

**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

  
\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

12/07/2015  
\_\_\_\_\_  
Date

Riverside  
\_\_\_\_\_  
Court

2015-2016 Quarter 1  
\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Riverside  


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 Court  
 2015-2016 Quarter 1  


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 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)		1108			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Riverside  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2015/16								2014/15		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 9,862,325	\$ 98,223					\$ 9,960,548	\$ 47,729,840	\$ 8,802,864	\$ 42,763,235
Traffic & Other Infractions	\$ 1,074,231	\$ 97,454					\$ 1,171,685	\$ 5,660,253	\$ 975,389	\$ 4,534,160
Other Criminal Cases	\$ 3,247,406	\$ 109,716					\$ 3,357,123	\$ 17,084,027	\$ 3,211,349	\$ 14,011,710
Civil	\$ 1,990,580	\$ 55,023					\$ 2,045,603	\$ 9,331,605	\$ 1,580,685	\$ 8,050,209
Family & Children Services	\$ 2,529,594	\$ 18,016			\$ 0		\$ 2,547,610	\$ 12,789,214	\$ 2,240,146	\$ 10,776,040
Probate, Guardianship & Mental Health Services	\$ 605,699	\$ 7,861					\$ 613,559	\$ 2,894,758	\$ 483,645	\$ 2,008,860
Juvenile Dependency Services	\$ 474,415	\$ 1,059,830					\$ 1,534,245	\$ 8,965,317	\$ 1,215,885	\$ 7,029,429
Juvenile Delinquency Services	\$ 39,516	\$ 7,749			\$ 6,504		\$ 53,769	\$ 1,500	\$ 48,765	\$ (13,584)
Other Court Operations										
Court Interpreters	\$ 833,000	\$ 194,071					\$ 1,027,070	\$ 4,920,854	\$ 762,714	\$ 4,092,375
Jury Services	\$ 227,333	\$ 183,793	\$ 227,863				\$ 638,989	\$ 2,390,502	\$ 643,467	\$ 2,495,824
Security		\$ 193,841	\$ 53				\$ 193,894	\$ 1,853,054	\$ 283,434	\$ 2,931,271
<b>Trial Court Operations Program</b>	<b>\$ 20,884,099</b>	<b>\$ 2,025,576</b>	<b>\$ 227,916</b>		<b>\$ 6,504</b>		<b>\$ 23,144,094</b>	<b>\$ 113,620,924</b>	<b>\$ 20,248,342</b>	<b>\$ 98,679,529</b>
Enhanced Collections	\$ 1,188,292	\$ 645,587			\$ (6,504)		\$ 1,827,376	\$ 9,029,363	\$ 1,578,294	\$ 8,044,061
Other Non-Court Operations	\$ 12,090	\$ 67			\$ 0		\$ 12,157	\$ 65,269	\$ 5,572	\$ 76,248
<b>Non-Court Operations Program</b>	<b>\$ 1,200,382</b>	<b>\$ 645,654</b>			<b>\$ (6,504)</b>		<b>\$ 1,839,533</b>	<b>\$ 9,094,632</b>	<b>\$ 1,583,866</b>	<b>\$ 8,120,309</b>
Executive Office	\$ 544,766	\$ 1,401					\$ 546,166	\$ (2,336,659)	\$ 479,970	\$ 951,392
Fiscal Services	\$ 185,704	\$ 425,530					\$ 611,235	\$ 4,001,584	\$ 641,155	\$ 3,594,996
Human Resources	\$ 1,025,457	\$ 105,597	\$ 30,000				\$ 1,161,054	\$ 5,987,517	\$ 841,151	\$ 5,260,038
Business & Facilities Services	\$ 731,634	\$ 708,502					\$ 1,440,136	\$ 12,484,622	\$ 1,250,302	\$ 24,314,927
Information Technology	\$ 873,627	\$ 1,228,855					\$ 2,102,482	\$ 12,714,950	\$ 1,363,207	\$ 3,899,759
<b>Court Administration Program</b>	<b>\$ 3,361,189</b>	<b>\$ 2,469,884</b>	<b>\$ 30,000</b>				<b>\$ 5,861,073</b>	<b>\$ 32,852,014</b>	<b>\$ 4,575,784</b>	<b>\$ 38,021,112</b>
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 25,445,670</b>	<b>\$ 5,141,114</b>	<b>\$ 257,916</b>		<b>\$ 0</b>		<b>\$ 30,844,700</b>	<b>\$ 155,567,570</b>	<b>\$ 26,407,993</b>	<b>\$ 144,820,950</b>



