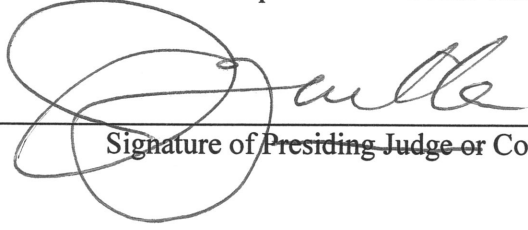


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

5/12/16

Date

Sonoma

Court

2015-2016 Q3

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Court

Fiscal Year and Ending Quarter

		Positions (FTEs) Filled			
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)					

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March
 Fiscal Year 2015/16

2014/15

	Governmental Funds							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds		
		Non-Grant	Grant						
ASSETS									
Operations	\$ (389,997)	\$ 1,093,691	\$ (561,580)				\$ 190,530	\$ 332,645	\$ 397,407
Payroll	\$ 2,101	\$ 0						\$ 2,101	\$ 3,863
Jury									
Revolving	\$ 15,000							\$ 15,000	\$ 11,753
Other									
Distribution							\$ 0	\$ 0	\$ 0
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (79,180)	\$ (79,180)	\$ (34,208)
Credit Card									
Cash on Hand	\$ 7,950							\$ 7,950	\$ 7,950
Cash with County	\$ 0		\$ 0					\$ 0	\$ 0
Cash Outside of the JCC									
Cash Equivalents	\$ 3,667,555						\$ 7,435,753	\$ 11,103,308	\$ 12,426,401
Total Cash and Cash Equivalents	\$ 3,302,609	\$ 1,093,691	\$ (561,580)				\$ 7,547,103	\$ 11,381,824	\$ 12,813,166
Short-Term Investment									
Investments							\$ 0	\$ 0	\$ 0
Total Investments							\$ 0	\$ 0	\$ 0
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ (11,391)	\$ 0	\$ 0					\$ (11,391)	\$ (11,391)
Trust Due To/From							\$ 13,592	\$ 13,592	\$ 1,908
Distribution Due To/From							\$ 0	\$ 0	\$ 2
Civil Filing Fee Due To/From									
General Due To/From	\$ 448							\$ 448	\$ 38
Total Receivables	\$ (10,942)	\$ 0	\$ 0				\$ 13,592	\$ 2,650	\$ (9,442)
Prepaid Expenses - General	\$ 8,789							\$ 8,789	\$ 28,443
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 8,789							\$ 8,789	\$ 28,443
Other Assets									
Total Other Assets									
Total Assets	\$ 3,300,455	\$ 1,093,691	\$ (561,580)				\$ 7,560,695	\$ 11,393,262	\$ 12,832,167
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 38,194	\$ 3,866	\$ 186				\$ 1,070	\$ 43,315	\$ 12,346
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 14,040	\$ 14,040	\$ 1,948
Due to Other Courts									
Due to State	\$ 0							\$ 0	\$ 0
TC145 Liability							\$ 522,644	\$ 522,644	\$ 600,325
Due to Other Governments							\$ 605,273	\$ 605,273	\$ 495,250
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 1,004	\$ 1,004	\$ 87
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
Total Accounts Payable and Accrued Liab.	\$ 38,194	\$ 3,866	\$ 186				\$ 1,144,031	\$ 1,186,277	\$ 1,109,957
Civil							\$ 5,862,011	\$ 5,862,011	\$ 5,688,193
Criminal							\$ 398,215	\$ 398,215	\$ 294,899
Unreconciled - Civil and Criminal							\$ 29,731	\$ 29,731	\$ 29,731
Trust Held Outside of the JCC									
Trust Interest Payable							\$ 7,294	\$ 7,294	\$ 6,036
Miscellaneous Trust									
Total Trust Deposits							\$ 6,297,251	\$ 6,297,251	\$ 6,018,858
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (165,162)						\$ 0	\$ (165,162)	\$ (278,269)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 1,310
Deductions Payable	\$ 26,455							\$ 26,455	\$ 201,416
Payroll Clearing									
Total Payroll Liabilities	\$ (138,707)	\$ 0	\$ 0				\$ 0	\$ (138,707)	\$ (75,543)
Revenue Collected in Advance	\$ 0							\$ 0	
Liabilities For Deposits	\$ 16,145						\$ 12,903	\$ 29,048	\$ 93,208
Jury Fees - Non-Interest							\$ 104,319	\$ 104,319	\$ 100,020
Fees - Partial Payment & Overpayment							\$ 2,191	\$ 2,191	\$ 1,484
Uncleared Collections	\$ 32							\$ 32	\$ 0
Other Miscellaneous Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Total Other Liabilities	\$ 16,177	\$ 0	\$ 0				\$ 119,413	\$ 135,589	\$ 194,713
Total Liabilities	\$ (84,336)	\$ 3,866	\$ 186				\$ 7,560,695	\$ 7,480,410	\$ 7,247,984
Total Fund Balance	\$ 3,384,792	\$ 1,089,826	\$ (561,765)					\$ 3,912,852	\$ 5,584,182
Total Liabilities and Fund Balance	\$ 3,300,455	\$ 1,093,691	\$ (561,580)				\$ 7,560,695	\$ 11,393,262	\$ 12,832,167

