



Judicial Council of California · Administrative Office of the Courts

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REPORT TO THE JUDICIAL COUNCIL

For business meeting on: October 28, 2011

Title	Effective Date
Special Funds Allocations: Rollover of Unused Prior-Year Allocations for Various Court Programs and Projects to FY 2011–2012	October 28, 2011
Rules, Forms, Standards, or Statutes Affected	Date of Report
None	October 20, 2011
Recommended by	Contact
Trial Court Budget Working Group	Steven Chang, 415-865-7195 steven.chang@jud.ca.gov
Agenda Item Type	Zlatko Theodorovic, 415-865-7584, zlatko@theodorovic@jud.ca.gov
Action Required	

Executive Summary

The Judicial Council has statutory authority to allocate funding from statewide special funds for projects and programs that support the trial courts. This report contains recommendations from the Trial Court Budget Working Group (TCBWG) regarding requests to rollover \$7.610 million in unused allocations from prior years to fiscal year (FY) 2011–2012 from the Trial Court Improvement Fund (Improvement Fund), Judicial Administration Efficiency and Modernization Fund (Modernization Fund), and Trial Court Trust Fund (TCTF). The TCBWG recommends rollover funding of \$5.759 million for the Domestic Violence - Order After Hearing project, Reserve for Workers' Compensation Tail Claims, and Phoenix Financial and Human Resources Services program. In addition, the TCBWG recommends to not fund \$1.851 million of rollover requests for seven projects and programs that support the trial courts.

Recommendation

The TCBWG recommends that, for FY 2011–2012, the council:

1. Roll over \$5.759 million in unused allocations for three projects and programs funded from the Improvement Fund (\$5.709 million) and TCTF (\$50,000) that support the trial courts;
2. Not approve the rollover of \$1.851 million in unused allocations for seven projects and programs funded from the Modernization Fund (\$60,000), Improvement Fund (\$0.838 million), and TCTF (\$0.953 million) that support the trial courts; and

An additional rollover request that the TCBWG recommended to defer any action on was later withdrawn by the program.

Previous Council Action

Government Code sections 77209(g) and 77213(b) direct that the Judicial Council shall administer the Improvement Fund and Modernization Fund, respectively. At its July 22, 2011 business meeting, the council approved FY 2011–2012's special funds allocations and allocated additional urgent needs funding at its September 9, 2011 business meeting (see Column B of Attachments C, E and G). However, as indicated in the July 22, 2011 report to the council, rollover requests of unused (i.e., unspent and/or unencumbered) allocations approved in FY 2010–2011 or before were not able to be included in the special funds allocation report provided to the council because the council meeting took place before final FY 2010–2011 year-end expenditure and encumbrance information was available for all programs and projects.

Rationale for Recommendation 1

TCBWG recommends that three projects and programs be allowed to rollover \$5.759 million in unused allocations from prior years (see Column C of Attachments E (lines 54 and 57) and G (line 15)). The impact on the fund balances of the Modernization Fund, Improvement Fund, and TCTF based on approval of the rollover requests being recommended for approval, disapproval, and deferral is displayed in Column E of Attachments B, D, and F. Consistent with prior allocation practices of the council, any allocated rollover funds which are unencumbered at the end of the fiscal year shall be reappropriated to the Improvement Fund and will be available for reallocation by the Judicial Council in FY 2012–2013. In the current year, consistent with the *Special Funds Allocation: Supplemental Funding for Urgent Needs* report recommendation 10, AOC staff will identify any of the allocated rollover funds that can be used to provide supplemental funding to the courts. The *Special Funds Allocation: Supplemental Funding for Urgent Needs* report to the Judicial Council for the October 28, 2011, business meeting includes a recommendation that AOC staff identify all available resources in the TCTF, Improvement Fund, and Modernization Fund, beyond the urgent needs monies, that can be used to provide supplemental funding to courts and report back to the council at its February 2012 meeting.

The requested rollover amount, rationale for the request, and the TCBWG's considerations regarding the three projects and programs being recommended for approval are discussed below.

Improvement Fund

1. Domestic Violence—Order After Hearing

Rollover Amount: \$81,420

Rationale for Request: In 2005 the Judicial Council approved a limited-term allocation to assist courts in preparing orders after hearing in domestic violence cases. As a result of delays in the California Courts Protective Order Registry (CCPOR) project, which is designed to be a repository of all domestic violence restraining orders in the state, and the development of the specifications for software modifications, the final stage of this project was not completed in FY 2010–2011. Specifications have been finalized, allowing the project to be completed in FY 2011–2012.

With the rollover funds the AOC would contract with a vendor to modify the Family Court Case Tracking System (FACCTS) software, allowing it to communicate with CCPOR, so that the information entered by a court to generate the order after hearing could be sent directly into CCPOR rather than having clerks scan the information and reenter all the data. This would be an extremely efficient solution for courts and minimize the time and potential for error involved in multiple requirements for data entry. This is an area of special concern for the Judicial Council because of the significant policy concerns regarding domestic violence and the large numbers of self-represented litigants in these cases that are generally unable to prepare their own orders. Many courts have used the allocation for staff support in implementing the FACCTS software program.