Superior Court of California, County of Riverside  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September											
Fiscal Year 2015/16										2014/15	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
<b>REVENUES</b>											
<b>State Financing Sources</b>											
Trial Court Trust Fund	\$ 41,607,145	\$ 70,297					\$ 41,677,441	\$ 99,035,461	\$ 38,164,252	\$ 90,196,284	
Improvement and Modernization Fund	\$ (28,801)						\$ (28,801)	\$ 262,742		\$ 262,742	
Judges' Compensation (45.25)	\$ 2,570,022						\$ 2,570,022	\$ 12,665,287	\$ 2,597,578	\$ 11,984,756	
Court Interpreter (45.45)	\$ 902,092						\$ 902,092	\$ 4,409,120	\$ 777,070	\$ 3,842,132	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 568,509						\$ 568,509	\$ 7,820,059	\$ 586,556	\$ 5,841,177	
Other Miscellaneous								\$ 923,657		\$ 923,657	
	\$ 45,618,967	\$ 70,297					\$ 45,689,264	\$ 125,116,326	\$ 42,125,457	\$ 113,050,748	
<b>Grants</b>											
AB 1058 Commissioner/Facilitator			\$ 310,544				\$ 310,544	\$ 2,174,574	\$ 281,318	\$ 2,100,645	
Other Judicial Council Grants			\$ 2,188				\$ 2,188	\$ 41,734	\$ 15,570	\$ 46,892	
Non-Judicial Council Grants			\$ 38,840				\$ 38,840	\$ 526,917	\$ 60,520	\$ 634,935	
			\$ 351,572				\$ 351,572	\$ 2,743,225	\$ 357,408	\$ 2,782,472	
<b>Other Financing Sources</b>											
Interest Income	\$ 439	\$ 30					\$ 469	\$ 62,567	\$ 5,890	\$ 74,184	
Investment Income											
Donations											
Local Fees	\$ 985,195	\$ 63,130					\$ 1,048,326	\$ 5,840,103	\$ 1,078,409	\$ 6,389,195	
Non-Fee Revenues	\$ 700,416						\$ 700,416	\$ 939,377	\$ 686,259	\$ 906,053	
Enhanced Collections		\$ 1,150,101					\$ 1,150,101	\$ 9,607,321	\$ 932,320	\$ 8,562,982	
Escheatment										\$ 400,000	
Prior Year Revenue										\$ 0	
County Program - Restricted	\$ 32,846	\$ 108,931					\$ 141,777	\$ 975,526	\$ 179,337	\$ 1,016,019	
Reimbursement Other	\$ 432,262	\$ (9,679)					\$ 422,583	\$ 5,439,764	\$ 120,243	\$ 5,405,321	
Sale of Fixed Assets											
Other Miscellaneous	\$ 791						\$ 791	\$ 93,677	\$ 1,045	\$ 17,797	
	\$ 2,151,949	\$ 1,312,512					\$ 3,464,461	\$ 22,968,335	\$ 3,003,504	\$ 22,771,531	
<b>Total Revenues</b>	<b>\$ 47,770,916</b>	<b>\$ 1,382,809</b>	<b>\$ 351,572</b>				<b>\$ 49,505,297</b>	<b>\$ 150,817,886</b>	<b>\$ 45,486,369</b>	<b>\$ 138,604,751</b>	
<b>EXPENDITURES</b>											
<b>Personal Services</b>											
Salaries - Permanent	\$ 17,361,507	\$ 926,479	\$ 288,074				\$ 18,576,060	\$ 84,347,903	\$ 15,356,699	\$ 73,647,710	
Temp Help	\$ 27,509		\$ 18				\$ 27,527		\$ 36,686		
Overtime	\$ 15,752	\$ 4,484					\$ 20,236	\$ 90,015	\$ 21,922	\$ 84,158	
Staff Benefits	\$ 6,265,791	\$ 439,411	\$ 116,645				\$ 6,821,847	\$ 34,096,193	\$ 6,095,098	\$ 30,103,722	
	\$ 23,670,558	\$ 1,370,374	\$ 404,737				\$ 25,445,670	\$ 118,534,111	\$ 21,510,404	\$ 103,835,590	
