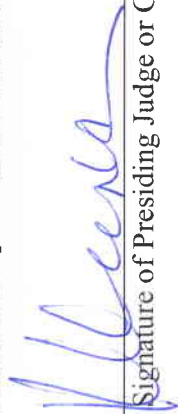


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

1/22/13  
Date

Humboldt

\_\_\_\_\_  
Court

FY12-13 2nd Qtr

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Humboldt

Court

FY12-13 2nd Qtr

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Humboldt

Court

FY12-13 2nd Qtr

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	96.75	84.25	86.25		

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Humboldt  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended Dec									
Fiscal Year 2012/13									
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ 93,532	\$ 15,176	\$ (81,040)				\$ 1,299,077	\$ 1,326,745	\$ (40,938)
Payroll									
Jury									
Revolving	\$ 9,855							\$ 9,855	\$ 10,000
Other									
Distribution	\$ 0						\$ 16,555	\$ 16,555	\$ 49,148
Civil Filing Fees							\$ 0	\$ 0	\$ 50,712
Trust	\$ 0						\$ (2,362)	\$ (2,362)	\$ 1,669,098
Credit Card									
Cash on Hand	\$ 3,000							\$ 3,000	\$ 3,000
Cash with County									
Cash Outside of the AOC							\$ 2,100	\$ 2,100	\$ 9,048
<b>Total Cash</b>	<b>\$ 106,387</b>	<b>\$ 15,176</b>	<b>\$ (81,040)</b>				<b>\$ 1,315,369</b>	<b>\$ 1,355,692</b>	<b>\$ 1,750,069</b>
Short Term Investment	\$ 1,457,400						\$ 505,361	\$ 1,962,762	\$ 1,618,144
Investment in Financial Institution									
<b>Total Investments</b>	<b>\$ 1,457,400</b>						<b>\$ 505,361</b>	<b>\$ 1,962,762</b>	<b>\$ 1,618,144</b>
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks								\$ 95	\$ 93
Due From Employee	\$ 95							\$ 0	\$ 0
Civil Jury Fees	\$ 0								
Trust									
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 0	\$ 0	\$ 80,776					\$ 80,776	\$ 77,425
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0		\$ 0					\$ 0	\$ 0
Trust Due To/From							\$ 0	\$ 0	\$ 3
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 0
General Due To/From	\$ 141							\$ 141	\$ 303
<b>Total Receivables</b>	<b>\$ 236</b>	<b>\$ 0</b>	<b>\$ 80,776</b>				<b>\$ 0</b>	<b>\$ 81,012</b>	<b>\$ 77,824</b>
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 0</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 1,564,023</b>	<b>\$ 15,176</b>	<b>\$ (264)</b>				<b>\$ 1,820,730</b>	<b>\$ 3,399,666</b>	<b>\$ 3,446,037</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 9,926
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 3,531	\$ 3,531	\$ 1,054
Due to Other Courts									
Due to State									
TC145 Liability							\$ 208,308	\$ 208,308	\$ 155,348
Due to Other Governments							\$ 0	\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 92,368	\$ 92,368	\$ 98,646
Due to Other Public Agencies	\$ 149,178		\$ 0				\$ 1,239	\$ 150,417	\$ 2,339
Sales and Use Tax	\$ 0		\$ 0					\$ 0	\$ 29
Interest							\$ 173	\$ 173	\$ 192
Miscellaneous Accts. Pay. and Accrued Liab.									
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 149,178</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 305,619</b>	<b>\$ 454,797</b>	<b>\$ 267,534</b>
Civil							\$ 1,142,467	\$ 1,142,467	\$ 1,477,821
Criminal							\$ 326,632	\$ 326,632	\$ 407,274
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 7,033	\$ 7,033	\$ 5,548
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 1,476,133</b>	<b>\$ 1,476,133</b>	<b>\$ 1,890,642</b>
Accrued Payroll									
Benefits Payable	\$ 28,769							\$ 28,769	\$ 21,540
Deferred Compensation Payable	\$ 1,375							\$ 1,375	\$ 1,300
Deductions Payable	\$ 47,483							\$ 47,483	\$ 40,885
Payroll Clearing	\$ 0							\$ 0	\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 77,627</b>							<b>\$ 77,627</b>	<b>\$ 63,725</b>
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 24,258						\$ 6,588	\$ 30,846	\$ 28,347
Jury Fees - Non-Interest							\$ 32,390	\$ 32,390	\$ 32,488
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 106,172							\$ 106,172	\$ 0
<b>Total Other Liabilities</b>	<b>\$ 130,430</b>						<b>\$ 38,979</b>	<b>\$ 189,409</b>	<b>\$ 60,835</b>
<b>Total Liabilities</b>	<b>\$ 357,236</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 1,820,730</b>	<b>\$ 2,177,966</b>	<b>\$ 2,282,737</b>
<b>Total Fund Balance</b>	<b>\$ 1,206,787</b>	<b>\$ 15,176</b>	<b>\$ (264)</b>				<b>\$ 0</b>	<b>\$ 1,221,699</b>	<b>\$ 1,163,300</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 1,564,023</b>	<b>\$ 15,176</b>	<b>\$ (264)</b>				<b>\$ 1,820,730</b>	<b>\$ 3,399,666</b>	<b>\$ 3,446,037</b>

