Judicial Council of California . Administrative Office of the Courts

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REPORT TO THE JUDICIAL COUNCIL

For business meeting on: December 14, 2010

Title
Special Funds: Allocations for Fiscal Year 2010–2011 (Action Required)

Rules, Forms, Standards, or Statutes Affected
None

Recommended by
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Action Required

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Executive Summary

The Judicial Council has statutory authority to allocate funding from statewide special funds for projects and programs that support the trial courts. The Administrative Office of the Courts (AOC) presents recommendations related to fiscal year (FY) 2010–2011 allocations for specific projects and programs funded from the Trial Court Improvement Fund (Improvement Fund), the Judicial Administration Efficiency and Modernization Fund (Modernization Fund), and the Trial Court Trust Fund (TCTF).

Recommendation

The Administrative Office of the Courts (AOC) recommends that for FY 2010–2011 the council:

1. Approve allocation of $34.668 million for projects and programs from the Modernization Fund ($9.214 million) and the Improvement Fund ($25.011 million);

2. Approve an accelerated schedule for soliciting, receiving, and processing court funding proposals related to the Alternative Dispute Resolution Program on an ongoing basis;

3. Approve allocation of $20.968 million for ongoing services for trial courts from the Modernization Fund ($0.530 million), the Improvement Fund ($11.882 million), and the TCTF ($8.556 million);

4. Approve allocation of $94.229 million for statewide technology infrastructure maintenance and operations from the Modernization Fund ($28.124 million), the Improvement Fund ($18.764 million), and the TCTF ($47.341 million);

5. Approve allocation of $49.180 million for statewide technology infrastructure projects from the Modernization Fund ($0.159 million), the Improvement Fund ($8.315 million), and the TCTF ($40.706 million);

6. Allocate $7.4 million to courts for technology equipment replacement, for items such as personal computers and printers, and authorize the Administrative Director of the Courts, as requested and on a case-by-case basis, to authorize courts with severe cash flow limitations problems to redirect these funds to offset the impact of budget reductions in FY 2010–2011; and

7. Delegate authority to the Administrative Director of the Courts to adjust allocations of funds to courts and for approved programs and projects, as needed, to address unanticipated needs and contingencies. Any adjustments will be reported back to the council after the end of the fiscal year.

Previous Council Action

The Lockyer-Isenberg Trial Court Funding Act of 1997 (AB 233; Stats. 1997, ch. 850, § 1) created the Modernization Fund and the Improvement Fund to support projects and programs that benefit trial courts and providing a means of addressing trial courts' unanticipated urgent needs. (See *id.,* §§ 3, 46.) Government Code (GC) §§ 77209(g) and 77213(b) direct that the Judicial Council shall administer the Improvement Fund and Modernization Fund, respectively. Until FY 2003–2004, the council approved detailed allocations from these special funds. From FY 2004–2005 to FY 2008–2009, in accordance with California Rules of Court rule 10.11 and in conformance with the internal guidelines approved by the council on January 30, 2002, the Executive and Planning Committee (E&P) approved special funds allocations on behalf of the council. In FY 2009–2010, special fund allocation recommendations were presented to the council for consideration.

Recommendation 1: Special Funds Allocations (Excluding Ongoing Services to the Trial Courts and Statewide Technology Infrastructure)

1. Approve allocation of $34.668 million for projects and programs from the Modernization Fund ($9.214 million) and the Improvement Fund ($25.011 million).

Rationale for Recommendation 1

The recommended allocations would fund previously approved as well as new projects and programs that are intended to improve the administration of justice and access to justice in the trial courts.

Resources

Ending fund balances for FY 2009–2010 and projected FY 2010–2011 revenues and transfers represent special funds resources that are anticipated to be available to fund the various projects and programs. Attachment A displays an overview of FY 2009–2010 approved budget and actual resources and expenditures/encumbrances (Columns A and B), and projected FY 2010–2011 resources and recommended allocations (Column E) from the Modernization Fund, the Improvement Fund, TCTF, and their combined totals.

The Modernization Fund receives revenue mainly from two sources (see Attachment B for FY 2009–2010 actual and FY 2010–2011 projected revenues). The primary source is an annual appropriation of state General Fund monies, which is $38.709 million in FY 2010–2011. The other revenue source is interest earned on retained funds through the Surplus Money Investment Fund (SMIF), which for this fiscal year is projected to be $271,951. Including the beginning balance of $30.933 million and a planned transfer of $31.600 million to the TCTF, the total projected resources for the Modernization Fund in FY 2010–2011 is $38.314 million.

The Improvement Fund receives funding from a number of sources: a 1 percent transfer from the TCTF (Government Code §77209(b)); 50/50 Excess Fines Split Revenue (Government Code § 77205) representing the state's fifty-percent share of the fee, fine, and forfeiture revenue that exceeds each county's base Maintenance of Effort (revenue MOE) level from FY 1998–1999; interest earned on retained funds through the Surplus Money Investment Fund (SMIF); 2 percent Automation Fund (Government Code § 68090.8(b)) revenues representing two percent of the fine, penalty, and forfeiture collections in criminal cases; and revenues from the sale of documents and royalties from publication of uniform jury instructions (see Attachment D for FY 2009–2010 actual and FY 2010–2011 projected revenues). Including the beginning balance of $20.675 million, the total projected resources for the Improvement Fund in FY 2010–2011 is $78.071 million.

Recommended FY 2010–2011 Allocations for Projects and Programs

This section discusses the proposed special funds allocations for projects and programs excluding ongoing services to the trial courts and statewide technology infrastructure. Proposed

allocations for statewide technology infrastructure and ongoing services to the trial courts are discussed in Recommendations 3, 4, and 5 of this report.

AOC staff recommends that the council approve adjustments to previously approved allocations, proposed rollover of unused prior-year funds, and new funding requests. Charts 1 and 2 display, by category for each of the special funds, the amounts of allocations that were previously approved by the council, proposed adjustments to previously approved allocations, rollover requests, new funding requests, and the resulting total recommended allocations for FY 2010–2011.

Chart 1. Modernization Fund

Category	Previously Approved Allocations	Proposed Adjustment to Previously Approved Allocations	Proposed Rollover of Unused Prior-Year Funds	New Funding Requests	Total Recommended Allocations
II. Education and Developmental Programs	$2,954,433	($459,428)	$72,000	$0	$2,567,005
III. Pilot Projects, Special Initiatives and Ongoing Programs	$7,722,249	($1,074,889)	$0	$0	$6,647,360
Total	$10,676,682	($1,534,317)	$72,000	$0	$9,214,365

Chart 2. Improvement Fund

Category	Previously Approved Allocations	Proposed Adjustment to Previously Approved Allocations	Proposed Rollover of Unused Prior-Year Funds	New Funding Requests	Total Recommended Allocations
I. Ongoing Statewide Programs (Non-Tech. Infrastructure and Ongoing Services to Trial Courts)	$16,217,244	($881,835)	$0	$80,000	$15,415,409
II. Trial Court Projects and Model Programs	$13,039,023	($4,800,000)	$306,420	$50,000	$8,595,443
III. Emergency Funding Reserve	$1,000,000	$0	$0	$0	$1,000,000
Total	$30,256,267	($5,681,835)	$306,420	$130,000	$25,010,852

Previously Approved Projects and Programs

The various projects and programs that were previously approved by the council and included in these recommendations are described below, by fund.

Modernization Fund
Projects and programs funded from the Modernization Fund fall under three categories.

Category I – Statewide Technology Infrastructure and Ongoing Services to the Trial Courts
The projects and programs related to statewide technology infrastructure and ongoing services to the trial courts are discussed in recommendations 3, 4, and 5 (see Attachment C for the recommended allocation by project and program).

Category II – Education and Developmental Programs
The council's strategic plan identifies education of judges, subordinate judicial officers, and non-judicial court staff as a significant means to advance the mission and goals of the judiciary in the areas of access, fairness, diversity, and ethics. With the increasing complexity of the law and court procedures, delivery of justice to the people of California requires that judges and court personnel be equipped with knowledge, skills, and abilities that enable them to administer the justice system in a fair, effective manner that fosters public confidence. Funding covers the costs of lodging and group meals for judges, court executives, and other court staff as well as the development and transmission of broadcast programs. The planned allocations are separated into two major areas:

1. Center for Judicial Education and Research (CJER)
   Mandated state judicial education programs include:
   - B.E. Witkin Judicial College of California
   - Family Law Assignment Education
   - Juvenile Law Assignment Education
   - Orientation for New Trial Court Judges

   Other recommended CJER programs include:
   - Advanced Education for Experienced Judges
   - Civil Law and Procedure Institute
   - Cow County Judges Institute
   - Overview Courses
   - Probate and Mental Health Institute
   - Traffic Law Institute

   CJER programs related to court administration include:
   - California Judicial Administration Conference
   - Court Management Courses
   - Fall Leadership Summit
   - Technical Assistance to Local Courts
   - Trial Court Faculty (Statewide Education Programs)
   - Train the Trainers – Faculty Development

CJER programs for trial court staff include:

- Court Clerk Training Institute
- Distance Learning (Satellite Broadcast)
- Mid-level Management Conferences

2. Other Educational and Developmental Programs
   - Budget-Focused Training and Meetings, which will cover costs for meetings of the Trial Court Budget Working Group and other budget-related meetings that directly affect trial courts' operations.
   - Center for Families, Children & the Courts (CFCC) Programs, which will cover the costs for the second Family Law Summit, 13 regional trainings – 7 for court-based child custody mediators and evaluators, 5 for family court services directors, and a special day-long training for juvenile judges.
   - CFCC Publications, which will cover the costs for the revision and re-printing of the AOC Children's Activity Book, printing and distributing action plans and implementation reports from the Blue Ribbon Commission on Children in Foster Care and the Elkins Family Law Task Force, and distributing the domestic violence and mental health informational aids for bench officers.
   - Judicial Branch Human Resources Conference, which will cover the costs for a statewide Human Resources Summit to support continuing education and professional development within the trial court human resources community.
   - Labor Relations Academy, which will cover the costs for three academies, one for each regional office, to assist trial court professionals in understanding and effectively working in a labor environment.

Category III – Pilot Projects, Special Initiatives, and Ongoing Programs
The allocations include the following projects and programs:

- Alternate Dispute Resolution Program, which will expand the mediation and settlement programs for civil cases in the trial courts. The project will help courts meet the goal of section 10.70(a) of the Standards of Judicial Administration, which provides that all trial courts should implement mediation programs for civil cases as part of their core operations.
- Branchwide Communication, which will support trial courts in their outreach communications with their court users, following recommendations from the Commission for Impartial courts dealing with the importance of an independent judiciary. This includes video, web, and print communications for the branch.
- Complex Civil Litigation, which will provide funding to the Superior Courts of Alameda, Contra Costa, Los Angeles, Orange, San Francisco, and Santa Clara Counties for staff, including attorneys, who work in complex civil litigation departments.
- Court Interpreter Testing, Recruitment, and Education, which will cover the costs for the Court Interpreter Advisory Panel meeting, test development, redesign workshops, trainer fees, video remote interpreting, audiovisual materials, and interpreter recruitment.

- Interactive Software – Self-represented Litigant Electronic Forms, which will pay for interactive programs that can be used in every county to help litigants complete pleadings in workshop settings more quickly and accurately.
- Public Outreach and Education, which will cover the costs for: 1) matching federal/state grant funding for the JusticeCorps program of $1 million over three years, 2) purchasing and installing self-help kiosks in the Superior Courts of Alameda, Los Angeles, Sacramento, San Diego, and Sonoma Counties; and 3) supporting the Law–Related Education Program to develop education curricula related to the judicial branch.
- Ralph N. Kleps Award Program, which will cover the costs for the committee meetings, site visits of trial courts, and the publication of *Innovations in the California Courts*.
- Self-Help Videos for the Website, which will cover the costs for posting training sessions from the self-help conferences online to allow trial court staff and judicial officers who were unable to participate in the workshops to view the courses.
- Trial Courts Performance and Accountability, which will cover the consultant cost for continuation of the Judicial Workload Assessment and Resource Allocation Study model.

Improvement Fund
Projects and programs funded from the Improvement Fund fall under three categories.

Category I – Ongoing Statewide Programs
The projects or programs that are part of statewide technology infrastructure and ongoing services to the trial courts are discussed in Recommendations 3, 4, and 5 (see Attachment E for the recommended allocations by project or program). The other Category I projects and programs include the following:

- Domestic Violence Family Law Interpreter Program, which will provide grants to trial courts for providing interpreter services for litigants with limited English proficiency in general family law and domestic violence cases.
- Employee Assistance Program for Bench Officers, which will cover the costs for customized training courses, specific personal consultation, and services to judges, subordinate judicial officers, and assigned judges, including access to appropriate treatment, providers, and community resources.
- Judicial Performance Defense Insurance, which will pay for the trial court portion of a comprehensive loss prevention program that covers defense costs in proceedings related to Commission on Judicial Performance complaints, protects judicial officers from exposure to excessive financial risk for acts committed within the scope of their judicial duties, and lowers the risk of conduct that could develop into complaints through required ethics training for judicial officers
- Litigation Management Program, which will cover the costs for defending trial courts, judicial officers, and court employees, including costs of attorneys from the Attorney General's Office, private counsel, settlements, and judgments of civil claims brought against covered entities and individuals. GC § 811.9 requires the council to provide for

the representation, defense, and indemnification of the state's trial courts, trial court judicial officers, and trial court employees.

