

# **Meeting Binder for Court Facilities Working Group**

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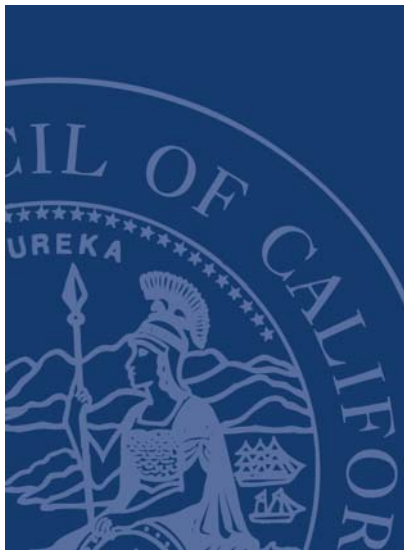
SEPTEMBER 5 - 7, 2012



**ADMINISTRATIVE OFFICE  
OF THE COURTS**

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OFFICE OF COURT CONSTRUCTION  
AND MANAGEMENT



## Court Facilities Working Group

September 5 - 7, 2012

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ADMINISTRATIVE OFFICE  
OF THE COURTS

OFFICE OF COURT CONSTRUCTION  
AND MANAGEMENT



# COURT FACILITIES WORKING GROUP

MEETING #6



ADMINISTRATIVE OFFICE  
OF THE COURTS

OFFICE OF COURT CONSTRUCTION  
AND MANAGEMENT

## September 5–7, 2012

Judicial Council Board Room  
455 Golden Gate Avenue  
San Francisco

# Final Agenda

## September 5, 2012

- 10:00 a.m.–10:15 a.m.     **1. Introductory Remarks, Administrative Matters and Agenda Review**  
*Hon. Brad Hill, Chair*
- 10:15 a.m.–12:00 p.m.     **2. Trial Court Presentations on SB 1407 Projects**  
*Sacramento - New Sacramento Criminal Courthouse*  
*Inyo - New Inyo County Courthouse*  
*Kern - New Delano Courthouse*  
*Kern - New Mojave Courthouse*  
*Placer - New Tahoe Area Courthouse*
- 12:00 p.m.–12:30 p.m.     **Lunch Break**
- 12:30 p.m.–3:00 p.m.     **2. Trial Court Presentations on SB 1407 Projects, *continued***  
*Nevada - New Nevada City Courthouse*  
*Mendocino - New Ukiah Courthouse*  
*Plumas - New Quincy Courthouse*  
*El Dorado - New Placerville Courthouse*  
*Santa Barbara - New Santa Barbara Criminal Courthouse*  
*Tuolumne - New Sonora Courthouse*
- 3:00 p.m.–3:15 p.m.     **Break**

***NOTE: Time is estimated. Actual start and end times may vary.***

## September 5, 2012, *continued*

3:15 p.m.–6:30 p.m.

### 2. Trial Court Presentations on SB 1407 Projects, *continued*

*Stanislaus - New Modesto Courthouse*

*Los Angeles - New Los Angeles Mental Health Courthouse*

*Los Angeles - New Eastlake Juvenile Courthouse*

*Los Angeles - New Glendale Courthouse*

*Los Angeles - New Santa Clarita Courthouse*

*Los Angeles - New Southeast Los Angeles Courthouse*

*Los Angeles - Renovate Alfred J. McCourtney Juvenile Justice Center*

*Shasta - New Redding Courthouse*

*Siskiyou - New Yreka Courthouse*

*Sonoma - New Santa Rosa Criminal Courthouse*

*Imperial - New El Centro Family Courthouse*

*Tehama – New Red Bluff Courthouse*

6:30 p.m.–6:45 p.m.

### 3. Closing Remarks/Adjourn

*Hon. Brad Hill, Chair*

***NOTE: Time is estimated. Actual start and end times may vary.***

**September 6, 2012**

# **Final Agenda**

- 8:30 a.m.–8:45 a.m.      **1. Introductory Remarks and Administrative Matters**  
*Hon. Brad Hill, Chair*
- 8:45 a.m.–10:50 a.m.      **2. Trial Court Presentations on SB 1407 Projects, *continued***  
*Fresno - Renovate Fresno County Courthouse*  
*Glenn - Renovation and Addition to Willows Historic Courthouse*  
*Merced - New Los Banos Courthouse*  
*Lake - New Lakeport Courthouse*  
*Monterey - New South Monterey County Courthouse*
- 10:50 a.m.–11:00 a.m.      **Break**
- 11:00 a.m.–12:00 p.m.      **2. Trial Court Presentations on SB 1407 Projects, *continued***  
*Riverside - New Indio Juvenile and Family Courthouse*  
*Riverside - New Hemet Courthouse*  
*San Diego - New San Diego Central Courthouse*
- 12:00 p.m.–12:30 p.m.      **Lunch Break**
- 12:30 p.m.–1:00 p.m.      **3. Adopt Criteria for Applying to SB 1407 Projects**  
*Court Facilities Working Group*
- 1:00 p.m.–5:45 p.m.      **4. SB 1407 Projects Discussion**  
*Court Facilities Working Group*
- 5:45 p.m.–6:00 p.m.      **5. Closing Remarks/Adjourn**  
*Hon. Brad Hill, Chair*

***NOTE: Time is estimated. Actual start and end times may vary.***

**September 7, 2012**

# **Final Agenda**

- 8:15 a.m.–8:30 a.m.      **1. Introductory Remarks and Administrative Matters**  
*Hon. Brad Hill, Chair*
- 8:30 a.m.–9:00 a.m.      **2. Pegasus Audit Report Public Comments**  
If you are requesting the opportunity to comment on this agenda item at the meeting, please e-mail your request to [occmcomments@jud.ca.gov](mailto:occmcomments@jud.ca.gov) or mail or deliver your request to Administrative Office of the Courts, Attn: Comments to Court Facilities Working Group, 455 Golden Gate Ave., 8th Floor, San Francisco, CA 94102.  
Please state:
  - The speaker's name, occupation, and (if applicable) name of the entity that the speaker represents; and
  - The speaker's email address, telephone number, and mailing address;Requests must be received by 4 p.m., **Wednesday, Sept 5, 2012**. Depending on the volume of requests, speakers will be limited to 3 to 5 minutes, and scheduled on a first-come, first-served basis. Depending on the number of requests, the chair may also waive advance notice requirements, so that those wishing to comment may also sign up at the beginning of the meeting, but time for public comment will be limited and allocated based on the number of requests to speak.
- 9:00 a.m.–10:00 a.m.      **3. Pegasus Audit Report**  
*Court Facilities Working Group Discussion/Recommendation*
- 10:00 a.m.–12:00 p.m.      **4. SB 1407 Projects Discussion, *continued***  
*Court Facilities Working Group*
- 12:00 p.m.–12:30 p.m.      **Lunch Break**
- 12:30 p.m.–3:00 p.m.      **5. SB 1407 Projects Discussion, *continued***  
*Court Facilities Working Group*
- 3:00 p.m.–3:45 p.m.      **6. Review Motions and Next Steps**  
*Hon. Brad Hill, Chair*
- 3:45 p.m.                      **7. Adjourn**  
*Hon. Brad Hill, Chair*