<b>Operating Expenses and Equipment</b>											
General Expense	\$ 858,523	\$ 8,484	\$ 76				\$ 867,063	\$ 6,330,679	\$ 1,058,173	\$ 8,306,790	
Printing	\$ 18,009	\$ 19,047					\$ 37,056	\$ 361,690	\$ 40,598	\$ 357,485	
Telecommunications	\$ 110,542	\$ 15,324					\$ 131,866	\$ 751,798	\$ 145,153	\$ 1,178,848	
Postage	\$ 180,665	\$ 63,868					\$ 244,533	\$ 1,137,004	\$ 259,284	\$ 1,048,626	
Insurance								\$ 52,012		\$ 58,000	
In-State Travel	\$ 24,605	\$ 638	\$ 3,778				\$ 29,022	\$ 168,554	\$ 18,173	\$ 160,784	
Out-of-State Travel	\$ 33	\$ 911	\$ 3,597				\$ 4,542	\$ 31,909	\$ 845	\$ 37,735	
Training	\$ 17,245	\$ 1,248	\$ 15,457				\$ 33,949	\$ 150,454	\$ 18,365	\$ 137,370	
Security Services	\$ 166,188	\$ 2,229	\$ 37,868				\$ 206,286	\$ 2,079,947	\$ 186,215	\$ 2,247,210	
Facility Operations	\$ 356,379	\$ 174,011	\$ 1,208				\$ 531,597	\$ 4,102,006	\$ 508,421	\$ 5,521,765	
Utilities											
Contracted Services	\$ 1,541,878	\$ 386,216	\$ 52,439				\$ 1,980,533	\$ 16,148,562	\$ 1,464,249	\$ 12,231,236	
Consulting and Professional Services	\$ 19,252	\$ 31,535					\$ 50,787	\$ 254,375	\$ 24,765	\$ 266,000	
Information Technology	\$ 829,447	\$ 157,060					\$ 986,506	\$ 3,629,941	\$ 731,489	\$ 4,782,736	
Major Equipment	\$ 895	\$ 27,329					\$ 28,224	\$ 728,000	\$ 199,045	\$ 3,351,344	
Other Items of Expense	\$ 5,069	\$ 4,081					\$ 9,151	\$ 73,528	\$ 14,041	\$ 119,600	
	\$ 4,134,730	\$ 891,961	\$ 114,423				\$ 5,141,114	\$ 36,000,459	\$ 4,668,816	\$ 39,805,360	
<b>Special Items of Expense</b>											
Grand Jury											
Jury Costs	\$ 227,916						\$ 227,916	\$ 1,033,000	\$ 228,773	\$ 1,175,000	
Judgements, Settlements and Claims	\$ 20,000						\$ 20,000			\$ 5,000	
Debt Service											
Other	\$ 10,000						\$ 10,000		\$ 0		
<b>Capital Costs</b>											
Internal Cost Recovery	\$ (173,271)	\$ 129,380	\$ 43,891				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 84,644	\$ 129,380	\$ 43,891				\$ 257,916	\$ 1,033,000	\$ 228,773	\$ 1,180,000	
<b>Total Expenditures</b>	<b>\$ 27,889,932</b>	<b>\$ 2,391,716</b>	<b>\$ 563,052</b>				<b>\$ 30,844,700</b>	<b>\$ 155,567,570</b>	<b>\$ 26,407,993</b>	<b>\$ 144,820,950</b>	
<b>Excess (Deficit) of Revenues Over Expenditures</b>	<b>\$ 19,880,984</b>	<b>\$ (1,008,907)</b>	<b>\$ (211,480)</b>				<b>\$ 18,660,597</b>	<b>\$ (4,749,684)</b>	<b>\$ 10,078,376</b>	<b>\$ (6,216,199)</b>	
<b>Operating Transfers In (Out)</b>											
								\$ 0		\$ 0	
<b>Fund Balance (Deficit)</b>											
Beginning Balance (Deficit)	\$ 4,890,954	\$ 2,551,518	\$ 0				\$ 7,442,472	\$ 7,442,472	\$ 10,178,286	\$ 10,178,286	
Ending Balance (Deficit)	<b>\$ 24,771,938</b>	<b>\$ 1,542,611</b>	<b>\$ (211,480)</b>				<b>\$ 26,103,069</b>	<b>\$ 2,692,788</b>	<b>\$ 29,256,663</b>	<b>\$ 3,962,087</b>	



