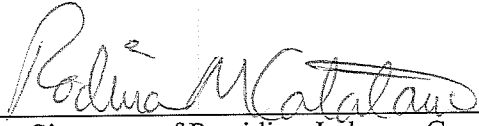
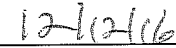


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive



\_\_\_\_\_  
Date

San Mateo

\_\_\_\_\_  
Court

2016-2017 1st Quarter

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

San Mateo

Court

2016-2017 1st Quarter

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	284.5	270.75			

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of San Mateo  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended September								
	Fiscal Year 2016/17							2015/16	
	Governmental Funds			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
General	Special Revenue								
	Non-Grant	Grant							
<b>ASSETS</b>									
Operations	\$ (1,167,531)	\$ 1,331,838	\$ (77,935)			\$ 0	\$ 296,961	\$ 383,333	\$ 506,594
Payroll									
Jury									
Revolving	\$ 0							\$ 0	\$ 0
Other									
Distribution							\$ 146,630	\$ 146,630	\$ 607,578
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ (1,580,644)	\$ (1,580,644)	\$ (3,486,005)
Credit Card									
Cash on Hand	\$ 5,570							\$ 5,570	\$ 5,170
Cash with County	\$ 345,823						\$ 0	\$ 345,823	\$ 1,299,844
Cash Outside of the JCC									
Cash Equivalents	\$ 10,347,413						\$ 14,269,544	\$ 24,616,958	\$ 25,746,213
<b>Total Cash and Cash Equivalents</b>	<b>\$ 9,531,275</b>	<b>\$ 1,331,838</b>	<b>\$ (77,935)</b>			<b>\$ 0</b>	<b>\$ 13,132,492</b>	<b>\$ 23,917,669</b>	<b>\$ 24,679,394</b>
Short-Term Investment									
Investments									
<b>Total Investments</b>									
Accrued Revenue	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee									
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 40,561	\$ 0				\$ 0	\$ 0	\$ 40,561	\$ 38,807
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 10,434	\$ 0	\$ 73,732					\$ 84,166	\$ 129,478
Trust Due To/From							\$ 264,829	\$ 264,829	\$ 331,071
Distribution Due To/From							\$ 980	\$ 980	\$ 0
Civil Filing Fee Due To/From	\$ 0	\$ 0						\$ 0	\$ 449
General Due To/From									
<b>Total Receivables</b>	<b>\$ 50,995</b>	<b>\$ 0</b>	<b>\$ 73,732</b>			<b>\$ 0</b>	<b>\$ 265,809</b>	<b>\$ 390,536</b>	<b>\$ 499,805</b>
Prepaid Expenses - General									
Salary and Travel Advances									
Counties									
<b>Total Prepaid Expenses</b>									
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 9,582,270</b>	<b>\$ 1,331,838</b>	<b>\$ (4,203)</b>			<b>\$ 0</b>	<b>\$ 13,398,301</b>	<b>\$ 24,308,205</b>	<b>\$ 25,179,199</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 56,601	\$ 0	\$ 0			\$ 0	\$ 0	\$ 56,601	\$ 309,405
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 265,809	\$ 265,809	\$ 331,520
Due to Other Courts									
Due to State	\$ 0		\$ 0					\$ 0	\$ 0
TC145 Liability							\$ 0	\$ 0	\$ 847,833
Due to Other Governments	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 6,825,873	\$ 6,825,873	\$ 3,311,924
Due to Other Public Agencies									
Sales and Use Tax	\$ 2,472							\$ 2,472	\$ 50
Interest	\$ 0						\$ 2,935	\$ 2,935	\$ 379
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 0							\$ 0	\$ 0
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 59,073</b>	<b>\$ 0</b>	<b>\$ 0</b>			<b>\$ 0</b>	<b>\$ 7,094,618</b>	<b>\$ 7,153,691</b>	<b>\$ 4,801,111</b>
Civil							\$ 2,386,294	\$ 2,386,294	\$ 3,229,500
Criminal							\$ 2,075,762	\$ 2,075,762	\$ 2,436,846
Unreconciled - Civil and Criminal							\$ 1,518,504	\$ 1,518,504	\$ 1,518,654
Trust Held Outside of the JCC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 109,022	\$ 109,022	\$ 103,533
Miscellaneous Trust									
<b>Total Trust Deposits</b>							<b>\$ 6,089,582</b>	<b>\$ 6,089,582</b>	<b>\$ 7,288,533</b>
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable									
Deferred Compensation Payable									
Deductions Payable	\$ 0							\$ 0	\$ 0
Payroll Clearing	\$ 0							\$ 0	\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 0</b>
Revenue Collected in Advance	\$ 10,775					\$ 0		\$ 10,775	\$ 17,510
Liabilities For Deposits	\$ 83,052						\$ 22,760	\$ 105,812	\$ 92,570
Jury Fees - Non-Interest							\$ 187,262	\$ 187,262	\$ 256,941
Fees - Partial Payment & Overpayment							\$ 4,079	\$ 4,079	\$ 3,995
Uncleared Collections	\$ (5,345)						\$ 0	\$ (5,345)	\$ 0
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 88,482</b>					<b>\$ 0</b>	<b>\$ 214,101</b>	<b>\$ 302,583</b>	<b>\$ 371,016</b>
<b>Total Liabilities</b>	<b>\$ 147,555</b>	<b>\$ 0</b>	<b>\$ 0</b>			<b>\$ 0</b>	<b>\$ 13,398,301</b>	<b>\$ 13,545,856</b>	<b>\$ 12,480,660</b>
<b>Total Fund Balance</b>	<b>\$ 9,434,714</b>	<b>\$ 1,331,838</b>	<b>\$ (4,203)</b>			<b>\$ 0</b>		<b>\$ 10,762,349</b>	<b>\$ 12,718,539</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 9,582,270</b>	<b>\$ 1,331,838</b>	<b>\$ (4,203)</b>			<b>\$ 0</b>	<b>\$ 13,398,301</b>	<b>\$ 24,308,205</b>	<b>\$ 25,179,199</b>