TCBWG considerations: This allocation has a direct impact on the public in an area that is a specific priority of the Judicial Council.

2. Reserve for Workers' Compensation Tail Claims

Rollover Amount: \$5,627,892

Rationale for Request: Because the Office of General Counsel (OGC) has not completed negotiations with four counties, the reserve for settling liabilities related to workers' compensation tail claims for court employees whose courts participate in the Judicial Branch Workers' Compensation Program still needs to be maintained. OGC is currently negotiating the settlement of workers' compensation tail claims with four counties, which together are seeking settlements in excess of \$8 million. OGC staff estimate the claims can be resolved by a total payout of approximately \$6 million. As the council approved an allocation of \$600,000 in FY 2011–2012 at its meeting on July 22, 2011, the rollover amount would provide the additional funds needed to cover the estimated \$6 million payout.

TCBWG considerations: The workers' compensation tail claims are liabilities that must be paid.

Trial Court Trust Fund

3. Phoenix Financial and Human Resources Services

Rollover Amount: \$50,000

Rationale for Request: Because of delays related to obtaining a legal opinion regarding commercial credit cards, the Comprehensive Payment Solution project was not completed in FY 2010–2011. \$50,000 would allow the project to be completed in FY 2011–2012.

TCBWG considerations: This project will result in significant savings in trial court banking services costs.

Alternatives considered and policy implications

None.

Rationale for Recommendation 2

TCBWG recommends that seven projects and programs not be allowed to roll over \$1.851 million in unused allocations as they are not critical or necessary in the current fiscal year (see Column C of Attachments C (line 50), E (lines 3, 22, and 26), and G (lines 3, 7, and 16)). The TCBWG took into consideration whether there were contractual obligations related to the request, whether the recommendation would be consistent with the previous council-approved recommendations to defund programs in FY 2011–2012 to address the one-time transfer of \$20 million from the Modernization Fund to the TCTF, and impacts on court services to the public.

The requested rollover amount and rationale for the request for each of the seven projects and programs not being recommended for approval are discussed below.

Modernization Fund

4. Trial Court Performance and Accountability

Rollover Amount: \$60,000

Rationale for Request: In spring 2011, the Office of Court Research (OCR) issued a request for proposals seeking to collaborate with a Bay Area university on data collection and research analysis. OCR selected the University of California, Hastings College of the Law (UC Hastings) as the vendor; however, contract documents for FY 2010–2011 were not executed by the deadline. Owing to one-time reductions in program funding in FY 2011–2012, no funds will be available for this collaboration in the current fiscal year without the rollover funds.

The funds will allow OCR to continue the review of class-action litigation in collaboration with UC Hastings. Class-action litigation is only a small part of the total civil caseload, but it consumes a disproportionate amount of the resources needed for case processing because of the complexity of these cases. By tracking the trends in filings, case types, and disposition of class-action litigation this collaboration will allow the AOC to capture very detailed data on

case processing and will assist the branch in demonstrating the need for resources in the trial courts.

OCR does not currently have sufficient staff to conduct this type of research. Normally, collecting case-level data is cost prohibitive because it is a labor intensive process. But by training graduate students to conduct the case file review and offering them a small stipend or even course credit, this type of data can be captured in a cost-effective manner. Moreover, university professors who have an interest in conducting research in collaboration with the courts have provided their time pro bono, assisting with the development of data collection tools and the analysis of data.

Improvement Fund

5. Connecting with Constituencies: Trial Court Web Template Resources

Rollover Amount: \$174,988

Rationale for request: Contract documents for FY 2010–2011 were not executed by the deadline. Owing to one-time reductions in program funding in FY 2011–2012, no funds will be available for trial court web redesign projects in the current fiscal year. The funds would allow courts that have anticipated and planned for site redesigns to receive the services they were told would be forthcoming. Updating and modernizing trial court websites enables courts to better serve court users online, cutting down on unnecessary calls and visits to the courthouse. The program serves courts both large and small, in rural counties and major metropolitan areas. By offering courts a set of design templates and providing migration assistance, the program contributes to the more effective delivery of court services over the Internet, thereby saving the courts time and money and providing more convenience and greater service to attorneys, prospective jurors, and self-represented litigants.

6. Enterprise Test Management Suite (ETMS)

Rollover Amount: \$478,805

Rationale for Request: Although an RFP was developed in FY 2010–2011, it was not issued or awarded by the deadline. The funds would be used to migrate the ETMS software, currently running on a development network, to a more robust facility at the California Courts Technology Center, which will provide a more stable and reliable environment. Applications using the ETMS software include Phoenix, Computer Aided Facilities Management (CAFM), Integrated Business Services, CCMS v2, CCMS v3, Web applications, Judicial Branch Statistical Information System (JBSIS), and AOC Services Development Group applications.

7. Statewide Electronic Business Services (SEBS)

Rollover Amount: \$184,355

Rationale for Request: Contract documents for FY 2010–2011 were not executed by the deadline. The funds would allow the hiring of a business systems analyst consultant to support data integration and e-initiatives for the branch, which includes planning, support, and deployment of these initiatives to all courts. This position is critical to providing

technical and business analytical support for data integration and e-initiatives (including e-filing and document management systems) for CCMS deployment and a parallel track of justice partner outreach and e-initiatives for non-CCMS courts. The e-initiatives and partner outreach activities will meet critical needs facing the courts. The planning, implementation, and outcomes of these initiatives are designed to integrate with CCMS as it is deployed in the courts.

