

**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

David H. Gorman  
Signature of Presiding Judge or Court Executive

2-8-2012  
Date

Superior Court - Santa Clara  
Court

FY 2011-12 Q2  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Superior Court - Santa Clara  
Court  
FY 2011-12 Q2  
Fiscal Year and Ending Quarter

**FOOTNOTES**

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2	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Superior Court - Santa Clara  
 Court  
 FY 2011-12 Q2  
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	906.58	770.50	761.13		

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Santa Clara Superior Court  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

		For the month ended Dec Fiscal Year 2011/12						2010/11
		Governmental Funds				Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
		Special Revenue		Capital Project	Debt Service	Proprietary Funds		
		Non-Grant	Grant					
ASSETS	General							
Operations Payroll	\$ (2,480,520)	\$ 531,115	\$ (1,839,128)	\$ 3,000,031			\$ 45,382	\$ (217,511)
July Revolving Other	\$ 0							\$ 0
Distribution Civil Filing Fees Trust							\$ 582,533	\$ 464,192
Credit Card	\$ 16,160						\$ 0	\$ 0
Cash on Hand	\$ 3,679,292						\$ 13,927,424	\$ 21,673,227
Cash with County	\$ 1,214,931	\$ 531,115	\$ (1,839,128)	\$ 3,000,031			\$ 14,555,349	\$ 25,559,292
<b>Total Cash</b>	<b>\$ 21,380,411</b>						<b>\$ 7,642,279</b>	<b>\$ 31,185,192</b>
Short Term Investment Investment in Financial Institution	\$ 21,380,411						\$ 7,642,279	\$ 31,185,192
<b>Total Investments</b>	<b>\$ 21,380,411</b>						<b>\$ 7,642,279</b>	<b>\$ 31,185,192</b>
Accrued Revenue	\$ 0	\$ 0	\$ 0				\$ 0	\$ (133,188)
Accounts Receivable - General	\$ 95,529	\$ 24,250	\$ 125,567				\$ 245,347	\$ 108,086
Dishonored Checks Due From Employee								
Civil Jury Fees Trust								
Due From Other Funds	\$ 0						\$ 0	\$ 750
Due From Other Governments	\$ 0	\$ 0	\$ 515				\$ 515	\$ 11,279
Due From Other Courts	\$ 0	\$ 0					\$ 0	\$ 22,857
Due From State	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0
Trust Due To/From							\$ 46	\$ 0
Distribution Due To/From							\$ 0	\$ 0
Civil Filing Fee Due To/From							\$ 0	\$ 0
General Due To/From	\$ 8,096						\$ 8,096	\$ 9,784
<b>Total Receivables</b>	<b>\$ 103,625</b>	<b>\$ 24,250</b>	<b>\$ 126,082</b>				<b>\$ 46</b>	<b>\$ 9,784</b>
Prepaid Expenses - General Salary and Travel Advances Counties	\$ 65,468						\$ 65,468	\$ 92,341
<b>Total Prepaid Expenses</b>	<b>\$ 65,468</b>						<b>\$ 65,468</b>	<b>\$ 92,341</b>
<b>Total Other Assets</b>								
<b>Total Assets</b>	<b>\$ 22,764,435</b>	<b>\$ 555,365</b>	<b>\$ (1,713,049)</b>	<b>\$ 3,000,031</b>			<b>\$ 22,197,675</b>	<b>\$ 56,846,609</b>

**LIABILITIES AND FUND BALANCES**

Accrued Liabilities	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Accounts Payable - General	\$ 70,048	\$ 1,071	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 37,505
Due to Other Funds	\$ 46	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 11,279
Due to Other Courts												
Due to State	\$ 1,250,000											
TC145 Liability												
Due to Other Governments	\$ 0	\$ 53										\$ 2,710,204
AB145 Due to Other Government Agency												
Due to Other Public Agencies												\$ 6,851,593
Sales and Use Tax	\$ 1,309											\$ 4,908
Interest												\$ 3,411
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 1,321,404	\$ 1,125	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 9,618,900
<b>Total Accounts Payable and Accrued Liab.</b>												
Civil												
Criminal												
Unreconciled - Civil and Criminal												\$ 19,077,402
Trust Held Outside of the AOC												\$ 2,511,677
Trust Interest Payable												\$ 0
Miscellaneous Trust												\$ 50,712
<b>Total Trust Deposits</b>												\$ 21,639,791
Accrued Payroll	\$ 405,132											\$ 405,132
Benefits Payable												
Deferred Compensation Payable												
Deductions Payable												
Payroll Clearing												
<b>Total Payroll Liabilities</b>												\$ 405,132
Revenue Collected in Advance	\$ 22,191											\$ 186,134
Liabilities For Deposits	\$ 40,909	\$ 2,829	\$ 64,369									\$ 42,956
Jury Fees - Non-Interest												
Fees - Partial Payment & Overpayment												
Uncleared Collectors	\$ 0											\$ 0
Other Miscellaneous Liabilities												
<b>Total Other Liabilities</b>												\$ 229,090
<b>Total Liabilities</b>												\$ 31,892,914
Fund Balance - Nonspendable												\$ 3,293,269
Fund Balance - Restricted												\$ 16,988,539
Fund Balance - Committed												\$ 3,916,538
Fund Balance - Assigned												\$ 745,350
Fund Balance - Unassigned												\$ 24,953,696
Excess (Deficit) of Rev. Over Expenses/Op. Transfers												\$ 561,846,609
<b>Total Liabilities and Fund Balance</b>												\$ 561,846,609