Superior Court of California, County of San Mateo  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended September										
Fiscal Year 2016/17										
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	2015/16	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)
		Non-Grant	Grant							
<b>REVENUES</b>										
<b>State Financing Sources</b>										
Trial Court Trust Fund	\$ 16,990,952	\$ 66,426					\$ 17,057,378	\$ 34,616,093	\$ 16,642,620	\$ 34,268,667
Improvement and Modernization Fund							\$ 97,395	\$ 0	\$ 0	\$ 97,395
Judges' Compensation (45.25)	\$ 61,749						\$ 61,749	\$ 247,000	\$ 61,749	\$ 247,000
Court Interpreter (45.45)	\$ 453,674						\$ 453,674	\$ 1,774,500	\$ 400,141	\$ 1,774,500
Civil Coordination Reimbursement (45.55)										
MOU Reimbursements (45.10 and General)	\$ 102,279						\$ 102,279	\$ 1,071,564	\$ 68,251	\$ 951,743
Other Miscellaneous								\$ 2,842,450		\$ 2,411,112
<b>Total</b>	<b>\$ 17,608,654</b>	<b>\$ 66,426</b>					<b>\$ 17,675,080</b>	<b>\$ 40,649,008</b>	<b>\$ 17,170,961</b>	<b>\$ 39,750,921</b>
<b>Grants</b>										
AB 1058 Commissioner/Facilitator								\$ 705,126		\$ 691,257
Other Judicial Council Grants								\$ 262,459		\$ 321,021
Non-Judicial Council Grants										
<b>Total</b>								<b>\$ 967,585</b>		<b>\$ 1,012,278</b>
<b>Other Financing Sources</b>										
Interest Income	\$ 3,779	\$ 265					\$ 4,044	\$ 42,700	\$ 413	\$ 42,700
Investment Income										
Donations										
Local Fees	\$ 0	\$ 0					\$ 0	\$ 999,200	\$ 142,705	\$ 868,000
Non-Fee Revenues	\$ 3,329						\$ 3,329	\$ 9,500	\$ 2,649	\$ 9,500
Enhanced Collections		\$ 3,234					\$ 3,234	\$ 24,500	\$ 3,105	
Escheatment										
Prior Year Revenue										
County Program - Restricted	\$ 2,100	\$ 30,522					\$ 32,622	\$ 122,100	\$ 26,287	\$ 185,400
Reimbursement Other	\$ (46,121)						\$ (46,121)	\$ 313,097	\$ 9,994	\$ 629,876
Sale of Fixed Assets										
Other Miscellaneous	\$ 2,591						\$ 2,591	\$ 10,000	\$ 8,304	\$ 10,000
<b>Total</b>	<b>\$ (34,323)</b>	<b>\$ 34,022</b>					<b>\$ (301)</b>	<b>\$ 1,621,097</b>	<b>\$ 192,459</b>	<b>\$ 1,645,476</b>
<b>Total Revenues</b>	<b>\$ 17,574,331</b>	<b>\$ 100,448</b>					<b>\$ 17,674,779</b>	<b>\$ 43,137,688</b>	<b>\$ 17,363,420</b>	<b>\$ 42,408,069</b>
<b>EXPENDITURES</b>										
<b>Personal Services</b>										
Salaries - Permanent	\$ 4,868,237						\$ 4,868,237	\$ 21,784,985	\$ 3,941,096	\$ 20,280,132
Temp Help	\$ 42,810						\$ 42,810	\$ 92,293	\$ 10,367	\$ 84,496
Overtime	\$ 53,583						\$ 53,583		\$ 26,369	