Trial Court Trust Fund

8. California Court Case Management System (CCMS) Development

Rollover Amount: \$362,920

Rationale for Request: Contract documents for FY 2010–2011 were not executed by the deadline. The funds would, through intrabranch agreements (IBAs), enable the Superior Courts of Ventura (\$298,936) and Fresno Counties (\$63,984) to provide CCMS testing resources.

Subject matter experts in trial court business processes are critical for CCMS testing activities. The testers must make sure the CCMS vendor has correctly designed the application to meet the needs of the judicial branch. The consequences of not having sufficient testers with court business process experience will negatively affect the final testing of the application, putting the AOC at risk for contractual delays and impacting the quality of the final product.

9. California Court Case Management System (CCMS) Operations

Rollover Amount: \$550,980

Rationale for Request: Due to changes in the testing schedule and delays in identifying and securing qualified candidates, these contracted services will not be completed prior to expiration of the existing contract on December 31, 2011. The rollover of the FY 2009–2010 encumbered funds will allow for the existing contract to be extended the additional six months needed to automate testing scripts.

The contract provides for a team of system automation engineers developing a robust, scalable automation framework that can support automating a wide range of test scripts for future testing cycles of the CCMS application. Without these automation services, more testing will need to be completed manually, potentially impacting the quality of the application and limiting the CCMS deployment strategies under consideration by the CCMS Executive Committee and Judicial Council.

10. Phoenix Financial and Human Resources Services

Rollover Amount: \$39,569

Rationale for Request: The \$39,569 would be used to provide, through the system integrator contract, services to trial courts that could not be performed by the end of FY 2010–2011.

Alternatives considered and policy implications

None.

Attachments

1. Attachment A: Overview of Special Funds
2. Attachment B: Modernization Fund Summary
3. Attachment C: Modernization Fund Detail
4. Attachment D: Trial Court Improvement Fund Summary
5. Attachment E: Trial Court Improvement Fund Detail
6. Attachment F: Trial Court Trust Fund Summary
7. Attachment G: Trial Court Trust Fund Detail

Overview of Special Funds
FY 2010-2011 Budget and Actual and
FY 2011-2012 Approved and Recommended Allocations
(amount in dollars)

I. Modernization Fund	FY 2010-11 Budget	FY 2010-11 Actuals	FY 2011-12 Budget		
			Projected Resources and Approved Allocations	Rollover	Total Projected Resources and Recommended Allocations
			Column C	Column D	Column E
Adjusted Beginning Balance	30,933,026	32,182,009	2,763,902	-	2,763,902
Revenues and Transfers	7,380,951	7,384,288	18,845,984	-	18,845,984
Total Resources	38,313,977	39,566,297	21,609,886	-	21,609,886
All Other Projects and Programs	9,214,365	8,543,737	6,203,481	60,000	6,263,481
Statewide Tech. Infrastructure and Ongoing Services	28,813,416	28,258,659	12,453,583	-	12,453,583
Total Expenditures and Encumbrances	38,027,781	36,802,396	18,657,064	60,000	18,717,064
Ending Fund Balance	286,196	2,763,902	2,952,822		2,892,822

II. Improvement Fund	FY 2010-11 Budget	FY 2010-11 Actuals	FY 2011-12 Budget		
			Projected Resources and Approved Allocations	Rollover	Total Projected Resources and Recommended Allocation
			Column C	Column D	Column E
Adjusted Beginning Balance	20,674,512	27,673,942	38,534,160	-	38,534,160
Revenues and Transfers	57,396,950	59,371,733	49,122,036	-	49,122,036
Total Resources	78,071,462	87,045,674	87,656,196	-	87,656,196
Projects and Programs	24,204,862	15,466,738	15,579,033	5,884,300	21,463,333
Statewide Tech. Infrastructure and Ongoing Services	40,972,376	32,183,007	32,125,186	663,160	32,788,346
Urgent Needs Reserve (reserved until March 15)			9,848,315	-	9,848,315
Allocation of Urgent Needs Reserve	1,000,000	-	2,500,000		2,500,000
Total Expenditures and Encumbrances	66,177,238	47,649,745	50,204,219	6,547,460	56,751,679
Prorata	862,000	861,770	861,770	-	861,770
Ending Fund Balance	11,032,224	38,534,160	36,590,207		30,042,746