***NOTE: Time is estimated. Actual start and end times may vary.***

DRAFT Criteria 7.2.1 and 7.3 through 7.6

**Court Usage Data**

Courts with SB 1407 Projects to be Reviewed  
by Court Facilities Working Group

County	Judicial Resources		Final Criteria 7.2.1 2012 County Population		Final Criteria 7.3 FY 2009-10 Filings		Final Criteria 7.4 FY 2009-10 Dispositions		Final Criteria 7.5 FY 2009-10 Jury Trials		Final Criteria 7.6 Preliminary Assessed Judge Need (AJN) Based on Weighted Filings Data		
	Judicial positions as of 06/30/10 <sup>1</sup>	Judicial position equivalents 2009-10 <sup>1</sup>	Total <sup>2</sup>	Per judicial position equivalent	Total <sup>1</sup>	Per judicial position equivalent	Total <sup>1</sup>	Per judicial position equivalent	Total <sup>3</sup>	Per judicial position equivalent	AJN Update Based on New Caseweights and 3-Year Average Filings from FY07/08 to FY09/10 <sup>4</sup>		
											Shortfall or Overage of JP vs AJN	Percent Difference Between JP and AJN	
1 El Dorado	9.0	10.4	180,712	17,376	34,362	3,304	36,501	3,510	45	4.3	10.5	-1.5	-17%
2 Fresno	53.0	53.3	945,711	17,743	228,475	4,287	188,677	3,540	194	3.6	67.4	-14.4	-27%
3 Glenn	2.3	2.4	28,122	11,718	16,027	6,678	14,583	6,076	6	2.5	2.1	0.2	9%
4 Imperial	11.4	12.9	177,441	13,755	84,035	6,514	77,095	5,976	41	3.2	14.5	-3.1	-27%
5 Inyo	2.3	2.6	18,461	7,100	14,790	5,688	14,074	5,413	6	2.3	1.7	0.6	26%
6 Kern	46.0	42.2	850,006	20,142	259,187	6,142	242,755	5,752	287	6.8	58.1	-12.1	-26%
7 Lake	4.8	<b>5.6</b>	63,266	<b>11,298</b>	12,822	<b>2,290</b>	12,912	<b>2,306</b>	36	<b>6.4</b>	5.3	-0.5	-10%
8 Los Angeles	586.3	612.0	9,884,632	16,151	3,048,138	4,981	2,775,570	4,535	3,572	5.8	633.8	-47.5	-8%
9 Mendocino	8.4	8.8	87,572	9,951	29,151	3,313	27,588	3,135	71	8.1	8.2	0.2	2%
10 Merced	14.0	13.5	258,736	19,166	84,838	6,284	70,091	5,192	74	5.5	19.6	-5.6	-40%
11 Monterey	22.0	20.4	420,668	20,621	104,165	5,106	95,710	4,692	60	2.9	24.3	-2.3	-10%
12 Nevada	7.6	7.9	97,182	12,302	28,893	3,657	20,356	2,577	16	2.0	5.8	1.8	24%
13 Placer <sup>5,7</sup>	16.5	17.3	355,328	20,539	88,527	5,117	(i) 19,260	(i) 1,113	(i) 122	(i) 7	22.1	-5.6	-34%
14 Plumas <sup>6,7</sup>	2.3	2.7	19,718	7,303	<b>6,023</b>	<b>2,231</b>	<b>5,521</b>	<b>2,045</b>	<b>7</b>	<b>2.6</b>	1.6	0.7	30%
15 Riverside	83.0	97.8	2,227,577	22,777	535,054	5,471	569,807	5,826	1,087	11.1	144.1	-61.1	-74%
16 Sacramento <sup>6,7</sup>	78.5	80.8	1,435,153	17,762	409,189	5,064	344,123	4,259	<b>741</b>	<b>9.2</b>	98.2	-19.7	-25%
17 San Diego	154.0	162.0	3,143,429	19,404	732,280	4,520	665,632	4,109	728	4.5	160.4	-6.4	-4%
18 Santa Barbara	24.0	24.2	427,267	17,656	120,593	4,983	127,906	5,285	79	3.3	25.1	-1.1	-5%
19 Shasta	13.0	13.7	177,823	12,980	52,455	3,829	49,248	3,595	141	10.3	17.0	-4.0	-31%
20 Siskiyou	5.0	5.6	44,639	7,971	25,256	4,510	24,630	4,398	14	2.5	3.9	1.1	22%
21 Sonoma	24.0	26.3	487,011	18,518	121,121	4,605	117,362	4,462	<b>120</b>	<b>4.6</b>	29.0	-5.0	-21%
22 Stanislaus	26.0	23.6	519,940	22,031	117,276	4,969	95,309	4,039	286	12.1	37.8	-11.8	-45%
23 Tehama	4.3	4.6	63,177	13,734	22,544	4,901	17,497	3,804	6	1.3	5.6	-1.3	-30%
24 Tuolumne	4.8	4.8	53,834	11,215	11,948	2,489	12,865	2,680	24	5.0	4.5	0.3	6%

**Column Heading Notes:**

- A Courts with SB 1407 projects to be reviewed by Court Facilities Working Group.
- B Judicial positions include court commissioners and referees in addition to the number of judges authorized for the court.
- C Judicial Position Equivalents are defined as authorized judicial positions adjusted for vacancies, assistance rendered by the court to other courts, and assistance received by the court from assigned judges, temporary judges, commissioners, and referees.
- G,I,K Caseload data is divided by Judicial Position Equivalents (Column C), rather than Judicial Positions as of 06/30/10 (Column B). The annual Court Statistics Report typically presents in Table 1 caseload data divided by Judicial Positions.
- L Assessed Judge Need (AJN): Represents the estimated number of judicial officers needed to handle the workload in the trial courts based on the Judicial Needs Assessment Project.
- M Shortfall or Overage of JP vs. AJN is equal to Column B minus Column L.
- N Percent Difference Between JP and AJN is equal to Column B minus Column L divided by Column B.

**Notes on Statistics in Table:**

- (i) Reports were either incomplete or not submitted for a full year.

**Footnotes:**

- <sup>1</sup> Source: Judicial Council of California, 2011 Court Statistics Report, Statewide Caseload Trends, 2000-2001 through 2009-2010, Table 1.
- <sup>2</sup> Source: State of California, Department of Finance, E-1 Population Estimates for Cities, Counties and the State with Annual Percent Change - January 1, 2011 and 2012, Sacramento, CA, May 2012.
- <sup>3</sup> Source: Judicial Council of California, 2011 Court Statistics Report, Statewide Caseload Trends, 2000-2001 through 2009-2010, Table 3.
- <sup>4</sup> Source: AOC Office of Court Research. The AJN presented in this table is based on the most recent filings data available. The AOC Office of Court Research is currently preparing an update to this information using FY 08-09 to FY 10-11 data. This update is planned to be presented to the Judicial Council in November 2012.
- <sup>5</sup> The Placer Superior Court does not report traffic dispositions.
- <sup>6</sup> The **Plumas and Sacramento** Courts did not report detailed disposition data. **Note, Plumas has provided updated disposition totals.**
- <sup>7</sup> The Placer, **Plumas and Sacramento** Courts did not report a full year of jury trial data. **Note, Plumas and Sacramento have provided updated numbers.**



**PLEASE NOTE: All changes made to finalize the data based on comments from trial courts are shown in bold, red font.**

DRAFT Criteria #9  
**Disposition of Existing Court Space or Facility**  
 31 SB 1407 Projects to be Reviewed by Court Facilities Working Group