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March											
Fiscal Year 2015/16									2014/15		
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 17,578,432	\$ 95,775					\$ 17,674,207	\$ 24,044,853	\$ 16,241,376	\$ 21,476,113	
Improvement and Modernization Fund	\$ 23,588						\$ 23,588	\$ 64,555	\$ 44,777	\$ 72,511	
Judges' Compensation (45.25)	\$ 64,141						\$ 64,141		\$ 149,625	\$ 190,000	
Court Interpreter (45.45)	\$ 854,781						\$ 854,781	\$ 1,146,890	\$ 769,734	\$ 1,244,933	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 173,579						\$ 173,579	\$ 185,370	\$ 150,955	\$ 258,278	
Other Miscellaneous	\$ 1,172,050						\$ 1,172,050	\$ 1,172,049	\$ 1,172,049	\$ 1,172,049	
	\$ 19,866,571	\$ 95,775					\$ 19,962,346	\$ 26,613,717	\$ 18,528,516	\$ 24,413,884	
Grants											
AB 1058 Commissioner/Facilitator			\$ 421,646				\$ 421,646	\$ 841,006	\$ 471,472	\$ 809,537	
Other Judicial Council Grants			\$ 94,876				\$ 94,876	\$ 322,157	\$ 0		
Non-Judicial Council Grants											
			\$ 516,522				\$ 516,522	\$ 1,163,163	\$ 471,472	\$ 809,537	
Other Financing Sources											
Interest Income	\$ 11,745	\$ 2,159					\$ 13,904	\$ 17,850	\$ 10,933	\$ 20,790	
Investment Income											
Donations											
Local Fees	\$ 230,129						\$ 230,129	\$ 305,290	\$ 224,621	\$ 254,160	
Non-Fee Revenues											
Enhanced Collections		\$ 658,186					\$ 658,186	\$ 1,552,130	\$ 940,371	\$ 1,439,627	
Escheatment									\$ 0		
Prior Year Revenue	\$ 130,456						\$ 130,456	\$ 122,180	\$ 3,175	\$ 3,175	
County Program - Restricted	\$ 0	\$ 5,653					\$ 5,653	\$ 23,020	\$ 5,885	\$ 23,890	
Reimbursement Other	\$ 98,514						\$ 98,514	\$ 194,860	\$ 234,964	\$ 385,708	
Sale of Fixed Assets											
Other Miscellaneous	\$ 27,719						\$ 27,719	\$ 200,020	\$ 97,660	\$ 281,840	
	\$ 498,564	\$ 665,998					\$ 1,164,562	\$ 2,415,350	\$ 1,517,608	\$ 2,409,190	
Total Revenues	\$ 20,365,134	\$ 761,773	\$ 516,522				\$ 21,643,429	\$ 30,192,230	\$ 20,517,596	\$ 27,632,611	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 7,997,623	\$ 374,133	\$ 503,110				\$ 8,874,865	\$ 11,526,287	\$ 8,356,402	\$ 10,923,470	
Temp Help											
Overtime	\$ 23,429	\$ 126	\$ 406				\$ 23,961		\$ 4,549		
Staff Benefits	\$ 6,612,597	\$ 348,941	\$ 377,450				\$ 7,338,988	\$ 10,985,932	\$ 6,752,716	\$ 10,788,491	
	\$ 14,633,648	\$ 723,200	\$ 880,966				\$ 16,237,814	\$ 22,512,219	\$ 15,113,667	\$ 21,711,961	
Operating Expenses and Equipment											