III. Trial Court Trust Fund	FY 2010-11 Budget	FY 2010-11 Actuals	FY 2011-12 Budget		
			Projected Resources and Approved Allocations	Rollover	Total Projected Resources and Recommended Allocations
			Column C	Column D	Column E
Adjusted Beginning Balance	103,839,927	106,076,131	90,780,702	-	90,780,702
Revenues and Transfers	3,017,930,045	3,037,610,810	2,506,964,252	-	2,506,964,252
Total Resources	3,121,769,972	3,143,686,941	2,597,744,954	-	2,597,744,954
Projects and Programs	2,970,034,542	3,000,731,994	2,474,363,913	-	2,474,363,913
Statewide Tech. Infrastructure and Ongoing Services	96,602,668	70,036,246	45,751,520	1,003,469	46,754,989
Total Expenditures and Encumbrances	3,066,637,210	3,070,768,239	2,520,115,433	1,003,469	2,521,118,902
Ending Fund Balance	55,132,763	72,918,702	77,629,521		76,626,052

IV. Combined (Modernization Fund, Improvement Fund, and TCTF)	FY 2010-11 Budget	FY 2010-11 Actuals	FY 2011-12 Budget		
			Projected Resources and Approved Allocations	Rollover	Total Projected Resources and Recommended Allocation
			Column A	Column B	Column C
Adjusted Beginning Balance	155,447,465	165,932,082	132,078,764	-	132,078,764
Revenues and Transfers	3,082,707,947	3,104,366,831	2,574,932,273	-	2,574,932,273
Total Resources	3,238,155,412	3,270,298,913	2,707,011,036	-	2,707,011,036
Court Operations	3,003,453,769	3,024,742,468	2,496,146,427	5,944,300	2,502,090,727
Statewide Tech. Infrastructure and Ongoing Services	166,388,460	130,477,911	90,330,289	1,666,629	91,996,918
Allocation of Urgent Needs Reserve	1,000,000	-	2,500,000	-	2,500,000
Total Expenditures and Encumbrances	3,170,842,229	3,155,220,379	2,588,976,716	7,610,929	2,596,587,646
Prorata	862,000	861,770	861,770	-	861,770
Ending Fund Balance	66,451,183	114,216,764	117,172,550		109,561,621

Modernization Fund
Summary of FY 2010-2011 Budget and Actual and
FY 2011-2012 Approved and Recommended Allocations
(amount in dollars)

	FY 2010-11 Budget	FY 2010-11 Actual	FY 2011-12 Budget		
			Projected Resources / Approved Allocations	Rollover	Total Projected Resources and Recommended Allocation
			Column C	Column D	Column E
	Column A	Column B			
Beginning Balance	30,933,026	30,933,026	2,763,902		2,763,902
Prior Years' Adjustments - Revenue	-	-	-		-
Prior Years' Adjustments - Expenditure	-	1,248,984	-		-
Adjusted Beginning Balance	30,933,026	32,182,009.19	2,763,902	-	2,763,902
Revenues and Transfers					
Income from Surplus Money Investment Fund	271,951	274,916	136,984	-	136,984
Other Revenues and Charges	-	373	-	-	-
State General Fund Transfer	38,709,000	38,709,000	38,709,000	-	38,709,000
Transfer To Trial Court Trust Fund	(31,600,000)	(31,600,000)	(20,000,000)	-	(20,000,000)
Total, Revenues and Transfers	7,380,951	7,384,288	18,845,984	-	18,845,984
Total Resources	38,313,977	39,566,297	21,609,886	-	21,609,886
Expenditures and Encumbrances					
Category 1 - Statewide Technology Infrastructure and Ongoing Services to the Trial Courts	28,813,416	28,258,659	12,453,583	-	12,453,583
Category 2 - Education / Developmental Programs	2,567,005	2,170,339	1,629,286	-	1,629,286
Category 3 - Pilot, Special Initiatives, and Ongoing Projects	6,647,360	6,373,397	4,574,195	60,000	4,634,195
Total Expenditures and Encumbrances	38,027,781	36,802,396	18,657,064	60,000	18,717,064
Ending Fund Balance	286,195.94	2,763,901.93	2,952,822		2,892,822

Modernization Fund
FY 2011-2012 Approved and Recommended Allocations by
Project/Program