\*\*\*\*\*Prepared by AOC-OCCM  
 W f c g f "August 29, 2012

A County	B Project Name	C Summary	D Building Specific Detail
1. <b>El Dorado</b>	<b>New Placerville Courthouse</b>	Disposition determined for both buildings to be vacated by court	<b>Main Street</b> – state’s equity to be exchanged for county-owned parcel  <b>Building C</b> – state’s equity to be exchanged for county-owned parcel
2. <b>Fresno</b>	<b>Renovation to Fresno County Courthouse</b>	Disposition determined for 1 building affected	<b>Fresno County Courthouse</b> – Court will remain in existing building. Existing building is owned by county and transfer of title to state is underway
3. <b>Glenn</b>	<b>Renovation and Addition to Willows Historic Courthouse</b>	Disposition determined for both buildings to be vacated by court	<b>Orland Superior Court</b> – court vacates county owned facility; state’s equity was applied to secure title to Willows Historic Courthouse  <b>Self Help Center (Resource Center)</b> – court will vacate and terminate lease
4. <b>Imperial</b>	<b>New El Centro Family Courthouse</b>	Disposition determined for 1 of 2 buildings	<b>El Centro Courthouse</b> – Court will remain in existing building  <b>Juvenile Court</b> – disposition to be determined
5. <b>Inyo</b>	<b>New Inyo County Courthouse</b>	Disposition of both buildings is pending reassessment	<b>Department 4 Bishop Courthouse</b> – use and disposition of leased facility is pending reassessment  <b>Department 2</b> – use and disposition of leased facility is pending reassessment
6. <b>Kern</b>	<b>New Delano Courthouse</b>	Disposition of 1 affected building is pending completion of reassessment	<b>Delano/McFarland Branch Courthouse</b> – use and disposition is pending reassessment
7. <b>Kern</b>	<b>New Mojave Courthouse</b>	Disposition of both buildings is pending completion of reassessment	<b>Mojave Main Court Facility</b> – use and disposition is pending reassessment  <b>Mojave County Administration Building</b> – use and disposition is pending reassessment

DRAFT Criteria #9  
**Disposition of Existing Court Space or Facility**  
 31 SB 1407 Projects to be Reviewed by Court Facilities Working Group

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 W f c g f "August 29, 2012

A County	B Project Name	C Summary	D Building Specific Detail
8. Lake	<b>New Lakeport Courthouse</b>	Disposition determined for 2 of 3 buildings to be vacated by court	<b>Lakeport Courthouse</b> – disposition to be determined <b>Self Help Center</b> – court will vacate and terminate lease <b>Records Storage Annex</b> – court will vacate and terminate lease
9. Los Angeles	<b>New Glendale Courthouse</b>	Disposition of 1 affected building is pending completion of reassessment	<b>Glendale Courthouse</b> – state owns building. How the existing building will be included as part of the project scope is pending reassessment
10. Los Angeles	<b>New Mental Health Courthouse</b>	Disposition to be determined for 1 building to be vacated by court	<b>Camarillo (Mental Health) Courthouse</b> – state owns building; disposition to be determined
11. Los Angeles	<b>New Eastlake Juvenile Courthouse</b>	Disposition of 1 affected building is pending completion of reassessment	<b>Eastlake Juvenile Courthouse</b> – use and disposition is pending reassessment
12. Los Angeles	<b>Renovate Lancaster Juvenile Courthouse</b>	Disposition determined for 1 building affected	<b>Lancaster Juvenile Courthouse</b> – court will remain
13. Los Angeles	<b>New Santa Clarita Courthouse</b>	Disposition of both affected buildings is pending completion of reassessment	<b>Santa Clarita Courthouse and Annex</b> – use and disposition of both buildings is pending reassessment
14. Los Angeles	<b>New Southeast Los Angeles Courthouse</b>	Disposition of 1 affected building is pending completion of reassessment	<b>Huntington Park Courthouse</b> – use of leased facility is pending reassessment
15. Mendocino	<b>New Ukiah Courthouse</b>	Disposition of both affected buildings is to be determined	<b>Mendocino Superior Courthouse</b> – disposition to be determined <b>Willits Branch</b> – closed; disposition negotiations underway with county
16. Merced	<b>New Los Banos Courthouse</b>	Disposition to be determined for 1 building to be vacated by court	<b>Los Banos Courthouse</b> – disposition to be determined

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**Disposition of Existing Court Space or Facility**  
 31 SB 1407 Projects to be Reviewed by Court Facilities Working Group

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 W f c g f "August 29, 2012

A County	B Project Name	C Summary	D Building Specific Detail
17. Monterey	<b>New South Monterey County Courthouse</b>	Disposition to be determined for 1 building to be vacated by court	<b>King City Courthouse</b> – use and disposition is pending reassessment
18. Nevada	<b>New Nevada City Courthouse</b>	Disposition of both affected buildings is pending completion of reassessment	<b>Nevada City Courthouse</b> – use and disposition is pending reassessment  <b>Nevada City Courthouse Annex</b> – use and disposition is pending reassessment
19. Placer	<b>New Tahoe Area Courthouse</b>	<b>Disposition plan for 1 building has been determined</b>	<b>Tahoe City Courthouse</b> – <b>plan for disposition has been identified but is subject to further negotiation and formal agreement</b>
20. Plumas	<b>New Quincy Courthouse</b>	Disposition determined for 1 building affected	<b>Quincy Courthouse</b> – court to vacate county owned historic facility
21. Riverside	<b>New Hemet Courthouse</b>	Disposition of 1 affected building is pending completion of reassessment	<b>Hemet Courthouse</b> – use and disposition is pending reassessment
22. Riverside	<b>New Indio Juvenile and Family Courthouse</b>	Disposition determined for 1 building to be vacated by court	<b>Indio Juvenile Courthouse</b> – state owns building and plans to demolish to construct new courthouse
23. Sacramento	<b>New Sacramento Criminal Courthouse</b>	Disposition determined for all 6 affected buildings	<b>Gordon D. Schaber Sacramento Superior Court</b> – Court will remain in existing building  <b>Erickson Building</b> – court will vacate and terminate lease  <b>Credit Union Building</b> – court will vacate and terminate lease  <b>Law and Motion Civil Court</b> – court will vacate and terminate lease  <b>OCIT</b> – court will vacate county space  <b>901 H Street</b> – court will vacate and terminate lease

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A County	B Project Name	C Summary	D Building Specific Detail
24. San Diego	<b>New Central San Diego Courthouse</b>	Disposition determined for 3 of 4 affected buildings	<p><b>Central Courthouse</b> – includes three parcels: disposition of two parcels to be determined; third parcel reverts to county when court moves to new courthouse</p> <p><b>Family Courthouse</b> – will revert to county when court moves to new courthouse</p> <p><b>Madge Bradley Building</b> – will revert to county when court moves to new courthouse</p> <p><b>Kearny Mesa Courthouse Modular</b> – to be vacated</p>
25. Santa Barbara	<b>New Santa Barbara Criminal Courthouse</b>	Disposition determined for 1 of 3 affected buildings	<p><b>Figueroa Courthouse</b> – state owns building; use and disposition is pending reassessment</p> <p><b>Anacapa Courthouse</b> – continued use by court, county owns historic building</p> <p><b>Jury Services</b> – state owns building; use and disposition is pending reassessment</p>
26. Shasta	<b>New Redding Courthouse</b>	Disposition determined for 2 of 3 buildings to be vacated by the court	<p><b>Main Courthouse &amp; Annex</b> – court will vacate; state’s equity applied to purchase site</p> <p><b>Justice Center</b> – court will vacate; state’s equity applied to purchase site</p> <p><b>Juvenile Court</b> – disposition to be determined</p>
27. Siskiyou	<b>New Yreka Courthouse</b>	Disposition determined for both buildings to be vacated by court	<p><b>Siskiyou Superior Courthouse</b> – court will vacate; state’s equity applied to purchase site</p> <p><b>Eddy Building Annex</b> – court will vacate and terminate lease</p>

