


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

  
\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

7/29/11  
Date

Humboldt  
\_\_\_\_\_  
Court  
FY 2010-2011 Qtr 1  
\_\_\_\_\_  
Fiscal Year and Ending Quarter

Humboldt Superior Court  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended Sep									
Fiscal Year 2010/11									2009/10
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
<b>ASSETS</b>									
Operations	\$ 11,988	\$ 914	\$ (12,619)				\$ 5,907	\$ 6,189	\$ 297,347
Payroll									
Jury									
Revolving	\$ 10,000							\$ 10,000	\$ 8,404
Other									
Distribution	\$ 0						\$ 106,662	\$ 106,662	\$ 700,020
Civil Filing Fees							\$ 0	\$ 0	\$ 140,744
Trust							\$ 2,090,060	\$ 2,090,060	\$ 1,925,059
Credit Card									
Cash on Hand	\$ 2,500							\$ 2,500	\$ 1,600
Cash with County							\$ 73,920	\$ 73,920	\$ 58,211
<b>Total Cash</b>	<b>\$ 24,488</b>	<b>\$ 914</b>	<b>\$ (12,619)</b>				<b>\$ 2,276,549</b>	<b>\$ 2,289,333</b>	<b>\$ 3,131,385</b>
Short Term Investment	\$ 661,408	\$ 0					\$ 755,591	\$ 1,416,999	\$ 0
Investment in Financial Institution									
<b>Total Investments</b>	<b>\$ 661,408</b>	<b>\$ 0</b>					<b>\$ 755,591</b>	<b>\$ 1,416,999</b>	<b>\$ 0</b>
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 553
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ (350)							\$ (350)	\$ 1,093
Civil Jury Fees	\$ 0							\$ 0	\$ 211
Trust									
Due From Other Funds	\$ 26,670		\$ 0				\$ 65	\$ 26,735	\$ 345,937
Due From Other Governments	\$ 107,838		\$ 11,914					\$ 119,752	\$ 41,936
Due From Other Courts								\$ 0	\$ 17
Due From State	\$ 0		\$ 0				\$ 0	\$ 0	\$ 275,601
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
<b>Total Receivables</b>	<b>\$ 134,159</b>	<b>\$ 0</b>	<b>\$ 11,914</b>				<b>\$ 65</b>	<b>\$ 146,137</b>	<b>\$ 665,347</b>
Prepaid Expenses - General	\$ 0							\$ 0	\$ 62,716
Salary and Travel Advances									
Courties									
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 62,716</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 820,055</b>	<b>\$ 914</b>	<b>\$ (705)</b>				<b>\$ 3,032,205</b>	<b>\$ 3,852,468</b>	<b>\$ 3,859,448</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 0		\$ 0				\$ 0	\$ 0	\$ 26,521
Due to Other Funds	\$ 0		\$ 0				\$ 79,340	\$ 79,340	\$ 345,926
Due to Other Courts									
Due to State									
TC145 Liability							\$ 144,392	\$ 144,392	\$ 140,744
Due to Other Governments	\$ 166,222						\$ 0	\$ 166,222	\$ 0
AB145 Due to Other Government Agency	\$ 0						\$ 38,693	\$ 38,693	\$ 92,009
Due to Other Public Agencies	\$ 2,658							\$ 2,658	
Sales and Use Tax	\$ 29		\$ 0					\$ 29	\$ 29
Interest							\$ 264	\$ 264	\$ 270
Miscellaneous Accts. Pay. and Accrued Liab.									
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 168,909</b>		<b>\$ 0</b>				<b>\$ 262,690</b>	<b>\$ 431,598</b>	<b>\$ 605,500</b>
Civil							\$ 1,792,868	\$ 1,792,868	\$ 1,387,069
Criminal	\$ 0						\$ 946,116	\$ 946,116	\$ 581,381
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 477,662
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 2,996	\$ 2,996	\$ 31,333
Miscellaneous Trust									
<b>Total Trust Deposits</b>	<b>\$ 0</b>						<b>\$ 2,741,981</b>	<b>\$ 2,741,981</b>	<b>\$ 2,477,444</b>
Accrued Payroll	\$ 0							\$ 0	
Benefits Payable	\$ 16,298							\$ 16,298	\$ 72,208
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ (1,314)
Payroll Clearing	\$ 0							\$ 0	\$ (1,377)
<b>Total Payroll Liabilities</b>	<b>\$ 16,298</b>							<b>\$ 16,298</b>	<b>\$ 69,517</b>
Revenue Collected in Advance	\$ 11,824							\$ 11,824	\$ 11,824
Liabilities For Deposits	\$ 7,568						\$ 1,146	\$ 8,714	\$ 4,169
Jury Fees - Non-Interest							\$ 26,389	\$ 26,389	\$ 32,063
Fees - Partial Payment & Overpayment									
Uncleared Collections							\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 19,392</b>						<b>\$ 27,535</b>	<b>\$ 48,927</b>	<b>\$ 48,056</b>
<b>Total Liabilities</b>	<b>\$ 204,598</b>		<b>\$ 0</b>				<b>\$ 3,032,205</b>	<b>\$ 3,236,804</b>	<b>\$ 3,200,518</b>
Fund Balance - Restricted	\$ 0	\$ 9						\$ 9	\$ 335,907
Fund Balance - Unrestricted									
Designated	\$ 76,834	\$ 0					\$ 0	\$ 76,834	\$ 0
Undesignated	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 121,760
Excess (Deficit) of Rev. Over Expenses/Op.	\$ 538,622	\$ 905	\$ (705)					\$ 538,822	\$ 201,263
<b>Total Fund Balance</b>	<b>\$ 615,456</b>	<b>\$ 914</b>	<b>\$ (705)</b>				<b>\$ 0</b>	<b>\$ 615,665</b>	<b>\$ 658,930</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 820,055</b>	<b>\$ 914</b>	<b>\$ (705)</b>				<b>\$ 3,032,205</b>	<b>\$ 3,852,468</b>	<b>\$ 3,859,448</b>