Santa Clara Superior Court  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

	For the month ended Dec										2010/11			
	Fiscal Year 2011/12										Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	Governmental Funds													
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)			
Non-Grant		Grant												
<b>REVENUES</b>														
State Financing Sources														
Trial Court Trust Fund	\$ 43,602,539	\$ 70,589				\$ 43,673,128	\$ 93,233,935	\$ 61,219,129	\$ 125,844,205					
Trial Court Improvement Fund	\$ (782)					\$ (782)	\$ 332,173	\$ (19,443)	\$ 371,662					
Judicial Administration Efficiency & Mod Fund	\$ 85,497					\$ 85,497	\$ 526,387	\$ (32,548)	\$ 872,365					
Judges' Compensation (45.25)	\$ 375,252					\$ 375,252	\$ 750,500	\$ 330,162	\$ 750,500					
Court Interpreter (45.45)	\$ 1,232,172					\$ 1,232,172	\$ 3,000,000	\$ 1,171,763	\$ 3,178,213					
Civil Coordination Reimbursement (45.55)	\$ 616,105					\$ 616,105	\$ 1,320,576	\$ 1,397,414	\$ 2,025,195					
MOU Reimbursements (45.10 and General)							\$ 1,797,417							
Other Miscellaneous	\$ 45,910,783	\$ 70,589				\$ 45,981,372	\$ 100,960,988	\$ 64,066,474	\$ 133,042,140					
<b>Grants</b>														
AB 1058 Commissioner/Facilitator	\$ 0	\$ 0				\$ 0	\$ 2,625,607	\$ 0	\$ 2,625,606					
Other AOC Grants		\$ 189,096				\$ 189,096	\$ 314,538	\$ 8,420	\$ 115,785					
Non-AOC Grants	\$ 0	\$ 347,434				\$ 347,434	\$ 2,458,130	\$ 2,458,130	\$ 2,236,750					
		\$ 536,530				\$ 536,531	\$ 5,398,275	\$ 5,398,275	\$ 4,978,141					
<b>Other Financing Sources</b>														
Interest Income	\$ 56,448	\$ 930				\$ 59,410	\$ 150,000	\$ 69,047	\$ 150,000					
Investment Income														
Donations		\$ 15,102				\$ 15,102	\$ 20,000	\$ 13,534	\$ 40,000					
Local Fees	\$ 598,007					\$ 598,007	\$ 1,406,000	\$ 383,537	\$ 1,784,000					
Non-Fee Revenues														
Enhanced Collections		\$ 309,546				\$ 309,546	\$ 700,000	\$ 0	\$ 490,000					
Escheatment	\$ 46,214					\$ 46,214	\$ 1,000,000	\$ 0	\$ 0					
Prior Year Revenue	\$ 0					\$ 0	\$ 346,143	\$ 79,887	\$ 369,498					
County Program - Restricted	\$ 91,948	\$ 359,314				\$ 451,263	\$ 1,945,880	\$ 347,086	\$ 1,773,707					
Reimbursement Other														
Sale of Fixed Assets	\$ 1,083,516	\$ 0				\$ 1,083,516	\$ 2,166,253	\$ 396,039	\$ 1,608,253					
Other Miscellaneous	\$ 1,878,133	\$ 799,641				\$ 2,677,806	\$ 7,736,276	\$ 1,600,369	\$ 6,195,458					
<b>Total Revenues</b>	<b>\$ 47,788,916</b>	<b>\$ 870,230</b>	<b>\$ 536,532</b>	<b>\$ 31</b>	<b>\$ 31</b>	<b>\$ 49,195,709</b>	<b>\$ 114,095,539</b>	<b>\$ 66,024,141</b>	<b>\$ 144,215,739</b>					
<b>EXPENDITURES</b>														
Personal Services														
Salaries - Permanent	\$ 25,687,141	\$ 284,462	\$ 572,133			\$ 26,543,736	\$ 59,222,889	\$ 26,611,786	\$ 59,929,752					
Temp Help	\$ 332,612	\$ 15,302	\$ 132,973			\$ 480,887	\$ 1,216,731	\$ 644,985	\$ 1,077,649					
Overtime	\$ 47,256					\$ 47,256	\$ 50,000	\$ 31,233	\$ 50,000					
Staff Benefits	\$ 15,937,326	\$ 757,336	\$ 515,307			\$ 17,209,969	\$ 43,623,078	\$ 16,788,516	\$ 41,748,858					
Operating Expenses and Equipment	\$ 42,004,335	\$ 1,057,098	\$ 1,220,413			\$ 44,281,846	\$ 104,512,698	\$ 44,076,520	\$ 102,806,289					
General Expense	\$ 740,847	\$ 11,772	\$ 11,323			\$ 763,941	\$ 2,271,124	\$ 735,708	\$ 2,210,499					