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**Disposition of Existing Court Space or Facility**  
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A County	B Project Name	C Summary	D Building Specific Detail
28. Sonoma	<b>New Santa Rosa Criminal Courthouse</b>	Disposition determined for 1 of 4 affected buildings	<b>Hall of Justice</b> – disposition to be determined <b>Hall of Justice Modular Building – disposition to be determined</b> <b>Main Adult Detention Facility</b> – disposition to be determined <b>Petaluma City Hall Annex</b> – court to vacate facility that county leases
29. Stanislaus	<b>New Modesto Courthouse</b>	Disposition determined for 3 of 7 buildings to be vacated by court	<b>Modesto Main Courthouse</b> – state owns building; disposition to be determined <b>Hall of Records Building</b> – state owns building; disposition to be determined <b>City Towers</b> – court will vacate and terminate lease <b>Modesto Traffic Courthouse</b> – court will vacate and terminate lease <b>Title IV-D Family Law (Department 16)</b> – court will vacate and terminate lease <b>Turlock Courthouse</b> – disposition to be determined <b>Ceres Courthouse</b> – state owns building; disposition to be determined
30. Tehama	<b>New Red Bluff Courthouse</b>	Disposition determined for 3 of 4 buildings to be vacated by court	<b>Tehama Courthouse</b> – court vacates county owned historic facility <b>Tehama County Courts Building</b> – County has executed Option to Purchase <b>Family Law Commissioner</b> – court will vacate and terminate lease <b>Corning Courthouse</b> – state owns building; disposition to be determined
31. Tuolumne	<b>New Sonora Courthouse</b>	Disposition determined for both buildings to be vacated by court	<b>Historic Courthouse</b> – court vacates county owned historic facility <b>Washington Street Branch</b> – court vacates county owned facility

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Draft Criteria #12.4  
**Estimated Ongoing Facility Operating Costs**  
 31 SB 1407 Projects to be Reviewed by Court Facilities Working Group

Prepared by AOC-OCCM  
 Updated August 29, 2012

A	B	C	D	E	F	G	H
Court and Building I.D. of Buildings to be Vacated	Project and Facilities to be Vacated	AOC/Court Funded Lease <sup>1</sup>	Project Square Feet <sup>2</sup>	Estimated Total Annual Facility Operating Costs for Project	County Facility Payment (CFP) Offset (per FY12/13 CFP Schedule)	New Judgeship (NJ) Funding Offset	Estimated Ongoing Facility Operating Costs (E - F - G)
1	<b>EI Dorado</b>						
	<b>New Placerville Courthouse</b>		87,642	502,365	160,044		342,321
	09-A1				102,905		
	09-B1				57,139		
2	<b>Fresno</b>						
	<b>Renovation to Fresno County Courthouse</b>		167,032	957,428	819,071		138,357
	10-A1			-	819,071		
3	<b>Glenn</b>						
	<b>Renovation and Addition to Willows Historic Courthouse</b>		44,826	254,702	81,523		173,179
	11-A1 and B1			-	81,523		
	11-C1	Court			-		
4	<b>Imperial</b>						
	<b>New EI Centro Family Courthouse</b>		53,983	325,086	29,039		296,047
	13-B2				29,039		
5	<b>Inyo</b>						
	<b>New Inyo County Courthouse</b>		28,744	184,191	45,880		138,311
	14-B1	AOC			20,065		
	14-C1			-	25,815		
6	<b>Kern</b>						
	<b>New Delano Courthouse</b>		39,780	249,102	50,439		198,663
	15-D1				50,439		
7	<b>Kern</b>						
	<b>New Mojave Courthouse</b>		40,655	267,306	40,642		226,664
	15-I1 and I3				25,837		
	15-I2				14,805		
8	<b>Lake</b>						
	<b>New Lakeport Courthouse</b>		50,158	460,449	111,394		349,055
	17-A3				111,394		
	17-A7	Court			-		
	17-D1	Court			-		
9	<b>Los Angeles</b>						
	<b>New Glendale Courthouse</b>		99,592	629,919	407,201		222,718
	19-H1				407,201		
10	<b>Los Angeles</b>						
	<b>New Los Angeles Mental Health Courthouse</b>		43,445	285,651	135,233		150,418
	19-P1				135,233		

Draft Criteria #12.4  
**Estimated Ongoing Facility Operating Costs**  
 31 SB 1407 Projects to be Reviewed by Court Facilities Working Group

A	B	C	D	E	F	G	H
Court and Building I.D. of Buildings to be Vacated	Project and Facilities to be Vacated	AOC/Court Funded Lease <sup>1</sup>	Project Square Feet <sup>2</sup>	Estimated Total Annual Facility Operating Costs for Project	County Facility Payment (CFP) Offset (per FY12/13 CFP Schedule)	New Judgeship (NJ) Funding Offset	Estimated Ongoing Facility Operating Costs (E - F - G)
11	<b>Los Angeles</b>	<b>New Eastlake Juvenile Courthouse</b>		65,513	414,369	215,111	199,258
	19R1	Eastlake Juvenile Courthouse			215,111		
12	<b>Los Angeles</b>	<b>Renovate Lancaster Juvenile Courthouse <sup>3</sup></b>			-		-
13	<b>Los Angeles</b>	<b>New Santa Clarita Courthouse</b>		54,750	354,891	231,304	123,587
	19-AD1 and AD2	Santa Clarita Courthouse and Annex			231,304		
14	<b>Los Angeles</b>	<b>New Southeast Los Angeles Courthouse</b>		99,518	749,171	373,835	375,336
	19-A1	Huntington Park Courthouse			373,835		
15	<b>Mendocino</b>	<b>New Ukiah Courthouse</b>		113,757	1,068,519	177,895	890,624
	23-A1	Mendocino Superior Courthouse			160,928		
	23-E1	Willits Branch			16,492		
	23-G1	Justice Center			475		
16	<b>Merced</b>	<b>New Los Banos Courthouse</b>		29,511	163,373	29,581	133,792
	24-D1	Los Banos Courthouse			29,581		
17	<b>Monterey</b>	<b>New South Monterey County Courthouse</b>		47,223	430,343	48,079	382,264
	27-D1	King City Courthouse			48,079		
18	<b>Nevada</b>	<b>New Nevada City Courthouse</b>		83,782	492,388	199,395	292,993
	29-A1	Nevada City Courthouse			113,306		
	29-A2	Nevada City Courthouse Annex			86,089		
19	<b>Placer</b>	<b>New Tahoe Area Courthouse</b>		12,500	71,025	24,979	46,046
	31-F1	Tahoe City Courthouse			24,979		
20	<b>Plumas</b>	<b>New Quincy Courthouse</b>		29,089	165,284	29,425	135,859
	32-A1	Quincy Courthouse			29,425		
21	<b>Riverside</b>	<b>New Hemet Courthouse</b>		116,303	735,616	133,518	602,098
	33-F1	Hemet Courthouse			133,518		
22	<b>Riverside</b>	<b>New Indio Juvenile and Family Courthouse</b>		67,933	418,331	13,662	404,669
	33-C3	Indio Juvenile Courthouse			13,662		

Draft Criteria #12.4

Prepared by AOC-OCCM  
Updated August 29, 2012

**Estimated Ongoing Facility Operating Costs**

31 SB 1407 Projects to be Reviewed by Court Facilities Working Group

A	B	C	D	E	F	G	H
Court and Building I.D. of Buildings to be Vacated	Project and Facilities to be Vacated	AOC/Court Funded Lease <sup>1</sup>	Project Square Feet <sup>2</sup>	Estimated Total Annual Facility Operating Costs for Project	County Facility Payment (CFP) Offset (per FY12/13 CFP Schedule)	New Judgeship (NJ) Funding Offset	Estimated Ongoing Facility Operating Costs (E - F - G)
23	<b>Sacramento</b>	<b>New Sacramento Criminal Courthouse</b>		405,500	2,320,677	938,428	1,382,249
	34-A2	Erickson (Civil Court) Building (court funded lease)				-	-
	34-A4	Law and Motion Civil Court (800 9th Street)	AOC			603,336	
	34-A3	Credit Union Building (Court Reporters)	AOC/Court <sup>4</sup>			182,272	
	34-A6	901 H Street (Court Administration)	AOC/Court <sup>4</sup>			152,820	
	34-A7	OCIT (Info Technology) <sup>5</sup>				-	-
24	<b>San Diego</b>	<b>New Central San Diego Courthouse</b>		704,000	4,239,488	3,263,667	975,821
	37-A1	County Courthouse				2,870,518	
	37-B1	Madge Bradley Building				149,356	
	37-D1	Family Courthouse				243,793	
	37-C2	Kearny Mesa Courthouse Modular (Traffic Court KM3 Trailer)				-	
25	<b>Santa Barbara</b>	<b>New Santa Barbara Criminal Courthouse</b>		97,266	615,208	275,597	339,611
	42-B1 and B2	Figueroa Courthouse (and Court Trailer)				240,106	
	42-G1	Jury Services				35,491	
26	<b>Shasta</b>	<b>New Redding Courthouse</b>		173,351	993,648	445,663	547,985
	45-A1 and A7	Main Courthouse and Annex				423,943	
	45-A2	Justice Center				21,720	
27	<b>Siskiyou</b>	<b>New Yreka Courthouse</b>		69,213	387,316	231,155	156,161
	47-A1	Siskiyou County Courthouse				194,948	
	47-G1	Eddy Building Annex				36,207	
28	<b>Sonoma</b>	<b>New Santa Rosa Criminal Courthouse</b>		173,500	1,592,730	444,087	1,148,643
	49-A1	Hall of Justice				368,925	
	49-A2	Main Adult Detention Facility				54,941	
	49-A3	Self Help Center (Old Jail) - demolished				2,485	
	49-E1	Petaluma City Hall Annex (county lease) <sup>8</sup>				17,736	