Line #	Project and Program Description	Term of Funding	Approved Allocations	Recommended		
				Rollover	Total Allocation	
				Column C	Column D	
1	Category 1 - Statewide Technology Infrastructure and Ongoing Services to the Trial Courts					
2	Ongoing Programs and Services					
3	A. Statewide Technology Infrastructure					
4	Data Integration	Ongoing	4,533,876			4,533,876
5	Telecommunications Support	Ongoing	7,164,167			7,164,167
6	Subtotal, Statewide Technology Infrastructure		11,698,043	-		11,698,043
7	B. Ongoing Services to the Trial Courts					
8	Phoenix Financial and Human Resources Services	Ongoing	755,540			755,540
9	Subtotal, Ongoing Services to the Trial Courts		755,540	-		755,540
10	Total, Category 1		12,453,583	-		12,453,583
11	Category 2 - Education and Developmental Programs					
12	(1) Center for Judicial Education and Research (CJER)					
13	<i>I. Mandated state judicial education programs</i>					
14	B.E. Witkin Judicial College of California	Ongoing	190,000	-		190,000
15	Family Law Assignment Education	Ongoing	25,000	-		25,000
16	Juvenile Law Assignment Education	Ongoing	25,000	-		25,000
17	Orientation for New Trial Court Judges	Ongoing	75,000	-		75,000
18	<i>Subtotal</i>		<i>315,000</i>	-		<i>315,000</i>
19	<i>II. Other recommended judicial education programs</i>					
20	Criminal Law and Procedure Institute	Ongoing	25,000	-		25,000
21	Overview Courses	Ongoing	255,000	-		255,000
22	Winter Continuing Judicial Studies Program	Ongoing	41,000	-		41,000
23	<i>Subtotal</i>		<i>321,000</i>	-		<i>321,000</i>
24	<i>III. Programs related to court administration</i>					
25	Court Management Courses	Ongoing	78,000	-		78,000
26	Trial Court Faculty (Statewide Education Programs)	Ongoing	290,000	-		290,000
27	Train the Trainers - Faculty Development	Ongoing	80,000	-		80,000
28	<i>Subtotal</i>		<i>448,000</i>	-		<i>448,000</i>
29	<i>IV. Programs for trial court staff</i>					
30	Court Clerk Training Institute	Ongoing	105,000	-		105,000
31	Distance Learning (Satellite Broadcast)	Ongoing	220,000	-		220,000
32	Mid-level Management Conferences	Ongoing	24,000	-		24,000
33	Trial Court Judicial Attorney Institute	Every other year	50,000	-		50,000
34	<i>Subtotal</i>		<i>399,000</i>	-		<i>399,000</i>
35	Total, CJER		1,483,000	-		1,483,000
36	(2) Other Educational and Developmental Programs					
37	Budget Focused Training and Meetings (TCBWG)	Ongoing	13,009	-		13,009
38	CFCC Programs	Ongoing	90,273			90,273

Modernization Fund
FY 2011-2012 Approved and Recommended Allocations by
Project/Program

Line #	Project and Program Description	Term of Funding	Approved Allocations	Recommended	
				Rollover	Total Allocation
				Column A	Column B
39	CFCC Publications	Ongoing	20,000	-	20,000
40	Labor Relations Academy	Ongoing	23,004	-	23,004
41	Total, Other		146,286	-	146,286
42	Total, Category 2		1,629,286	-	1,629,286
43	Category 3 - Pilot, Special Initiatives, and Ongoing Projects				
44	Alternative Dispute Resolution for Civil Cases	Ongoing	75,000	-	75,000
45	Complex Civil Litigation	Ongoing	4,001,010	-	4,001,010
46	Court Interpreter Testing, Recruitment and Education	Ongoing	140,385	-	140,385
47	Interactive Software - Self-rep Electronic Forms	Ongoing	40,000	-	40,000
48	Public Education and Outreach	Ongoing	277,800	-	277,800
49	Ralph N. Kleps Award Program	Ongoing	20,000	-	20,000
50	Trial Court Performance and Accountability	Ongoing	20,000	60,000	80,000
51	Total, Category 3		4,574,195	60,000	4,634,195
52	Total, All Categories		18,657,064	60,000	18,717,064

Trial Court Improvement Fund
Summary of FY 2010-11 Budget and Actual and
FY 2011-12 Approved and Recommended Allocations
(amount in dollars)

	FY 2010-11 Budget	FY 2010-11 Actual	FY 2011-12 Budget		
			Projected Resources / Approved Allocations	Rollover	Total Projected Resources and Recommended Allocation
			Column C	Column D	Column E
	Column A	Column B			
Beginning Balance	20,674,512	20,674,512	38,534,160		38,534,160
Prior Years' Adjustments - Revenue	-	2,770,916	-		-
Prior Years' Adjustments - Expenditure	-	4,228,513	-		-
Adjusted Beginning Balance ¹⁾	20,674,512	27,673,941.75	38,534,160	-	38,534,160
Revenues					
50/50 Excess Fines Split Revenue	40,846,821	44,718,887	42,508,701	-	42,508,701
2% Automation Fund	17,629,673	17,746,416	17,827,502	-	17,827,502
Income from Surplus Money Investment Fund	174,351	136,199	126,014	-	126,014
Royalties from Publications	510,965	548,795	526,189	-	526,189
Other Revenues and Charges ²⁾	2,566,000	552,295	-	-	-
Subtotal, Revenues	61,727,810	63,702,592.50	60,988,406	-	60,988,406
Transfers and Adjustments					
1% Transfer from Trial Court Trust Fund	27,232,140	27,232,140	19,696,630	-	19,696,630
Transfer to Trial Court Trust Fund	(31,563,000)	(31,563,000)	(31,563,000)	-	(31,563,000)
Subtotal, Transfers and Adjustments	(4,330,860)	(4,330,860.00)	(11,866,370)		(11,866,370)
Total Resources	78,071,462.31	87,045,674.25	87,656,196	-	87,656,196
Expenditures and Encumbrances					
Category 1 - Ongoing Statewide Programs (excluding statewide technology infrastructure and ongoing services to the trial courts)	15,609,418	14,284,800	14,279,033	174,988	14,454,021
Category 2 - Trial Court Projects and Model Programs	8,595,443	1,181,938	1,300,000	5,709,312	7,009,312
Category 3 - Urgent Needs Reserve (reserved until March 15)	13,616,070	-	9,848,315	-	9,848,315
Allocation of Urgent Needs Reserve	1,000,000	-	2,500,000	-	2,500,000
Subtotal, Local Assistance	25,204,861	15,466,738	18,079,033	5,884,300	23,963,333
Statewide Technology Infrastructure and Ongoing Services to the Trial Courts - Local Assistance	31,384,994	24,347,787	24,318,694	663,160	24,981,854
Statewide Technology Infrastructure and Ongoing Services to the Trial Courts - Administrative Support	9,587,382	7,835,219	7,806,492	-	7,806,492
Subtotal, Statewide Tech. Infrastructure and Ongoing Services to the Trial Courts	40,972,376	32,183,007	32,125,186	663,160	32,788,346
Total Expenditures and Encumbrances	66,177,237	47,649,745	50,204,219	6,547,460	56,751,679
Prorata	862,000	861,770	861,770	-	861,770
Ending Fund Balance, Reflecting Approved and Recommended Allocations	11,032,225.31	38,534,159.75	36,590,207		30,042,746
Ending Fund Balance, Assuming Full Allocation of Urgent Needs Reserve	11,032,225.31	38,534,159.75	29,241,892		22,694,431