Staff Benefits	\$ 2,810,068						\$ 2,810,068	\$ 13,824,617	\$ 2,678,752	\$ 13,499,791
<b>Total</b>	<b>\$ 7,794,699</b>						<b>\$ 7,794,699</b>	<b>\$ 35,701,875</b>	<b>\$ 6,656,584</b>	<b>\$ 33,874,419</b>
<b>Operating Expenses and Equipment</b>										
General Expense	\$ 131,043	\$ 5,414	\$ 2,242				\$ 138,699	\$ 785,206	\$ 148,200	\$ 860,350
Printing	\$ 14,679						\$ 14,679	\$ 144,560	\$ 10,663	\$ 96,920
Telecommunications	\$ 32,399						\$ 32,399	\$ 273,337	\$ 27,810	\$ 226,727
Postage	\$ 31,525						\$ 31,525	\$ 238,400	\$ 52,490	\$ 261,492
Insurance								\$ 8,100		\$ 8,750
In-State Travel	\$ 6,055		\$ 1,962				\$ 8,017	\$ 52,490	\$ 4,372	\$ 58,559
Out-of-State Travel										
Training	\$ 948						\$ 948	\$ 29,360	\$ 2,143	\$ 25,760
Security Services								\$ 495,627	\$ 443,042	\$ 448,542
Facility Operations	\$ 5,670						\$ 5,670	\$ 41,650	\$ 76,732	\$ 221,299
Utilities								\$ 1,292		\$ 2,950
Contracted Services	\$ 181,866	\$ 87,642					\$ 269,507	\$ 2,424,213	\$ 329,940	\$ 3,384,915
Consulting and Professional Services	\$ 25,090	\$ 2,369					\$ 27,459	\$ 1,007,928	\$ 83,259	\$ 1,720,037
Information Technology	\$ 621,314	\$ 5,000					\$ 626,314	\$ 1,537,282	\$ 570,056	\$ 3,309,629
Major Equipment									\$ 210,963	\$ 245,000
Other Items of Expense	\$ 1,253						\$ 1,253	\$ 7,600	\$ 0	\$ 5,900
<b>Total</b>	<b>\$ 1,051,843</b>	<b>\$ 100,424</b>	<b>\$ 4,203</b>				<b>\$ 1,158,471</b>	<b>\$ 7,048,153</b>	<b>\$ 1,960,962</b>	<b>\$ 10,876,926</b>
<b>Special Items of Expense</b>										
Grand Jury	\$ 808						\$ 808	\$ 2,000	\$ 888	\$ 2,000
Jury Costs	\$ 51,167						\$ 51,167	\$ 319,700	\$ 67,635	\$ 280,000
Judgements, Settlements and Claims										
Debt Service										
Other	\$ 0		\$ 0				\$ 0		\$ 0	
<b>Total</b>	<b>\$ 51,975</b>		<b>\$ 0</b>				<b>\$ 51,975</b>	<b>\$ 321,700</b>	<b>\$ 68,503</b>	<b>\$ 292,000</b>
<b>Total Expenditures</b>	<b>\$ 8,898,516</b>	<b>\$ 100,424</b>	<b>\$ 4,203</b>				<b>\$ 9,003,144</b>	<b>\$ 43,069,728</b>	<b>\$ 8,686,050</b>	<b>\$ 46,043,245</b>
<b>Excess (Deficit) of Revenues Over Expenditures</b>	<b>\$ 8,675,815</b>	<b>\$ 23</b>	<b>\$ (4,203)</b>				<b>\$ 8,671,635</b>	<b>\$ 67,960</b>	<b>\$ 6,677,370</b>	<b>\$ (2,635,176)</b>
<b>Operating Transfers In (Out)</b>										
<b>Fund Balance (Deficit)</b>										
Beginning Balance (Deficit)	\$ 758,899	\$ 1,331,814	\$ 0			\$ 0	\$ 2,090,714	\$ 2,090,714	\$ 4,041,169	\$ 4,041,169
Ending Balance (Deficit)	\$ 8,434,714	\$ 1,331,838	\$ (4,203)			\$ 0	\$ 10,762,349	\$ 2,158,674	\$ 12,718,539	\$ 1,405,993