General Expense	\$ 234,587	\$ 5,622	\$ 4,389				\$ 244,597	\$ 346,130	\$ 185,653	\$ 333,174	
Printing	\$ 61,934	\$ 4,453	\$ 109				\$ 66,496	\$ 88,135	\$ 59,720	\$ 95,760	
Telecommunications	\$ 3,762	\$ (91)	\$ (116)				\$ 3,555	\$ 121,764	\$ 84,073	\$ 138,239	
Postage	\$ 105,605	\$ 9,222	\$ 39				\$ 114,866	\$ 165,440	\$ 115,463	\$ 182,870	
Insurance	\$ 6,471	\$ 354	\$ 239				\$ 7,064	\$ 7,930	\$ 7,554	\$ 8,330	
In-State Travel	\$ 13,163		\$ 1,901				\$ 15,064	\$ 20,741	\$ 9,500	\$ 23,750	
Out-of-State Travel	\$ 24,594						\$ 24,594	\$ 147,631	\$ 12,216	\$ 189,000	
Training	\$ 6,952	\$ 410	\$ 60				\$ 7,422	\$ 17,100	\$ 2,280	\$ 15,150	
Security Services	\$ 264,256						\$ 264,256	\$ 396,000	\$ 252,332	\$ 396,000	
Facility Operations	\$ 374,928	\$ 3,175					\$ 378,104	\$ 626,689	\$ 376,895	\$ 774,137	
Utilities	\$ 371						\$ 371	\$ 70	\$ 74	\$ 140	
Contracted Services	\$ 858,542	\$ 97,924	\$ 27,037				\$ 983,503	\$ 2,221,153	\$ 1,231,974	\$ 1,529,501	
Consulting and Professional Services	\$ 445,630	\$ 26,799	\$ 17,594				\$ 490,023	\$ 708,280	\$ 398,260	\$ 1,017,229	
Information Technology	\$ 704,856	\$ 40,463	\$ 1,658				\$ 746,978	\$ 796,910	\$ 478,214	\$ 2,548,270	
Major Equipment	\$ 13,426						\$ 13,426	\$ 13,500	\$ 5,855	\$ 6,000	
Other Items of Expense	\$ 1,762						\$ 1,762	\$ 2,680	\$ 1,930	\$ 3,220	
	\$ 3,120,837	\$ 188,332	\$ 52,910				\$ 3,362,079	\$ 5,680,153	\$ 3,221,994	\$ 7,260,770	
Special Items of Expense											
Grand Jury	\$ 75						\$ 75	\$ 2,060	\$ 75	\$ 2,180	
Jury Costs	\$ 129,219						\$ 129,219	\$ 173,529	\$ 140,521	\$ 195,950	
Judgements, Settlements and Claims											
Debt Service											
Other	\$ 900,000						\$ 900,000	\$ 1,200,000	\$ 500,000	\$ 1,200,000	
Capital Costs											
Internal Cost Recovery	\$ (256,932)	\$ 112,521	\$ 144,411				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 772,362	\$ 112,521	\$ 144,411				\$ 1,029,294	\$ 1,375,589	\$ 640,596	\$ 1,398,130	
Total Expenditures	\$ 18,526,848	\$ 1,024,053	\$ 1,078,287				\$ 20,629,188	\$ 29,567,961	\$ 18,976,256	\$ 30,370,861	
Excess (Deficit) of Revenues Over Expenditures	\$ 1,838,286	\$ (262,279)	\$ (561,765)				\$ 1,014,242	\$ 624,269	\$ 1,541,340	\$ (2,738,250)	
Operating Transfers In (Out)								\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,546,505	\$ 1,352,105	\$ 0				\$ 2,898,610	\$ 2,898,610	\$ 4,042,843	\$ 4,042,843	
Ending Balance (Deficit)	\$ 3,384,792	\$ 1,089,826	\$ (561,765)				\$ 3,912,852	\$ 3,522,879	\$ 5,584,182	\$ 1,304,593	