- Quality of Justice and Service to the Public, which will cover the costs for various outreach and educational initiatives to connect trial courts with the general public, such as preparation of web templates.
- Self–Help Centers, which will provide grants to trial courts for either establishing and/or expanding self-help centers in all 58 superior courts in California.
- Self-Represented Litigants Statewide Support, which will cover the costs for a statewide conference and other related training sessions for self-help centers, and development of plans to provide more comprehensive services to self-represented litigants.
- Subscription Costs – Judicial Conduct Reporter, which will cover the annual cost of *Judicial Conduct Reporter*, a quarterly newsletter published by the American Judicature Society, and distributed to all judicial officers as part of AOC's ethics education program.
- Trial Court Security Grants, which will provide grants to trial courts for installing and maintaining security systems and equipment to ensure public safety within court facilities, and pay for a vendor to develop and deliver the training necessary for the courts to complete and maintain their own Continuity of Operations Plan (COOP), as well as Emergency, Command and Control and Pandemic Plans.
- Trial Court Transactional Assistance Program, which will pay for the attorney fees and related expenses to assist trial courts in numerous areas including business transactions, labor and employment, finance and taxation, and real estate.

Category II – Trial Court Projects and Model Programs
The projects and programs include the following:

- Audit Contract, which will cover the costs for supplementing AOC's internal audit program that conducts comprehensive audits (financial, operational, and compliance) at each of the 58 trial courts approximately once every four years, encompassing court administration, cash controls, court revenues, expenditures, and general operations. The goal is to reach a three-year audit cycle.
- Reimbursement to Trial Courts for Public Access, which will provide funding to reimburse trial courts for costs related to eligible staff time incurred between January 1, 2010 and December 31, 2011, in responding to requests for public access to judicial administrative records under rule 10.500.
- Workers' Compensation Program Reserve, which will provide funding to resolve outstanding liabilities with counties for workers' compensation tail claims from January 1, 2001 to June 30, 2003 related to court employees covered by the Judicial Branch Workers' Compensation Program.

Category III – Emergency Funding Reserve
Required by Government Code § 77209(b), the funding is the set-aside of one-half of the 1 percent transfer from the Trial Court Trust Fund to the Improvement Fund until March 15, 2011. This year, half of the amount of the transfer will be $13.616 million, with the funding reserved

through March 15 to address unforeseen contingencies and financial emergencies at the trial courts.

Technical Allocation Adjustments in FY 2009–2010

At its October 23, 2009 business meeting, the Judicial Council delegated authority to the Administrative Director of the Courts to adjust allocations of funds to courts and for approved programs and projects, as needed, to address unanticipated needs and contingencies. Any adjustments were to be reported back to the council, after the end of the fiscal year. Two projects under the Improvement Fund were approved by the Administrative Director.

1. Jury System Improvement Projects (ongoing), which will utilize royalties from the publication of jury instructions for projects related to the improvement of the jury system, including reviewing of case law and statutes affecting jury instructions and other topics to the council's civil and criminal jury instructions, developing publications and communications tools to better inform the public about jury service, providing mini grants for trial courts to purchase software updates of jury management programs, and providing education to judges on the jury trial process and training staff on how to effectively interact with jurors.

2. Other Post-Employment Benefits (OPEB) Valuation Report (two-year funding, $219,846 for FY 2009–2010 and $270,154 for FY 2010–2011), which will cover the costs of a consultant to provide actuarial services and expertise in producing the necessary calculations and documentation to meet the requirements of Governmental Accounting Standards Board (GASB) Statements No. 43 and 45, which establish the standards for the measurement and display of OPEB expenses and related liabilities (assets), note disclosures, and required supplemental information in the financial reports of state and local governmental employers.

Adjustments to Previously Approved Allocations

To contribute towards the offset of trial court funding reductions, for cash flow needs, and as a result of cost-saving measures, staff proposes adjustments to 28 previously approved allocations for a net reduction of $7.216 million ($1.534 million from the Modernization Fund and $5.682 million from the Improvement Fund). Column C of Attachments C and E displays the reduction and augmentation amount by individual project or program for the Modernization Fund and Improvement Fund, respectively. The reduction and augmentation amount and rationale for each of the 28 projects or programs are discussed below, by fund.

Modernization Fund

1. B.E. Witkin Judicial College of California
   Reduction: $10,000 (Ongoing)
   Rationale: Negotiated fee reductions for meeting and training facilities and cost-saving measures have resulted in lower costs.

2. Criminal Law and Procedure Institute
   Reduction: $25,000 (One-time)
   Rationale: This program will not be held this fiscal year.

3. Spring Continuing Judicial Studies Program
   Reduction: $30,000 (One-time)
   Rationale: This program will not be held this fiscal year.

4. Traffic Law Institute
   Reduction: $20,000 (One-time)
   Rationale: Instead of a statewide conference, this program will be conducted at each regional office, which will reduce substantially travel and lodging costs.

5. Winter Continuing Judicial Studies Program
   Reduction: $115,000 (One-time)
   Rationale: This program will not be provided this fiscal year.

6. Budget-Focused Training and Meetings
   Reduction: $7,551 (Ongoing)
   Rationale: In–person training and meetings will be reduced from four to three per fiscal year and the activities will be held at AOC facilities.

7. CFCC Programs
   Reduction: $105,731 (Ongoing)
   Rationale: Number of in-person conferences and training will be reduced, and some of the programs will be funded by donations from foundations.

8. CFCC Publications
   Reduction: $22,000 (Ongoing)
   Rationale: CDs will be used for conference and meeting materials, instead of printing, binding, and distributing hard copies to the participants.

9. Judicial Branch Human Resources Conference
   Reduction: $45,000 (Ongoing)
   Rationale: The schedule of the statewide conference will be shortened to reduce lodging and meal costs.

10. Labor Relations Academy
    Reduction: $6,146 (Ongoing)
    Rationale: Only four of the five initially planned training sessions will be held.

11. New Council Member (Trial Court) Orientation
    Reduction: $23,000 (Ongoing)

Rationale: The costs of meetings, conferences, and other activities related to the council's statewide strategic planning will be funded by the AOC's budget from the state General Fund.

12. Trial Court Outreach – Visits to Council and AOC
    Reduction: $50,000 (Ongoing)
    Rationale: The costs of meetings, conferences, and other activities related to the council's statewide strategic planning will be funded by the AOC's budget from the state General Fund.

13. Alternative Dispute Resolution for Civil Cases
    Reduction: $300,000 (One-time)
    Rationale: Sixteen trial courts submitted applications for Civil Mediation and Settlement Project Awards, and E&P approved awards to each applicant totaling $1.049 million. Up to $390,000 will be used to fund statewide projects to promote the availability, quality, and use of the Alternative Dispute Resolution Program.

14. Branchwide Communication
    Reduction: $43,089 (Ongoing)
    Rationale: Savings will result from producing fewer editions and printed copies of publications as well as expanding online publications.

15. Court-Appointed Counsel Performance Database
    Reduction: $230,000 (Ongoing)
    Rationale: The cost for the monthly hosting and maintenance charges and network licensing fees for the Dependency Representation, Administration, Funding and Training (DRAFT) program database will be funded within the TCTF allocation for court-appointed counsel.

16. Court Interpreter Testing, Recruitment, and Education
    Reduction: $185,000 (Ongoing)
    Rationale: The allocation for Interpreter Recruitment Campaign from the Modernization Fund (refer to item #17 below) will be combined with this project. The cost of the development and updates of mandated court interpreter exams will be substantially reduced by using modifications of the National Center for State Courts (NCSC) Consortium Exam. The savings from the administration of the Court Interpreter Program exam will be used to pay for recruitment costs.

17. Interpreter Recruitment Campaign
    Reduction: $125,000 (Ongoing)
    Rationale: The original funding for this project will be combined with the CIP – Testing and Campaign allocation (refer to item #16 above).

18. Public Outreach and Education
    Augmentation: $175,200 ($140,000 Ongoing, $35,200 One-time)
    Rationale: The Law-Related Education Program receives allocations from both the Modernization Fund and the Improvement Fund. Consolidating the allocations in the Modernization Fund results in an augmentation of $140,000 (refer to item #24 below). The $35,200 one-time augmentation will be used to purchase and install self-help kiosks in the Superior Courts of Alameda, Los Angeles, Sacramento, San Diego, and Sonoma Counties.

19. Presiding Judges/Court Executive Officers Meeting
    Reduction: $163,000 (Ongoing)
    Rationale: The costs of meetings, conferences, and other activities related to the council's statewide strategic planning will be funded by the AOC's budget from the state General Fund.

20. Trial Court Performance and Accountability
    Reduction: $204,000 (Ongoing)
    Rationale: A large portion of the work for the judicial workload study was included in a prior year's contract; therefore, the consultant costs will be reduced significantly in the current fiscal year.

    Improvement Fund

21. Branchwide Strategic Planning
    Reduction: $500,000 (Ongoing)
    Rationale: The costs of meetings, conferences, and other activities related to the council's statewide strategic planning will be funded from the AOC's base budget from the state General Fund.

22. Judicial Performance Defense Insurance
    Augmentation: $165 (Ongoing)
    Rationale: The insurance premium for trial courts increased by $165.

23. Jury System Improvement Projects
    Augmentation: $68,000 (One-time)
    Rationale: Only $68,000 will be needed this fiscal year for projects related to improvement of the jury system (refer to item #1 under the Technical Allocation Adjustments in FY 2009–2010 section). The remaining royalties received in FY 2009–2010 and FY 2010–2011 will be available for use in the next fiscal year.

24. Quality of Justice and Service to the Public
    Reduction: $150,000 ($140,000 Ongoing, $10,000 One-time)
    Rationale: The Law–Related Education Program allocation of $140,000 will be transferred to the Modernization Fund (refer to item #18 above). The one-time reduction

of $10,000 will be achieved by negotiating a lower consultant fee for preparation of web templates for the courts.

25. Trial Court Benefits Program – Legal Advice
    Reduction: $200,000 (Ongoing)
    Rationale: The AOC–sponsored trial court medical benefits program has ended and the contract with outside legal counsel to provide benefit-related services to court participants was discontinued.

26. Trial Court Security Grants
    Reduction: $100,000 (One-time)
    Rationale: The AOC's Office of Emergency Response and Security Unit (OERS) will be deferring and/or delaying proposed projects in the current fiscal year.

27. Audit Contract
    Reduction: $300,000 (One-time)
    Rationale: $300,000 of funds encumbered in FY 2009–2010 can be used in FY 2010–2011.

28. Workers' Compensation Program Reserve
    Total adjustment of $4,500,000 in FY 2010–2011 consists of two parts as following:
    a) Deferral: $1,000,000 will be rolled over to FY 2011–2012 as a funding reserve to pay for additional tail claim settlements.
    b) Reduction: $3,500,000 (One-time)
    Rationale: Based on the estimated liabilities related to four counties that will be settled in FY 2010–2011, only $6.0 million is needed for this fiscal year. $1.0 million will be retained in reserve to address additional possible settlements in FY 2011–2012.

Rollover Requests

Staff proposes that three projects or programs be allowed to roll over unused prior-years' allocations totaling $378,420 (one funded by the Modernization Fund in the amount of $72,000, two funded by the Improvement Fund in the amount of $306,420). Column D of Attachments C and E displays the rollover amount by individual project or program. The rollover amount and rationale for each of the three projects or programs are discussed below.

Modernization Fund

1. CFCC Programs
   Rollover: $72,000 (One-time)
   Rationale: The rollover funding will be used to supplement several consolidated training and events such as the third annual Blue Ribbon Commission Summit, Family Dispute Resolution statewide conference, and annual training for judicial officers and attorneys in juvenile dependency and delinquency.

Improvement Fund

2. Domestic Violence – Order After Hearing
   Rollover: $81,420 (One-time)
   Rationale: The rollover funding will be used to fulfill a contract with a consultant to program the Family Court Case Tracking System (FACCTS), which can communicate with the California Courts Protective Order Registry (CCPOR), so that the data for Order After Hearing can be sent directly to the CCPOR. The communication between these two systems will save time and minimize errors related to manual data entry.

3. Investment Advisory for Trial Court Investment Program
   Rollover: $225,000 (One-time)
   Rationale: The rollover funding will be used to contract with a fixed-income investment consultant to review the existing trial court investment program, develop a comprehensive fixed-income investment strategy, prepare request for proposals for an investment manager and ongoing non–discretionary investment advisory services, and make recommendations for necessary changes.

New Funding Requests

Staff recommends approval of two new funding requests totaling $130,000 from the Improvement Fund. Column E of Attachment E displays the new request amount by individual project or program. The amount and rationale for each are discussed below.

