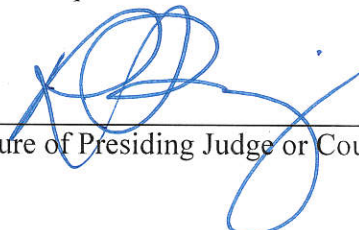


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

1-31-17

Date

Santa Clara

Court

FY 2016-17 2nd Quarter

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Santa Clara

Court

FY 2016-17 2nd Quarter

Fiscal Year and Ending Quarter

FOOTNOTES

1	Constraints displayed in the Balance Sheet statement do not accurately reflect the current constraints on the court's fund balance.
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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Santa Clara

Court

FY 2016-17 2nd Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	685.76	611.14	605.71		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended December							2015/16	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	Fiscal Year 2016/17									
	Governmental Funds					Proprietary Funds	Fiduciary Funds			
General	Special Revenue		Capital Project	Debt Service						
	Non-Grant	Grant								
ASSETS										
Operations	\$ (403,124)	\$ 1,141,858	\$ (831,294)	\$ 0			\$ 473,730	\$ 381,169	\$ 371,251	
Payroll										
Jury										
Revolving										
Other	\$ 0							\$ 0	\$ 0	
Distribution							\$ 141,296	\$ 141,296	\$ 253,585	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust							\$ (343,611)	\$ (343,611)	\$ (183,015)	
Credit Card										
Cash on Hand	\$ 15,010						\$ 0	\$ 15,010	\$ 14,810	
Cash with County	\$ 6,590,107							\$ 6,590,107	\$ 7,000,000	
Cash Outside of the JCC								\$ 0	\$ 0	
Cash Equivalents	\$ 10,260,631						\$ 30,339,820	\$ 40,600,451	\$ 40,262,679	
Total Cash and Cash Equivalents	\$ 16,462,624	\$ 1,141,858	\$ (831,294)	\$ 0			\$ 30,611,235	\$ 47,384,422	\$ 47,719,310	
Short-Term Investment										
Investments										
Total Investments										
Accrued Revenue	\$ 0	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Receivable - General			\$ 66,343					\$ 66,343	\$ 59,713	
Dishonored Checks										
Due From Employee										
Civil Jury Fees	\$ 0							\$ 0	\$ 0	
Trust										
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0	
Due From Other Governments	\$ 0	\$ 0	\$ 38,740					\$ 38,740	\$ 98,336	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State	\$ 0	\$ 0	\$ 804,550					\$ 804,550	\$ 903,675	
Trust Due To/From							\$ 176	\$ 176		
Distribution Due To/From							\$ 0	\$ 0	\$ 0	
Civil Filing Fee Due To/From										
General Due To/From	\$ 3,158	\$ 0						\$ 3,158	\$ 615	
Total Receivables	\$ 3,158	\$ 0	\$ 909,633	\$ 0			\$ 176	\$ 912,967	\$ 1,062,338	
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0	
Salary and Travel Advances										
Counties										
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0	
Other Assets										
Total Other Assets										
Total Assets	\$ 16,465,781	\$ 1,141,858	\$ 78,339	\$ 0			\$ 30,611,411	\$ 48,297,389	\$ 48,781,648	
LIABILITIES AND FUND BALANCES										
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 192,581	\$ 20,268	\$ 21,612	\$ 0			\$ 0	\$ 234,462	\$ 178,226	
Due to Other Funds	\$ 176	\$ 0	\$ 0				\$ 3,158	\$ 3,334	\$ 615	
Due to Other Courts										
Due to State	\$ 0	\$ 0						\$ 0	\$ 0	
TC145 Liability							\$ 1,588,921	\$ 1,588,921	\$ 1,504,934	
Due to Other Governments	\$ 0	\$ 646,264	\$ 0					\$ 646,264	\$ 0	
AB145 Due to Other Government Agency	\$ 0						\$ 5,342,416	\$ 5,342,416	\$ 4,682,922	
Due to Other Public Agencies										
Sales and Use Tax	\$ 304		\$ 0					\$ 305	\$ 285	
Interest							\$ 8,188	\$ 8,188	\$ 2,307	
Miscellaneous Accts. Pay. and Accrued Liab.										
Total Accounts Payable and Accrued Liab.	\$ 193,062	\$ 666,532	\$ 21,613	\$ 0			\$ 6,942,683	\$ 7,823,890	\$ 6,369,290	
Civil							\$ 19,915,591	\$ 19,915,591	\$ 22,168,321	
Criminal							\$ 3,594,598	\$ 3,594,598	\$ 2,759,355	
Unreconciled - Civil and Criminal										
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0	
Trust Interest Payable							\$ 40,218	\$ 40,218	\$ 10,538	
Miscellaneous Trust										
Total Trust Deposits							\$ 23,550,407	\$ 23,550,407	\$ 24,938,214	
Accrued Payroll	\$ 0							\$ 0	\$ 0	
Benefits Payable										
Deferred Compensation Payable										
Deductions Payable										
Payroll Clearing										
Total Payroll Liabilities	\$ 0							\$ 0	\$ 0	
Revenue Collected in Advance			\$ 384,476					\$ 384,476	\$ 217,883	
Liabilities For Deposits	\$ 61,850	\$ 1,070					\$ 118,321	\$ 181,241	\$ 167,276	
Jury Fees - Non-Interest										
Fees - Partial Payment & Overpayment										
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities										
Total Other Liabilities	\$ 61,850	\$ 1,070	\$ 384,476				\$ 118,321	\$ 565,717	\$ 385,159	
Total Liabilities	\$ 254,912	\$ 667,602	\$ 406,089	\$ 0			\$ 30,611,411	\$ 31,940,014	\$ 31,692,664	
Total Fund Balance	\$ 16,210,870	\$ 474,256	\$ (327,750)	\$ 0				\$ 16,357,375	\$ 17,088,984	
Total Liabilities and Fund Balance	\$ 16,465,781	\$ 1,141,858	\$ 78,339	\$ 0			\$ 30,611,411	\$ 48,297,389	\$ 48,781,648	