Humboldt Superior Court  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended Sep											
	Fiscal Year 2010/11							2009/10			
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
Non-Grant		Grant									
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 1,631,236							\$ 1,631,236	\$ 8,018,635	\$ 1,823,222	\$ 7,593,979
Trial Court Improvement Fund	\$ 0						\$ 0	\$ 17,826	\$ (1)	\$ 17,826	
Judicial Administration Efficiency & Mod Fund								\$ 5,098	\$ 139,694	\$ 38,867	
Judges' Compensation (45.25)	\$ 13,125						\$ 13,125	\$ 52,500	\$ 13,125	\$ 52,500	
Court Interpreter (45.45)	\$ 20,487						\$ 20,487	\$ 102,171	\$ 10,754	\$ 127,200	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 186,521						\$ 186,521	\$ 994,052	\$ 39,408	\$ 764,907	
Other Miscellaneous											
	<b>\$ 1,851,369</b>						<b>\$ 1,851,369</b>	<b>\$ 9,190,282</b>	<b>\$ 2,026,202</b>	<b>\$ 8,595,279</b>	
Grants											
AB 1058 Commissioner/Facilitator								\$ 275,200	\$ 0	\$ 275,202	
Other AOC Grants	\$ 4,169						\$ 4,169	\$ 17,120	\$ 0	\$ 16,000	
Non-AOC Grants											
	<b>\$ 4,169</b>						<b>\$ 4,169</b>	<b>\$ 292,320</b>	<b>\$ 0</b>	<b>\$ 291,202</b>	
Other Financing Sources											
Interest Income	\$ 909	\$ 0					\$ 909	\$ 10,000	\$ 875	\$ 25,000	
Investment Income											
Donations											
Local Fees	\$ 11,839						\$ 11,839	\$ 74,149	\$ 3,891	\$ 93,500	
Non-Fee Revenues	\$ 2,990						\$ 2,990	\$ 52,000	\$ 2,660	\$ 11,000	
Enhanced Collections											
Escheatment											
Prior Year Revenue	\$ 9	\$ (9)					\$ 0				
County Program - Restricted		\$ 914					\$ 914	\$ 3,500	\$ 860	\$ 5,800	
Reimbursement Other	\$ 1,712						\$ 1,712			\$ 13,700	
Sale of Fixed Assets											
Other Miscellaneous									\$ 25		
	<b>\$ 17,459</b>	<b>\$ 905</b>					<b>\$ 18,364</b>	<b>\$ 139,649</b>	<b>\$ 8,311</b>	<b>\$ 149,000</b>	
<b>Total Revenues</b>	<b>\$ 1,872,997</b>	<b>\$ 905</b>					<b>\$ 1,873,902</b>	<b>\$ 9,622,251</b>	<b>\$ 2,034,513</b>	<b>\$ 9,035,481</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 802,501						\$ 802,501	\$ 3,706,433	\$ 862,159	\$ 3,595,947	
Temp Help									\$ 8,292	\$ 7,031	
Overtime	\$ 1,668						\$ 1,668	\$ 10,000	\$ 6,434		