**PLEASE NOTE: All changes made to finalize the data based on comments from trial courts are shown in bold, red font.**

Draft Criteria #12.4  
**Estimated Ongoing Facility Operating Costs**  
 31 SB 1407 Projects to be Reviewed by Court Facilities Working Group

Prepared by AOC-OCCM  
 Updated August 29, 2012

A	B	C	D	E	F	G	H
Court and Building I.D. of Buildings to be Vacated	Project and Facilities to be Vacated	AOC/Court Funded Lease <sup>1</sup>	Project Square Feet <sup>2</sup>	Estimated Total Annual Facility Operating Costs for Project	County Facility Payment (CFP) Offset (per FY12/13 CFP Schedule)	New Judgeship (NJ) Funding Offset	Estimated Ongoing Facility Operating Costs (E - F - G)
29 <b>Stanislaus</b>	<b>New Modesto Courthouse</b>		301,464	1,681,567	701,204	186,168	794,195
50-A1 and A2	Modesto Main Courthouse and Hall of Records Building				447,645		
50-A2	Hall of Records Building (court lease)	Court <sup>6</sup>			-		
50-C1	Ceres Courthouse				17,112		
50-D1	Turlock Courthouse				25,992		
50-F1	Modesto Traffic Courthouse	AOC			210,455		
50-E1	City Towers	AOC/Court <sup>7</sup>				186,168	
50-G1	Title IV-D Family Law (Department 16)	Court			-		
30 <b>Tehama</b>	<b>New Red Bluff Courthouse</b>		62,033	347,136	92,490		254,646
52-A1	Tehama Courthouse				34,750		
52-A3	Tehama County Courts Building				41,701		
52-A4	Family Law Commissioner	Court			-		
52-B1	Corning Courthouse				16,039		
31 <b>Tuolumne</b>	<b>New Sonora Courthouse</b>		66,724	382,462	148,013		234,449
55-A1	Historic Courthouse <sup>8</sup>			-	114,739		
55-B1	Washington Street Branch <sup>8</sup>			-	33,274		
<b>Grand Total of all Projects</b>			<b>3,428,787</b>	<b>21,739,738</b>	<b>9,897,554</b>	<b>186,168</b>	<b>11,656,016</b>

**Footnotes:**

- <sup>1</sup> Court funded lease amounts are not displayed on worksheet and will not be used to offset ongoing AOC facility operating costs of new facility. AOC funded lease costs, available to offset ongoing costs in new court facility, are included in CFP amounts.
- <sup>2</sup> Project Square Feet based on last approved project authorization.
- <sup>3</sup> Pending authorization as facility modification project in existing facility. CFP will continue to offset ongoing facility operating costs for existing facility.
- <sup>4</sup> Per MOU, AOC is funding the facility lease payment and the court is funding costs for janitorial services.
- <sup>5</sup> CFP applied to other Sacramento court facilities in accordance with transfer agreement.
- <sup>6</sup> In addition to the transferred space in this building the court is leasing 16,114 square feet of county space.
- <sup>7</sup> AOC is funding the facility lease payment on the 4th Floor - New Judgeship offset applies to the 4th Floor; Court is funding the facility lease payment on the 6th Floor.
- <sup>8</sup> CFP will be based on the estimated annual operating costs of the new facility adjusted to account for only the square footage occupied by the court in the existing facility.

DRAFT Criteria #16

Prepared by AOC-OCCM  
Updated August 29, 2012

**SB 1407 Fund Expenditures as of June 30, 2012**

31 SB 1407 Projects to be Reviewed by Court Facilities Working Group

	A	B	C	D	E
	County	Project Name	Acquisition Phase	Preliminary Plans Phase	Total Expenditures as of 6/30/12
1	El Dorado	New Placerville Courthouse	\$ 401,325	\$ -	\$ 401,325
2	Fresno	Renovate Fresno County Courthouse	\$ -	\$ 1,635,325	\$ 1,635,325
3	Glenn	Renovate and Addition to Willows Courthouse	\$ 1,079,796	\$ 1,180	\$ 1,080,976
4	Imperial	New El Centro Courthouse	\$ 1,888,780	\$ 70,482	\$ 1,959,262
5	Inyo	New Inyo County Courthouse	\$ 49,824	\$ -	\$ 49,824
6	Kern	New Delano Courthouse	\$ 448,162	\$ -	\$ 448,162
7	Kern	New Mojave Courthouse	\$ 172,514	\$ -	\$ 172,514
8	Lake	New Lakeport Courthouse	\$ 1,768,784	\$ 2,112,038	\$ 3,880,821
9	Los Angeles	New Eastlake Juvenile Courthouse	\$ 100,815	\$ -	\$ 100,815
10	Los Angeles	New Glendale Courthouse	\$ 495,083	\$ -	\$ 495,083
11	Los Angeles	New Los Angeles Mental Health Courthouse	\$ 135,712	\$ -	\$ 135,712
12	Los Angeles	New Santa Clarita Courthouse	\$ 362,550	\$ -	\$ 362,550
13	Los Angeles	New Southeast Los Angeles Courthouse	\$ 781,960	\$ -	\$ 781,960
14	Los Angeles	Renovate Lancaster Courthouse	\$ -	\$ -	\$ -
15	Mendocino	New Ukiah Courthouse	\$ 952,626	\$ -	\$ 952,626
16	Merced	New Los Banos Courthouse	\$ 1,214,791	\$ 369,548	\$ 1,584,339
17	Monterey	New South Monterey County Courthouse	\$ 293,148	\$ 1,334,881	\$ 1,628,029
18	Nevada	New Nevada City Courthouse	\$ 548,178	\$ -	\$ 548,178
19	Placer	New Tahoe Area Courthouse	\$ 146,257	\$ -	\$ 146,257
20	Plumas	New Quincy Courthouse	\$ 312,666	\$ -	\$ 312,666
21	Riverside	New Hemet Courthouse	\$ 178,997	\$ -	\$ 178,997
22	Riverside	New Indio Juvenile and Family Courthouse	\$ 3,309,101	\$ 459,867	\$ 3,768,968
23	Sacramento	New Sacramento Criminal Courthouse	\$ 1,684,406	\$ -	\$ 1,684,406
24	San Diego	New Central San Diego Courthouse	\$ 1,711,060	\$ 14,715,579	\$ 16,426,639
25	Santa Barbara	New Santa Barbara Criminal Courthouse	\$ 7,959,965	\$ -	\$ 7,959,965
26	Shasta	New Redding Courthouse	\$ 3,882,192	\$ -	\$ 3,882,192
27	Siskiyou	New Yreka Courthouse	\$ 962,152	\$ -	\$ 962,152
28	Sonoma	New Santa Rosa Criminal Courthouse	\$ 6,105,893	\$ -	\$ 6,105,893
29	Stanislaus	New Modesto Courthouse	\$ 770,559		\$ 770,559
30	Tehama	New Red Bluff Courthouse	\$ 631,913	\$ 734,736	\$ 1,366,650
31	Tuolumne	New Sonora Courthouse	\$ 1,422,511	\$ -	\$ 1,422,511
		<b>Total</b>	<b>\$ 39,771,720</b>	<b>\$ 21,433,636</b>	<b>\$ 61,205,356</b>

## Draft Criteria for Selection of SB 1407 Projects to Move Forward

July 23, 2012

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Below are proposed criteria to be used by the Court Facilities Working Group (CFWG) to select which SB 1407 projects move forward with the limited funding estimated to be available. The CFWG is requesting comments from the courts and the public on these criteria and that the courts provide information on the criteria relevant to their project or projects. Where noted, staff will provide information to the CFWG.