Superior Court of California, County of Santa Clara
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended December											
Fiscal Year 2016/17											
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	2015/16		
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 50,812,388	\$ (163,584)		\$ 754,454			\$ 51,203,258	\$ 79,535,904	\$ 48,725,380	\$ 78,045,349	
Improvement and Modernization Fund								\$ 238,520	\$ 19,628	\$ 238,520	
Judges' Compensation (45.25)	\$ 375,252						\$ 375,252	\$ 726,112	\$ 375,252	\$ 710,000	
Court Interpreter (45.45)	\$ 1,545,078						\$ 1,545,078	\$ 4,039,896	\$ 1,438,438	\$ 4,000,000	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 499,128						\$ 499,128	\$ 870,193	\$ 484,891	\$ 840,693	
Other Miscellaneous	\$ 2,309,467						\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	\$ 2,309,467	
	\$ 55,341,313	\$ (163,584)		\$ 754,454			\$ 55,932,183	\$ 87,720,092	\$ 53,353,056	\$ 86,144,029	
Grants											
AB 1058 Commissioner/Facilitator			\$ 877,297				\$ 877,297	\$ 2,747,162	\$ 970,467	\$ 2,718,528	
Other Judicial Council Grants			\$ 120,378				\$ 120,378	\$ 887,333	\$ 127,096	\$ 891,454	
Non-Judicial Council Grants			\$ 327,859				\$ 327,859	\$ 1,459,387	\$ 246,481	\$ 1,271,415	
			\$ 1,325,334				\$ 1,325,334	\$ 5,093,882	\$ 1,344,033	\$ 4,881,397	
Other Financing Sources											
Interest Income	\$ 46,092	\$ 3,621		\$ 1,783			\$ 51,497	\$ 96,500	\$ 25,167	\$ 60,000	
Investment Income											
Donations		\$ 14,980					\$ 14,980	\$ 22,000	\$ 18,872	\$ 40,000	
Local Fees	\$ 706,764	\$ 71,294					\$ 778,058	\$ 1,705,350	\$ 663,798	\$ 1,852,000	
Non-Fee Revenues											
Enhanced Collections		\$ 322,583					\$ 322,583	\$ 750,000	\$ 307,256	\$ 685,000	
Eachatement	\$ 4,018						\$ 4,018	\$ 7,000	\$ 4,645	\$ 17,000	
Prior Year Revenue		\$ 0					\$ 0	\$ 0	\$ 0	\$ 0	
County Program - Restricted		\$ 59,192					\$ 59,192	\$ 394,700	\$ 87,135	\$ 346,128	
Reimbursement Other	\$ 30,697	\$ 404,428	\$ 1,868				\$ 436,993	\$ 3,728,286	\$ 552,155	\$ 3,226,156	
Sale of Fixed Assets											
Other Miscellaneous	\$ 726,168						\$ 726,168	\$ 1,808,553	\$ 722,578	\$ 1,620,903	
	\$ 1,513,739	\$ 876,099	\$ 1,868	\$ 1,783			\$ 2,393,488	\$ 8,512,389	\$ 2,381,606	\$ 7,847,185	
Total Revenues	\$ 56,855,052	\$ 712,515	\$ 1,327,201	\$ 756,236			\$ 59,651,005	\$ 101,326,363	\$ 57,078,698	\$ 98,872,611	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 20,719,929	\$ 408,146	\$ 815,331				\$ 21,943,406	\$ 44,276,114	\$ 22,085,887	\$ 40,366,205	
Tamp Help	\$ 232,847	\$ 39,652	\$ 10,460				\$ 282,958	\$ 561,815	\$ 220,995	\$ 341,171	
Overtime	\$ 57,755	\$ 294	\$ 844				\$ 58,049	\$ 170,000	\$ 80,628	\$ 25,000	
Staff Benefits	\$ 13,608,267	\$ 1,064,216	\$ 416,879				\$ 15,089,361	\$ 35,795,726	\$ 17,776,227	\$ 42,187,696	