Note:

- 1) FY 2010-11 Adjusted Beginning Balance - the difference of \$6,999,469 between the budget and actual is due to the under-accrual of revenue and over-accrual of expenditures as reflected above.
- 2) Estimated other revenues and charges for FY 2010-11 includes loan repayments from two superior courts and an estimated transfer fund from Bank of America's "trial court benefit account". However, the \$2.0 million transfer from BofA account was not completed by the end of June 2011.

Trial Court Improvement Fund FY 2011-2012 Approved and Recommended Allocation by Project/Program

(amount in dollars)

Line #	Project and Program Description	Term of Funding	Approved Allocations	Recommended	
				Rollover	Total Allocation
				Column C	Column D
1	Category 1 - Ongoing Statewide Programs				
2	(1) Non-Statewide Administrative and Technology Infrastructure				
3	Connecting with Constituencies: Trial Court Web Template Resources	Ongoing	-	174,988	174,988
4	Domestic Violence Family Law Interpreter Program	Ongoing	1,750,000	-	1,750,000
5	HR Legal Counsel for Trial Court Benefits	Ongoing	80,000	-	80,000
6	Judicial Officer Assistance Program (JOAP)	Ongoing	85,000	-	85,000
7	Judicial Performance Defense Insurance	Ongoing	793,923	-	793,923
8	Jury System Improvement Projects	Funding from actual receipts	18,000	-	18,000
9	Litigation Management Program	Ongoing	4,500,000	-	4,500,000
10	Self-Help Center	Ongoing	5,000,000	-	5,000,000
11	Self-represented Litigants Statewide Support	Ongoing	150,000	-	150,000
12	Subscription Costs - Judicial Conduct Reporter	Ongoing	17,110	-	17,110
13	Trial Court Security Grants	Ongoing	1,200,000	-	1,200,000
14	Trial Court Transactional Assistance Program	Ongoing	685,000	-	685,000
15	Subtotal, Non-Technology		14,279,033	174,988	14,454,021
16	(2) Statewide Technology Infrastructure and Ongoing Services to the Trial Courts				
17	Ongoing Programs and Services				
18	(I) Ongoing Programs and Services (Local Assistance)				
19	A. Local Assistance Related to Statewide Technology Infrastructure				
20	California Courts Protective Order Registry (CCPOR)	Ongoing	663,136	-	663,136
21	California Court Technology Center (CCTC)	Ongoing	6,169,081	-	6,169,081
22	Enterprise Test Management Suite (Testing Tools)	Ongoing	828,713	478,805	1,307,518
23	Interim Case Management System (ICMS)	Ongoing	5,152,306	-	5,152,306
24	Judicial Branch Enterprise Licensing and Policy	Ongoing	5,957,966	-	5,957,966
25	Jury Technology Grants to Trial Courts	Ongoing	600,000	-	600,000
26	Statewide Electronic Business Services (SEBS)	Ongoing	-	184,355	184,355
27	Uniform Civil Fees	Ongoing	385,602	-	385,602
28	Subtotal, Local Assistance Related to Statewide Technology Infrastructure		19,756,804	663,160	20,419,964
29	B. Local Assistance Related to Ongoing Services to the Trial Courts				
30	Phoenix Financial and Human Resources Services	Ongoing	4,561,890	-	4,561,890
31	Subtotal, Local Assistance Related to Ongoing Services to the Trial Courts		4,561,890	-	4,561,890
32	(II) Ongoing Programs and Services (Administrative Support)				
33	A. Support Related to Statewide Technology Infrastructure				
34	California Court Technology Center (CCTC)	Ongoing	1,668,559	-	1,668,559
35	Data Integration	Ongoing	291,101	-	291,101
36	Statewide Electronic Business Services (SEBS)	Ongoing	287,068	-	287,068
37	Subtotal, Support Related to Statewide Technology Infrastructure		2,246,728	-	2,246,728
38	B. Support Related to Ongoing Services to the Trial Courts				
39	California Law Enforcement Telecommunications System	Ongoing	164,208	-	164,208
40	Enhanced Collections	Ongoing	801,947	-	801,947
41	Internal Audits	Ongoing	764,664	-	764,664
42	Phoenix Financial and Human Resources Services	Ongoing	1,441,147	-	1,441,147
43	Regional Office Assistance Group	Ongoing	1,740,862	-	1,740,862
44	Treasury	Ongoing	228,230	-	228,230
45	Trial Court Procurement	Ongoing	127,760	-	127,760
46	Trial Court Re-engineering	Ongoing	290,946	-	290,946