Rather than develop a numerical rating system that assigns points for each criterion, the working group will be considering the various aspects of each project in relation to the full set of criteria in making their determinations. The criteria are not listed in order of priority.

1. **Security.** Describe the security problems in the facility or facilities to be replaced or improved by the SB 1407 project (that can be resolved by the design of the proposed capital project), and the safety, operational, and public service impacts of these security problems.
2. **Overcrowding.** Describe the overcrowding in the facility or facilities to be replaced by the SB 1407 project, and the related safety, operational, and public service impacts.
3. **Physical Condition.** Describe the key physical problems of the facility or facilities to be replaced by the SB 1407 project, and the related safety, operational, and public service impacts of these conditions.
4. **Access to Court Services.** Describe how the proposed project will improve access to court services for court users. For example, describe how the project will expand or improve access to court services for an underserved population.
5. **Economic Opportunity.** This criterion is defined in the *Prioritization Methodology for Trial Court Capital Outlay Projects* adopted by the Judicial Council in 2008 (the methodology) as “free or reduced cost of land for new construction, viable financing partnerships or fund contributions by other government entities or private parties that results in lower project delivery costs, cost savings resulting from adaptive reuse of existing facilities, operational efficiencies from consolidation of court calendars and operations, savings from sharing of facilities by more than one court, and building operations cost savings from consolidating facilities.” This should be an opportunity that is already in place or confirmed. Please also indicate if the new project is located adjacent to a county jail facility or police station, and whether or not a direct connection will be provided for prisoner transport, which can result in savings to the county.
6. **Project status.** Refers to the current phase or stage of a project. Current project status is categorized as follows, with projects in reassessment noted by the term “Reassess” after the project name:
  - 6.1. **Site Selection.** These projects are in the process of identifying two potential sites for the planned new courthouse based upon criteria prioritized, and mutually agreed to, by the court and the AOC. The two sites that meet site selection criteria are submitted to the State Public Works Board (SPWB) for site selection approval. Site Selection activities have been paused and will restart if the project

## Draft Criteria for Selection of SB 1407 Projects to Move Forward

July 23, 2012

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is approved by the Judicial Council to continue. The following projects are in Site Selection:

- 6.1.1. Inyo – New Independence Courthouse – Reassess
- 6.1.2. Kern – New Mojave Courthouse – Reassess
- 6.1.3. Los Angeles – New Eastlake Juvenile Courthouse – Reassess
- 6.1.4. Los Angeles – New Mental Health Courthouse

- 6.2. Site Acquisition. These projects have obtained Site Selection approval by the SPWB and are in the process of performing in-depth site due diligence, complying with the California Environmental Quality Act, negotiating acquisition terms, and performing test fit studies to confirm the site will accommodate the court's functional program within the project budget. After the preferred site has been thoroughly vetted and negotiations have been finalized, it will be submitted to the SPWB for acquisition approval and the site will be acquired. Site Acquisition activities have been paused and will restart if the project is approved by the Judicial Council to continue. The following projects are in Site Acquisition with sites not yet acquired:

- 6.2.1. El Dorado – New Placerville Courthouse
- 6.2.2. Kern – New Delano Courthouse – Reassess
- 6.2.3. Mendocino – New Ukiah Courthouse – Reassess
- 6.2.4. Los Angeles – New Glendale Courthouse – Reassess
- 6.2.5. Los Angeles – New Santa Clarita Courthouse – Reassess
- 6.2.6. Los Angeles – New Southeast Los Angeles Courthouse – Reassess
- 6.2.7. Nevada – New Nevada City Courthouse – Reassess
- 6.2.8. Placer – New Tahoe Area Courthouse
- 6.2.9. Plumas – New Quincy Courthouse
- 6.2.10. Riverside – New Hemet Courthouse – Reassess
- 6.2.11. Sacramento – New Sacramento Criminal Courthouse
- 6.2.12. Santa Barbara – New Santa Barbara Criminal Courthouse – Reassess
- 6.2.13. Stanislaus – New Modesto Courthouse

- 6.3. Property Purchased. These projects have acquired all necessary property for the planned new courthouse, but are on hold due to lack of funding for Preliminary Plans and pending outcome of the trial court operations review referred to in the FY 2012–2013 Budget Act. The following projects are in this category:

- 6.3.1. Shasta – New Redding Courthouse
- 6.3.2. Siskiyou – New Yreka Courthouse
- 6.3.3. Sonoma – New Santa Rosa Criminal Courthouse
- 6.3.4. Tuolumne – New Sonoma Courthouse

- 6.4. Preliminary Plans. These projects have funding for preparation of Preliminary Plans, which includes schematic design and design development drawings. When completed and approved by the AOC and the court, Preliminary Plans are submitted to the SPWB for approval. Preliminary Plans for the following projects

## Draft Criteria for Selection of SB 1407 Projects to Move Forward

July 23, 2012

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have been paused pending outcome of the trial court operations review referred to in the FY 2012–2013 Budget Act:

- 6.4.1. Fresno – Renovate Fresno Courthouse
  - 6.4.2. Glenn – Renovation and Addition to Willows Courthouse
  - 6.4.3. Imperial – New El Centro Family Courthouse – Reassess
  - 6.4.4. Merced – New Los Banos Courthouse
  - 6.4.5. Riverside – New Indio Juvenile and Family Courthouse
  - 6.4.6. Tehama – New Red Bluff Courthouse
- 6.5. Preliminary Plans Completed. These projects have received SPWB approval of Preliminary Plans, but cannot move forward because no funding is available for Working Drawings:
- 6.5.1. Lake – New Lakeport Courthouse
  - 6.5.2. Monterey – New South Monterey County Courthouse – Reassess
- 6.6. Working Drawings. These projects are in the process of preparing detailed construction documents, obtaining government agency approvals and preparing bid documents. Once Working Drawings have been completed and agency approvals are obtained, projects will be submitted to the state Department of Finance (DOF) for approval to bid. Each of the following projects, with the exception of the San Diego project, is in the Working Drawings phase and is scheduled to begin construction in FY 2012–2013:
- 6.6.1. Alameda – New East County Hall of Justice
  - 6.6.2. Butte – New North Butte County Courthouse
  - 6.6.3. Kings – New Hanford Courthouse
  - 6.6.4. San Diego – New San Diego Central Courthouse\*
  - 6.6.5. San Joaquin – Juvenile Justice Center Renovation
  - 6.6.6. Santa Clara – New Santa Clara Family Justice Center
  - 6.6.7. Solano – Fairfield Old Solano Courthouse Renovation
  - 6.6.8. Sutter – New Yuba City Courthouse
  - 6.6.9. Yolo – New Woodland Courthouse
- \*Scheduled for construction in FY 2013–2014
- 6.7. Construction. These projects have received approval to bid by DOF and will be included in an upcoming bond sale. They are in the process of bidding, awarding the construction contract, or are under construction. Currently no projects are in this category because no SB 1407 projects have actually started the construction phase.
- 6.8. Reassessment. In April 2012, the Judicial Council directed the AOC to reassess the scope and budget of 13 projects. These projects will be reassessed to confirm project scope and budget and identify significant ways to reduce costs, including where feasible, reducing square footage, undertaking renovations of existing buildings instead of new construction, evaluating lease options, and using lower