1. Human Resources Legal Counsel for Trial Court Benefits
   Funding Request: $80,000 (Ongoing)
   Rationale: Funding for this project will be used to maintain a contract with outside counsel for the provision of legal advice for all trial court benefit-related issues and inquiries, (e.g., immediate requirements of the Patient Protection and Affordable Act of 2010, cafeteria plan impacts, and early retiree reinsurance).

2. Human Resources – Court Investigation
   Funding Request: $50,000 (Limited term for three years)
   Rationale: Funding for this project will be used to contract with an outside law firm to provide investigation services for highly sensitive employment matters requested by trial courts.

FY 2009–2010 Excess 50/50 Split Revenue Distributions

GC § 77205(a) requires the council to determine an allocation of 50/50 excess split revenues that exceed the total FY 2002–2003 level. On December 7, 2004, the council adopted a methodology whereby courts in counties whose 50/50 excess split revenues exceeded the FY 2002–2003 base would receive a pro rata share of a minimum of 20 percent of the total excess revenues. From FY 2004–2005 to FY 2007–2008, total 50/50 excess split revenues exceeded the total FY 2002–2003 base, and pro rata distributions were made to eligible courts from 20 percent of the excess revenue. In FY 2009–2010, the total 50/50 excess split revenues ($46.613 million) did not

exceed the FY 2002–2003 amount ($61.883 million); therefore, no excess revenue is available for distribution to the courts in FY 2010–2011.

Alternatives considered and policy implications

None.

Recommendation 2: Alternative Dispute Resolution Program Schedule

2. Approve an accelerated schedule for soliciting, receiving, and processing court funding proposals related to the Alternative Dispute Resolution Program on an ongoing basis.

Rationale for Recommendation 2

Under previous schedules/timelines, trial court proposals were submitted and awards were made related to this program after passage of the Budget Act and approval of the Modernization Fund allocations, which typically delayed execution of funding agreements with the trial courts until the last month of the fiscal year in which the funds were appropriated. The proposed accelerated schedule will authorize program staff to proceed with program planning, solicit court proposals, present funding recommendations to E&P, and enter into funding agreements with trial courts before each fiscal year begins, so that the programs can continue without interruption, pending the enactment of the state budget and approval of the annual Modernization Fund allocation.

Alternatives considered and policy implications

None.

Recommendation 3: Ongoing Services for Trial Courts

3. Approve allocation of $20.968 million for ongoing services for trial courts, from the Modernization Fund ($0.530 million), the Improvement Fund ($11.882 million), and the TCTF ($8.556 million)

Rationale for Recommendation 3

The recommendation is consistent with Judicial Branch goals and the statutory purposes of the special funds, and is intended to achieve various goals of the Judicial Branch as they apply to trial courts, including branchwide infrastructure for service excellence.

The Ongoing Services for Trial Courts category consists of programs, including AOC staff support, that provide services to the trial courts. Attachment H provides a list of all programs and the recommended allocations by fund source.

Ongoing Services for Trial Courts
FY 2010–2011 Allocation from Special Funds - $20,967,744 (includes reimbursements)
FY 2010–2011 Allocation from General Fund - $6,027,002

1. Phoenix Financial and Human Resources Services
   FY 2010–2011 Allocation from Special Funds - $16,714,144 (includes reimbursements)
   FY 2010–2011 Allocation from General Fund - $6,027,002

   Financial Services
   The financial component of the Phoenix System, which provides timely information about fiscal needs while complying with policies, procedures, regulations, and standardized processes, enables courts to maintain control over expenditures. The current configuration includes General Ledger, Cost Accounting, Materials Management, Accounts Payable, Accounts Receivable, Project Accounting, and Trust Accounting. As of July 2009, all 58 courts are on the Phoenix Financial System.

   Los Angeles Superior Court Transitioning to the Phoenix Financial System
   The Superior Court of Los Angeles County was the final court to be brought onto the Phoenix Financial System. Court and AOC staff are currently collaborating to minimize the overall impact of the transition from the court's present legacy system (ECaps) to the Phoenix Financial System. Due to the court's size and complexity, the system is being implemented in stages with completion anticipated by the end of FY 2010–2011.

   Bank Account Consolidation
   This project represented a major Phoenix Program initiative for 2010. The goal of the bank account consolidation effort was to seamlessly consolidate the structure of AOC-administered bank accounts, creating cost-savings opportunities for courts, and ultimately simplifying the cash management process. The collective cost savings is estimated to be approximately $600,000 per year, solely limited to court bank accounts established under the Bank of America master agreement. Implementation of this effort occurred in a phased approach beginning February 1, 2010 with four pilot courts: Contra Costa, Sacramento, Marin, and Ventura Superior Courts. After successful pilot deployments, the remaining courts transitioned to the new master bank account structure over the course of several months with August 1, 2010 marking the successful conclusion of this project.

   Human Resources Services
   The human resources component of the Phoenix System leverages technology for human resources administration and in-house payroll processing, provides a customer service call center, standardizes processes and procedures, collects data at the source, provides central administrative processing, and provides Manager Self Service (MSS) and Employee Self Service (ESS) functions to the employees of the courts. To date, seven courts (Lake, Riverside, Sacramento, San Bernardino, Santa Cruz, Siskiyou, and Stanislaus Superior Courts) are live on the module. The projected time frame for statewide completion has not been determined because of budget uncertainties.

Phoenix HR System Implementation in San Bernardino
After many months of preparation, the Phoenix HR System was implemented in San Bernardino Superior Court on August 1, 2010, providing the court with human resources and payroll administrative services. The successful deployment is attributed to the vastly collaborative efforts of the court, the AOC, and the system integrator, EPI-USE America. As a final phase, the court is currently transitioning from the county benefits plan to a court-specific benefits plan, with an anticipated cutover date of August 2011.

2. California Law Enforcement Telecommunications System (CLETS)
FY 2010–2011 Allocation from Special Funds - $263,322
FY 2010–2011 Allocation from General Fund - $0

CLETS access through the California Courts Technology Center (CCTC), as provided via the California Department of Justice (DOJ), was first enabled during FY 2006–2007 by the implementation of hardware, software, and telecommunications services. Staffing support at the AOC for the program was maintained to continue the appropriate level of support for the project. Two new courts were added to CLETS during FY 2009–2010, bringing the total number of supported courts to seven. These courts are using the statewide network to access and update various California and federal databases, including the DOJ California Restraining and Protective Order System (CARPOS). Also added during FY 2009–2010 was CCPOR (California Courts Protective Order System) access to CARPOS via CLETS.

Funding in FY 2010–2011 will support continuous operations and expansion of the technical solution for CLETS access. This will include enhancements to the data exchange that supports the CCPOR submission of restraining and protective orders to the DOJ, a testing environment to eliminate the current impact to superior courts related to CCPOR deployment testing and training, and the addition of custom multi-database inquiry capabilities for greater efficiency for the courts.

3. Enhanced Collections
FY 2010–2011 Allocation from Special Funds - $801,947
FY 2010–2011 Allocation from General Fund - $0

Funding in FY 2010–2011 will be used to provide ongoing professional and technical support to all court and county collection programs, as well as justice partners, including the California State Bar, to enhance the performance and effectiveness of the collection of delinquent court-ordered debt statewide. The Enhanced Collections Unit (ECU) will develop guidelines for a statewide implementation of the mandatory collections amnesty program as required by Vehicle Code § 42008.7, as well as update existing guidelines and standards for cost recovery (Penal Code § 1463.007) and discharge of accountability (Government Code § 25257) process, as amended by Senate Bill 857 (Stats. 2010, ch. 720); continue to participate in CCMS meetings to contribute to the functional design and

application of the system as it pertains to the collection of court-ordered debt and other related financial components; and will provide assistance and training to court and county collection staff on the changes resulting from SB 857. Upon request, additional training sessions and/or web-based seminars will be provided to staff and justice partners on the imposition and collection of delinquent court-ordered debt.

4. Internal Audits
   FY 2010–2011 Allocation from Special Funds - $764,664
   FY 2010–2011 Allocation from General Fund - $0

   Funding in FY 2010–2011 will support the branch's ongoing internal audit program. The internal audit program was initially approved by the Judicial Council in FY 2000–2001 and Internal Audit Services conducts comprehensive audits (financial, operational, and compliance) at each of the 58 trial courts approximately once every four years encompassing court administration, cash controls, court revenues and expenditures, and general operations. These activities improve accountability regarding the judicial branch's use of public resources, assist the branch in identifying opportunities to improve operational efficiency, and evaluate the branch's adherence to its statutory and constitutional mandates.

5. Regional Office Assistance Group
   FY 2010–2011 Allocation from Special Funds - $1,740,862
   FY 2010–2011 Allocation from General Fund - $0

   Funding will be used to provide legal support for regional offices, with staff primarily based at the regional offices. Each regional office serves as liaison, clearinghouse, advocate, consultant, and service provider to the trial courts. Staff with expertise in court services, finance, legal, facilities, human resources, and education and training are stationed at the regional offices and work directly with the courts to improve court administration and operations. Court visits and regional meetings ensure that the regions' perspectives are brought forward to the Judicial Council.

6. Treasury
   FY 2010–2011 Allocation from Special Funds - $228,230
   FY 2010–2011 Allocation from General Fund - $0

   Funding in FY 2010–2011 will be utilized for ongoing costs associated with staff responsible for the accounting and distribution of civil fees collected by the trial courts. Staff receives monthly UCF collection reporting data from all 58 trial courts, enters this reporting data into a financial systems application that calculates the statutory distributions, and executes the monthly cash distributions due to state and local agency recipients.

7. Trial Court Procurement
   FY 2010–2011 Allocation from Special Funds - $357,760
   FY 2010–2011 Allocation from General Fund - $0

   Funding in FY 2010–2011 will support the continued statewide master agreement program being utilized by the trial courts. The program solicits agreements for goods and services commonly used by the courts, thus relieving the courts of soliciting bids and proposals on their own and negotiating and executing agreements on their own. It has been in place since 2005. The agreements have been widely used by the courts, and each year has seen increased participation by the trial courts. In addition, because of economies of scale associated with statewide agreements, these master agreements have resulted in pricing that is significantly below what most courts could receive on their own. Also, the master agreements provide for a consistent set of terms and conditions that better mitigate risk for the courts.

8. Trial Court Reengineering
   FY 2010–2011 Allocation from Special Funds - $96,815
   FY 2010–2011 Allocation from General Fund - $0

   Funding in FY 2010–2011 will allow the Reengineering and Process Improvement Unit (RPI) to complete reengineering projects with three trial courts in the AOC's Northern/Central and Bay Area/Northern Coastal Region. This unit will also be working on an AOC-related project for a significant portion of FY 2010–2011, which will be funded out of the General Fund.

Alternatives considered and policy implications

None.

Recommendations 4 and 5: Statewide Technology Infrastructure Allocations – Maintenance & Operations and Projects

4. Approve allocation of $94.229 million for statewide technology maintenance and operations, from the Modernization Fund ($28.124 million), the Improvement Fund ($18.764 million), and the TCTF ($47.341 million).

5. Approve allocation of $49.180 million for statewide technology infrastructure projects, from the Modernization Fund ($0.159 million), the Improvement Fund ($8.315 million), and the TCTF ($40.706 million).

Rationale for Recommendations 4 and 5

The recommendations are consistent with Judicial Branch goals and the statutory purposes of the special funds, and are intended to achieve various goals of the Judicial Branch as they apply to trial courts, including branchwide infrastructure for service excellence.

The statewide technology infrastructure projects, programs, and services are divided into two recommendations: (1) Maintenance and Operations and (2) Projects. The Maintenance and Operations category consists of maintenance and operations activities of large branchwide initiatives, ongoing operations that are necessary for system maintenance, and AOC staff support for statewide technology infrastructure. The Project category consists of the development and deployment of technology projects, including those that are part of large branchwide initiatives, smaller projects of interest to trial courts and the Judicial Council, and projects to improve the IT infrastructure that benefit trial courts. Attachment I lists all of the projects and programs and the recommended allocations by fund source.

The Administrative Office of the Courts recommends approval of a total of $143.408 million in allocations for these programs and projects in FY 2010–2011 ($28.283 million from the Modernization Fund, $27.078 million from the Improvement Fund, and $88.047 million from TCTF). Appropriated General Fund moneys support some of these statewide efforts, and, for information purposes only, are indicated where applicable.

Maintenance and Operations
FY 2010–2011 Allocation from Special Funds - $94,228,524 (includes reimbursements)
FY 2010–2011 Allocation from General Fund - $1,349,964

1. California Court Case Management System (CCMS) V4 Operations
   FY 2010–2011 Allocation from Special Funds - $16,500,633
   FY 2010–2011 Allocation from General Fund - $422,774

   CCMS is a statewide initiative to develop and deploy a unified case management system for all 58 superior courts. The project is being managed by AOC's Southern Regional Office, with the participation of more than 200 court representatives from more than 25 counties, the Information Services Division (IS Division), and the Center for Families, Children, & the Courts. The CCMS interim application for civil, small claims, probate, and mental health is used in the Superior Courts of Los Angeles, Orange, Sacramento, San Diego, San Joaquin, and Ventura Counties.