Superior Court of California, County of Riverside  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended September									
Fiscal Year 2015/16									
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ (1,375,334)	\$ 2,372,399	\$ (667,465)				\$ 1,191,931	\$ 1,521,530	\$ 20,108,087
Payroll	\$ (952)						\$ 0	\$ (952)	\$ (4,925)
Jury									
Revolving	\$ 12,500							\$ 12,500	\$ 12,500
Other									
Distribution							\$ 2,491,574	\$ 2,491,574	\$ 46,764
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ 359,073	\$ 359,073	\$ (782,276)
Credit Card									
Cash on Hand	\$ 19,935						\$ 0	\$ 19,935	\$ 17,784
Cash with County									
Cash Outside of the JCC							\$ 54,193	\$ 54,193	\$ 2,173,057
Cash Equivalents	\$ 26,580,703						\$ 33,514,056	\$ 60,094,758	\$ 38,384,926
<b>Total Cash and Cash Equivalents</b>	<b>\$ 25,236,852</b>	<b>\$ 2,372,399</b>	<b>\$ (667,465)</b>				<b>\$ 37,610,826</b>	<b>\$ 64,552,612</b>	<b>\$ 59,955,918</b>
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 36,401	\$ 247,210	\$ 456,177					\$ 739,789	\$ 1,020,848
Dishonored Checks									
Due From Employee	\$ 4,548	\$ 0	\$ 0					\$ 4,548	\$ 14,110
Civil Jury Fees									
Trust	\$ 0						\$ (15,557)	\$ (15,557)	
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 33,319
Due From Other Governments	\$ 2,795	\$ 0	\$ 0					\$ 2,795	\$ 14,904
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 1
Trust Due To/From							\$ 26,688	\$ 26,688	\$ 0
Distribution Due To/From							\$ 74,485	\$ 74,485	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 27,390	\$ 0						\$ 27,390	\$ 14,275
<b>Total Receivables</b>	<b>\$ 71,135</b>	<b>\$ 247,210</b>	<b>\$ 456,177</b>				<b>\$ 85,616</b>	<b>\$ 860,139</b>	<b>\$ 1,097,457</b>
Prepaid Expenses - General	\$ 610,153	\$ 57,898						\$ 668,051	\$ 1,212,199
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 610,153</b>	<b>\$ 57,898</b>						<b>\$ 668,051</b>	<b>\$ 1,212,199</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 25,918,140</b>	<b>\$ 2,677,507</b>	<b>\$ (211,288)</b>				<b>\$ 37,696,442</b>	<b>\$ 66,080,801</b>	<b>\$ 62,265,573</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 1,438,718	\$ 10,213	\$ 0				\$ 5,556	\$ 1,454,487	\$ 1,640,936
Due to Other Funds	\$ 95,045	\$ 358,219	\$ 0				\$ 1,673,193	\$ 2,126,457	\$ 84,209
Due to Other Courts									
Due to State	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
