877	472,590	-	-	1,759,392	-	-	2,231,982	
Assigned	-	187,224	187,224	-	-	-	-	-	187,224	
Unassigned	-	-	-	-	-	-	-	-	-	
Total	\$ 409,713	\$ 250,101	\$ 659,814	\$ 2,511,476	\$ -	\$ 1,759,392	\$ -	\$ -	\$ 4,930,683	