   CCMS uses the technology and the functionality developed for an interim civil system, incorporates the criminal and traffic functionality developed for an interim application, and has developed new functionality for family law, juvenile delinquency, and juvenile dependency. Additional areas of functionality in CCMS include court interpreter and

court reporter management. CCMS has four distinct components: a core product, an internet portal, a statewide data warehouse, and data exchanges.

CCMS will have broad-ranging impacts once completed and deployed statewide. Far from just affecting the way the courts perform their day-to-day business, CCMS will provide significant advantages to state and local law enforcement agencies, child welfare services, child support services, and all Californians who participate in the court system as litigants, jurors, attorneys, victims, and witnesses.

During FY 2010–2011, maintenance and support for CCMS will begin. The project team has been documenting changes and enhancements that will be required once the development of the core application is completed. Examples of changes are updates to Judicial Council forms, fees, and other legislatively mandated changes and judicial branch requirements.

During FY 2010–2011, funding will support:

- Hardware and software maintenance;
- Infrastructure support and hosting services at the vendor data center;
- Support and updates to data exchanges with justice partners;
- Infrastructure support and hosting services for testing, training, and production environments at CCTC; and
- New releases of the products to address judicial branch requirements and legislative changes.

2. Civil, Mental Health, Small Claims, & Probate (V3) Case Management System
FY 2010–2011 Allocation from Special Funds - $21,043,403 (includes reimbursements)

V3 continues to process 25 percent of civil cases statewide. All V3 courts (Los Angeles, Orange, Sacramento, San Diego, San Joaquin, and Ventura Superior Courts) are now using the latest version of V3, Release 10.02, which includes the ability to process e-filed documents. E-filing was successfully deployed at Orange Superior Court at the end of FY 2009–2010. Sacramento, San Diego, and Ventura Superior Courts are exploring the possibility of deploying e-filing later this fiscal year. The e-filing enhancements allow attorneys and self-represented litigants to electronically file cases with the court. Other functionality includes electronic clerk review, digital stamping and endorsing, electronic notification of filing and return of endorsed documents, and system verification that the data elements are complete and accurate.

Legislative changes to the fee schedule were developed, tested, and available to the V3 courts on October 19, 2010. A legislative change patch that includes form and system changes will be available to the courts on January 1, 2011. Release 10.03 is in development and will be completed in mid-2011.

During the FY 2010–2011, technical support for the V3 case management system will be transitioned from Deloitte to the AOC ISD, achieving a cost savings of approximately $5 million through June 2014. This transition will also build in-house functional and technical knowledge to be used for future technical support of CCMS. During FY 2010–2011, funding will support:

- Knowledge transfer activities for transition to ISD;
- Hardware and software maintenance;
- Infrastructure support and hosting services at the vendor data center;
- Infrastructure support and hosting services for testing, training, and production environments at the CCTC;
- Vendor help desk support for end users; and
- New releases of the products to address judicial branch requirements and legislative changes.

3. Criminal & Traffic (V2) Case Management System
   FY 2010–2011 Allocation from Special Funds - $6,568,156

   V2 is a case management system for criminal and traffic cases. Fresno Superior Court implemented V2 in July 2006, and its maintenance and support was successfully transitioned from Deloitte to the AOC in September 2009.

   During FY 2010–2011, V2 maintenance and operations funding will support:

   - Hardware and software maintenance;
   - Infrastructure support and hosting services at the CCTC;
   - Help desk support for end users; and
   - New releases of the product to address judicial branch requirements and legislative changes.

4. California Courts Protective Order Registry (CCPOR)
   FY 2010–2011 Allocation from Special Funds - $481,000

   Funding in FY 2010–2011 will support the continued expansion of the CCPOR program, which began on-boarding courts in June 2010. CCPOR provides a centralized, statewide system allowing judicial officers from the bench and law enforcement personnel to view order images and help prevent conflicting orders. Further, CCPOR interfaces with the Department of Justice's California Restraining and Protective Order System (CARPOS) database for more timely and accurate processing of restraining and protective order data. Courts and their justice partners will be able to make better, timelier decisions in executing and enforcing restraining and protective orders, which will result in increased public safety.

The CCPOR application and infrastructure build-out at the CCTC was completed in the first quarter of 2010, and testing was completed in the second quarter of 2010. The three pilot courts were on-boarded in June and July of 2010, and demonstration of the application resulted in more than 30 courts expressing interest. To date, 14 courts have on-boarded with another 7 scheduled to onboard by December 2010. In FY 2010–2011, additional courts will be on-boarded and the system will continue to be enhanced to meet the needs of court and law enforcement users. The system will continue to be hosted at the CCTC.

5. California Court Technology Center (CCTC)
   FY 2010–2011 Allocation from Special Funds - $13,268,854 (includes reimbursements)
   FY 2010–2011 Allocation from General Fund - $175,000

   CCTC provides consistent, high–quality hosting for the Phoenix Financial and Human Resources System and various case management systems, and an updated and more robust infrastructure available without interruption. CCTC continues to provide ongoing maintenance and operational support to areas including the data center, data network management, desktop computing and local server, help desk, and IT service management, which includes service delivery and support. The current vendor for the CCTC is providing technical solutions statewide utilizing a dual data center model that standardizes trial court application systems and operations for all trial courts.

6. Courts Linked by Information and Knowledge (CLIK)
   FY 2010–2011 Allocation from Special Funds - $546,216

   The current Themis System is a series of applications that support the courts and the AOC. At the center of the Themis System is the Contact and Positions System (CAPS) database that tracks data regarding judges, justices and commissioners for communication distribution. The related Themis System applications that use this database are the Assigned Judges Tracking System (AJTS), Education Audio Video System (AV), Education Faculty System, and Nomination System.

   The Themis System database was first developed in 2001 on a platform that is no longer supported by software vendors. In addition, AJTS can no longer be enhanced to support changes in business processes due to conflicts with the original design. Workaround solutions have been implemented, when possible, but these solutions have negatively impacted business process efficiencies and system performance.

   The purpose of the CLIK program is to replace the Themis system and to rewrite CAPS and AJTS while making relatively minor changes to other applications to accommodate changes in the underlying CAPS database. Funding in FY 2010–2011 will support the completion of the functional analysis phase of the program and the start of the technical

design phase. The initial effort of the technical design includes a high-level analysis to determine the feasibility and cost/benefit of using off-the-shelf software solutions for the core functionality of the system.

7. Data Integration
   FY 2010–2011 Allocation from Special Funds - $6,670,727

   In FY 2010–2011, allocated funds will be expended to continue the Data Integration (DI) program and work with the trial courts to develop a statewide approach towards data exchange standards and the Integrated Services Backbone (ISB). Work will continue with the CCMS team to support testing of the CCMS data exchanges. The AOC will coordinate with state partners to complete development work required to support their integration with CCMS and the testing of those interfaces during Integration Testing and Product Acceptance Testing. All partner on-boarding documentation for the 121 data exchanges are expected to be completed this year.

   The DI team will continue to enhance the ISB framework by building configuration and validation tools for the existing common services, which will facilitate their use and adoption.

   The eCitations program is scheduled to go live with the first pilot court in June 2011. The DI team will ensure that the appropriate infrastructure is in place for testing and will support the production rollout. It also will continue to support activities by the California Highway Patrol and the non-CCMS early adopter courts (Orange, Santa Clara, and San Bernardino Superior Courts) to help ensure that these partners' systems are ready for the rollout.

   The DI team will continue to support the on-boarding of courts to the Judicial Branch Statistical Information System (JBSIS) portal throughout the year. It will also continue to support interface development efforts for the Phoenix and CCPOR teams.

8. Enterprise Policy/Planning
   FY 2010–2011 Allocation from Special Funds - $6,900,030

   This funding will provide continued administrative and technical support for the Enterprise Technology Architecture (EA) program, which provides a roadmap on how the various court technology initiatives fit together from a business and technology perspective. Activities planned for FY 2010–2011 include leading the technical architecture design process for major enterprise-wide initiatives of the judicial branch; consultation on technical designs and issues for CCMS, Phoenix, Computer-Aided Facilities Management (CAFM), Document Management System (DMS), Data Integration and other branch-wide initiatives; support of the trial courts with EA-related issues and solution design; implementation of the EA governance and decision process;

investigation and lead review for technical issues brought to governing bodies; consultation on technology decisions affecting the branch; and hosting of a bi-weekly EA Forum with Enterprise Architects from key vendor partners.

9. Enterprise Test Management Suite (Testing Tools)
   FY 2010–2011 Allocation from Special Funds - $1,267,530

   The Enterprise Test Management Suite (ETMS) is a program that develops and implements a suite of tools required by AOC software development teams. The tools consist of the Rational Functional Tester (a tool to test software functionality), Rational Performance Tester (a tool to test the limits of software performance), Rational ClearQuest (a defect tracking tool), Rational Requisite Pro (a repository for software requirements documents), Subversion (a system to keep track of program versions during development) and CQ2SVN (a commercial product to link ClearQuest to Subversion).

   Funding in FY 2010–2011 will support the following activities:

   - Administration of the tools (adding/deleting users and projects);
   - Installation and configuration of the servers and tools at the CCTC;
   - Configuring the tools for the various teams using the tools (e.g., V3, V2, CAFM, Phoenix, ACCMS, Web, CCPOR, ISB, ERP group);
   - Integration of the tools with CCTC systems (e.g., integration of ClearQuest with the Remedy trouble ticket system);
   - Training of staff in the use of these tools;
   - Development of standardized quality assurance metrics;
   - Software Quality Assurance Policy and Procedure development; and
   - Software maintenance for the existing tools (upgrading, patching, vendor support)

10. Interim Case Management System
    FY 2010–2011 Allocation from Special Funds - $6,277,728 (includes reimbursements)
    FY 2010–2011 Allocation from General Fund - $752,190

    Sustain Justice Edition (SJE) is an interim case management system used by 16 superior courts. SJE operates in 11 courts hosted at the California Courts Technology Center (CCTC). Five of the 16 courts use the system locally (i.e., not based at the CCTC), with approximately 48 court locations and 2,552 licensed court users.

    Funding in FY 2010–2011 will support maintenance and operational activities such as implementation of legislative updates, deployment of maintenance releases, and providing steady state and disaster recovery support for SJE and the related system interfaces.

11. Statewide Electronic Business Services (SEBS)
FY 2010–2011 Allocation from Special Funds - $441,875

During FY 2010-2011, funds will be expended to continue support of Integration and Product Acceptance Testing of the CCMS external components, including Integration Partner support. The team also plans to work with the Sacramento Superior Court to deploy Civil CMS e-filing and to on-board multiple Electronic Filing Service Providers (EFSPs). As part of this effort, the team will pilot an EFSP Qualification Process, which will form the baseline for a CCMS EFSP qualification process. Upon conclusion of the Judicial Branch Strategic Visioning Project, recommendations will be incorporated into the next judicial branch planning session. Lastly, the analysis, proposal and implementation strategies for the National Center for State Courts reports will be completed and, as a result, new projects may be initiated to support the advancement of statewide e-filing and e-business initiatives.

12. Telecommunications Support
FY 2010–2011 Allocation from Special Funds - $13,903,569

The Telecommunications Support program develops and supports a standardized level of network infrastructure for the superior courts. Components of the program include cabling, data network hardware, security hardware and monitoring, connectivity between court sites and justice partners, and training. This infrastructure provides a foundation for enterprise system applications such as the Phoenix Financial and Human Resources System and CCMS via shared services at the CCTC, which eases deployment and provides operational efficiencies and secures valuable court information resources.

Funding in FY 2010–2011 will support the following activities:

Local Area Network (LAN)/Wide Area Network (WAN) Technology Refresh – The purpose of the technology refresh project is to:

- Maintain an infrastructure aligned with the emerging needs of the enterprise applications;
- Maintain the investment made in the original LAN/WAN project;
- Enable the courts to further use their Internet Protocol (IP)-based network foundation by supporting other technologies such as Voice over Internet Protocol (VoIP); and by building automation systems, installing security cameras, electronic signage, energy management systems, etc.;
- Provide continuous access to all court systems;
- Support information and data security; and
- Support the courts as they complete a logical separation from their counties' networks.

Network Training – As technology changes or is upgraded, it is critical to keep staff up to date on these enhancements. Network training is offered to the courts so staff can administer and maintain their networks. This activity ensures reliable network operation as well as contributes to higher with staff retention rates.

Information Security Monitoring – Intrusion Detection System (IDS) monitoring has been a cornerstone of this program since its inception, providing the judicial branch with continuous pro-active monitoring for intrusions, viruses and other types of electronic attacks. Judicial branch data could be seriously compromised without this monitoring to prevent undetected intrusions.

13. Uniform Civil Fees System
FY 2010–2011 Allocation from Special Funds - $358,803

The Uniform Civil Fees System (UCFS) was developed to support the centralized reporting and distribution of civil fees until CCMS-V4, which has portions of this function integrated into the system, is fully deployed statewide. The total amount collected by the courts ranges between $45 and $50 million on a monthly basis. Failure to distribute fees to the appropriate entities within 45 days after the end of the collection month results in the state assessing a penalty on average of $20,000 each day the distribution is late.