## Draft Criteria for Selection of SB 1407 Projects to Move Forward

July 23, 2012

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cost construction methods. The Judicial Council directed the AOC to reassess the following projects:

- 6.8.1. Inyo – New Inyo County Courthouse
- 6.8.2. Imperial – New El Centro Family Courthouse
- 6.8.3. Kern – New Delano Courthouse
- 6.8.4. Kern – New Mojave Courthouse
- 6.8.5. Los Angeles – New Eastlake Juvenile Courthouse
- 6.8.6. Los Angeles – New Glendale Courthouse
- 6.8.7. Los Angeles – New Santa Clarita Courthouse
- 6.8.8. Los Angeles – New Southeast Los Angeles Courthouse
- 6.8.9. Mendocino – New Ukiah Courthouse
- 6.8.10. Monterey – New South Monterey County Courthouse
- 6.8.11. Nevada – New Nevada Courthouse
- 6.8.12. Riverside – New Hemet Courthouse
- 6.8.13. Santa Barbara – New Santa Barbara Criminal Courthouse

**7. Court Usage.** This criterion is determined by the extent to which all courtrooms are used in a county; the size of the estimated population served; and the estimated case load, which is defined as the number of filings, number of dispositions, and number of jury trials.

7.1. Courtroom Locations and Judicial Officer Calendar Assignments. For each courthouse in your county, please provide a listing identifying courtroom by department number and the name of the judicial officer assigned to that courtroom. If a courtroom is unused, please explain the reason for the vacancy (unfilled authorized position, retirement, medical leave, vacation, etc.).

7.2. Estimated Population Served. The estimated population served by a court may be calculated in several ways:

7.2.1. For courthouses serving the entire county, the estimated population served is the 2012 estimated county population. AOC staff will provide data to the CFWG (total estimate and estimate per Judicial Position Equivalent (JPE)).

7.2.2. For courthouses serving a portion of the county, the estimated population can be identified using county data on city and unincorporated areas. This information may be adjusted to include estimated population for courts that have seasonal increases in tourist population. The working group requests the court provide this information to the working group.

Sources of data: State of California, Department of Finance, E-1 Population Estimates for Cities, Counties, and the State with Annual Percent Change — January 1, 2011 and 2012. Sacramento, California, May 2012. Local data sources for court service areas may also be used.

## Draft Criteria for Selection of SB 1407 Projects to Move Forward

July 23, 2012

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- 7.3. Number of Filings. The number of cases filed with the court to initiate legal action. Source of data: Judicial Council of California, 2011 Court Statistics Report, Statewide Caseload Trends, 2000–2001 through 2009–2010. AOC staff will provide data to the CFWG (total estimate and estimate per JPE).
  - 7.4. Number of Dispositions. The number of cases that have been resolved by a determination by the court. Source of data: Judicial Council of California, 2011 Court Statistics Report, Statewide Caseload Trends, 2000–2001 through 2009–2010. AOC staff will provide data to the CFWG (total estimate and estimate per JPE).
  - 7.5. Number of Jury Trials. A jury trial is counted when the jury is empanelled. Source of data: Judicial Council of California, 2011 Court Statistics Report, Statewide Caseload Trends 2000–2001 through 2009–2010. AOC staff will provide data to the CFWG (total estimate and estimate per JPE).
  - 7.6. Weighted Filings Data. 2011 judicial officer study case weights used in evaluating statewide judicial workload and used in the biennial judicial needs assessment. AOC staff will provide data to the CFWG.
- 8. Type of Courthouse** refers to either Main or Branch courthouses.
- 8.1. Main Courthouses. Refers to courthouses typically located in the county seat or in a major population center that is not the county seat. Main courthouses typically offer a full range of court services.
  - 8.2. Branch Courthouses. Refers to court facilities that are not located in the county seat or a major population center. Branch courthouses may have formerly been municipal courthouses and may be located in more rural locations. Branch courts may not offer a full range of court services. If a project is a branch court, please provide information on what calendar case types will be heard. Describe special geographic issues that require branch court locations.
- 9. Disposition of Existing Court Space or Facility**. Refers to an agreement between the state and another party, which will be responsible for space currently occupied by a court that will be vacated once the capital project has been completed. AOC staff will provide information to the CFWG.
- 10. Consolidation of Facilities**. Refers to the replacement or consolidation of disparate leased or owned space that will improve operational efficiencies. Leased or owned spaces, such as modular buildings, should be included.
- 11. Extent to Which Project Solves a Court’s Facilities Problems**. Refers to the degree to which the court’s identified facilities problems in a specific county can be solved by constructing a new courthouse.

## Draft Criteria for Selection of SB 1407 Projects to Move Forward

July 23, 2012

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12. **Expected Operational Impact.** Refers to savings or cost increases in areas such as staffing, janitorial, security, and building operations.
  - 12.1. Estimate and document one-time and ongoing cost impacts to the court related to moving in and operating the new facility. These estimates will include increased and decreased costs, detailed by each specific cost item (e.g. moving, or janitorial, etc.)
  - 12.2. Document the funding source(s) planned to be used to address any net cost increases.
  - 12.3. Document potential ongoing cost savings through elimination of “court funded” lease costs, consolidation and reduction of staff, etc. Provide quantitative savings estimates whenever possible.
  - 12.4. AOC staff to provide data on elimination of AOC funded lease costs and impacts to AOC funded building operational costs, to include offset from County Facility Payment.
13. **Qualitative statement of need to replace a facility or facilities.** Refers to key aspects of the proposed project that may not be reflected in the project’s assignment to a priority need group based on the methodology employed by the Judicial Council in 2008 to select projects for funding by SB 1407.
14. **Courtroom and courthouse closures.** These are defined in two ways:
  - 14.1. Courthouses or courtrooms that have been officially closed by the court, where the court has issued a closure notice in compliance with Government Code Section 68106 (Budget Act of 2010). All courts’ notices are listed and posted at <http://www.courts.ca.gov/12973.htm>. Please provide an explanation of how the closure is affecting court operations. Confirm whether the courtroom or courthouse is needed in the future and if the closure is temporary or permanent. Please explain why a new courthouse is still needed when one or more courthouses or courtrooms have been officially closed by the court.
  - 14.2. Courtrooms that are not fully scheduled based on item 7.1. If a courtroom is unused, please explain the reason for the vacancy (unfilled authorized position, retirement, medical leave, room functionality, location, etc.).
15. **“Outside the Box Thinking”.** Refers to ideas regarding how to reduce project scope and budget, and an examination of creative and potentially less costly ways to address safety, security and functional problems of the courthouse or courthouses to be replaced by the capital project. For example, such ideas to reduce project scope and costs could include renovating rather than constructing a new building, reorganizing services to increase utilization of existing facilities, use of hearing rooms instead of full size courtrooms, use of video conferencing for hearings and arraignments, and limiting the construction of fully flexible courtrooms (no jury boxes or connection to in-custody areas).



## Draft Criteria for Selection of SB 1407 Projects to Move Forward

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- 16. Expended Resources.** Refers to the amount of time and money spent by the AOC, the court, and local communities on the SB 1407 project. Note that the AOC will provide the working group with data on SB 1407 fund expenditures as of June 30, 2012 for each project being evaluated.