Trial Court Improvement Fund
FY 2011-2012 Approved and Recommended Allocation by Project/Program
(amount in dollars)

Line #	Project and Program Description	Term of Funding	Approved Allocations	Recommended	
				Rollover	Total Allocation
				Column C	Column D
47	Subtotal, Support Related to Ongoing Services to the Trial Courts		5,559,764	-	5,559,764
48	Total, Statewide Tech. Infrastructure and Ongoing Services Support		7,806,492	-	7,806,492
49	Total, Statewide Tech. Infrastructure and Ongoing Services Local Assistance		24,318,694	663,160	24,981,854
50	Total, Statewide Tech. Infrastructure and Ongoing Services to the Trial Courts		32,125,186	663,160	32,788,346
51	Total, Category 1		46,404,219	838,148	47,242,367
52	Category 2 - Trial Court Projects and Model Programs				
53	Audit Contract	Ongoing	150,000	-	150,000
54	Domestic Violence - Order After Hearing	One-time	-	81,420	81,420
55	Human Resources - Court Investigation	Ends in 12-13	50,000	-	50,000
56	Reimbursement to Trial Court for Public Access	Ends in 11-12	700,000	-	700,000
57	Workers' Compensation Program Reserve	Ongoing rollover	400,000	5,627,892	6,027,892
59	Total, Category 2		1,300,000	5,709,312	7,009,312
60	Category 3 - Emergency Funding Reserve				
61	1/2 of 1% transfer from TCTF (Reserved until March 15)		9,848,315	-	9,848,315
62	Allocation of Urgent Needs Reserve		2,500,000	-	2,500,000
63	Total, Category 3		2,500,000	-	2,500,000
64	Total, All Categories		50,204,219	6,547,460	56,751,679

Trial Court Trust Fund
Summary of FY 2010-2011 Budget and Actual and
FY 2011-2012 Approved and Recommended Allocations
(amount in dollars)

	FY 2010-11 Budget	FY 2010-11 Actual	FY 2011-12 Budget		
			Projected Resources / Approved Allocations	Rollover	Total Projected Resources and Appropriation or Allocation
			Column C	Column D	Column E
Adjusted Beginning Balance ¹⁾	103,839,927	106,076,131	90,780,702	-	90,780,702
Revenues					
County Maintenance of Effort Obligations	659,050,504	658,019,178	658,591,833	-	658,591,833
Civil Filing Fees	395,288,397	393,084,139	415,218,177	-	415,218,177
Criminal Security Fee	152,335,250	159,947,532	171,636,025	-	171,636,025
Civil Assessment	130,590,576	145,726,777	144,500,881	-	144,500,881
Miscellaneous Revenue ²⁾	2,143,690	2,004,544	1,937,031	-	1,937,031
Income from Surplus Money Investment Fund	214,418	321,116	131,569	-	131,569
Subtotal, Revenues	1,339,622,835	1,359,103,285	1,392,015,516	-	1,392,015,516
Transfers and Adjustments					
Transfer from General Fund	1,561,890,000	1,561,890,000	1,302,718,425	-	1,302,718,425
Transfer from Trial Court Improvement Fund	31,563,000	31,563,000	31,563,000	-	31,563,000
1% Transfer to Trial Court Improvement Fund	(27,232,140)	(27,232,140)	(19,696,630)	-	(19,696,630)
Transfer to Judicial Branch Worker's Compensation Fund	(17,726,650)	(17,685,678)	(17,916,287)	-	(17,916,287)
Other Transfers ³⁾	129,813,000	129,972,344	(181,719,771)	-	(181,719,771)
Subtotal, Transfers and Adjustments	1,678,307,210	1,678,507,526	1,114,948,737	-	1,114,948,737
Total Resources	3,121,769,972	3,143,686,941	2,597,744,954	-	2,597,744,954
Expenditures and Encumbrances ⁴⁾					
Program 30 - Judicial Council (excluding statewide administrative and technology infrastructure support)	341,137	318,367	400,979	-	400,979
Program 45.10 - Support for Operation of the Trial Courts (excluding statewide administrative and technology infrastructure)	2,539,773,387	2,572,077,393	1,954,112,028	-	1,954,112,028
Program 45.15 - Trial Court Security	-	-	82,545,988	-	
Program 45.25 - Compensation of Superior Court Judges	299,874,723	302,867,177	306,393,310	-	306,393,310
Program 45.35 - Assigned Judges	26,547,000	25,664,809	26,047,000	-	26,047,000
Program 45.45 - Court Interpreters	92,794,000	89,962,530	92,794,000	-	92,794,000
Program 45.55 - Grants	10,704,295	9,841,717	12,070,608	-	12,070,608
Subtotal, Non-Statewide Technology Infrastructure and Ongoing Services	2,970,034,542	3,000,731,994	2,474,363,913	-	2,474,363,913
Statewide Tech. Infrastructure and Ongoing Services to the Trial Courts - Support	16,046,921	14,064,221	14,492,734	-	14,492,734
Statewide Tech. Infrastructure and Ongoing Services to the Trial Courts - Local Assistance	80,555,747	55,972,024	31,258,786	1,003,469	32,262,255
Subtotal, Statewide Tech. Infrastructure and Ongoing Services to the Trial Courts	96,602,668	70,036,246	45,751,520	1,003,469	46,754,989
Total Expenditures and Encumbrances	3,066,637,210	3,070,768,239	2,520,115,433	1,003,469	2,521,118,902
Ending Fund Balance	55,132,763	72,918,702	77,629,521		76,626,052