Funding in FY 2010–2011 will support distribution updates required because of major legislative changes by the state as well as at the local level that normally occur in January and July. Minor changes will be supported throughout the year. In addition, more functions will be developed to allow users to input and validate more complex distribution changes into the system without AOC ISD involvement. Additional enhancements will be made, including tracking historical fee and distribution changes, which provides the Finance Division with the ability to produce historical reports and conduct historical analysis. A new interface with the Phoenix Financial and Human Resources System will be implemented, which will provide daily trial court bank and capital share balances to allow consolidated analysis and reporting of available balances for distributed funds.

<u>Projects</u>
FY 2010–2011 Allocation from Special Funds - $49,179,782
FY 2010–2011 Allocation from General Fund - $0

14. California Court Case Management System (CCMS) V4 Deployment
FY 2010–2011 Allocation from Special Funds - $26,476,812

Deployment of CCMS has begun with three "early adopter" courts, San Diego, Ventura, and San Luis Obispo Superior Courts, which will validate the application in a production environment in a large, medium, and small–sized court, respectively.

At the end of FY 2009–2010, the AOC and Deloitte executed the first component in the CCMS deployment project with the execution of the Early Adopter Readiness & Assessment Statement of Work (SOW), and held the court kick-off meetings. This 21-week phase of work will produce the deployment strategy and readiness and integration assessment for the three early adopter courts. The key areas include determining the best strategy for rollout, the objectives for data conversion, imaging, e-filing, and business process workflow.

During FY 2010–2011, funding will support creating deployment specific hosting environments for the application and continuing deployment to the early adopter courts. A joint strategy meeting with all three early adopter courts was held September 29, 2010. Meetings with local justice partners are taking place to detail the deployment schedule, data exchanges, and other preparations for successful implementation. Individual sessions have been held with court executives and managers of various business units to understand court organization, structure, operations, and technology environments, as well as resource needs, implementation challenges and timeline in preparation for the readiness report.

The courts and the AOC are finalizing the early adopter integration and readiness assessment deliverables with Deloitte, which will provide a roadmap for deployment through 2012. Work also continues with the early adopter local justice partners on the proposed schedule. A standard configuration of CCMS is being created as part of the deployment activities.

15. California Court Case Management System (CCMS) V4 Development
FY 2010–2011 Allocation from Special Funds - $21,228,975

During FY 2010–2011, funding will support completion of the development of CCMS-V4, which began in June 2007. Funds were encumbered to complete the development vendor contract during FY 2009–2010. During the current fiscal year, funds will be used for Product Acceptance Testing (PAT) resources and developing automated test scripts. Funds will also be used to begin maintaining the application after product acceptance, including updates to Judicial Council forms and legislative changes that affect the application.

In early 2010, the Legislature requested that the Office of Chief Information Officer (OCIO) review the project following a legislative hearing in October 2009. In its review, the OCIO considered the objectives, activities, and costs of CCMS. The report, released in April 2010, notes the size and complexity of the project and also the significant

benefits to the state when completed, and it makes several recommendations to ensure project success. The Legislature also requested that the Bureau of State Audits (BSA) complete an audit of CCMS. BSA's report is planned for public release in January 2011.

The AOC is in the process of augmenting the governance structure to prepare the branch and the program for the next phase, moving from development to statewide implementation. The new governance model will have an Executive Committee and three Advisory Committees, including one specifically for Justice Partners.

The CCMS application has been demonstrated at the following venues: the Civil Defense Bar, the California District Attorneys Association's Technical Committee, the San Luis Obispo Bar Association, the Bench Bar Coalition, the California Bar Association Conference, the Court Executive Advisory Committee, and the Court Technology Advisory Committee. Very positive feedback was received at each of these events.

To ensure the quality of the CCMS application, Deloitte and the AOC engaged in a Final Functional Design (FFD) validation effort. Deloitte augmented and improved resources assigned to the project. These additional resources participated in this comprehensive process, which involved comparing developed code against the FFD and then fixing the 50,000 defects identified. The vendor, the AOC, and court subject matter experts completed the validation of the application code against the final functional design to ensure readiness for testing.

The AOC and the courts continue to be closely involved in the vendor's testing of the core product, which began in August 2010 and is scheduled to continue for six months. The AOC is currently preparing additional trial court and professional testers to assist with these efforts. Product acceptance testing is expected to begin in February 2011. In order to ensure that the quality of the product is improved, several management checkpoints were identified to track Deloitte's performance.

The core product will be delivered by April 30, 2011. The external components will be phased into the final core product and tested; this is scheduled to be completed by the end of July 2011.

16. CCMS Document Management System (DMS) Development and Deployment
FY 2010–2011 Allocation from Special Funds - $703,903

The need for a Document Management System (DMS) has been identified by AOC divisions, and trial and appellate courts. Both the CCMS steering and oversight committees endorsed the need for a DMS to be integrated within CCMS. The Court Technology Advisory Committee (CTAC) recommended that a project to develop a DMS

solution be undertaken. The AOC Information Services Division (ISD) has partnered with Santa Clara Superior Court to develop a DMS solution.

Funding in FY 2010–2011 will allow the following planned activities:

- Develop a Request For Proposal (RFP) to solicit vendor proposals for a DMS solution for the trial courts;
- Select a DMS product and create a branch–wide DMS standard;
- Procure the DMS product and pilot a DMS at Santa Clara Superior Court; and
- Begin the process to prepare a DMS solution for CCMS early adopter courts that do not have a DMS.

17. California Courts IS (CCIS) Policy Framework
FY 2010–2011 Allocation from Special Funds - $106,243

Funding in FY 2010–2011 will allow the AOC ISD to work with the trial courts to ensure that they have a consistent baseline document as they move forward in adopting an information security policy. There is a greater need now for trial courts to follow uniform governance models and industry standards instead of the previous undocumented policies, standards and procedures. As the cost would be higher for each court to hire independent consultants to create a court specific policy, trial courts have asked the AOC to create a common framework for 58 trial courts to use as a baseline in determining local court IS policy.

A committee of judges, court executives, court IT management and AOC leadership has reviewed a first draft of the framework document. The results of surveys at 11 courts and the AOC were used in addition to industry standard security practices to create the first draft.

The next steps include presentation to the CTAC and to include a wider range of courts for feedback before completion and presentation to all courts.

18. California Courts Technology Center (CCTC) IS Security Policy
FY 2010–2011 Allocation from Special Funds - $53,122

Funding in FY 2010–2011 will allow the CCTC to implement a broad information security policy to replace its existing small set of procedures and standards. The AOC is currently creating a branchwide CCIS Policy Framework for use by trial courts, with a first draft having been completed. The AOC is awaiting final completion of the CCIS Policy Framework before final approval of the CCTC IS Security Policy.

19. Enterprise Policy/Planning
FY 2010–2011 Allocation from Special Funds - $124,168

Funding for this project will be used to retain an independent consultant assigned to advise on statewide technology infrastructure related projects.

20. Statewide Electronic Business Services (SEBS) - Branch-Owned ePortal
    FY 2010–2011 Allocation from Special Funds - $55,122

    Funding in FY 2010–2011 will allow AOC ISD staff to continue developing a solution related to CTAC's recommendation endorsing a "two-pronged approach for establishing a uniform statewide electronic filing environment." The first prong was to establish state-level contracts with private electronic filing service providers. The second was to procure or develop a branch owned electronic filing solution targeted for self-represented litigants. This fiscal year, the focus will be on analyzing National Center for State Courts (NCSC) report findings issued related to CTAC's recommendation. Between January and March 2011, the SEBS team will complete analysis and begin developing recommendations and an implementation strategy for a branch-owned e-filing portal.

21. SEBS eBusiness
    FY 2010–2011 Allocation from Special Funds - $100,000

    During FY 2010–2011, the eBusiness Strategic Initiative project is aimed at developing an organizational and technological framework for comprehensive, integrated, user-focused e-business systems. Funds will be used to create the work products needed to support the Judicial Council's larger strategic planning effort, including new deliverables for communication planning and presentations for the council.

22. SEBS Smart Forms
    FY 2010–2011 Allocation from Special Funds - $331,437

    Funding in FY 2010–2011 will allow AOC ISD staff to develop a smart form technology solution for Judicial Council forms. Also referred to as "e-forms," this technology provides the ability to (1) build intelligence into forms for validation and calculations, and (2) to retain data entered on the form in a format that may be readily integrated with the court's case management system. This fiscal year, the focus will be on analyzing NCSC report findings regarding whether the branch should adopt smart form technology and subsequently convert the Judicial Council forms to this format. Between January and March 2011, the SEBS team will complete the analysis and begin developing recommendations and an implementation strategy for the conversion and support of e-forms within the branch.

Alternatives considered and policy implications

None.

Implementation Requirements, Costs, and Operational Impacts

Once approved, program staff will prepare the appropriate documents such as Requests for Proposals, Standard Agreements, and Memoranda of Understanding to implement these projects.

Recommendation 6: Asset Replacement

6. Allocate $7.4 million to courts for technology equipment replacement, for items such as personal computers and printers, and authorize the Administrative Director of the Courts, as requested and on a case-by-case basis, to authorize courts with severe cash flow limitations problems to redirect these funds to offset the impact of budget reductions in FY 2010–2011.

Previous Council Action

At its August 24, 2000 business meeting, the council allocated $7.4 million per year on an ongoing basis from the TCTF to courts for the purpose of replacing information technology equipment. Since FY 2000–2001 courts have received an annual statewide allocation of $7.4 million from the TCTF for asset replacement, although in FY 2001–2002 and FY 2002–2003 the allocation was distributed from the Improvement Fund and Modernization Fund due to one-time allocated reductions of the $7.4 million from the TCTF included in the state budget. In FY 2002–2003 and FY 2009–2010 the council permitted courts to redirect their special fund allocations for asset replacement to offset the impact of budget reductions in those fiscal years.

Rationale for Recommendation 6

There is a continuing need to ensure that court technology equipment is maintained and current. Nevertheless, the financial situation in some courts may be so challenging that redirection of these resources may be necessary.

Alternatives considered and policy implications

None.

Comments From Interested Parties

AOC's Information Services Division concurs with the recommendation.

Implementation Requirements, Costs, and Operational Impacts

Once approved, program staff will prepare the appropriate Interbranch Agreements.

Recommendation 7: Delegation of Technical Adjustment Authority to the Administrative Director of the Courts

7. Delegate authority to the Administrative Director of the Courts to adjust allocations of funds to courts and for approved programs and projects, as needed, to address unanticipated needs and contingencies. Any adjustments will be reported back to the council after the end of the fiscal year.

Previous Council Action

GC sections 77209(g) and 77213(b) allow the Judicial Council, with appropriate guidelines, to delegate the administration of the Improvement Fund and the Modernization Fund respectively, to the Administrative Director of the Courts (Administrative Director). At its January 30, 2002 business meeting, the council approved guidelines for the delegation of the administration of the two special funds to the Administrative Director. Under the guidelines, once E&P approves allocations from the special funds, the Administrative Director can, among other things, approve new projects or programs within the approved funding level of the budget categories; approve changes to, defer, or eliminate programs or projects in the approved budget, if the changes, deferrals, or eliminations do not result in a transfer of money from any budget category; approve one-time emergency funding requests from the reserve; and transfer up to 20 percent of the budget from categories I and II to any other category.

Rationale for Recommendation 7

This standard technical delegation to the Administrative Director is needed to manage the budget during the fiscal year. For some of the allocations included in this report, the actual amounts may change as updated information is received from project and program managers. Rather than being required to return to the council during the fiscal year to seek authority to amend these allocations, having the authority delegated to the Administrative Director to do so in advance will facilitate allocating funding when final amounts are known.

In addition, each year some courts incur unanticipated costs that, depending on the financial health of the court, may be difficult to address, creating a cash flow problem. Such unanticipated issues make it advisable that the Administrative Director have the ability to direct unallocated statewide special fund monies in an efficient and flexible manner.

Alternatives considered and policy implications

No specific alternatives were considered, other than returning to the council each time technical adjustments need to be made or if unanticipated costs arise. This approach would likely cause delays in providing necessary funding to the courts, programs, or projects involved.