Staff Benefits	\$ 350,240						\$ 350,240	\$ 1,961,794	\$ 444,367	\$ 1,949,213	
	<b>\$ 1,154,409</b>						<b>\$ 1,154,409</b>	<b>\$ 5,678,227</b>	<b>\$ 1,321,252</b>	<b>\$ 5,552,191</b>	
Operating Expenses and Equipment											
General Expense	\$ 16,748						\$ 16,748	\$ 264,997	\$ 35,867	\$ 264,699	
Printing	\$ 0						\$ 0	\$ 71,001	\$ 12,448	\$ 72,998	
Telecommunications	\$ 3,548		\$ 20				\$ 3,568	\$ 61,000	\$ 9,064	\$ 63,202	
Postage	\$ 20,044						\$ 20,044	\$ 115,500	\$ 17,686	\$ 30,001	
Insurance											
In-State Travel	\$ 89						\$ 89	\$ 18,352	\$ 2,953	\$ 28,497	
Out-of-State Travel	\$ 36						\$ 36				
Training	\$ 2,080		\$ 445				\$ 2,525	\$ 6,998	\$ 600	\$ 600	
Security Services	\$ 0						\$ 0	\$ 1,206,208	\$ (68,002)	\$ 1,205,908	
Facility Operations	\$ 4,174		\$ 0				\$ 4,174	\$ 51,520	\$ 12,094	\$ 52,620	
Utilities											
Contracted Services	\$ 100,240		\$ 240				\$ 100,480	\$ 1,552,391	\$ 401,006	\$ 1,625,231	
Consulting and Professional Services	\$ 0						\$ 0	\$ 25,600	\$ 3,690	\$ 32,116	
Information Technology	\$ 6,239						\$ 6,239	\$ 211,106	\$ 51,489	\$ 317,781	
Major Equipment	\$ 0						\$ 0	\$ 5,000	\$ 40		
Other Items of Expense	\$ (309)						\$ (309)		\$ (253)		
	<b>\$ 152,890</b>		<b>\$ 705</b>				<b>\$ 153,595</b>	<b>\$ 3,689,573</b>	<b>\$ 478,682</b>	<b>\$ 3,693,653</b>	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 33,549						\$ 33,549	\$ 132,000	\$ 33,316	\$ 132,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Internal Cost Recovery								\$ 0			
Prior Year Expense Adjustment	\$ (6,473)						\$ (6,473)				
	<b>\$ 27,076</b>						<b>\$ 27,076</b>	<b>\$ 132,000</b>	<b>\$ 33,316</b>	<b>\$ 132,000</b>	
<b>Total Expenditures</b>	<b>\$ 1,334,375</b>		<b>\$ 705</b>				<b>\$ 1,335,981</b>	<b>\$ 9,399,900</b>	<b>\$ 1,833,250</b>	<b>\$ 9,377,844</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 538,622	\$ 905	\$ (705)				\$ 538,622	\$ 222,351	\$ 201,263	\$ (342,363)	
Operating Transfers In (Out)			\$ 0				\$ 0	\$ 0		\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 76,834	\$ 9	\$ 0				\$ 76,843	\$ 76,843	\$ 457,667	\$ 457,667	
Ending Balance (Deficit)	<b>\$ 615,456</b>	<b>\$ 914</b>	<b>\$ (705)</b>				<b>\$ 615,665</b>	<b>\$ 299,194</b>	<b>\$ 658,930</b>	<b>\$ 115,304</b>	