TC145 Liability							\$ 3,295,954	\$ 3,295,954	\$ 3,387,245
Due to Other Governments	\$ 0	\$ 248,343	\$ 0					\$ 248,343	\$ 39,291
AB145 Due to Other Government Agency							\$ 10,494,930	\$ 10,494,930	\$ 7,015,475
Due to Other Public Agencies									
Sales and Use Tax	\$ 1,443	\$ 1,119	\$ 67					\$ 2,629	\$ 11,076
Interest							\$ 906	\$ 906	\$ 2,020
Miscellaneous Accts. Pay. and Accrued Liab.									\$ 0
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 1,535,206</b>	<b>\$ 617,894</b>	<b>\$ 67</b>				<b>\$ 15,470,538</b>	<b>\$ 17,623,706</b>	<b>\$ 12,180,252</b>
Civil							\$ 13,447,971	\$ 13,447,971	\$ 10,839,345
Criminal	\$ 0						\$ 3,468,517	\$ 3,468,517	\$ 3,141,202
Unreconciled - Civil and Criminal							\$ 719,473	\$ 719,473	\$ 720,005
Trust Held Outside of the JCC							\$ 54,193	\$ 54,193	\$ 2,173,057
Trust Interest Payable							\$ 1,706,657	\$ 1,706,657	\$ 1,711,074
Miscellaneous Trust									
<b>Total Trust Deposits</b>	<b>\$ 0</b>						<b>\$ 19,396,811</b>	<b>\$ 19,396,811</b>	<b>\$ 18,584,683</b>
Accrued Payroll	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Benefits Payable	\$ (771,083)							\$ (771,083)	\$ (744,943)
Deferred Compensation Payable	\$ (10,328)							\$ (10,328)	\$ (10,328)
Deductions Payable	\$ 262,976	\$ 0	\$ 0					\$ 262,976	\$ 237,618
Payroll Clearing	\$ (11,852)	\$ 0	\$ 0					\$ (11,852)	\$ (1,415)
<b>Total Payroll Liabilities</b>	<b>\$ (530,286)</b>	<b>\$ 0</b>	<b>\$ 0</b>					<b>\$ (530,286)</b>	<b>\$ (519,067)</b>
Revenue Collected in Advance	\$ 0	\$ 514,872	\$ 1					\$ 514,873	
Liabilities For Deposits	\$ 141,282	\$ 2,130	\$ 124				\$ 2,772,551	\$ 2,916,087	\$ 2,718,900
Jury Fees - Non-Interest							\$ 42,379	\$ 42,379	\$ 44,142
Fees - Partial Payment & Overpayment	\$ 0						\$ 14,162	\$ 14,162	
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 141,282</b>	<b>\$ 517,002</b>	<b>\$ 125</b>				<b>\$ 2,829,093</b>	<b>\$ 3,487,502</b>	<b>\$ 2,763,042</b>
<b>Total Liabilities</b>	<b>\$ 1,146,202</b>	<b>\$ 1,134,896</b>	<b>\$ 192</b>				<b>\$ 37,696,442</b>	<b>\$ 39,977,732</b>	<b>\$ 33,008,910</b>
<b>Total Fund Balance</b>	<b>\$ 24,771,938</b>	<b>\$ 1,542,611</b>	<b>\$ (211,480)</b>					<b>\$ 26,103,069</b>	<b>\$ 29,256,663</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 25,918,140</b>	<b>\$ 2,677,507</b>	<b>\$ (211,288)</b>				<b>\$ 37,696,442</b>	<b>\$ 66,080,801</b>	<b>\$ 62,265,573</b>