**Judicial Council of California**  
ADMINISTRATIVE OFFICE OF THE COURTS

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## MEMORANDUM

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**Date**

August 28, 2012

**Action Requested**

Please review prior to September 5-7, 2012  
Working Group meeting

**To**

Members of the Court Facilities Working  
Group

**Deadline**

N/A

**From**

Hon. Patricia Lucas  
Judge of the Superior Court of California,  
County of Santa Clara

**Contact**

James Mullen, Senior Manager, OCCM  
(415) 865-4096 phone  
james.mullen@jud.ca.gov

**Subject**

Report of the Audit Subcommittee (the  
subcommittee) to the Court Facilities  
Working Group (the working group)

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In July 2011, Chief Justice Cantil-Sakauye appointed the working group. At its first meeting in October 2011, the working group formed the subcommittee to retain an independent outside oversight consultant to assist the working group by conducting a performance audit of the Judicial Branch's program to construct new courthouses for the trial courts throughout the state (the program). Following the issuance of a request for proposal related to this effort, the subcommittee received and reviewed several applications, and in early January 2012, interviewed all of the applicants. Then, on January 12, 2012, Pegasus Global Holdings, Inc. was retained by the subcommittee. The subcommittee has worked closely with Pegasus to ensure that it has had access to all documentation and interviews requested. Pegasus conducted the audit pursuant to Generally Accepted Government Auditing Standards ("GAGAS") issued by the United States Government Accountability Office. Those standards require, and Pegasus has obtained, input from the audited agency, the Administrative Office of the Courts (AOC)—Office of Court Construction and Management (OCCM), throughout the process. The AOC's response, which is part of the final report, reflects that the AOC agrees with the findings and

recommendations. Pegasus' thorough report, including findings and recommendations, has been provided to all members of the working group.

Pegasus found that because of the compressed timeframe in which OCCM was organized and tasked to manage the program, it did not have the usual ramp-up phase to be expected in the lifecycle of a construction megaprogram before embarking on the identified projects. OCCM therefore focused primarily on the tasks most critical to achievement of the program's immediate objectives, and by virtue of the qualifications and dedication of staff, has accomplished those tasks to the standards established and expected within the industry and without any disputes arising among the participants. However, this prioritization left undone other tasks less critical to immediate objectives but important to the program in the longer term. Pegasus concludes that it is critical that these other tasks of formulating policies, procedures and processes be addressed so that the program is managed in a more structured, uniform and transparent manner.

The subcommittee recommends to the working group that it adopt the key findings and recommendations of the report and recommend to the Judicial Council that it do so as well, with two caveats. The first relates to the ambitious timeline identified in OCCM's response for implementation of the Pegasus recommendations. Appendix A of the OCCM response indicates that all recommendations will be implemented no later than January 16, 2013. The subcommittee agrees that it is appropriate to prioritize the recommendations as OCCM has done. The subcommittee does, though, consider OCCM's planned timeline for implementation of all of the recommendations is likely too ambitious, given the complexity and scale of effort required to fully implement some of the proposed changes. The second caveat relates to the suggestion by OCCM that the creation and maintenance of policies and procedures occur within each subpart of the organizational structure, while the subcommittee considers that it would be consistent with the input from Pegasus to centralize the task of creating and maintaining policies to ensure that they are consistent and current throughout all OCCM divisions. Pegasus agrees with these two caveats.

The subcommittee has been consistently impressed by the professionalism and expertise Pegasus has brought to this audit. Pegasus has agreed to be available to the working group as we consider the findings and recommendations of the report.

**Court Facilities Working Group**

As of July 2011

**Hon. Brad R. Hill, Chair**

Administrative Presiding Justice of the  
Court of Appeal, Fifth Appellate District

**Hon. Robert D. Foiles**

Assistant Presiding Judge of the  
Superior Court of California,  
County of San Mateo

**Hon. Patricia M. Lucas, Vice-Chair**

Judge of the Superior Court of California,  
County of Santa Clara

**Ms. Melissa Fowler-Bradley**

Court Executive Officer  
Superior Court of California,  
County of Shasta

**Mr. Michael J. Bocchicchio, AIA**

Former Associate Vice-President, University  
of California System and  
Former State Architect of California

**Hon. William F. Highberger**

Judge of the Superior Court of California,  
County of Los Angeles

**Hon. Donald Cole Byrd**

Presiding Judge of the  
Superior Court of California,  
County of Glenn

**Hon. Jamie A. Jacobs-May (Ret.)**

Retired Judge, Superior Court of California,  
County of Santa Clara

**Mr. Anthony P. Capozzi**

Attorney at Law

**Hon. Jeffrey W. Johnson**

Associate Justice of the Court of Appeal,  
Second Appellate District, Division One

**Mr. Stephan Castellanos, FAIA**

Principal Architect  
Derivi Castellanos Architects  
Former State Architect of California

**Hon. Laura J. Masunaga**

Presiding Judge of the  
Superior Court of California,  
County of Siskiyou

**Hon. Candace D. Cooper (Ret.)**

Retired Justice, Court of Appeal,  
Second Appellate District

**Mr. Stephen Nash**

Court Executive Officer  
Superior Court of California,  
County of San Bernardino

**Hon. Keith D. Davis**

Judge of the Superior Court of California,  
County of San Bernardino

**Hon. Gary R. Orozco**

Assistant Presiding Judge of the  
Superior Court of California,  
County of Fresno

**Hon. Samuel K. Feng**

Judge of the Superior Court of California,  
County of San Francisco

**Court Facilities Working Group**

As of July 2011

**Hon. David Edwin Power**

Judge of the Superior Court of California,  
County of Solano

**Ms. Linda Romero Soles**

Court Executive Officer  
Superior Court of California,  
County of Merced

**Mr. Larry Spikes**

County Administrative Officer  
County of Kings

**Mr. Kevin Stinson**

Assistant Clerk Administrator  
Court of Appeal, Fourth Appellate District,  
Division Three

**Mr. Val Toppenberg**

Consultant  
Former Redevelopment Director for City of  
West Sacramento and for City of Merced

**Hon. Robert J. Trentacosta**

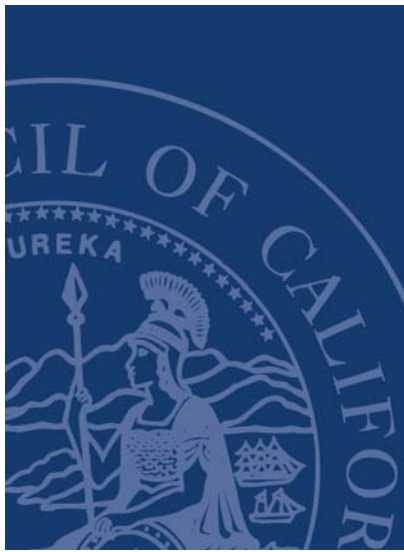
Assistant Presiding Judge of the  
Superior Court of California,  
County of San Diego

**Ms. Ellen Warner**

Partner  
David S. Taylor Interests, Inc.

**Mr. Thomas J. Warwick, Jr.**

Attorney at Law



## Court Facilities Working

September 5 - 7, 2012

### COMMENTS

<b>1</b>	Statewide (includes submission for projects not subject to CFWG review)
<b>2</b>	El Dorado
<b>3</b>	Fresno
<b>4</b>	Glenn
<b>5</b>	Imperial
<b>6</b>	Inyo
<b>7</b>	Lake
<b>8</b>	Los Angeles
<b>9</b>	Kern
<b>10</b>	Mendocino
<b>11</b>	Merced
<b>12</b>	Monterey
<b>13</b>	Nevada
<b>14</b>	Placer
<b>15</b>	Plumas
<b>16</b>	Riverside
<b>17</b>	Sacramento
<b>18</b>	San Diego
<b>19</b>	Santa Barbara
<b>20</b>	Shasta
<b>21</b>	Siskiyou
<b>22</b>	Sonoma
<b>23</b>	Stanislaus
<b>24</b>	Tehama
<b>25</b>	Tuolumne



ADMINISTRATIVE OFFICE  
OF THE COURTS

OFFICE OF COURT CONSTRUCTION  
AND MANAGEMENT