Superior Court of California, County of Sonoma
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended March										
Fiscal Year 2015/16									2014/15	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 6,347,906	\$ 880,612	\$ 0		\$ 80,444		\$ 7,308,962	\$ 10,347,966	\$ 7,175,141	\$ 10,831,059
Traffic & Other Infractions	\$ 514,764	\$ 46,422					\$ 561,185	\$ 778,966	\$ 516,044	\$ 748,779
Other Criminal Cases	\$ 1,152,525	\$ 9,118			\$ 30,375		\$ 1,192,018	\$ 1,719,404	\$ 1,095,720	\$ 1,647,087
Civil	\$ 861,151	\$ 4,490					\$ 865,641	\$ 1,106,674	\$ 717,493	\$ 1,073,929
Family & Children Services	\$ 1,562,386	\$ 129,896			\$ 33,592		\$ 1,725,874	\$ 2,490,670	\$ 1,564,371	\$ 2,388,407
Probate, Guardianship & Mental Health Services	\$ 473,671	\$ 1,648					\$ 475,319	\$ 494,167	\$ 401,065	\$ 455,631
Juvenile Dependency Services	\$ 73,671	\$ 1,372					\$ 75,043	\$ 105,201	\$ 74,090	\$ 104,628
Juvenile Delinquency Services	\$ 121,776	\$ 87,008					\$ 208,784	\$ 250,834	\$ 143,414	\$ 196,829
Other Court Operations	\$ 956,004	\$ 1,258,119	\$ 75				\$ 2,214,199	\$ 4,016,170	\$ 1,963,716	\$ 5,353,775
Court Interpreters	\$ 766,528	\$ 234,852					\$ 1,001,380	\$ 1,385,914	\$ 981,606	\$ 1,245,274
Jury Services	\$ 114,414	\$ 62,642	\$ 129,219				\$ 306,276	\$ 432,907	\$ 318,499	\$ 430,746
Security		\$ 265,648					\$ 265,648		\$ 261,603	
Trial Court Operations Program	\$ 12,944,796	\$ 2,981,828	\$ 129,294		\$ 144,411		\$ 16,200,330	\$ 23,128,873	\$ 15,212,763	\$ 24,476,144
Enhanced Collections	\$ 723,200	\$ 133,029			\$ 112,521		\$ 968,750	\$ 1,552,130	\$ 1,014,175	\$ 1,439,625
Other Non-Court Operations		\$ 8,000					\$ 8,000	\$ 12,000	\$ 8,000	
Non-Court Operations Program	\$ 723,200	\$ 141,029			\$ 112,521		\$ 976,750	\$ 1,564,130	\$ 1,022,175	\$ 1,439,625
Executive Office	\$ 525,666	\$ 7,894					\$ 533,560	\$ 748,116	\$ 501,270	\$ 668,315
Fiscal Services	\$ 505,477	\$ 78,302			\$ (256,932)		\$ 326,847	\$ 500,215	\$ 245,581	\$ 348,335
Human Resources	\$ 381,223	\$ 18,351	\$ 900,000				\$ 1,299,574	\$ 1,767,727	\$ 785,136	\$ 1,598,021
Business & Facilities Services	\$ 242,226	\$ 29,013					\$ 271,240	\$ 374,606	\$ 258,992	\$ 371,571
Information Technology	\$ 915,226	\$ 105,661					\$ 1,020,887	\$ 1,484,294	\$ 950,340	\$ 1,468,850
Court Administration Program	\$ 2,569,818	\$ 239,222	\$ 900,000		\$ (256,932)		\$ 3,452,108	\$ 4,874,958	\$ 2,741,319	\$ 4,455,092
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 16,237,814	\$ 3,362,079	\$ 1,029,294		\$ 0		\$ 20,629,188	\$ 29,567,961	\$ 18,976,256	\$ 30,370,861

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Court

Fiscal Year and Ending Quarter

FOOTNOTES

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