	\$ 34,618,797	\$ 1,512,308	\$ 1,242,669				\$ 37,373,774	\$ 80,803,655	\$ 40,163,736	\$ 82,940,072	
Operating Expenses and Equipment											
General Expense	\$ 893,743	\$ 7,891	\$ 13,738				\$ 915,372	\$ 1,747,171	\$ 691,802	\$ 1,730,404	
Printing	\$ 48,640	\$ 105					\$ 48,744	\$ 125,000	\$ 59,456	\$ 125,000	
Telecommunications	\$ 509,662		\$ 382				\$ 510,044	\$ 680,000	\$ 302,073	\$ 650,000	
Postage	\$ 224,493						\$ 224,493	\$ 369,500	\$ 236,326	\$ 364,000	
Insurance	\$ 32,873						\$ 32,873	\$ 35,000	\$ 30,407	\$ 41,000	
In-State Travel	\$ 44,143	\$ 255	\$ 6,628				\$ 51,026	\$ 126,000	\$ 47,005	\$ 121,100	
Out-of-State Travel	\$ 1,378		\$ 844				\$ 2,223	\$ 35,000	\$ 22,872	\$ 22,500	
Training	\$ 35,574		\$ 2,855				\$ 38,429	\$ 94,500	\$ 36,806	\$ 104,999	
Security Services	\$ 48,885						\$ 48,885	\$ 497,143	\$ 36,610	\$ 357,001	
Facility Operations	\$ 682,514						\$ 682,514	\$ 1,386,623	\$ 572,412	\$ 1,344,156	
Utilities	\$ 85,249						\$ 85,249	\$ 180,000	\$ 91,063	\$ 185,000	
Contracted Services	\$ 2,576,367	\$ 446,220	\$ 223,580				\$ 3,246,168	\$ 9,430,842	\$ 2,745,012	\$ 9,598,887	
Consulting and Professional Services	\$ 769,404						\$ 769,404	\$ 1,491,527	\$ 706,767	\$ 2,059,595	
Information Technology	\$ 484,383	\$ 742,303	\$ 0				\$ 1,226,686	\$ 1,063,334	\$ 453,156	\$ 843,000	
Major Equipment	\$ 53,223						\$ 53,223	\$ 53,223	\$ 44,053	\$ 0	
Other Items of Expense	\$ 27,311						\$ 27,311	\$ 55,500	\$ 24,573	\$ 53,500	
	\$ 6,517,843	\$ 1,196,774	\$ 248,027				\$ 7,962,644	\$ 17,370,363	\$ 6,100,393	\$ 17,600,142	
Special Items of Expense											
Grand Jury		\$ 3,394					\$ 3,394	\$ 3,600	\$ 3,383	\$ 6,000	
Jury Costs	\$ 331,612	\$ 37,260					\$ 368,872	\$ 810,550	\$ 362,312	\$ 738,500	
Judgements, Settlements and Claims									\$ 843		
Debt Service											
Other	\$ 0						\$ 0	\$ 1,200,881		\$ 1,750,000	
Capital Costs											
Internal Cost Recovery	\$ (183,926)		\$ 183,926	\$ 2,515,629			\$ 2,515,629	\$ 5,031,257	\$ 0	\$ 0	
Prior Year Expense Adjustment											
	\$ 147,687	\$ 40,654	\$ 183,926	\$ 2,515,629			\$ 2,887,895	\$ 7,046,288	\$ 366,538	\$ 2,494,500	
Total Expenditures	\$ 41,284,327	\$ 2,749,736	\$ 1,674,622	\$ 2,515,629			\$ 48,224,313	\$ 105,220,307	\$ 46,630,667	\$ 103,034,714	
Excess (Deficit) of Revenues Over Expenditures	\$ 15,570,725	\$ (2,037,221)	\$ (347,420)	\$ (1,759,392)			\$ 11,426,692	\$ (3,893,944)	\$ 10,447,929	\$ (4,162,103)	
Operating Transfers In (Out)	\$ (19,670)		\$ 19,670				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 659,815	\$ 2,511,476	\$ 0	\$ 1,759,392			\$ 4,930,683	\$ 4,930,683	\$ 6,641,056	\$ 6,641,056	
Ending Balance (Deficit)	\$ 16,210,870	\$ 474,256	\$ (327,750)	\$ 0			\$ 16,357,375	\$ 1,036,740	\$ 17,088,984	\$ 2,478,953	