Attachments

1. Attachment A: Overview of Special Funds
2. Attachment B: Modernization Fund Summary
3. Attachment C: Modernization Fund Detail
4. Attachment D: Trial Court Improvement Fund Summary
5. Attachment E: Trial Court Improvement Fund Detail
6. Attachment F: Trial Court Trust Fund Summary
7. Attachment G: Trial Court Trust Fund Detail
8. Attachment H: Ongoing Services for Trial Courts by Fund Source
9. Attachment I: Statewide Technology Infrastructure by Fund Source

Attachment A

Overview of Special Funds
FY 2009-2010 Budget and Actual and FY 2010-2011 Recommended Allocation
(amount in dollars)

I. Modernization Fund	FY 2009-10 Budget	FY 2009-10 Year-End Statement	FY 2010-11 Budget		
			Projected Resources and Previously Approved Allocation	Adjustment, Rollover, and New Request	Total Projected Resources and Recommended Allocations
	Column A	Column B	Column C	Column D	Column E
Adjusted Beginning Balance	27,618,428	29,741,538	30,933,026	-	30,933,026
Revenues and Transfers	11,090,572	39,036,601	7,380,951	-	7,380,951
Total Resources	**38,709,000**	**68,778,139**	**38,313,977**	**-**	**38,313,977**
All Other Projects and Programs	8,414,117	7,636,802	10,676,682	(1,462,317)	9,214,365
Statewide Tech. Infrastructure and Ongoing Services	30,294,883	30,208,311	-	28,813,416	28,813,416
Total Expenditures and Encumbrances	**38,709,000**	**37,845,113**	**10,676,682**	**27,351,099**	**38,027,781**
Ending Fund Balance	**-**	**30,933,026**	**27,637,295**		**286,196**

II. Improvement Fund	FY 2009-10 Budget	FY 2009-10 Year-End Statement	FY 2010-11 Budget		
			Projected Resources and Previously Approved Allocation	Adjustment, Rollover, and New Request	Total Projected Resources and Recommended Allocation
	Column A	Column B	Column C	Column D	Column E
Adjusted Beginning Balance	35,610,873	40,632,092	20,674,512	-	20,674,512
Revenues and Transfers	69,246,692	58,774,475	57,396,950	-	57,396,950
Total Resources	**104,857,565**	**99,406,567**	**78,071,462**	**-**	**78,071,462**
Projects and Programs	32,827,604	19,763,553	29,256,267	(5,245,415)	24,010,852
Statewide Tech. Infrastructure and Ongoing Services	68,370,291	58,269,700	-	38,959,966	38,959,966
Emergency Funding Reserve (reserved until March 15)			13,616,070	-	13,616,070
Planned Use of Emergency Funding Reserve	3,000,670	40,226	1,000,000		1,000,000
Total Expenditures and Encumbrances	**104,198,565**	**78,073,479**	**30,256,267**	**33,714,551**	**63,970,818**
Prorata	659,000	658,575	862,000	-	862,000
Ending Fund Balance	**-**	**20,674,512**	**46,953,195**		**13,238,644**

III. Trial Court Trust Fund	FY 2009-10 Budget	FY 2009-10 Year-End Statement	FY 2010-11 Budget		
			Projected Resources and Previously Approved Allocation	Adjustment, Rollover, and New Request	Total Projected Resources and Recommended Allocations
	Column A	Column B	Column C	Column D	Column E
Adjusted Beginning Balance	173,876,316	178,088,680	103,839,927	4,157,388	107,997,315
Revenues and Transfers	2,785,299,381	2,808,649,504	3,017,930,045	-	3,017,930,045
Total Resources	**2,959,175,697**	**2,986,738,185**	**3,121,769,973**	**4,157,388**	**3,125,927,361**
Projects and Programs	2,844,819,148	2,827,779,176	2,970,034,542	-	2,970,034,542
Statewide Tech. Infrastructure and Ongoing Services	72,457,919	55,119,082	-	96,602,668	96,602,668
Total Expenditures and Encumbrances	**2,917,277,067**	**2,882,898,257**	**2,970,034,542**	**96,602,668**	**3,066,637,210**
Ending Fund Balance	**41,898,630**	**103,839,927**	**151,735,431**		**59,290,151**

Attachment A

IV. Combined	FY 2009-10 Budget	FY 2009-10 Year-End Statement	FY 2010-11 Budget		
			Projected Resources and Previously Approved Allocation	Adjustment, Rollover, and New Request	Total Projected Resources and Recommended Allocation
	Column A	Column B	Column C	Column D	Column E
Adjusted Beginning Balance	237,105,617	248,462,310	155,447,465	4,157,388	159,604,853
Revenues and Transfers	2,865,636,645	2,906,460,580	3,082,707,946	-	3,082,707,946
Total Resources	**3,102,742,262**	**3,154,922,890**	**3,238,155,412**	**4,157,388**	**3,242,312,800**
Court Operations	2,886,060,869	2,855,179,531	3,009,967,491	(6,707,732)	3,003,259,759
Statewide Tech. Infrastructure and Ongoing Services	171,123,093	143,597,093	-	164,376,050	164,376,050
Planned Use of Emergency Funding Reserve	3,000,670	40,226	1,000,000	-	1,000,000
Total Expenditures and Encumbrances	**3,060,184,632**	**2,998,816,849**	**3,010,967,491**	**157,668,318**	**3,168,635,809**
Prorata	659,000	658,575	862,000	-	862,000
Ending Fund Balance	**41,898,630**	**155,447,465**	**226,325,921**		**72,814,991**

Modernization Fund
Summary of FY 2009-2010 Budget and Actual and FY 2010-2011 Recommended Allocation
(amount in dollars)

	FY 2009-10 Budget	FY 2009-10 Year-End Statement	FY 2010-11 Budget				
			Projected Resources / Previously Approved Allocation	Adjustment	Rollover	New Request	Total Projected Resources and Recommended Allocation
	Column A	Column B	Column C	Column D	Column E	Column F	Column G
Adjusted Beginning Balance [1]	**27,618,428**	**29,741,538**	**30,933,026**	**-**	**-**	**-**	**30,933,026**
Revenues and Transfers							
Income from Surplus Money Investment Fund	350,022	334,480	271,951	-	-	-	271,951
Other Revenues and Charges	-	(6,880)	-	-	-	-	-
State General Fund Transfer	38,709,000	38,709,000	38,709,000	-	-	-	38,709,000
Transfer To Trial Court Trust Fund	(27,968,450)	-	(31,600,000)	-	-	-	(31,600,000)
Total, Revenues and Transfers	**11,090,572**	**39,036,601**	**7,380,951**	**-**	**-**	**-**	**7,380,951**
Total Resources	**38,709,000**	**68,778,139**	**38,313,977**	**-**	**-**	**-**	**38,313,977**
Expenditures and Encumbrances							
Category 1 - Statewide Technology Infrastructure and Ongoing Services to the Trial Courts	30,294,883	30,208,311	-	-	-	28,813,416	28,813,416
Category 2 - Education / Developmental Programs	2,771,805	2,284,576	2,954,433	(459,428)	72,000	-	2,567,005
Category 3 - Pilot, Special Initiatives, and Ongoing Projects	5,642,312	5,352,226	7,722,249	(1,074,889)	-	-	6,647,360
Total Expenditures and Encumbrances	**38,709,000**	**37,845,113**	**10,676,682**	**(1,534,317)**	**72,000**	**28,813,416**	**38,027,781**
Ending Fund Balance	**-**	**30,933,026**	**27,637,295**				**286,196**

Note:

1) FY 2009-10 Adjusted Beginning Balance - the difference of $2,123,110 between the budget and year-end statement is due to over-accrual expenditure and encumbrance.

Attachment B

Attachment C

Modernization Fund
FY 2010-2011 Recommended Allocation by Project/Program
(amount in dollars)

Line #	Project and Program Description	Term of Funding	Previously Approved Allocation	Recommended			
				Adjustment	Rollover	New Request	Total Allocation
		Column A	Column B	Column C	Column D	Column E	Column F
1	**Category 1 - Statewide Technology Infrastructure and Ongoing Services to the Trial Courts**						
2	**(1) Projects**						
3	CCIS Policy Framework	One-Time	-			106,243	**106,243**
4	CCTC IS Security Policy	One-Time	-			53,122	**53,122**
5	**(2) Ongoing Programs and Services**						
6	***A. Statewide Technology Infrastructure***						
7	California Courts Protective Order Registry (CCPOR)	Ongoing	-			481,000	**481,000**
8	Courts Linked by Information and Knowledge (CLIK)	Ongoing	-			546,216	**546,216**
9	Data Integration	Ongoing				5,934,433	**5,934,433**
10	Enterprise Policy/Planning Operations	Ongoing	-			6,900,030	**6,900,030**
11	Telecommunications Support	Ongoing	-			13,903,569	**13,903,569**
12	Uniform Civil Fees	Ongoing	-			358,803	**358,803**
13	**Subtotal, Statewide Technology Infrastructure**					**28,124,051**	**28,124,051**
14	***B. Ongoing Services to the Trial Courts***						
15	Phoenix Financial and Human Resources Services	Ongoing	-			530,000	**530,000**
16	**Subtotal, Ongoing Services to the Trial Courts**					**530,000**	**530,000**
17	**Total, Category 1**		**-**	**-**	**-**	**28,813,416**	**28,813,416**
18	**Category 2 - Education and Developmental Programs**						
19	**(1) Center for Judicial Education and Research (CJER)**						
20	*I. Mandated state judicial education programs*						
21	B.E. Witkin Judicial College of California	Ongoing	274,000	(10,000)	-	-	**264,000**
22	Family Law Assignment Education	Ongoing	65,000	-	-	-	**65,000**
23	Juvenile Law Assignment Education	Ongoing	33,000	-	-	-	**33,000**
24	Orientation for New Trial Court Judges	Ongoing	105,000	-	-	-	**105,000**
25	*Subtotal*		*477,000*	*(10,000)*	-	-	***467,000***
26	*II. Other recommended judicial education programs*						
27	Advanced Education for Experienced Judges	Ongoing	30,000	-	-	-	**30,000**
28	Civil Law and Procedure Institute	Ongoing	25,000	-	-	-	**25,000**
29	Cow County Judges Institute	Ongoing	25,000	-	-	-	**25,000**
30	Criminal Law and Procedure Institute	Ongoing	25,000	(25,000)	-	-	**-**
31	Overview Courses	Ongoing	192,500	-	-	-	**192,500**
32	Probate and Mental Health Institute	Ongoing	41,000	-	-	-	**41,000**
33	Spring Continuing Judicial Studies Program	Ongoing	30,000	(30,000)	-	-	**-**
34	Traffic Law Institute	Every other year	25,000	(20,000)	-	-	**5,000**
35	Winter Continuing Judicial Studies Program	Ongoing	115,000	(115,000)	-	-	**-**
36	*Subtotal*		*508,500*	*(190,000)*	-	-	***318,500***
37	*III. Programs related to court administration*						
38	California Judicial Administration Conference	Every other year	38,042	-	-	-	**38,042**
39	Court Management Courses	Ongoing	140,000	-	-	-	**140,000**

Attachment C

Modernization Fund
FY 2010-2011 Recommended Allocation by Project/Program
(amount in dollars)

Line #	Project and Program Description	Term of Funding	Previously Approved Allocation	Recommended			
				Adjustment	Rollover	New Request	Total Allocation
		Column A	Column B	Column C	Column D	Column E	Column F
40	Fall Leadership Summit	Every other year	80,000	-	-	-	**80,000**
41	Technical Assistance to Local Courts	Ongoing	200,000	-	-	-	**200,000**
42	Trial Court Faculty (Statewide Education Programs)	Ongoing	300,000	-	-	-	**300,000**
43	Train the Trainers - Faculty Development	Ongoing	120,000	-	-	-	**120,000**
44	*Subtotal*		*878,042*	-	-	-	***878,042***
45	*IV. Programs for trial court staff*						
46	Court Clerk Training Institute	Ongoing	168,000	-	-	-	**168,000**
47	Distance Learning (Satellite Broadcast)	Ongoing	275,000	-	-	-	**275,000**
48	Mid-level Management Conferences	Ongoing	35,000	-	-	-	**35,000**
49	*Subtotal*		*478,000*	-	-	-	***478,000***
50	**Total, CJER**		**2,341,542**	**(200,000)**	**-**	**-**	**2,141,542**
51	**(2) Other Educational and Developmental Programs**						
52	Budget Focused Training and Meetings (TCBWG)	Ongoing	34,823	(7,551)	-	-	**27,272**
53	CFCC Programs	Ongoing	234,957	(105,731)	72,000	-	**201,226**
54	CFCC Publications	Ongoing	143,961	(22,000)	-	-	**121,961**
55	Judicial Branch HR Conferences	Every other year	90,000	(45,000)	-	-	**45,000**
56	Labor Relations Academy	Ongoing	36,150	(6,146)	-	-	**30,004**
57	New Council Member (Trial Court) Orientation	Ongoing	23,000	(23,000)	-	-	**-**
58	Trial Court Outreach - Visits to Council and AOC	Ongoing	50,000	(50,000)	-	-	**-**
59	**Total, Other**		**612,891**	**(259,428)**	**72,000**	**-**	**425,463**
60	**Total, Category 2**		**2,954,433**	**(459,428)**	**72,000**	**-**	**2,567,005**
61	**Category 3 - Pilot, Special Initiatives, and Ongoing Projects**						
62	Alternative Dispute Resolution for Civil Cases	Ongoing	1,740,000	(300,000)	-	-	**1,440,000**
63	Branchwide Communication	Ongoing	123,089	(43,089)	-	-	**80,000**
64	Complex Civil Litigation	Ongoing	4,001,010		-	-	**4,001,010**
65	Court-Appointed Counsel Performance Database	Ongoing	230,000	(230,000)	-	-	**-**
66	Court Interpreter Testing, Recruitment and Education	Ongoing	500,000	(185,000)	-	-	**315,000**
67	Interactive Software - Self-rep Electronic Forms	Ongoing	60,000		-	-	**60,000**
68	Interpreter Recruitment Campaign	Ongoing	125,000	(125,000)	-	-	**-**
69	Public Outreach and Education	Ongoing	371,800	175,200	-	-	**547,000**
70	Ralph N. Kleps Award Program	Ongoing	54,500		-	-	**54,500**
71	Presiding Judge and Court Executive Meetings	Ongoing	163,000	(163,000)	-	-	**-**
72	Self-help Videos for the Website	Ongoing	3,850		-	-	**3,850**
73	Trial Court Performance and Accountability	Ongoing	350,000	(204,000)	-	-	**146,000**
74	**Total, Category 3**		**7,722,249**	**(1,074,889)**	**-**	**-**	**6,647,360**
75	**Total, All Categories**		**10,676,682**	**(1,534,317)**	**72,000**	**28,813,416**	**38,027,781**