Superior Court of California, County of Humboldt  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended Dec										
	Fiscal Year 2012/13							2011/12		
	Governmental Funds				Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects						
		Non-Grant	Grant							
<b>REVENUES</b>										
State Financing Sources										
Trial Court Trust Fund	\$ 2,629,762	\$ 16,052					\$ 2,645,814	\$ 5,265,768	\$ 3,187,186	\$ 6,481,038
Trial Court Improvement Fund								\$ 17,826	\$ 0	\$ 17,826
Judicial Administration Efficiency & Mod Fund										
Judges' Compensation (45.25)	\$ 26,250						\$ 26,250	\$ 52,500	\$ 26,250	\$ 52,500
Court Interpreter (45.45)	\$ 23,091						\$ 23,091	\$ 92,272	\$ 63,560	\$ 91,300
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ 403,123						\$ 403,123	\$ 847,144	\$ 496,147	\$ 801,507
Other Miscellaneous	\$ 34,633						\$ 34,633	\$ 34,633		
	\$ 3,116,859	\$ 16,052					\$ 3,132,911	\$ 6,310,143	\$ 3,773,143	\$ 7,444,171
Grants										
AB 1058 Commissioner/Facilitator			\$ 80,776				\$ 80,776	\$ 182,962	\$ 73,420	\$ 254,379
Other AOC Grants								\$ 15,476		\$ 17,120
Non-AOC Grants			\$ 80,776				\$ 80,776	\$ 198,438	\$ 73,420	\$ 271,499
Other Financing Sources										
Interest Income	\$ 2,379	\$ 1					\$ 2,379	\$ 7,000	\$ 2,047	\$ 10,000
Investment Income										
Donations										
Local Fees	\$ 55,083						\$ 55,083	\$ 142,800	\$ 59,181	\$ 84,149
Non-Fee Revenues	\$ 1,034						\$ 1,034	\$ 47,789	\$ 7,396	\$ 52,000
Enhanced Collections	\$ 0	\$ 5,615					\$ 5,615	\$ 20,000		
Escheatment										
Prior Year Revenue	\$ 2,772		\$ (264)				\$ 2,508		\$ 28,628	
County Program - Restricted		\$ 1,680					\$ 1,680	\$ 4,000	\$ 1,849	\$ 3,500
Reimbursement Other	\$ 2,276						\$ 2,276	\$ 9,000	\$ 1,747	\$ 35,000
Sale of Fixed Assets										
Other Miscellaneous	\$ 1,125						\$ 1,125		\$ 107	
	\$ 64,668	\$ 7,296	\$ (264)				\$ 71,700	\$ 230,609	\$ 100,955	\$ 184,649
<b>Total Revenues</b>	\$ 3,181,527	\$ 23,348	\$ 80,512				\$ 3,285,387	\$ 6,759,170	\$ 3,947,517	\$ 7,900,319
<b>EXPENDITURES</b>										
Personal Services										
Salaries - Permanent	\$ 1,705,583	\$ 3,832	\$ 41,027				\$ 1,750,442	\$ 3,756,272	\$ 1,738,984	\$ 3,630,398
Temp Help										
Overtime	\$ 2,868		\$ 14				\$ 2,882	\$ 10,000	\$ 3,323	\$ 10,000