Superior Court of California, County of San Mateo  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended September										
Fiscal Year 2016/17								2015/16		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 3,075,699	\$ 117,621					\$ 3,193,320	\$ 15,275,125	\$ 2,708,400	\$ 13,617,254
Traffic & Other Infractions	\$ 306,224	\$ 97,727					\$ 403,951	\$ 1,816,627	\$ 300,862	\$ 1,695,794
Other Criminal Cases	\$ 658,390	\$ 17,015					\$ 675,405	\$ 3,056,039	\$ 642,482	\$ 3,300,686
Civil	\$ 778,251	\$ 14,186					\$ 792,437	\$ 4,018,717	\$ 795,115	\$ 4,974,740
Family & Children Services	\$ 531,022	\$ 27,382					\$ 558,404	\$ 2,918,890	\$ 497,749	\$ 2,888,945
Probate, Guardianship & Mental Health Services	\$ 358,808	\$ 3,255					\$ 362,063	\$ 1,515,000	\$ 271,435	\$ 1,443,944
Juvenile Dependency Services		\$ 930					\$ 930	\$ 580,482	\$ 7,444	\$ 618,392
Juvenile Delinquency Services	\$ 82,238	\$ 4,110					\$ 86,348	\$ 366,551	\$ 73,852	\$ 365,667
Other Court Operations	\$ 122,243						\$ 122,243	\$ 187,897	\$ 118,616	\$ 390,738
Court Interpreters	\$ 280,019	\$ 76,990					\$ 357,008	\$ 2,011,587	\$ 407,251	\$ 2,044,975
Jury Services	\$ 133,090	\$ 12,300	\$ 51,167				\$ 196,558	\$ 1,098,327	\$ 194,351	\$ 918,517
Security		\$ 118					\$ 118		\$ 443,042	
Trial Court Operations Program	\$ 6,325,984	\$ 371,632	\$ 51,167				\$ 6,748,784	\$ 32,845,242	\$ 6,460,600	\$ 32,259,652
Enhanced Collections		\$ 5,168					\$ 5,168		\$ 3,939	
Other Non-Court Operations			\$ 808				\$ 808		\$ 868	
Non-Court Operations Program		\$ 5,168	\$ 808				\$ 5,975		\$ 4,807	
Executive Office	\$ 460,935	\$ 30,550	\$ 0				\$ 491,485	\$ 2,343,475	\$ 419,240	\$ 2,346,046
Fiscal Services	\$ 328,709	\$ 20,304					\$ 349,013	\$ 1,649,561	\$ 303,186	\$ 1,529,264
Human Resources	\$ 86,466	\$ 7,941					\$ 94,407	\$ 609,310	\$ 78,657	\$ 615,906
Business & Facilities Services	\$ 124,345	\$ 35,088					\$ 159,433	\$ 1,381,343	\$ 186,714	\$ 1,485,258
Information Technology	\$ 468,259	\$ 685,788					\$ 1,154,047	\$ 4,240,797	\$ 1,232,847	\$ 6,807,119
Court Administration Program	\$ 1,468,714	\$ 779,671	\$ 0				\$ 2,248,385	\$ 10,224,486	\$ 2,220,643	\$ 12,783,593
Expenditures Not Distributed or Posted to a Program		\$ 0					\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 7,794,699</b>	<b>\$ 1,156,471</b>	<b>\$ 51,975</b>				<b>\$ 9,003,144</b>	<b>\$ 43,069,728</b>	<b>\$ 8,686,050</b>	<b>\$ 45,043,245</b>