Attachment D

Trial Court Improvement Fund
Summary of FY 2009-10 Budget and Actual and FY 2010-11 Recommended Allocation
(amount in dollars)

	FY 2009-10 Budget	FY 2009-10 Year-End Statement	FY 2010-11 Budget				
			Projected Resources / Previously Approved Allocation	Adjustment	Rollover	New Request	Total Projected Resources and Recommended Allocation
	Column A	Column B	Column C	Column D	Column E	Column F	Column G
Adjusted Beginning Balance [1]	**35,610,873**	**40,632,092**	**20,674,512**	**-**	**-**	**-**	**20,674,512**
Revenues							
50/50 Excess Fines Split Revenue	56,739,641	46,612,971	40,846,821	-	-	-	40,846,821
2% Automation Fund	17,959,913	17,727,281	17,629,673	-	-	-	17,629,673
Income from Surplus Money Investment Fund	567,787	393,790	174,351	-	-	-	174,351
Royalties from Publications	362,951	413,039	510,965	-	-	-	510,965
Other Revenues and Charges [2]	-	10,995	2,566,000	-	-	-	2,566,000
Subtotal, Revenues	**75,630,292**	**65,158,075**	**61,727,810**	**-**	**-**	**-**	**61,727,810**
Transfers and Adjustments							
1% Transfer from Trial Court Trust Fund	25,179,400	25,179,400	27,232,140	-	-	-	27,232,140
Transfer to Trial Court Trust Fund	(31,563,000)	(31,563,000)	(31,563,000)	-	-	-	(31,563,000)
Subtotal, Transfers and Adjustments	**(6,383,600)**	**(6,383,600)**	**(4,330,860)**	**-**		**-**	**(4,330,860)**
Total Resources	**104,857,565**	**99,406,567**	**78,071,462**	**-**	**-**	**-**	**78,071,462**
Expenditures and Encumbrances							
Category 1 - Ongoing Statewide Programs (excluding statewide administrative and technology infrastructure and support)	17,158,998	15,006,382	16,217,244	(881,835)	-	80,000	15,415,409
Category 2 - Trial Court Projects and Model Programs	15,668,605	4,757,171	13,039,023	(4,800,000)	306,420	50,000	8,595,443
Category 3 - Emergency Funding Reserve (reserved until March 15)			13,616,070	-	-	-	13,616,070
Planned Use of Emergency Funding Reserve [3]	3,000,670	40,226	1,000,000	-	-	-	1,000,000
Subtotal, Local Assistance	**35,828,273**	**19,803,779**	**30,256,267**	**(5,681,835)**	**306,420**	**130,000**	**25,010,852**
Statewide Technology Infrastructure and Ongoing Services to the Trial Courts - Local Assistance	54,792,110	48,662,354	-	-	-	30,860,366	30,860,366
Statewide Technology Infrastructure and Ongoing Services to the Trial Courts - Administrative Support	13,578,181	9,607,346	-	-	-	8,099,600	8,099,600
Subtotal, Statewide Tech. Infrastructure and Ongoing Services to the Trial Courts	**68,370,291**	**58,269,700**	**-**	**-**	**-**	**38,959,966**	**38,959,966**
Total Expenditures and Encumbrances	**104,198,564**	**78,073,479**	**30,256,267**	**(5,681,835)**	**306,420**	**39,089,966**	**63,970,818**
Prorata	659,000	658,575	862,000	-	-	-	862,000
Ending Fund Balance	**-**	**20,674,512**	**46,953,195**				**13,238,644**

Note:

1) FY 2009-10 Adjusted Beginning Balance - the difference of $5,021,219 between the budget and year-end statement is due to: a) under-accrual revenue of $67,076; b) over-accrual expenditure and encumbrance of $4,539,143; and c) reduced expenditure due to loan repayment of $415,000.

2) Estimated other revenues and charges for FY 2010-11 includes loan repayments from two superior courts and an estimated transfer fund from Bank of America's "trial court benefit account".

3) Planned Use of Emergency Funding Reserve - Reflects the anticipated funding need of the trial courts to address urgent needs.

Attachment E

Trial Court Improvement Fund
FY 2010-2011 Recommended Allocation by Project/Program

(amount in dollars)

Line #	Project and Program Description	Term of Funding	Previously Approved Allocation	Recommended			
				Adjustment	Rollover	New Request	Total Allocation
		Column A	Column B	Column C	Column D	Column E	Column F
1	**Category 1 - Ongoing Statewide Programs**						
2	***(1) Non-Statewide Administrative and Technology Infrastructure***						
3	Branchwide Strategic Planning	Ongoing	500,000	(500,000)	-	-	**-**
4	Domestic Violence Family Law Interpreter Program	Ongoing	1,750,000	-	-	-	**1,750,000**
5	Employment Assistance Program for Bench Officers	Ongoing	100,000	-	-	-	**100,000**
6	Human Resources Legal Counsel for Trial Court Benefits	Ongoing	-	-	-	80,000	**80,000**
7	Judicial Performance Defense Insurance	Ongoing	762,134	165	-	-	**762,299**
8	Jury System Improvement Projects	Funding from actual receipts	-	68,000	-	-	**68,000**
9	Litigation Management Program	Ongoing	4,500,000	-	-	-	**4,500,000**
10	Quality of Justice and Service to the Public	Ongoing	400,000	(150,000)	-	-	**250,000**
11	Self-Help Center	Ongoing	5,000,000	-	-	-	**5,000,000**
12	Self-represented Litigants Statewide Support	Ongoing	300,000	-	-	-	**300,000**
13	Subscription Costs - Judicial Conduct Reporter	Ongoing	20,110	-	-	-	**20,110**
14	Trial Court Benefits Program - Legal Advice	Ongoing	200,000	(200,000)	-	-	**-**
15	Trial Court Security Grants	Ongoing	2,000,000	(100,000)	-	-	**1,900,000**
16	Trial Court Transactional Assistance Program	Ongoing	685,000	-	-	-	**685,000**
17	***Subtotal, Non-Technology***		**16,217,244**	**(881,835)**	**-**	**80,000**	**15,415,409**
18	***(2) Statewide Technology Infrastructure and Ongoing Services to the Trial Courts***						
19	**I. Projects**						
20	California Court Case Management System (CCMS) Deployment	One-Time				7,000,000	**7,000,000**
21	CCMS Document Management System (DMS) Development and Deployment	One-Time				703,903	**703,903**
22	Enterprise Policy/Planning	One-Time				124,168	**124,168**
23	Statewide Electronic Business Services (SEBS) - Branch-owned ePortal	One-Time				55,122	**55,122**
24	SEBS eBusiness	One-Time				100,000	**100,000**
25	SEBS Smart Forms	One-Time				331,437	**331,437**
26	**Subtotal, Projects**					**8,314,630**	**8,314,630**
27	**II. Ongoing Programs and Services**						
28	**(I) Ongoing Programs and Services (Local Assistance)**						
29	***A. Local Assistance Related to Statewide Technology Infrastructure***						
30	California Court Technology Center (CCTC)	Ongoing				9,645,047	**9,645,047**
31	Enterprise Test Management Suite (Testing Tools)	Ongoing				1,267,530	**1,267,530**
32	Interim Case Management System (ICMS)	Ongoing				5,004,307	**5,004,307**
33	Statewide Electronic Business Services (SEBS)	Ongoing				441,875	**441,875**
34	**Subtotal, Support Related to Statewide Technology Infrastructure**					**16,358,759**	**16,358,759**
35	***B. Local Assistance Related to Ongoing Services to the Trial Courts***						
36	Phoenix Financial and Human Resources Services	Ongoing				6,186,977	**6,186,977**
37	**Subtotal, Local Assistance Related to Ongoing Services to the Trial Courts**					**6,186,977**	**6,186,977**
38	**Subtotal, Ongoing Programs and Services (Local Assistance)**					**22,545,736**	**22,545,736**
39	**(II) Ongoing Programs and Services (Administrative Support)**						
40	***A. Support Related to Statewide Technology Infrastructure***						
41	California Court Technology Center (CCTC)	Ongoing				1,668,559	**1,668,559**
42	Data Integration	Ongoing				736,294	**736,294**
43	**Subtotal, Support Related to Statewide Technology Infrastructure**					**2,404,853**	**2,404,853**
44	***B. Support Related to Ongoing Services to the Trial Courts***						
45	California Law Enforcement Telecommunications System	Ongoing				263,322	**263,322**
46	Enhanced Collections	Ongoing				801,947	**801,947**
47	Internal Audits	Ongoing				764,664	**764,664**
48	Phoenix Financial and Human Resources Services	Ongoing				1,441,147	**1,441,147**
49	Regional Office Assistance Group	Ongoing				1,740,862	**1,740,862**
50	Treasury	Ongoing				228,230	**228,230**
51	Trial Court Procurement	Ongoing				357,760	**357,760**
52	Trial Court Re-engineering	Ongoing				96,815	**96,815**
53	**Subtotal, Support Related to Ongoing Services to the Trial Courts**					**5,694,747**	**5,694,747**
54	**Total, Statewide Tech. Infrastructure and Ongoing Services Support**		**-**	**-**	**-**	**8,099,600**	**8,099,600**
55	**Total, Statewide Tech. Infrastructure and Ongoing Services Local Assistance**		**-**	**-**	**-**	**30,860,366**	**30,860,366**
56	**Total, Statewide Tech. Infrastructure and Ongoing Services to the Trial Courts**		**-**	**-**	**-**	**38,959,966**	**38,959,966**
57	**Total, Category 1**		**16,217,244**	**(881,835)**	**-**	**39,039,966**	**54,375,375**

Attachment E

Trial Court Improvement Fund
FY 2010-2011 Recommended Allocation by Project/Program

(amount in dollars)

Line #	Project and Program Description	Term of Funding	Previously Approved Allocation	Recommended			
				Adjustment	Rollover	New Request	Total Allocation
		Column A	Column B	Column C	Column D	Column E	Column F
58	**Category 2 - Trial Court Projects and Model Programs**						
59	Audit Contract	Ongoing	750,000	(300,000)	-	-	**450,000**
60	Domestic Violence - Order After Hearing	Ends in 09-10	-	-	81,420	-	**81,420**
61	Human Resources - Court Investigation	Ends in 12-13	-	-	-	50,000	**50,000**
62	Investment Advisory for Trial Court Investment Program	Ends in 09-10	-	-	225,000	-	**225,000**
63	Other Post-Employment Benefits (OPEB) Valuation Report	Ends in 10-11	270,154	-	-	-	**270,154**
64	Reimbursement to Trial Court for Public Access	Ends in 10-11	1,498,869		-	-	**1,498,869**
65	Workers' Compensation Program Reserve	Ongoing rollover	10,520,000	(4,500,000)	-	-	**6,020,000**
67	**Total, Category 2**		**13,039,023**	**(4,800,000)**	**306,420**	**50,000**	**8,595,443**
68	**Category 3 - Emergency Funding Reserve**						**-**
69	1/2 of 1% transfer from TCTF (Reserved until March 15)		13,616,070	-	-	-	**13,616,070**
70	Planned Use of Emergency Funding Reserve [1)]		1,000,000	-	-	-	**1,000,000**
71	**Total, Category 3**		**1,000,000**	**-**	**-**	**-**	**1,000,000**
72	**Total, All Categories**		**30,256,267**	**(5,681,835)**	**306,420**	**39,089,966**	**63,970,818**

Note:

1) Planned Use of Emergency Funding Reserve - Reflects the anticipated funding need of the trial courts to address urgent needs.