Staff Benefits	\$ 814,502	\$ 1,263	\$ 17,398				\$ 833,164	\$ 2,116,993	\$ 822,473	\$ 2,035,914
	\$ 2,522,953	\$ 5,095	\$ 58,440				\$ 2,586,485	\$ 5,883,265	\$ 2,564,779	\$ 5,676,312
Operating Expenses and Equipment										
General Expense	\$ 89,367		\$ 1,063				\$ 90,431	\$ 276,772	\$ 93,467	\$ 261,997
Printing	\$ 18,474						\$ 18,474	\$ 47,498	\$ 11,471	\$ 56,500
Telecommunications	\$ 18,081		\$ 38				\$ 18,119	\$ 47,200	\$ 19,598	\$ 60,000
Postage	\$ 51,383	\$ 1,134					\$ 52,518	\$ 60,500	\$ 30,293	\$ 86,500
Insurance										
In-State Travel	\$ 6,478		\$ 3,541				\$ 10,019	\$ 21,249	\$ 9,964	\$ 19,354
Out-of-State Travel										
Training	\$ 992		\$ 850				\$ 1,842	\$ 950	\$ 500	\$ 1,000
Security Services	\$ 48,928		\$ 3,191				\$ 52,119	\$ 125,260	\$ 51,457	\$ 167,701
Facility Operations	\$ 16,346		\$ 1,226				\$ 17,571	\$ 45,650	\$ 15,529	\$ 36,000
Utilities										
Contracted Services	\$ 602,518	\$ 1,171	\$ 1,124				\$ 604,813	\$ 1,377,517	\$ 625,612	\$ 1,403,287
Consulting and Professional Services	\$ 8,415						\$ 8,415	\$ 22,879	\$ (2,095)	\$ 21,320
Information Technology	\$ 75,040						\$ 75,040	\$ 183,833	\$ 4,683	\$ 197,306
Major Equipment								\$ 5,000		\$ 5,000
Other Items of Expense	\$ 46						\$ 46		\$ (393)	
	\$ 936,067	\$ 2,306	\$ 11,033				\$ 949,407	\$ 2,214,208	\$ 860,085	\$ 2,315,968
Special Items of Expense										
Grand Jury										
Jury Costs	\$ 36,588						\$ 36,588	\$ 97,600	\$ 43,387	\$ 132,000
Judgements, Settlements and Claims										
Debt Service										
Other										
Capital Costs										
Internal Cost Recovery	\$ (12,022)	\$ 719	\$ 11,302				\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ 9,911	\$ 51					\$ 9,962		\$ 15,871	
	\$ 34,478	\$ 771	\$ 11,302				\$ 46,551	\$ 97,000	\$ 59,258	\$ 132,000
<b>Total Expenditures</b>	\$ 3,493,498	\$ 8,172	\$ 80,776				\$ 3,582,445	\$ 8,195,183	\$ 3,484,122	\$ 8,124,277
Excess (Deficit) of Revenues Over Expenditures	\$ (311,971)	\$ 15,176	\$ (264)				\$ (297,059)	\$ (1,455,993)	\$ 463,395	\$ (223,958)
Operating Transfers In (Out)	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0		\$ 0
Fund Balance (Deficit)										
Beginning Balance (Deficit)	\$ 1,518,758	\$ 0	\$ 0				\$ 0	\$ 1,518,758	\$ 699,905	\$ 699,905
Ending Balance (Deficit)	\$ 1,206,787	\$ 15,176	\$ (264)				\$ 0	\$ 62,765	\$ 1,163,300	\$ 475,947