Humboldt Superior Court  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

		For the month ended Sep						2009/10	
		Fiscal Year 2010/11							
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>									
Judges & Courtroom Support	\$ 455,461	\$ 25,597				\$ 481,057	\$ 2,480,188	\$ 601,471	\$ 2,352,512
Traffic & Other Infractions	\$ 92,126	\$ 7,492				\$ 99,618	\$ 520,297	\$ 110,994	\$ 495,124
Other Criminal Cases	\$ 84,955	\$ 925				\$ 85,880	\$ 521,186	\$ 94,610	\$ 564,191
Civil	\$ 95,863	\$ 1,044				\$ 96,907	\$ 435,699	\$ 102,925	\$ 601,651
Family & Children Services	\$ 154,486	\$ 11,731				\$ 166,217	\$ 860,064	\$ 227,809	\$ 1,080,661
Probate, Guardianship & Mental Health Services		\$ 1,284				\$ 1,284	\$ 110,836	\$ 31,230	\$ 122,270
Juvenile Dependency Services	\$ 11,142	\$ 34,066				\$ 45,208	\$ 841,451	\$ 151,504	\$ 702,539
Juvenile Delinquency Services	\$ 11,142	\$ 126				\$ 11,268	\$ 113,896	\$ 12,429	\$ 83,518
Other Court Operations	\$ 1,800	\$ 13,282				\$ 15,082	\$ 29,975	\$ 53,110	\$ 46,034
Court Interpreters		\$ 15,615				\$ 15,615	\$ 103,449	\$ 20,007	\$ 91,300
Jury Services	\$ 29,910	\$ 11,723	\$ 33,549			\$ 75,182	\$ 389,214	\$ 126,041	\$ 319,835
Security		\$ 0				\$ 0	\$ 1,207,377	\$ (67,979)	\$ 1,192,577
Trial Court Operations Program	\$ 936,884	\$ 122,885	\$ 33,549			\$ 1,093,319	\$ 7,613,632	\$ 1,464,151	\$ 7,652,209
Enhanced Collections									
Other Non-Court Operations									
Non-Court Operations Program									
Executive Office	\$ 34,944	\$ 636				\$ 35,579	\$ 173,848	\$ 37,009	\$ 155,988
Fiscal Services	\$ 46,878	\$ 8,446			\$ (6,473)	\$ 48,851	\$ 301,385	\$ 74,686	\$ 346,821
Human Resources	\$ 24,723	\$ 10,793				\$ 35,517	\$ 174,856	\$ 56,230	\$ 212,967
Business & Facilities Services	\$ 38,250	\$ 2,879				\$ 41,128	\$ 505,019	\$ 146,682	\$ 517,070
Information Technology	\$ 72,730	\$ 7,956				\$ 80,686	\$ 631,160	\$ 54,492	\$ 492,789
Court Administration Program	\$ 217,524	\$ 30,710			\$ (6,473)	\$ 241,762	\$ 1,786,268	\$ 369,099	\$ 1,725,635
Prior Year Adjustments Not Posted to a Program									
<b>Total</b>	\$ 1,154,409	\$ 153,595	\$ 33,549		\$ (6,473)	\$ 1,335,081	\$ 9,399,900	\$ 1,833,250	\$ 9,377,844