Attachment F

Trial Court Trust Fund
Summary of FY 2009-2010 Budget and Actual and FY 2010-2011 Recommended Allocation
(amount in dollars)

	FY 2009-10 Budget	FY 2009-10 Year-End Statement	FY 2010-11 Budget				
			Projected Resources / Allocations	Adjustment	Rollover	New Request	Total Projected Resources and Appropriation or Allocation
	Column A	Column B	Column C	Column D	Column E	Column F	Column G
Adjusted Beginning Balance [1)]	**173,876,316**	**178,088,680**	**103,839,927**	**4,157,388**	**-**	**-**	**107,997,315**
Revenues							
County Maintenance of Effort Obligations	659,363,035	659,370,006	659,050,504	-	-	-	659,050,504
Civil Filing Fees	346,379,508	356,891,770	395,288,397	-	-	-	395,288,397
Criminal Security Fee	125,532,072	130,773,086	152,335,250	-	-	-	152,335,250
Civil Assessment	121,226,577	129,098,546	130,590,576	-	-	-	130,590,576
Miscellaneous Revenue [2)]	1,859,524	1,944,787	2,143,690	-	-	-	2,143,690
Income from Surplus Money Investment Fund	877,598	466,763	214,418	-	-	-	214,418
Subtotal, Revenues	**1,255,238,314**	**1,278,544,957**	**1,339,622,835**	**-**	**-**	**-**	**1,339,622,835**
Transfers and Adjustments							
Transfer from General Fund	1,517,580,738	1,517,580,738	1,561,890,000	-	-	-	1,561,890,000
Transfer from Trial Court Improvement Fund	31,563,000	31,563,000	31,563,000	-	-	-	31,563,000
1% Transfer to Trial Court Improvement Fund	(25,179,400)	(25,179,400)	(27,232,140)	-	-	-	(27,232,140)
Transfer to Judicial Branch Worker's Compensation Fund	(18,105,799)	(18,105,799)	(17,726,650)	-	-	-	(17,726,650)
Other Transfers [3)]	24,202,528	24,246,008	129,813,000	-	-	-	129,813,000
Subtotal, Transfers and Adjustments	**1,530,061,067**	**1,530,104,547**	**1,678,307,210**	**-**	**-**	**-**	**1,678,307,210**
Total Resources	**2,959,175,697**	**2,986,738,185**	**3,121,769,973**	**4,157,388**	**-**	**-**	**3,125,927,361**
Expenditures and Encumbrances							
Program 30 - Judicial Council (excluding statewide administrative and technology infrastructure support)	337,305	337,305	341,137	-	-	-	341,137
Program 45.10 - Support for Operation of the Trial Courts (excluding statewide administrative and technology infrastructure)	2,419,719,090	2,409,483,742	2,539,773,387	-	-	-	2,539,773,387
Program 45.25 - Compensation of Superior Court Judges	293,599,955	288,649,276	299,874,723	-	-	-	299,874,723
Program 45.35 - Assigned Judges	27,472,000	26,998,481	26,547,000	-	-	-	26,547,000
Program 45.45 - Court Interpreters	92,794,000	91,857,601	92,794,000		-	-	92,794,000
Program 45.55 - Grants	10,896,798	10,452,771	10,704,295	-	-	-	10,704,295
Subtotal, Non-Statewide Technology Infrastructure and Ongoing Services	**2,844,819,148**	**2,827,779,176**	**2,970,034,542**	**-**	**-**	**-**	**2,970,034,542**
Statewide Tech. Infrastructure and Ongoing Services to the Trial Courts - Support	10,196,360	8,794,985	-	-	-	16,046,921	16,046,921
Statewide Tech. Infrastructure and Ongoing Services to the Trial Courts - Local Assistance	62,261,559	46,324,096	-	-	-	80,555,747	80,555,747
Subtotal, Statewide Tech. Infrastructure and Ongoing Services to the Trial Courts	**72,457,919**	**55,119,082**	**-**	**-**	**-**	**96,602,668**	**96,602,668**
Total Expenditures and Encumbrances	**2,917,277,067**	**2,882,898,257**	**2,970,034,542**	**-**	**-**	**96,602,668**	**3,066,637,210**
Ending Fund Balance	**41,898,630**	**103,839,927**	**151,735,431**				**59,290,151**

Note:

1) Adjusted Beginning Balance - the difference of $4,212,364 between the budget and actual is due to: a) under-accrual of FY 2008-2009 revenue of $2,750,583; and b) over-accrual of prior years' expenditure and encumbrance of $1,461,781.

2) Miscellaneous Revenue - Includes revenue from Sanctions, Contempt Fines, Other Agencies, Escheat, Late R/A Penalty, Jury Sanction Contempt, Penalty under remit, and Misc. Returned from Local, Agency, refund to Reverted Appropriation-MOU grant return by Court.

3) Other Transfers - Includes transfers from Judicial Administration Efficiency and Modernization Fund, State Court Facilities Construction Fund (SCFCF), and the Immediate and Critical Needs Account SCFCF.

Trial Court Trust Fund
FY 2010-2011 Recommended Allocation by Project/Program

(amount in dollars)

Line #	Project and Program Description	Term of Funding	Previously Approved Allocation	Recommended			
				Adjustment	Rollover	New Request	Total Allocation
		Column A	Column B	Column C	Column D	Column E	Column F
1	**Statewide Technology Infrastructure and Ongoing Services to the Trial Courts**						
2	**I. Projects**						
3	California Court Case Management System (CCMS) Development	One-Time				21,228,975	**21,228,975**
4	CCMS Deployment	One-Time				18,097,526	**18,097,526**
5	**Subtotal, Projects**		-	-	-	**39,326,501**	**39,326,501**
6	**II. Ongoing Programs and Services (Local Assistance)**						
7	CCMS Operations	Ongoing				12,567,987	**12,567,987**
8	California Court Technology Center (CCTC) (Reimbursement)	Ongoing				1,955,248	**1,955,248**
9	Civil, Mental Health, Small Claims, & Probate (V3) Case Management System	Ongoing				17,313,585	**17,313,585**
10	Civil, Mental Health, Small Claims, & Probate (V3) Case Management System (Reimbursement)	Ongoing				1,878,995	**1,878,995**
11	Criminal & Traffic (V2) Case Management System	Ongoing				6,240,010	**6,240,010**
12	Interim Case Management System (ICMS) (Reimbursement)	Ongoing				1,273,421	**1,273,421**
13	**Subtotal, Ongoing Programs and Services (Local Assistance)**		-	-	-	**41,229,246**	**41,229,246**
14	**III. Ongoing Programs and Services (Support)**						
15	***A. Support Related to Statewide Technology Infrastructure***						
15	CCMS Deployment	Ongoing				1,379,286	**1,379,286**
16	CCMS Operations	Ongoing				3,932,646	**3,932,646**
17	Civil, Mental Health, Small Claims, & Probate (V3) Case Management System	Ongoing				1,850,823	**1,850,823**
18	Criminal & Traffic (V2) Case Management System	Ongoing				328,146	**328,146**
19	**Subtotal, Support Related to Statewide Technology Infrastructure**					**7,490,901**	**7,490,901**
19	***B. Support Related to Ongoing Services to the Trial Courts***						
19	Phoenix Financial and Human Resources Services (Reimbursement)	Ongoing				8,556,020	**8,556,020**
20	**Subtotal, Support Related to Ongoing Services to the Trial Courts**					**8,556,020**	**8,556,020**
21	**Total, Statewide Tech. Infrastructure and Ongoing Services Support**				-	**16,046,921**	**16,046,921**
22	**Total, Statewide Tech. Infrastructure and Ongoing Services Local Assistance**			-	-	**80,555,747**	**80,555,747**
23	**Total, Statewide Technology Infrastructure and Ongoing Services to the Trial Courts**			-	-	**96,602,668**	**96,602,668**

Attachment G

FY 2010-2011 ONGOING SERVICES FOR TRIAL COURTS

(amount in dollars)

Line #	Program Description	General Fund	Trial Court Improvement Fund	Trial Court Trust Fund	Judicial Administration Efficiency & Modernization Fund	Total Allocations	Recommended Allocation from Special Funds
1	Phoenix Financial and Human Resources Services	$ 6,027,002	$ 7,628,124	$ -	$ 530,000	$ 14,185,126	**$ 8,158,124**
1	Phoenix Financial and Human Resources Services (Reimbursement)	$ -	$ -	$ 8,556,020	$ -	$ 8,556,020	**$ 8,556,020**
2	California Law Enforcement Telecommunications System	$ -	$ 263,322	$ -	$ -	$ 263,322	**$ 263,322**
3	Enhanced Collections	$ -	$ 801,947	$ -	$ -	$ 801,947	**$ 801,947**
4	Internal Audits	$ -	$ 764,664	$ -	$ -	$ 764,664	**$ 764,664**
5	Regional Office Assistance Group	$ -	$ 1,740,862	$ -	$ -	$ 1,740,862	**$ 1,740,862**
6	Treasury	$ -	$ 228,230	$ -	$ -	$ 228,230	**$ 228,230**
7	Trial Court Procurement	$ -	$ 357,760	$ -	$ -	$ 357,760	**$ 357,760**
8	Trial Court Re-engineering	$ -	$ 96,815	$ -	$ -	$ 96,815	**$ 96,815**
	Total	**$ 6,027,002**	**$ 11,881,724**	**$ 8,556,020**	**$ 530,000**	**$ 26,994,746**	**$ 20,967,744**

Attachment H

FY 2010-2011 STATEWIDE TECHNOLOGY INFRASTRUCTURE

(amount in dollars)

Line #	Project and Program Description	General Fund	Trial Court Improvement Fund	Trial Court Trust Fund	Judicial Administration Efficiency & Modernization Fund	Total Allocations	Recommended Allocation from Special Funds
	Maintenance & Operations:						
1	CCMS V4 Operations	$ 422,774	$ -	$ 16,500,633	$ -	$ 16,923,407	**$ 16,500,633**
2	Civil, Mental Health, Small Claims, & Probate (V3) Case Management System	$ -	$ -	$ 19,164,408	$ -	$ 19,164,408	**$ 19,164,408**
2	Civil, Mental Health, Small Claims, & Probate (V3) Case Management System (Reimbursement)	$ -	$ -	$ 1,878,995	$ -	$ 1,878,995	**$ 1,878,995**
3	Criminal & Traffic (V2) Case Management System	$ -	$ -	$ 6,568,156	$ -	$ 6,568,156	**$ 6,568,156**
4	California Courts Protective Order Registry (CCPOR)	$ -	$ -	$ -	$ 481,000	$ 481,000	**$ 481,000**
5	California Court Technology Center (CCTC)	$ 175,000	$ 11,313,606	$ -	$ -	$ 11,488,606	**$ 11,313,606**
5	California Court Technology Center (CCTC) (Reimbursement)	$ -	$ -	$ 1,955,248	$ -	$ 1,955,248	**$ 1,955,248**
6	Courts Linked by Information and Knowledge (CLIK)	$ -	$ -	$ -	$ 546,216	$ 546,216	**$ 546,216**
7	Data Integration	$ -	$ 736,294	$ -	$ 5,934,433	$ 6,670,727	**$ 6,670,727**
8	Enterprise Policy/Planning Operations	$ -	$ -	$ -	$ 6,900,030	$ 6,900,030	**$ 6,900,030**
9	Enterprise Test Management Suite (Testing Tools)	$ -	$ 1,267,530	$ -	$ -	$ 1,267,530	**$ 1,267,530**
10	Interim Case Management System (ICMS)	$ 752,190	$ 5,004,307	$ -	$ -	$ 5,756,497	**$ 5,004,307**
10	Interim Case Management System (ICMS) (Reimbursement)	$ -	$ -	$ 1,273,421	$ -	$ 1,273,421	**$ 1,273,421**
11	Statewide Electronic Business Services (SEBS)	$ -	$ 441,875	$ -	$ -	$ 441,875	**$ 441,875**
12	Telecommunications Support	$ -	$ -	$ -	$ 13,903,569	$ 13,903,569	**$ 13,903,569**
13	Uniform Civil Fees	$ -	$ -	$ -	$ 358,803	$ 358,803	**$ 358,803**
	Subtotal, Maintenance & Operations	**$ 1,349,964**	**$ 18,763,612**	**$ 47,340,861**	**$ 28,124,051**	**$ 95,578,488**	**$ 94,228,524**
	Projects:						
14	California Court Case Management System (CCMS) V4 Deployment	$ -	$ 7,000,000	$ 19,476,812	$ -	$ 26,476,812	**$ 26,476,812**
15	CCMS V4 Development	$ -	$ -	$ 21,228,975	$ -	$ 21,228,975	**$ 21,228,975**
16	CCMS Document Management System (DMS) Development and Deployment	$ -	$ 703,903	$ -	$ -	$ 703,903	**$ 703,903**
17	CCIS Policy Framework	$ -	$ -	$ -	$ 106,243	$ 106,243	**$ 106,243**
18	CCTC IS Security Policy	$ -	$ -	$ -	$ 53,122	$ 53,122	**$ 53,122**
19	Enterprise Policy/Planning	$ -	$ 124,168	$ -	$ -	$ 124,168	**$ 124,168**
20	Statewide Electronic Business Services (SEBS) - Branch-owned ePortal	$ -	$ 55,122	$ -	$ -	$ 55,122	**$ 55,122**
21	SEBS eBusiness	$ -	$ 100,000	$ -	$ -	$ 100,000	**$ 100,000**
22	SEBS Smart Forms	$ -	$ 331,437	$ -	$ -	$ 331,437	**$ 331,437**
	Subtotal, Projects	**$ -**	**$ 8,314,630**	**$ 40,705,787**	**$ 159,365**	**$ 49,179,782**	**$ 49,179,782**
	Total	**$ 1,349,964**	**$ 27,078,242**	**$ 88,046,648**	**$ 28,283,416**	**$ 144,758,270**	**$ 143,408,306**

Attachment I