Superior Court of California, County of Humboldt  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

Fiscal Year 2012/13										2011/12	
For the month ended Dec											
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)	
<b>PROGRAM EXPENDITURES:</b>											
Judges & Courtroom Support	\$ 1,029,774	\$ 172,935			\$ 0	\$ 6,605	\$ 1,209,314	\$ 2,765,342	\$ 1,115,675	\$ 2,875,532	
Traffic & Other Infractions	\$ 204,176	\$ 31,361			\$ 0	\$ 184	\$ 235,721	\$ 562,619	\$ 219,382	\$ 530,929	
Other Criminal Cases	\$ 208,338	\$ 12,167			\$ 0		\$ 220,505	\$ 419,432	\$ 199,414	\$ 450,981	
Civil	\$ 246,664	\$ 18,359			\$ 0		\$ 265,023	\$ 458,008	\$ 282,495	\$ 430,854	
Family & Children Services	\$ 238,760	\$ 35,816			\$ 0	\$ 2,058	\$ 276,634	\$ 473,468	\$ 290,623	\$ 503,432	
Probate, Guardianship & Mental Health Services	\$ 23,895	\$ 49,985			\$ 0	\$ 987	\$ 50,972	\$ 252,106	\$ 60,255	\$ 168,801	
Juvenile Dependency Services	\$ 23,894	\$ 304,699			\$ 0		\$ 328,594	\$ 657,153	\$ 307,939	\$ 703,699	
Juvenile Delinquency Services		\$ 1,028			\$ 0		\$ 24,922	\$ 55,566	\$ 23,771	\$ 65,456	
Other Court Operations		\$ 928			\$ 0		\$ 928	\$ 27,665	\$ 26,645	\$ 31,893	
Court Interpreters		\$ 29,331					\$ 29,331	\$ 115,600	\$ 64,135	\$ 91,300	
Jury Services	\$ 65,703	\$ 41,043	\$ 36,588		\$ 0		\$ 143,335	\$ 342,009	\$ 129,813	\$ 381,318	
Security		\$ 53,908					\$ 53,908	\$ 140,046	\$ 51,585	\$ 168,941	
Trial Court Operations Program	\$ 2,041,204	\$ 751,560	\$ 36,588		\$ 0	\$ 9,834	\$ 2,839,186	\$ 6,269,015	\$ 2,771,734	\$ 6,403,134	
Enhanced Collections											
Other Non-Court Operations											
Non-Court Operations Program											
Executive Office	\$ 109,760	\$ 6,337			\$ 0		\$ 116,097	\$ 364,175	\$ 81,307	\$ 172,307	
Fiscal Services	\$ 133,578	\$ 21,740			\$ 0		\$ 155,318	\$ 323,836	\$ 152,362	\$ 311,779	
Human Resources	\$ 71,641	\$ 10,804			\$ 0		\$ 82,445	\$ 165,793	\$ 78,393	\$ 154,348	
Business & Facilities Services	\$ 73,557	\$ 135,232			\$ 0		\$ 208,790	\$ 463,736	\$ 247,989	\$ 462,247	
Information Technology	\$ 156,748	\$ 23,734			\$ 0	\$ 128	\$ 180,610	\$ 608,608	\$ 152,338	\$ 620,462	
Court Administration Program	\$ 545,284	\$ 197,847			\$ 0	\$ 128	\$ 743,259	\$ 1,926,148	\$ 712,389	\$ 1,721,143	
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0			\$ 0		\$ 0		\$ 0		
Prior Year Adjustments Not Posted to a Program											
<b>Total</b>	\$ 2,586,488	\$ 949,407	\$ 36,588		\$ 0	\$ 9,962	\$ 3,562,445	\$ 8,195,163	\$ 3,484,122	\$ 8,124,277	