Note:

- 1) FY 2010-11 Adjusted Beginning Balance - the difference of \$2,204,051 between the budget and actual is due to the under-accrual of revenue (\$2,648,109) and under-accrual of expenditures (-\$411,906). FY 2011-12 reflects a \$17,862,000 transfer from General Fund related to trail court employee health, retiree health, and retirement benefit cost changes.
- 2) Miscellaneous Revenue - Includes revenue from Sanctions, Contempt Fines, Other Agencies, Escheat, Late R/A Penalty, Jury Sanction Contempt, Penalty under remit, and Misc. Returned from Local, Agency, refund to Reverted Appropriation-MOU grant return by Court.
- 3) Other Transfers - Includes transfers from Judicial Administration Efficiency and Modernization Fund, State Court Facilities Construction Fund (SCFCF), and the Immediate and Critical Needs Account SCFCF.
- 4) Expenditures and Encumbrances - Only \$82.5 million in expenditures for Program 45.15 Trial Court Security are included. All other funds for this item are included in the Other Transfers sum.

Trial Court Trust Fund

FY 2011-2012 Approved and Recommended Allocations by Project/Program

(amount in dollars)

Line #	Project and Program Description	Term of Funding	Approved Allocations	Recommended	
				Rollover	Total Allocation
				Column C	Column D
1	Statewide Technology Infrastructure and Ongoing Services to the Trial Courts				
2	I. Projects				
3	California Court Case Management System (CCMS) Development ¹	One-Time	1,246,995	362,920	1,609,915
3	CCMS Deployment	One-Time	1,667,321	-	1,667,321
4	CCMS Document Management System (DMS) Development and Deployment	One-Time	-	-	-
5	Subtotal, Projects		2,914,316	362,920	3,277,236
6	II. Ongoing Programs and Services (Local Assistance)				
7	CCMS Operations	Ongoing	6,349,133	550,980	6,900,113
8	California Court Technology Center (CCTC) (Reimbursement)	Ongoing	1,806,573	-	1,806,573
9	Civil, Mental Health, Small Claims, & Probate (V3) Case Management System	Ongoing	11,270,659	-	11,270,659
10	Civil, Mental Health, Small Claims, & Probate (V3) Case Management System (Reimbursement)	Ongoing	1,379,591	-	1,379,591
11	Criminal & Traffic (V2) Case Management System	Ongoing	5,764,472	-	5,764,472
12	Criminal & Traffic (V2) Case Management System (Reimbursement)	Ongoing	499,404	-	499,404
13	Data Integration (Reimbursement)	Ongoing	4,042	-	4,042
14	Interim Case Management System (ICMS) (Reimbursement)	Ongoing	1,270,596	-	1,270,596
15	Phoenix Financial and Human Resources Services	Ongoing	-	50,000	50,000
16	Phoenix Financial and Human Resources Services	Ongoing	-	39,569	39,569
17	Subtotal, Ongoing Programs and Services (Local Assistance)		28,344,470	640,549	28,985,019
18	III. Ongoing Programs and Services (Support)				
19	A. Support Related to Statewide Technology Infrastructure				
20	CCMS Development	One-Time	2,152,692	-	2,152,692
21	CCMS Deployment	One-Time	648,985	-	648,985
22	CCMS Operations	Ongoing	2,070,070	-	2,070,070
23	Civil, Mental Health, Small Claims, & Probate (V3) Case Management System	Ongoing	1,137,677	-	1,137,677
24	Criminal & Traffic (V2) Case Management System	Ongoing	290,291	-	290,291
25	Subtotal, Support Related to Statewide Technology Infrastructure		6,299,715	-	6,299,715
26	B. Support Related to Ongoing Services to the Trial Courts				
27	Phoenix Financial and Human Resources Services (Reimbursement)	Ongoing	8,193,019	-	8,193,019
28	Subtotal, Support Related to Ongoing Services to the Trial Courts		8,193,019	-	8,193,019
29	Total, Statewide Tech. Infrastructure and Ongoing Services Support		14,492,734	-	14,492,734
30	Total, Statewide Tech. Infrastructure and Ongoing Services Local Assistance		31,258,786	1,003,469	32,262,255
31	Total, Statewide Technology Infrastructure and Ongoing Services		45,751,520	1,003,469	46,754,989