**Superior Court of California, County of Santa Clara
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

	For the month ended December									
	Fiscal Year 2016/17								2015/16	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 10,591,813	\$ 700,729					\$ 11,213,091	\$ 23,663,411	\$ 12,037,329	\$ 25,969,295
Traffic & Other Infractions	\$ 1,743,290	\$ 15,562				\$ (79,451)	\$ 1,758,853	\$ 4,164,522	\$ 2,011,677	\$ 4,618,813
Other Criminal Cases	\$ 4,086,581	\$ 734,785					\$ 4,831,680	\$ 10,831,231	\$ 5,108,544	\$ 10,799,433
Civil	\$ 3,680,999	\$ 106,614				\$ 10,314	\$ 3,787,614	\$ 8,025,529	\$ 4,312,324	\$ 8,368,301
Family & Children Services	\$ 4,981,152	\$ 479,171					\$ 5,539,775	\$ 12,600,847	\$ 6,039,522	\$ 13,474,461
Probate, Guardianship & Mental Health Services	\$ 1,312,627	\$ 26,737				\$ 79,451	\$ 1,339,363	\$ 2,714,540	\$ 1,466,469	\$ 3,002,569
Juvenile Dependency Services	\$ 226,243	\$ 18,369					\$ 244,612	\$ 529,702	\$ 303,927	\$ 636,838
Juvenile Delinquency Services	\$ 299,982	\$ 103,847					\$ 403,828	\$ 764,595	\$ 339,406	\$ 695,501
Other Court Operations	\$ 142,527	\$ 4,051					\$ 146,578	\$ 273,274	\$ 195,273	\$ 368,374
Court Interpreters	\$ 1,445,923	\$ 882,552					\$ 2,328,475	\$ 4,178,487	\$ 2,090,621	\$ 4,607,924
Jury Services	\$ 297,876	\$ 115,135	\$ 331,612				\$ 744,624	\$ 1,297,363	\$ 689,505	\$ 1,105,410
Security		\$ 129,432					\$ 129,432	\$ 116,175	\$ 78,262	
Trial Court Operations Program	\$ 28,809,012	\$ 3,316,984	\$ 331,612			\$ 10,314	\$ 32,467,922	\$ 69,159,676	\$ 34,672,859	\$ 73,646,919
Enhanced Collections										
Other Non-Court Operations	\$ 874,789	\$ 49,652	\$ 40,654				\$ 965,095	\$ 1,961,560	\$ 1,076,323	\$ 2,323,487
Non-Court Operations Program	\$ 874,789	\$ 49,652	\$ 40,654				\$ 965,095	\$ 1,961,560	\$ 1,076,323	\$ 2,323,487
Executive Office	\$ 774,739	\$ 200,371					\$ 964,796	\$ 2,044,424	\$ 1,588,966	\$ 2,971,456
Fiscal Services	\$ 1,573,461	\$ 1,096,075				\$ (10,314)	\$ 2,669,536	\$ 5,630,869	\$ 3,282,042	\$ 5,172,567
Human Resources	\$ 2,057,293	\$ 21,540	\$ 0				\$ 2,078,833	\$ 6,422,589	\$ 773,173	\$ 1,773,977
Business & Facilities Services	\$ 1,023,404	\$ 1,044,779		\$ 2,515,629			\$ 4,583,812	\$ 10,390,782	\$ 1,616,423	\$ 6,828,338
Information Technology	\$ 2,261,077	\$ 2,233,242					\$ 4,494,319	\$ 9,610,406	\$ 3,620,882	\$ 10,317,970
Court Administration Program	\$ 7,689,973	\$ 4,596,008	\$ 0	\$ 2,515,629	\$ (10,314)		\$ 14,791,296	\$ 34,099,070	\$ 10,881,485	\$ 27,064,308
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 37,373,774	\$ 7,962,644	\$ 372,266	\$ 2,515,629	\$ 0		\$ 48,224,313	\$ 105,220,307	\$ 46,630,667	\$ 103,034,714