Printing	\$ 117,274	\$ 654	\$ 38						\$ 117,966	\$ 200,000	\$ 77,275	\$ 190,000
Telecommunications	\$ 398,354								\$ 398,354	\$ 850,000	\$ 523,784	\$ 900,000
Postage	\$ 244,502								\$ 244,502	\$ 460,000	\$ 193,402	\$ 530,000
Insurance	\$ 35,896								\$ 35,896	\$ 40,000	\$ 31,067	\$ 40,000
In-State Travel	\$ 27,670	\$ 438	\$ 2,262						\$ 30,371	\$ 186,001	\$ 53,892	\$ 185,001
Out-of-State Travel	\$ 3,383		\$ 4,879						\$ 8,262		\$ 4,578	
Training	\$ 60,402		\$ 1,530						\$ 61,932	\$ 175,000	\$ 42,077	\$ 175,000
Security Services	\$ 377,042		\$ 150,828						\$ 150,828	\$ 295,376	\$ 13,840,557	\$ 29,152,191
Facility Operations	\$ 70,126								\$ 377,042	\$ 1,169,236	\$ 509,762	\$ 1,424,650
Utilities	\$ 2,032,412	\$ 151,679	\$ 701,656						\$ 70,126	\$ 160,000	\$ 75,298	\$ 160,000
Contracted Services	\$ 680,333								\$ 2,885,747	\$ 7,369,459	\$ 3,575,690	\$ 6,032,582
Consulting and Professional Services	\$ 432,594								\$ 580,333	\$ 2,100,113	\$ 873,028	\$ 1,918,562
Information Technology	\$ 375,798								\$ 432,594	\$ 430,000	\$ 312,719	\$ 565,000
Major Equipment	\$ 15,571								\$ 375,798	\$ 73,000	\$ 23,903	\$ 59,000
Other Items of Expense	\$ 5,512,204	\$ 164,544	\$ 872,516						\$ 15,571	\$ 15,779,309	\$ 20,891,014	\$ 48,541,485
<b>Special Items of Expense</b>												
Grand Jury	\$ 274,688	\$ 4,000							\$ 4,000	\$ 11,000	\$ 4,455	\$ 11,000
Jury Costs		\$ 36,448							\$ 311,136	\$ 705,000	\$ 304,627	\$ 710,000
Judgements, Settlements and Claims												
Debt Service												
Other									\$ 0		\$ 0	
<b>Capital Costs</b>									\$ 0	\$ 2,500,000	\$ 0	\$ 0
Internal Cost Recovery	\$ (221,366)		\$ 221,366						\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ (13,248)								\$ (13,248)	\$ 0	\$ 2,175	\$ 0
	\$ 40,084	\$ 40,448	\$ 221,366						\$ 301,889	\$ 3,216,000	\$ 311,258	\$ 721,000
<b>Total Expenditures</b>	<b>\$ 47,556,624</b>	<b>\$ 1,262,091</b>	<b>\$ 2,314,285</b>						<b>\$ 51,133,000</b>	<b>\$ 123,108,007</b>	<b>\$ 65,278,792</b>	<b>\$ 149,068,774</b>
<b>Excess (Deficit) of Revenues Over Expenditures</b>	\$ 232,292	\$ (391,861)	\$ (1,777,753)	\$ 31					\$ (1,937,291)	\$ (9,012,468)	\$ 745,350	\$ (4,853,035)
<b>Operating Transfers In (Out)</b>	\$ 0		\$ 0						\$ 0	\$ 0	\$ 0	\$ 0
<b>Fund Balance (Deficit)</b>	\$ 20,742,507	\$ 943,273	\$ 338	\$ 3,000,000					\$ 24,686,118	\$ 24,686,118	\$ 24,208,346	\$ 24,208,346
Beginning Balance (Deficit)												
Ending Balance (Deficit)	<b>\$ 20,974,799</b>	<b>\$ 551,412</b>	<b>\$ (1,777,415)</b>	<b>\$ 3,000,031</b>					<b>\$ 22,748,827</b>	<b>\$ 15,673,650</b>	<b>\$ 24,953,696</b>	<b>\$ 19,355,311</b>

Santa Clara Superior Court  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended Dec							2010/11		
	Fiscal Year 2011/12							Total Actual Expense	Final Budget (Annual)	
PROGRAM EXPENDITURES:	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
Judges & Courtroom Support	\$ 13,304,566	\$ 820,333	\$ 0		\$ 0		\$ 14,124,899	\$ 33,353,898	\$ 14,612,255	\$ 32,895,798
Traffic & Other Infractions	\$ 3,261,934	\$ 74,083					\$ 3,336,017	\$ 8,187,445	\$ 3,563,436	\$ 8,011,623
Other Criminal Cases	\$ 5,540,278	\$ 864,436				\$ (1,749)	\$ 6,402,966	\$ 16,503,163	\$ 5,978,747	\$ 15,701,490
Civil	\$ 4,656,398	\$ 181,798					\$ 4,838,196	\$ 4,807,189	\$ 4,807,189	\$ 11,485,728
Family & Childrent Services	\$ 5,075,673	\$ 456,868					\$ 5,532,541	\$ 14,000,380	\$ 5,720,379	\$ 13,929,079
Probate, Guardianship & Mental Health Services	\$ 1,508,527	\$ (15,936)					\$ 1,492,591	\$ 3,487,978	\$ 1,493,349	\$ 3,447,020
Juvenile Dependency Services	\$ 290,398	\$ 37,116					\$ 327,515	\$ 1,088,375	\$ 1,258,729	\$ 1,501,447
Juvenile Delinquency Services	\$ 336,806	\$ 18,699					\$ 355,505	\$ 760,358	\$ 365,968	\$ 807,526
Other Court Operations	\$ 256,847	\$ 4,009					\$ 260,856	\$ 749,979	\$ 247,928	\$ 970,771
Court Interpreters	\$ 1,535,833	\$ 239,849					\$ 1,775,682	\$ 5,416,558	\$ 1,761,090	\$ 5,288,457
Jury Services	\$ 482,461	\$ 74,227	\$ 274,688				\$ 831,376	\$ 1,570,822	\$ 858,215	\$ 1,674,113
Security		\$ 312,496					\$ 312,496	\$ 345,376	\$ 13,882,116	\$ 29,202,591
<b>Trial Court Operations Program</b>	<b>\$ 36,249,521</b>	<b>\$ 3,067,978</b>	<b>\$ 274,688</b>		<b>\$ 0</b>	<b>\$ (1,749)</b>	<b>\$ 39,590,438</b>	<b>\$ 96,653,770</b>	<b>\$ 54,549,381</b>	<b>\$ 124,925,643</b>
Enhanced Collections										
Other Non-Court Operations	\$ 719,156	\$ 52,952	\$ 40,448				\$ 812,556	\$ 288,860	\$ 214,454	\$ 323,679
<b>Non-Court Operations Program</b>	<b>\$ 719,156</b>	<b>\$ 52,952</b>	<b>\$ 40,448</b>				<b>\$ 812,556</b>	<b>\$ 288,860</b>	<b>\$ 214,454</b>	<b>\$ 323,679</b>
Executive Office	\$ 1,315,038	\$ 45,090					\$ 1,360,128	\$ 1,700,992	\$ 1,442,919	\$ 2,164,744
Fiscal Services	\$ 948,624	\$ 641,075					\$ 1,589,699	\$ 4,317,269	\$ 1,256,206	\$ 3,330,119
Human Resources	\$ 965,905	\$ 33,667					\$ 999,572	\$ 2,517,918	\$ 1,111,378	\$ 2,526,137
Business & Facilities Services	\$ 1,862,109	\$ 1,166,259			\$ 0		\$ 3,028,368	\$ 10,820,616	\$ 3,153,867	\$ 9,013,430
Information Technology	\$ 2,223,496	\$ 1,542,242				\$ (11,499)	\$ 3,754,239	\$ 6,808,584	\$ 3,550,587	\$ 6,785,022
<b>Court Administration Program</b>	<b>\$ 7,313,171</b>	<b>\$ 3,428,334</b>			<b>\$ 0</b>	<b>\$ (11,499)</b>	<b>\$ 10,730,006</b>	<b>\$ 26,165,377</b>	<b>\$ 10,514,957</b>	<b>\$ 23,819,452</b>
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 44,281,848</b>	<b>\$ 6,549,264</b>	<b>\$ 315,137</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ (13,248)</b>	<b>\$ 51,133,000</b>	<b>\$ 123,108,007</b>	<b>\$ 65,278,792</b>	<b>\$ 149,068,774</b>